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CITY COUNCIL AGENDA

CITY HALL COUNCIL CHAMBER
78495 Calle Tampico, La Quinta

REGULAR MEETING ON TUESDAY, FEBRUARY 20, 2024
3:30 P.M. CLOSED SESSION | 4:00 P.M. OPEN SESSION

Members of the public may listen to this meeting by tuning-in live via
<http://laquinta.12milesout.com/video/live>.

ADVISORY NOTICE:

**THE PUBLIC HEARING FOR THE CLUB AT CORAL MOUNTAIN PROJECT
IS NOT ON THE AGENDA FOR THIS MEETING BUT WILL BE CONSIDERED BY THE
CITY COUNCIL AT A PUBLIC HEARING TO BE
SCHEDULED FOR THE MARCH 5, 2024, REGULAR MEETING**

CALL TO ORDER

ROLL CALL: Councilmembers: Fitzpatrick, McGarrey, Peña, Sanchez, Mayor Evans

PUBLIC COMMENT ON MATTERS NOT ON THE AGENDA

At this time, members of the public may address the City Council on any matter not listed on the agenda pursuant to the "Public Comments – Instructions" listed at the end of the agenda. The City Council values your comments; however, in accordance with State law, no action shall be taken on any item not appearing on the agenda unless it is an emergency item authorized by the Brown Act [Government Code § 54954.2(b)].

CONFIRMATION OF AGENDA

CLOSED SESSION

1. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION; INITIATION OF LITIGATION PURSUANT TO PARAGRAPH (4) OF SUBDIVISION (d) OF SECTION 54956.9 (NUMBER OF POTENTIAL CASES: 1)

- 2. [ADDED AT CONFIRMATION OF AGENDA >>>](#) CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION; SIGNIFICANT EXPOSURE TO LITIGATION PURSUANT TO PARAGRAPH (4) OF SUBDIVISION (d) OF SECTION 54956.9 (NUMBER OF POTENTIAL CASES: 1)

RECESS TO CLOSED SESSION

RECONVENE AT 4:00 P.M.

REPORT ON ACTION(S) TAKEN IN CLOSED SESSION

PLEDGE OF ALLEGIANCE

PUBLIC COMMENT ON MATTERS NOT ON THE AGENDA

At this time, members of the public may address the City Council on any matter not listed on the agenda pursuant to the “Public Comments – Instructions” listed at the end of the agenda. The City Council values your comments; however, in accordance with State law, no action shall be taken on any item not appearing on the agenda unless it is an emergency item authorized by the Brown Act [Government Code § 54954.2(b)].

ANNOUNCEMENTS, PRESENTATIONS, AND WRITTEN COMMUNICATIONS

- 1. INTRODUCE RIVERSIDE COUNTY SHERIFF’S DEPARTMENT SERGEANT PATRICK MUSHINSKIE

CONSENT CALENDAR

NOTE: Consent Calendar items are routine in nature and can be approved by one motion.

	<u>PAGE</u>
1. ADOPT ORDINANCE NO. 610 ON SECOND READING AMENDING CHAPTER 2.95 OF THE LA QUINTA MUNICIPAL CODE RELATING TO THE COMMUNITY SERVICES COMMISSION AND RENAMING IT TO THE ARTS AND COMMUNITY SERVICES COMMISSION [ORDINANCE NO. 610]	7
2. APPROVE CUSTODY AGREEMENT WITH U.S. BANK, NATIONAL ASSOCIATION FOR ASSET CUSTODY SERVICES	25
3. AUTHORIZE OVERNIGHT TRAVEL FOR MAYOR AND ONE COUNCILMEMBER TO ATTEND THE LEAGUE OF CALIFORNIA CITIES CITY LEADERS SUMMIT IN SACRAMENTO, CALIFORNIA, APRIL 17-19, 2024	51
4. RECEIVE AND FILE SECOND QUARTER FISCAL YEAR 2023/24 TREASURY REPORTS FOR OCTOBER, NOVEMBER, AND DECEMBER 2023	53
5. APPROVED DEMAND REGISTERS DATED FEBRUARY 2, AND FEBRUARY 9, 2024	119

BUSINESS SESSION

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1. ADOPT RESOLUTION ADOPTING A SPONSORSHIP POLICY [RESOLUTION NO. 2024-005]	135
2. APPROVE AGREEMENT FOR CONTRACT SERVICES WITH MARK THOMAS & COMPANY TO PROVIDE PROFESSIONAL ENGINEERING SERVICES FOR AVENUE 50 BRIDGE PROJECT NO. 2019-02, LOCATED ON AVENUE 50 OVER THE LA QUINTA EVACUATION CHANNEL	151
3. APPOINT THE PUBLIC WORKS DIRECTOR TO SERVE ON THE IMPERIAL IRRIGATION DISTRICT ENERGY CONSUMERS ADVISORY COMMITTEE FOR THE REMAINDER OF AN UNEXPIRED TERM ENDING DECEMBER 31, 2024	285

STUDY SESSION – None

PUBLIC HEARINGS – None

DEPARTMENTAL REPORTS

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2. CITY ATTORNEY	
3. CITY CLERK – SHORT-TERM VACATION RENTAL PROGRAM – 2024 CODE UPDATES AND 2023 QUARTER 4 (OCTOBER – DECEMBER) PROGRAM OVERVIEW OF CHARACTERISTICS, COMPLIANCE, AND ENFORCEMENT	287
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MAYOR’S AND COUNCIL MEMBERS’ ITEMS

REPORTS AND INFORMATIONAL ITEMS

1. CVAG CONSERVATION COMMISSION (Evans)
2. CVAG ENERGY AND ENVIRONMENTAL RESOURCES COMMITTEE (Evans)
3. CVAG EXECUTIVE COMMITTEE (Evans)
4. VISIT GREATER PALM SPRINGS CONVENTION AND VISITORS BUREAU (Evans)
5. IMPERIAL IRRIGATION DISTRICT – COACHELLA VALLEY ENERGY COMMISSION
(Evans)

- 6. LEAGUE OF CALIFORNIA CITIES DELEGATE (Evans)
- 7. COACHELLA VALLEY WATER DISTRICT JOINT POLICY COMMITTEE (Evans)
- 8. SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS (Evans)
- 9. ECONOMIC DEVELOPMENT SUBCOMMITTEE (Evans & Fitzpatrick)
- 10. DESERT SANDS UNIFIED SCHOOL DISTRICT COMMITTEE (Evans & Sanchez)
- 11. DESERT RECREATION DISTRICT COMMITTEE (Fitzpatrick & McGarrey)
- 12. COACHELLA VALLEY UNIFIED SCHOOL DISTRICT COMMITTEE (Fitzpatrick & Peña)
- 13. GREATER CV CHAMBER OF COMMERCE INFORMATION EXCHANGE COMMITTEE (McGarrey)
- 14. RIVERSIDE COUNTY TRANSPORTATION COMMISSION (Fitzpatrick)
- 15. CVAG TRANSPORTATION COMMITTEE (Fitzpatrick)
- 16. IMPERIAL IRRIGATION DISTRICT – ENERGY CONSUMERS ADVISORY COMMITTEE (McGarrey)
- 17. COACHELLA VALLEY MOUNTAINS CONSERVANCY (McGarrey)
- 18. LEAGUE OF CALIFORNIA CITIES – ENVIRONMENTAL QUALITY POLICY COMMITTEE (McGarrey)
- 19. LEAGUE OF CALIFORNIA CITIES – EXECUTIVE COMMITTEE RIVERSIDE COUNTY DIVISION (McGarrey)
- 20. CANNABIS AD HOC COMMITTEE (Peña & Sanchez)
- 21. CVAG PUBLIC SAFETY COMMITTEE (Peña)
- 22. CVAG HOMELESSNESS COMMITTEE (Peña)
- 23. COACHELLA VALLEY MOSQUITO AND VECTOR CONTROL DISTRICT (Peña)
- 24. SUNLINE TRANSIT AGENCY (Peña)
- 25. ANIMAL CAMPUS COMMISSION (Sanchez)
- 26. LEAGUE OF CALIFORNIA CITIES – PUBLIC SAFETY COMMITTEE (Sanchez)
- 27. RIVERSIDE LOCAL AGENCY FORMATION COMMISSION (Sanchez)
- 28. ART PURCHASE COMMITTEE (Sanchez & McGarrey)
- 29. CALIFORNIA JOINT POWERS INSURANCE AUTHORITY (Sanchez)
- 30. FINANCIAL ADVISORY COMMISSION SPECIAL MEETING MINUTES OF DECEMBER 20, 2023

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ADJOURNMENT

The next regular meeting of the City Council will be held on March 5, 2024, at 4:00 p.m. at the City Hall Council Chamber, 78495 Calle Tampico, La Quinta, CA 92253.

DECLARATION OF POSTING

I, Monika Radeva, City Clerk of the City of La Quinta, do hereby declare that the foregoing Agenda for the La Quinta City Council meeting was posted on the City’s website, near the entrance to the Council Chamber at 78495 Calle Tampico, and the bulletin board at the La Quinta Cove Post Office at 51321 Avenida Bermudas, on February 16, 2024.

DATED: February 16, 2024



MONIKA RADEVA, City Clerk
City of La Quinta, California

Public Notices

- Agenda packet materials are available for public inspection: 1) at the Clerk's Office at La Quinta City Hall, located at 78495 Calle Tampico, La Quinta, California 92253; and 2) on the City's website at www.laquintaca.gov/councilagendas, in accordance with the Brown Act [Government Code § 54957.5; AB 2647 (Stats. 2022, Ch. 971)].
- The La Quinta City Council Chamber is handicapped accessible. If special equipment is needed for the hearing impaired, please call the City Clerk's office at (760) 777-7123, 24-hours in advance of the meeting and accommodations will be made.
- If background material is to be presented to the City Council during a City Council meeting, please be advised that 15 copies of all documents, exhibits, etc., must be supplied to the City Clerk for distribution. It is requested that this takes place prior to the beginning of the meeting.

PUBLIC COMMENTS - INSTRUCTIONS

Members of the public may address the City Council on any matter listed or not listed on the agenda as follows:

WRITTEN PUBLIC COMMENTS can be provided either in-person during the meeting by submitting 15 copies to the City Clerk, it is requested that this takes place prior to the beginning of the meeting; or can be emailed in advance to CityClerkMail@LaQuintaCA.gov, no later than 12:00 p.m., on the day of the meeting. Written public comments will be distributed to Council, made public, and will be incorporated into the public record of the meeting, but will not be read during the meeting unless, upon the request of the Mayor, a brief summary of public comments is asked to be reported.

If written public comments are emailed, the email subject line must clearly state **"Written Comments"** and should include: **1) full name, 2) city of residence, and 3) subject matter.**

VERBAL PUBLIC COMMENTS can be provided in-person during the meeting by completing a "Request to Speak" form and submitting it to the City Clerk; it is requested that this takes place prior to the beginning of the meeting. Please limit your comments to three (3) minutes (or approximately 350 words). Members of the public shall be called upon to speak by the Mayor.

In accordance with City Council Resolution No. 2022-027, a one-time additional speaker time donation of three (3) minutes per individual is permitted; please note that the member of the public donating time must: 1) submit this in writing to the City Clerk by completing a "Request to Speak" form noting the name of the person to whom time is being donated to, and 2) be present at the time the speaker provides verbal comments.

Verbal public comments are defined as comments provided in the speakers' own voice and may not include video or sound recordings of the speaker or of other individuals or entities, unless permitted by the Mayor.

Public speakers may elect to use printed presentation materials to aid their comments; 15 copies of such printed materials shall be provided to the City Clerk to be disseminated to the City Council, made public, and incorporated into the public record of the meeting; it is requested that the printed materials are provided prior to the beginning of the meeting. There shall be no use of Chamber resources and technology to display visual or audible presentations during public comments, unless permitted by the Mayor.

All writings or documents, including but not limited to emails and attachments to emails, submitted to the City regarding any item(s) listed or not listed on this agenda are public records. All information in such writings and documents is subject to disclosure as being in the public domain and subject to search and review by electronic means, including but not limited to the City's Internet Web site and any other Internet Web-based platform or other Web-based form of communication. All information in such writings and documents similarly is subject to disclosure pursuant to the California Public Records Act [Government Code § 7920.000 *et seq.*].

TELECONFERENCE ACCESSIBILITY – INSTRUCTIONS

Teleconference accessibility may be triggered in accordance with AB 2449 (Stats. 2022, Ch. 285), codified in the Brown Act [Government Code § 54953], if a member of the City Council requests to attend and participate in this meeting remotely due to “just cause” or “emergency circumstances,” as defined, and only if the request is approved. In such instances, remote public accessibility and participation will be facilitated via Zoom Webinar as detailed at the end of this Agenda.

***** TELECONFERENCE PROCEDURES – PURSUANT TO AB 2449*** APPLICABLE ONLY WHEN TELECONFERENCE ACCESSIBILITY IS IN EFFECT**

Verbal public comments via Teleconference – members of the public may attend and participate in this meeting by teleconference via Zoom and use the “raise your hand” feature when public comments are prompted by the Mayor; the City will facilitate the ability for a member of the public to be audible to the City Council and general public and allow him/her/them to speak on the item(s) requested. **Please note – members of the public must unmute themselves when prompted upon being recognized by the Mayor, in order to become audible to the City Council and the public.**

Only one person at a time may speak by teleconference and only after being recognized by the Mayor.

ZOOM LINK: <https://us06web.zoom.us/j/82540879912>
Meeting ID: 825 4087 9912
Or join by phone: (253) 215 – 8782

Written public comments – can be provided in person during the meeting or emailed to the City Clerk's Office at CityClerkMail@LaQuintaCA.gov any time prior to the adjournment of the meeting, and will be distributed to the City Council, made public, incorporated into the public record of the meeting, and will not be read during the meeting unless, upon the request of the Mayor, a brief summary of any public comment is asked to be read, to the extent the City Clerk's Office can accommodate such request.

City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: ADOPT ORDINANCE NO. 610 ON SECOND READING AMENDING CHAPTER 2.95 OF THE LA QUINTA MUNICIPAL CODE RELATING TO THE COMMUNITY SERVICES COMMISSION AND RENAMING IT TO THE ARTS AND COMMUNITY SERVICES COMMISSION

RECOMMENDATION

Adopt Ordinance No. 610 on second reading.

EXECUTIVE SUMMARY

- On February 6, 2024, Council introduced Ordinance No. 610 for first reading amending Chapter 2.95 of the La Quinta Municipal Code (Code) relating to the Community Services Commission (Commission).
- If adopted on second reading, Ordinance No. 610 will go into effect 30 days after adoption or on March 22nd, 2024.

FISCAL IMPACT – None.

BACKGROUND/ANALYSIS

On November 21, 2023, Council discussed potential changes to the Commission, including (a) renaming the Commission to Community Services and Arts Commission, (b) expanding its membership from five to seven members, and (c) reserving Commissioner seats for high school students in order to capture the youth perspective.

On December 11, 2023, the Commission considered Council's recommendations and expressed support to rename it to *Arts and Community Services Commission*, however, the Commission was not in favor of expanding its membership, nor to include high school students as commissioners.

On February 6, 2024, Council introduced Ordinance No. 610 for first reading, which if adopted will amend Chapter 2.95 of the Code relating to the Commission as follows:

- Section 2.95.010 General Rules Regarding the Community Services Commission – renames the Commission to “Arts and Community Services Commission,” abbreviated as “ACSC.”

- Section 2.95.020 Number of Members – adds a provision that allows Council the flexibility to expand the ACSC’s membership from five (5) to seven (7) members from time to time, if deemed appropriate; and adds a provision that if the Code refers to the former “Community Services Commission’ or ‘CSC’ as an abbreviation thereof, such reference shall mean the Arts and Community Services Commission or “ACSC” established and governed by this chapter.
- Section 2.95.030 Qualifications of Members – adds language specifying that artists or individuals with knowledge and/or appreciation of the arts may serve on the ACSC.
- Section 2.95.040 Purpose and Objectives – adds reference to the “ACSC Road Map,” maintained by the Community Services Department, as may be amended from time to time, which is included as “Exhibit B” to this ordinance; and consolidates and organizes the ACSC’s objectives into three (3) succinctly defined categories (a) Art, (b) Events, and (c) Recreation.
- Section 2.95.050 Powers and Duties – removes redundancies and aligns the ACSC’s duties with current goals and objectives, and consolidates them into seven (7) concisely defined items.

ALTERNATIVES

As Council approved this ordinance at first reading, Staff does not recommend an alternative.

Prepared by: Oscar Mojica, Permit Technician

Approved by: Monika Radeva, City Clerk

Attachment: 1. Ordinance No. 610 – redline from February 6, 2024, from introduction and first reading, as amended by Council

ORDINANCE NO. 610

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF LA QUINTA, CALIFORNIA, AMENDING CHAPTER 2.95 OF THE LA QUINTA MUNICIPAL CODE RELATED TO THE COMMUNITY SERVICES COMMISSION, AND RENAMING IT TO THE “ARTS AND COMMUNITY SERVICES COMMISSION”

WHEREAS, Chapter 2.95 of the La Quinta Municipal Code (Code) describes the general rules of the Community Services Commission, including its membership, qualifications, purpose and objectives, and powers and duties; and

WHEREAS, Chapter 2.95 was last updated in 2013; and

WHEREAS, the City desires to amend the Code to better align it with the commission’s purpose and objectives. The amendments are as follows:

- 1) Section 2.95.010 General Rules Regarding the Community Services Commission – renames the Commission to “Arts and Community Services Commission,” abbreviated as “ACSC.”
- 2) Section 2.95.020 Number of Members – adds a provision that allows Council the flexibility to expand the commission’s membership from five (5) to seven (7) members from time to time, if deemed appropriate; and adds a provision that if the Code refers to the former “Community Services Commission” or “CSC” as an abbreviation thereof, such reference shall mean the Arts and Community Services Commission or ACSC established and governed by this chapter.
- 3) Section 2.95.030 Qualifications of Members – adds language specifying that artists or individuals with knowledge and/or appreciation of the arts may serve on the ACSC.
- 4) Section 2.95.040 Purpose and Objectives – adds reference to the “ACSC Road Map,” maintained by the Community Services Department, as may be amended from time to time, and included as “Exhibit B” to this ordinance, incorporated herewith by this reference; and consolidates and organizes the ACSC’s objectives into three (3) succinctly defined categories (a) Art, (b) Events, and (c) Recreation.
- 5) Section 2.95.050 Powers and Duties – removes redundancies and aligns the ACSC’s duties with current goals and objectives, and consolidates them into seven (7) concisely defined items.

Ordinance No. 610
Amending Chapter 2.95 Arts and Community Services Commission
Adopted: February 20, 2024
Page 2 of 3

NOW, THEREFORE, the City Council of the City of La Quinta does ordain as follows:

SECTION 1. Chapter 2.95 of the La Quinta Municipal Code shall be amended as written in “Exhibit A” attached hereto and incorporated herein by this reference.

SECTION 2. The foregoing recitals are true and correct, and constitute the findings of the City Council, and are incorporated herein by this reference.

SECTION 3. EFFECTIVE DATE: This Ordinance shall be in full force and effect thirty (30) days after its adoption.

SECTION 4. POSTING: The City Clerk shall, within 15 days after passage of this Ordinance, cause it to be posted in at least three public places designated by resolution of the City Council, shall certify to the adoption and posting of this Ordinance, and shall cause this Ordinance and its certification, together with proof of posting to be entered into the permanent record of Ordinances of the City of La Quinta.

SECTION 5. CORRECTIVE AMENDMENTS: the City Council does hereby grant the City Clerk the ability to make minor amendments and corrections of typographical or clerical errors to “Exhibit A” to ensure consistency of all approved text amendments prior to the publication in the La Quinta Municipal Code.

SECTION 6. SEVERABILITY: If any section, subsection, subdivision, sentence, clause, phrase, or portion of this Ordinance is, for any reason, held to be invalid or unconstitutional by the decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have adopted this Ordinance and each and every section, subsection, subdivision, sentence, clause, phrase, or portion thereof, irrespective of the fact that any one or more section, subsections, subdivisions, sentences, clauses, phrases, or portions thereof be declared unconstitutional.

PASSED, APPROVED and ADOPTED, at a regular meeting of the La Quinta City Council held this 20th day of February, 2024, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

Ordinance No. 610
Amending Chapter 2.95 Arts and Community Services Commission
Adopted: February 20, 2024
Page 3 of 3

LINDA EVANS, Mayor
City of La Quinta, California

ATTEST:

MONIKA RADEVA, City Clerk
City of La Quinta, California



APPROVED AS TO FORM:

WILLIAM H. IHRKE, City Attorney
City of La Quinta, California

Chapter 2.95 ARTS AND COMMUNITY SERVICES COMMISSION

2.95.010 General rules regarding the arts and community services commission.

Except as set out below, see Chapter 2.06 for general provisions.

(Ord. 516 § 7, 2013)

2.95.020 Number of members.

The arts and community services commission ("ACSC") shall consist of five (5) members appointed by, and serving at the will of the city council. The city council may increase the number of members from time to time but in no event shall the membership exceed seven (7) members or be less than five (5) members. If the code refers to the former "community services commission" or "CSC" as an abbreviation thereof, such reference shall mean the ACSC established and governed by this chapter.

(Ord. 516 § 7, 2013)

2.95.030 Qualifications of members.

In addition to the qualification requirements set forth in Section 2.06.040, applicants for the ACSC shall exhibit expertise, experience, knowledge, or similar assets that may be useful in serving on the ACSC.

Every effort will be made to ensure that a wide cross-section of community residents, interests and viewpoints, including providers, recipients and professionally-related occupations are represented, including artists and/or individuals with knowledge or appreciation of the literary, performing, and/or visual arts. Community service organizations, public and private, such as law enforcement, recreation, public health, gang prevention, childcare, and senior services, for example, may be represented.

(Ord. 516 § 7, 2013)

2.95.040 Purpose and objectives.

The general purposes of the ACSC are to encourage the development of a planned and orderly approach to the delivery of leisure and community services in the city, to advise the city council with respect to the city's development of cultural arts, including cultural events and activities, and to promote the literary, performing and visual arts.

Cultural arts as used in this section may involve cultural and arts-related disciplines and activities, including, but not limited to, the following:

- A. Performing art, such as drama, music and dance.
- B. Visual arts, such as painting, sculpture, photography, graphics, video art, applied art, and public art.
- C. Literary arts, such as literature, poetry, and journalism.
- D. Communications arts, such as film, television, radio, and technology.

The ACSC shall also maintain and implement the La Quinta art in public places program subject to approval of the city council.

The objectives of the ACSC are to serve in an advisory capacity to the city council to identify community needs for all matters pertaining to social services, community programs, public art, and public recreation as listed in detail in the ACSC Road Map, maintained by the Community Services Department, as may be amended from time to time. The Commission Road Map focuses on the following three (3) main categories:

- A. Art
 - 1. Recommend services and programs pertaining to cultural arts, including but not limited to, literary, performing and visual arts, thereby promoting cultural enrichment and art appreciation within the community.
 - 2. Recommend funding opportunities for cultural programs and activities.
 - 3. Work with city staff to develop a list of potential sites for public art.
 - 4. Work cooperatively with city boards, commissions, committees, and other organizations to promote art and cultural activities within the city.
 - 5. Maintain a list of pre-qualified artists for the city.
- B. Events
 - 1. Recommend public events, including lifestyle, contracted and signature city events.
 - 2. Review and assess city recognition and acknowledgment programs.
 - 3. Identify opportunities to establish a youth commission or board.
- C. Recreation
 - 1. Recommend recreation services and programs, including programs for outdoor amenities and public spaces, such as city owned parks, and biking and hiking trails.
 - 2. Facilitate coordination with local recreation and service organizations, including non-profit agencies and outdoor groups.

(Ord. 516 § 7, 2013)

2.95.050 Powers and duties.

The powers and duties of the ACSC shall be advisory to the city council and the ACSC shall provide recommendations to the city council in the following areas:

- 1. Research and solicitation of grants, donations, loan programs, and other available funding mechanisms for cultural arts.
- 2. Assess and review parks and recreation facility operations.
- 3. Recommend recreation and social activities and programs.
- 4. Promote community services programs and events.
- 5. Serve as stewards of the public interest and community.
- 6. Assess and review park and community service masterplan updates, if assigned by the city council.
- 7. Foster mutual understanding and respect among racial, religious, cultural and nationality groups.

(Ord. 516 § 7, 2013)

2.95.060 Committees.

The ACSC may, from time to time, establish committees composed of ACSC members, citizens and/or interested people, charged with the responsibility of implementing certain designated projects subject to ACSC and city council approval. At least one (1) member of the ACSC shall also be a member of each committee.

(Ord. 516 § 7, 2013)

Arts and Community Services Commission

Road Map

Art

- Murals
- Update Prequalified Artist List
- La Quinta Art Celebration
- Roundabout Art
- Signal Box Art
- Art along HWY 111 Corridor

Events

- SilverRock Event Site
- Concerts in the Park
- Lifestyle Events
- City Signature Events
- Recognition and Acknowledgements
- Youth Commission

Recreation

- Fritz Burns Park
- Hiking & Biking Trails and Programs
- Wellness Center
- X - Park

Ordinance No. 610
Amending Chapter 2.95 Arts and Community Services Commission
Adopted: February 20, 2024

STATE OF CALIFORNIA)
COUNTY OF RIVERSIDE) ss.
CITY OF LA QUINTA)

I, MONIKA RADEVA, City Clerk of the City of La Quinta, California, do hereby certify the foregoing to be a full, true, and correct copy of Ordinance No. 610 which was introduced at a regular meeting on the 6th day of February, 2024, and was adopted at a regular meeting held on the 20th day of February, 2024, not being less than 5 days after the date of introduction thereof.

I further certify that the foregoing Ordinance was posted in three places within the City of La Quinta as specified in the Rules of Procedure adopted by City Council Resolution No. 2022-027.

MONIKA RADEVA, City Clerk
City of La Quinta, California

DECLARATION OF POSTING

I, MONIKA RADEVA, City Clerk of the City of La Quinta, California, do hereby certify that the foregoing ordinance was posted on _____, pursuant to Council Resolution.

MONIKA RADEVA, City Clerk
City of La Quinta, California

ORDINANCE NO. 610

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF LA QUINTA, CALIFORNIA, AMENDING CHAPTER 2.95 OF THE LA QUINTA MUNICIPAL CODE RELATED TO THE COMMUNITY SERVICES COMMISSION, AND RENAMING IT TO THE “ARTS AND COMMUNITY SERVICES COMMISSION”

WHEREAS, Chapter 2.95 of the La Quinta Municipal Code (Code) describes the general rules of the Community Services Commission, including its membership, qualifications, purpose and objectives, and powers and duties; and

WHEREAS, Chapter 2.95 was last updated in 2013; and

WHEREAS, the City desires to amend the Code to better align it with the commission’s purpose and objectives. The amendments are as follows:

- 1) Section 2.95.010 General Rules Regarding the Community Services Commission – renames the Commission to “Arts and Community Services Commission,” abbreviated as “ACSC.”
- 2) Section 2.95.020 Number of Members – adds a provision that allows Council the flexibility to expand the commission’s membership from five (5) to seven (7) members from time to time, if deemed appropriate; and adds a provision that if the Code refers to the former “Community Services Commission” or “CSC” as an abbreviation thereof, such reference shall mean the Arts and Community Services Commission or ACSC established and governed by this chapter.
- 3) Section 2.95.030 Qualifications of Members – adds language specifying that ~~(a) up to two (2) Commission seats may be reserved for students attending any high school in La Quinta; and (b) artists or individuals with knowledge and/or appreciation of the arts may serve on the~~ ACSC Commission.
- 4) Section 2.95.040 Purpose and Objectives – adds reference to the “ACSC Commission Road Map,” maintained by the Community Services Department, as may be amended from time to time, and included as “Exhibit B” to this ordinance, incorporated herewith by this reference Attachment 1; and consolidates and organizes the ACSC Commission’s objectives into three (3) succinctly defined categories (a) Art, (b) Events, and (c) Recreation.
- 5) Section 2.95.050 Powers and Duties – removes redundancies and aligns the ACSC Commission’s duties with current goals and objectives, and consolidates them into seven (7) concise and well-defined items.

Ordinance No. 610
Amending Chapter 2.95 Arts and Community Services Commission
Adopted: _____, 2024
Page 2 of 3

NOW, THEREFORE, the City Council of the City of La Quinta does ordain as follows:

SECTION 1. Chapter 2.95 of the La Quinta Municipal Code shall be amended as written in “Exhibit A” attached hereto and incorporated herein by this reference.

SECTION 2. [The forgoing recitals are true and correct, and constitute the findings of the City Council, and are incorporated herein by this reference.](#)

SECTION 3. EFFECTIVE DATE: This Ordinance shall be in full force and effect thirty (30) days after its adoption.

SECTION 43. POSTING: The City Clerk shall, within 15 days after passage of this Ordinance, cause it to be posted in at least three public places designated by resolution of the City Council, shall certify to the adoption and posting of this Ordinance, and shall cause this Ordinance and its certification, together with proof of posting to be entered into the permanent record of Ordinances of the City of La Quinta.

SECTION 54. CORRECTIVE AMENDMENTS: the City Council does hereby grant the City Clerk the ability to make minor amendments and corrections of typographical or clerical errors to “Exhibit A” to ensure consistency of all approved text amendments prior to the publication in the La Quinta Municipal Code.

SECTION 65. SEVERABILITY: If any section, subsection, subdivision, sentence, clause, phrase, or portion of this Ordinance is, for any reason, held to be invalid or unconstitutional by the decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have adopted this Ordinance and each and every section, subsection, subdivision, sentence, clause, phrase, or portion thereof, irrespective of the fact that any one or more section, subsections, subdivisions, sentences, clauses, phrases, or portions thereof be declared unconstitutional.

PASSED, APPROVED and ADOPTED, at a regular meeting of the La Quinta City Council held this ____ day of February, 2024, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

Ordinance No. 610
Amending Chapter 2.95 Arts and Community Services Commission
Adopted: _____, 2024
Page 3 of 3

LINDA EVANS, Mayor
City of La Quinta, California

ATTEST:

MONIKA RADEVA, City Clerk
City of La Quinta, California



APPROVED AS TO FORM:

WILLIAM H. IHRKE, City Attorney
City of La Quinta, California

Chapter 2.95 ARTS AND COMMUNITY SERVICES COMMISSION**2.95.010 General rules regarding the arts and community services commission.**

Except as set out below, see Chapter 2.06 for general provisions.

(Ord. 516 § 7, 2013)

2.95.020 Number of members.

The arts and community services commission ("ACSC") shall consist of five (5) members appointed by, and serving at the will of the city council. The city council may increase the number of members from time to time but in no event shall the membership exceed seven (7) members or be less than five (5) members. If the code refers to the former "community services commission" or "CSC" as an abbreviation thereof, such reference shall mean the ACSC established and governed by this chapter.

(Ord. 516 § 7, 2013)

2.95.030 Qualifications of members.

In addition to the qualification requirements set forth in Section 2.06.040, applicants for the ACSC shall exhibit expertise, experience, knowledge, or similar assets that may be useful in serving on theis ACSCcommission. Two (2) member seats may be reserved for students attending any high school in La Quinta, California, to serve as youth advisory member.

Every effort will be made to ensure that a wide cross-section of community residents, interests and viewpoints, including providers, recipients and professionally-related occupations are represented, including artists and/or individuals with knowledge or appreciation of the literary, performing, and/or visual arts. Community service organizations, public and private, such as law enforcement, recreation, public health, gang prevention, childcare, and senior services, for example, may be represented.

(Ord. 516 § 7, 2013)

2.95.040 Purpose and objectives.

The general purposes of the ACSCcommission are to encourage the development of a planned and orderly approach to the delivery of leisure and community services in the city, to advise the city council with respect to the city's development of cultural arts, including cultural events and activities, and to promote the literary, performing and visual arts.

Cultural arts as used in this section may involve cultural and arts-related disciplines and activities, including, but not limited to, the following:

- A. Performing art, such as drama, music and dance.
- B. Visual arts, such as painting, sculpture, photography, graphics, video art, applied art, and public art.
- C. Literary arts, such as literature, poetry, and journalism.
- D. Communications arts, such as film, television, radio, and technology.

The ~~ACSC Commission~~ shall also maintain and implement the La Quinta art in public places program subject to approval of the city council.

~~The Objectives of the ACSC Commission are as follows to serve in an advisory capacity to the city council to identify community needs for all matters pertaining to social services, community programs, public art, and public recreation as listed in detail in the ACSC Road Map, maintained by the Community Services Department. The Commission Road Map focuses on the following three (3) main categories:~~

- A. ~~Art~~To serve in an advisory capacity to the city council to identify community needs for recreation and social services;
 - 1. ~~Recommend services and programs pertaining to cultural arts, including but not limited to, literary, performing and visual arts, thereby promoting cultural enrichment and art appreciation within the community.~~
 - 2. ~~Recommend funding opportunities for cultural programs and activities.~~
 - 3. ~~Work with city staff to develop a list of potential sites for public art.~~
 - 4. ~~Work cooperatively with city boards, commissions, committees, and other organizations to promote art and cultural activities within the city.~~
 - 5. ~~Maintain a list of pre-qualified artists for the city.~~
- B. ~~Events~~To serve in an advisory capacity to the city council on all matters pertaining to community services and public recreation, including the management, conduct, care and development of the parks and playgrounds in the city;
 - 1. ~~Recommend public events, including lifestyle, contracted and signature city events.~~
 - 2. ~~Review and assess city recognition and acknowledgment programs.~~
 - 3. ~~Identify opportunities to establish a youth commission or board.~~
- C. ~~Recreation~~To advise the city council on matters affecting the cultural arts of the city, to advise and assist other city boards, committees and commissions in the field of the arts, and to cooperate and work with cultural art organizations;
 - 1. ~~Recommend recreation services and programs, including programs for outdoor amenities and public spaces, such as city owned parks, and biking and hiking trails.~~
 - 2. ~~Facilitate partnerships coordination with local recreation and service organizations, including non-profit agencies and outdoor groups.~~
- D. ~~To encourage and facilitate programs in the arts thereby promoting cultural enrichment of the community;~~
- E. ~~Cultural arts as used in this section may involve cultural and arts-related disciplines and activities, including, but not limited to, the following:~~
 - 1. ~~Performing arts, such as drama, music and dance,~~
 - 2. ~~Visual arts, such as painting, sculpture, photography, graphics, video art, applied art and public art,~~
 - 3. ~~Literary arts, such as literature, poetry, and journalism,~~
 - 4. ~~Communications arts involving film, television, radio, and technology, and~~
 - 5. ~~Recommending funding opportunities for cultural programs and activities.~~

(Ord. 516 § 7, 2013)

2.95.050 Powers and duties.

The powers and duties of the ~~ACSC community services commission~~ shall be advisory to the city council and the ACSC shall provide recommendations to the city council in the following areas:

1. Research and solicitation of grants, donations, loan programs, and other available funding mechanisms for cultural arts.~~Analyze community services' program effectiveness and needs, and recommend comprehensive solutions;~~
2. Assess and review parks and recreation facility operations.~~Make recommendations to the city council for research and solicitation of grants and donations;~~
3. Recommend recreation and social activities and programs.~~Prepare and maintain community services master plans;~~
4. Promote community services programs and events.~~Receive and act on all assignments made by the city council, and submit reports and recommendations to the city council on these assignments;~~
5. Serve as stewards of the public interest and community.~~a public forum and conduct public hearings for recreation and human service concerns;~~
6. Assess and review park and community service masterplan updates, if assigned by the city council.~~Make recommendations to the city council on fees and operations and policies regarding parks and recreation facilities;~~
7. Foster mutual understanding and respect among racial, religious, cultural and nationality groups.~~Make recommendations to the city council on parks and recreation facilities and program budget issues;~~
8. ~~Propose recreation and social programs;~~
9. ~~Make recommendations to the city council on how to disseminate, publicize and promote recreation programs to the citizens of La Quinta;~~
10. ~~Review parks' maintenance standards and quality;~~
11. ~~Review individual park master plans for recommendation to city council;~~
12. ~~Aid in coordinating the recreation services of other governmental agencies and volunteer organizations;~~
13. ~~Make recommendations to the city council on community issues;~~
14. ~~Make recommendations to the city council relating to the need for evaluation and planning of the community service delivery system;~~
15. ~~Recommend to the city council and upon authorization conduct such programs as, in the opinion of the commission, will increase goodwill and participation among residents of the community;~~
16. ~~Encourage and promote understanding and cooperation between the public safety agencies and the residents;~~
17. ~~Foster mutual understanding and respect among racial, religious, cultural and nationality groups;~~
18. ~~Evaluate and make recommendations concerning existing services with regard to service overlap or gaps;~~
19. ~~Analyze city cultural arts programs' effectiveness and needs, and recommend to the city council comprehensive solutions;~~
20. ~~Make recommendations for research and solicitation of grants and donations;~~

21. ~~Make recommendations to the city council concerning the resources and needs of the community on the subject of the arts, opportunities for participation of artists and performers in city-sponsored activities, and ways to encourage community involvement;~~
22. ~~Make recommendations to the city council regarding the funding of community art events and activities, and regarding the disbursement of revenues consistent with the needs of the community;~~
23. ~~Work cooperatively with city boards, commissions and committees, and other public and private organizations in promoting art and cultural activities within the city;~~
24. ~~Encourage public and private partnerships;~~
25. ~~Encourage art and cultural activities among residents of the city;~~
26. ~~Implement such art and cultural activities as may be delegated to it from time to time by the city council, including the selection of artists for art programs and projects, and the award of contracts for art projects and programs;~~
27. ~~Develop public art awareness through the presentations of speakers, forums, and special events;~~
28. ~~Maintain and implement the Art in Public Places Strategic Plan subject to approval by the city council. To the extent possible, the plan shall identify art sites, artwork and estimates of cost for the artwork and art sites;~~
29. ~~Submit to the city council a recommendation for ratification of public art to be financed by the art in public places program;~~
30. ~~Work with city staff to develop a list of possible public art sites;~~
31. ~~Seek ways of procuring public art through fees, endowments, donations, loan programs, trusts and similar means of support; and~~
32. ~~Make recommendations to the city council for the commissioning of artworks, the purchase or lease of art sites, agreements for the purchase and display of artwork, or the repair, maintenance or servicing of artwork.~~

(Ord. 516 § 7, 2013)

2.95.060 Committees.

The ACSC may, from time to time, establish committees composed of ACSC members, citizens and/or interested people, charged with the responsibility of implementing certain designated projects subject to ACSC and city council approval. At least one (1) member of the ACSC shall also be a member of each committee.

(Ord. 516 § 7, 2013)

[CLICK HERE to Return to Agenda](#)

City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: APPROVE CUSTODY AGREEMENT WITH U.S. BANK, NATIONAL ASSOCIATION FOR ASSET CUSTODY SERVICES

RECOMMENDATION

Approve Custody Agreement with U.S. Bank, National Association, for asset custody services; and authorize the City Manager to finalize any insubstantial modifications and execute the agreement.

EXECUTIVE SUMMARY

- The City utilizes three approved investment brokers and one custody bank independent from those brokers.
- The City obtained three quotes for asset custody services. Staff recommends U.S. Bank, National Association (U.S. Bank) as the City's custody bank.

FISCAL IMPACT

Based on its current custody holdings, the City's annual minimum account fee is \$15,000 per year. The total amount for the initial five-year term, and optional two-year extension is \$105,000. Funds are available in Finance - Administration account no. 101-1006-60102 for fiscal year 2023/24 and would be budgeted accordingly for future fiscal years.

BACKGROUND/ANALYSIS

The City utilizes three approved investment broker/dealers; Stifel, Nicholas & Company, Inc., Higgins Capital Management, and Great Pacific Securities. As a matter of best practice and financial due diligence, it is in the City's best interest to utilize multiple broker/dealers. To execute investment trades securely and efficiently with the three brokers, the City maintains one custody service account to record transactions, safeguard funds and securities, control the receipt and delivery of funds and securities, and issue statements. The custody account operates under a delivery versus payment (DVP) settlement system, which guarantees the transfer of securities happens once payment is made; this process is meant to reduce the risk that securities could be delivered without payment or that payments could be made without the delivery of securities.

There are few banking institutions that solely provide trust and custody services, and many banks are divesting their custody business. The City received quotes from three institutions; BNY Mellon, U.S. Bank, and BMO, which were evaluated for account service fees, as well as online reporting, ongoing administration, accessibility, and customer services provided. Based on this evaluation, staff recommends U.S. Bank, as their online PIVOT system meets the City's reporting needs and provides efficiencies regarding creation of the treasurer reports.

U.S. Bank has an over 125-year history of providing trust, custody, and investment services with over \$2 trillion in assets under custody and 6,000 institutional clients. The Bank has experienced staff members and transitional expertise with a dedicated corporate trust client service team working exclusively with municipalities and not-for-profit organizations.

The City's current investments are held in a BNY Mellon trust and custody account and if approved, these assets would be transferred to U.S. Bank.

ALTERNATIVES

Council may not approve the recommendation and request Staff reevaluate alternative trust and custody services.

Prepared by: Rosemary Hallick, Principal Management Analyst
Approved by: Claudia Martinez, Finance Director

Attachment: 1. Custody Agreement

CUSTODY AGREEMENT (GENERAL ASSETS)

This Custody Agreement (the “Agreement”) is between the City of La Quinta (legal name of entity), a Charter City (legal form of entity) organized under the laws of the State of California, (“Customer”), and U.S. Bank National Association, a national banking association organized under the laws of the United States with offices in Minneapolis, Minnesota (“Bank”).

The parties hereby agree as follows:

SECTION 1 DEFINITIONS

- 1.1. “**Account**” means (i) the custody account established in the name of Customer and maintained under this Agreement for the Assets (as defined below) and (ii) where the context requires, one or more Sub-accounts (as defined below).
- 1.2. “**Accounting Standards**” means Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurement*, or Governmental Accounting Standards Board (GASB) Codification Statement No. 72, *Fair Value Measurement and Application*.
- 1.3. “**Affiliated Investment**” means a security or other property issued, offered, or serviced by Customer or Customer’s affiliate.
- 1.4. “**Assets**” means the securities, cash, and other property Customer contributes, or causes to be contributed, from time to time under this Agreement; investments and reinvestments thereof; and income thereon, as provided herein.
- 1.5. “**Cash-flow Analysis**” means a periodic written analysis of Customer’s cash-flow history, short-term financial needs, long-term financial needs, expected levels and timing of contributions, expected levels and timing of distributions, liquidity needs (including but not limited to the anticipated liquidity required to make distributions), ability to provide future funding, and other significant information which could affect cash-flow or the exercise of discretion to manage the Assets.
- 1.6. “**CFR**” means the Code of Federal Regulations.
- 1.7. “**Client-controlled Asset**” means an asset that is neither registered in the name of Bank or Bank’s nominee nor maintained by Bank at a Depository (as defined below) or with a sub-custodian nor held by Bank in unregistered or bearer form or in such form as will pass title by delivery.
- 1.8. “**Code**” means the Internal Revenue Code of 1986, as amended.
- 1.9. “**Depository**” means any central securities depository (such as the DTC), international central securities depository (such as Euroclear Bank SA/NV), or Federal Reserve Bank.
- 1.10. “**DTC**” means the Depository Trust Company.
- 1.11. “**ERISA**” means the Employee Retirement Income Security Act of 1974, as amended.
- 1.12. “**Guidelines**” means the written investment objectives, policies, strategies, and restrictions for the Account (or for any Sub-accounts therein), including but not limited to proxy-voting guidelines, as amended from time to time
- 1.13. “**Harm**” means claims, costs, damages, delayed payment or non-payment on Assets sold, diminution of Assets by reason of investment experience, expenses (including attorneys’ and other professional fees), fines,

interest, liabilities, losses, penalties, stockholders' assessments (asserted on account of asset registration), and taxes.

1.14. **"Indemnified Person"** means Bank and its affiliates, and their officers, directors, employees, agents, successors, and assigns.

1.15. **"Investment Advice"** means a recommendation, or a suggestion to engage in or refrain from taking a particular course of action, as to (i) the advisability of acquiring, holding, disposing of, or exchanging any Asset or any securities or other investment property or (ii) the Guidelines, the Cash-flow Analysis, the composition of the Account's portfolio, or the selection of persons to provide investment advice or investment management services with respect to the Assets.

1.16. **"Investment Company Act"** means the Investment Company Act of 1940, as amended.

1.17. **"IRS"** means the Internal Revenue Service.

1.18. **"Messaging System"** means any financial-messaging system, network, or service acceptable to Bank, such as the Society for Worldwide Interbank Financial Telecommunication messaging system.

1.19. **"National Securities Exchange"** means a securities exchange that is registered with the SEC (as defined below) under Section 6 of the Securities Exchange Act of 1934.

1.20. **"Plan-assets Vehicle"** means an investment contract, product, or entity that holds plan assets (as determined pursuant to ERISA §§3(42) and 401 and 29 CFR §2510.3-101).

1.21. **"Private Fund"** means an issuer that would be an *"investment company"* as defined in the Investment Company Act but for §3(c)(1) or (7) thereof.

1.22. **"SEC"** means the United States Securities and Exchange Commission.

1.23. **"State"** means the State of California, United States of America.

1.24. **"Statement Recipient"** means Customer and anyone else Customer so designates.

1.25. **"Sub-account"** means a separate portion of the Account.

SECTION 2 APPOINTMENT AND ACCEPTANCE

2.1. **Appointment; Acceptance.** Customer hereby appoints Bank as custodian of the Assets. Bank hereby accepts such appointment and agrees to hold the Assets in the Account with due care in accordance with reasonable commercial standards, upon the terms and conditions set forth below.

2.2. Establishment of Account.

2.2.1. Customer hereby contributes Assets, or causes Assets to be contributed, to the Account.

2.2.2. Customer hereby represents, warrants, and covenants as follows, and Bank may resign immediately if Customer breaches any such representation, warranty, or covenant:

2.2.2.1. Customer holds good and valid legal title to all Assets.

2.2.2.2. None of the Assets is (i) an asset of any "plan" as defined in ERISA §3(3); any "plan" as defined in Code §4975(e)(1); any Plan-assets Vehicle; or any plan or entity not otherwise within the foregoing definitions that is subject to similar restrictions under federal, state, or local law; (ii) subject to the requirements of a special reserve bank account under SEC Rule 15c3-3; a customer segregated account,

cleared swaps customer account, or customer secured account under U.S. Commodity Futures Trading Commission Rules 1.20, 22.5, or 30.7; or any similar rule or regulation; or (iii) subject to a public-deposits, public-funds, or other State law that would require Bank to set aside any direct government obligations, government-guaranteed obligations, surety bonds, letters of credit, or other assets as security, regardless of the type or amount of capital of Bank, the amount of public deposits held by Bank, or the extent to which the Assets are not insured by the Federal Deposit Insurance Corporation or exceed federal deposit insurance limits.

2.2.2.3. Customer is neither (i) a Private Fund, (ii) an investment pool or entity that is an “investment company” as defined in Investment Company Act §3(a) or is excluded from such definition (or exempted from regulation) by the Investment Company Act, (iii) an insurer, (iv) a reinsurer, nor (v) a natural person.

2.2.2.4. Customer is not a trustee of, and has no duty to engage a trustee for, the Assets.

2.2.3. As directed by Customer, Bank will establish one (1) or more Sub-accounts and allocate Assets among Sub-accounts. Customer hereby covenants not to direct Bank to establish any Sub-account for the benefit of any entity having a different taxpayer identification number than Customer and acknowledges that each Sub-Account will have the same taxpayer identification number as Customer.

2.2.4. Customer hereby covenants not to cause or permit the Account to acquire any Affiliated Investment the price of which is not quoted on a National Securities Exchange.

2.2.5. Bank will keep the Assets (other than deposits at Bank) separate and apart from the assets of Bank.

SECTION 3 BOOKS, RECORDS, AND ACCOUNTS

3.1. **Accounting.** Bank shall maintain proper books of account and complete records of Assets and transactions in the Account.

SECTION 4 ASSET DELIVERY, TRANSFER, CUSTODY, AND SAFEKEEPING

4.1. Customer will from time to time deliver, or cause to be delivered, Assets to Bank. Bank shall receive and accept such Assets for the Account upon directions from Customer.

4.2. **Account Statements.** Bank will furnish each Statement Recipient with (i) an Account statement with the frequency designated below (or as subsequently agreed upon by Bank and Customer) within thirty (30) calendar days after the end of the reporting period and (ii) a final Account statement within thirty (30) calendar days after Bank has transferred all Assets from the Account as provided under this Agreement. (However, if Customer directs Bank to hold an Account statement, then Bank may delay delivery thereof until thirty (30) calendar days after the hold has expired.) Such Account statements will reflect Asset transactions during the reporting period and ending Asset holdings. To the extent Customer has established an account in Bank’s on-line portal and granted access thereunder to Statement Recipients, Bank will furnish such Account statements by way of such system. If no frequency is so designated or agreed upon, Customer shall be deemed to have designated “Monthly”.

(Check at least one):

- Monthly
- Quarterly
- Semi-annually
- Annually

4.3. **Confirmations; Notification by Agreement.** Except to the extent that Customer and Bank have entered into a separate written agreement that expressly makes Bank an investment manager of the Assets, the Account

statements described above (including their timing and form) serve as the sole written notification of any securities transactions effected by Bank for the Account. Even so, Customer has the right to demand that Bank provide written notification of such transactions pursuant to 12 CFR §12.4(a) or (b) at no additional cost to Customer.

4.4. **Corporate Actions.** Bank shall forward to any person authorized under this Agreement to direct the purchase or sale of an Asset information Bank receives with respect to the Asset concerning corporate actions.

4.4.1. Notwithstanding anything herein to the contrary, Bank will, without forwarding such information or providing notice, (i) cause Assets to participate in any mandatory exchange transaction that neither requires nor permits approval by the owner of the Assets and (ii) file any proof of claim received by Bank during the term of this Agreement regarding class-action litigation over a security held in the Account during the class-action period, regardless of any waiver, release, discharge, satisfaction, or other condition that might result from such a filing.

4.5. Upon receipt of directions from Customer, Bank shall return Assets to Customer, or deliver Assets to such location or third party as such directions may indicate, provided that in connection therewith it is the sole responsibility of Customer to provide any transfer documentation as may be required by the applicable Depository or third party recipient. Bank shall have no power or authority to assign, hypothecate, pledge or otherwise dispose of any Assets, except as provided herein or pursuant to such directions.

SECTION 5 POWERS OF BANK

5.1. In the performance of its duties under this Agreement, Bank shall have the power to:

5.1.1. **Sign Documents.** Make, execute, acknowledge, and deliver any and all documents of transfer and conveyance and any or all other instruments that may be necessary or appropriate to the proper discharge of its duties under this Agreement.

5.1.2. **Hire Service Providers.** Hire service providers to assist Bank in exercising Bank's powers under this Agreement, including any service provider that is affiliated with Bank, and provide them with information about the Account as needed to that end.

5.1.3. **Hold Assets Un-invested.** Hold in a noninterest-bearing deposit account of Bank any cash Assets (i) that are subject to pending investment or distribution directions received by Bank with respect to the Account, (ii) that were received by Bank too late in the day to be invested into the Account's designated sweep vehicle, (iii) as directed under this Agreement, or (iv) for other operational reasons.

5.1.4. **Retain or Interplead Disputed Funds.** With respect to Assets that are the subject of a dispute, (i) withhold delivery or distribution thereof pending final adjudication of the dispute by a court or (ii) file an interpleader action or petition a court for instructions at Account expense.

5.1.5. **Distribute Assets.** Distribute Assets as set forth herein.

5.1.6. **Safe-keep Assets.** Safe-keep Assets as set forth herein.

5.1.7. **Register Assets.** Register any Asset in the name of Bank or Bank's nominee or to hold any Asset in unregistered or bearer form or in such form as will pass title by delivery, provided that Bank's records at all times show that all such assets are part of the Account.

5.1.8. **Maintain Assets at a Depository or with a Sub-custodian.** Maintain Assets that are (i) book-entry securities at any Depository or with any sub-custodian and to permit such Assets to be registered in the name of Bank, Bank's nominee, the Depository, the Depository's nominee, the sub-custodian, or the sub-custodian's nominee and (ii) physical securities at Bank's office in the United States and in a safe place.

5.1.9. **Maintain Assets at a Mutual Fund.** Maintain Assets that are mutual-fund shares in Bank's omnibus position at the fund.

5.1.10. **Collect Income.** Collect all income, principal, and other distributions due and payable on Assets. If Customer directs Bank to search the DTC's Legal Notice System for notice that a particular Asset is in default or has refused payment after due demand, then Bank will conduct such a search and notify Customer of any such notice Bank finds therein.

5.1.11. **Exchange Foreign Currency.** Exchange foreign currency into and out of United States dollars through customary channels, including Bank's foreign exchange department.

5.1.12. **Advance Funds or Securities.** Advance funds or securities in furtherance of settling securities transactions and other financial-market transactions under this Agreement.

SECTION 6 PERMISSIBLE INVESTMENTS; SWEEP DIRECTION

6.1. Permissible investments for the Account include, but are not limited to, any securities or property administered, advised, custodied, held, issued, offered, sponsored, supported by the credit of, underwritten, or otherwise serviced by Bank or by Bank's affiliate.

6.2. **Sweep Direction.** To the extent Bank has received no investment direction as to cash Assets held in the Account, Bank will use such Assets to purchase a position in the Account's designated sweep vehicle.

SECTION 7 SETTLEMENT

7.1. Upon receipt of directions from Customer, Bank will settle purchases made with Assets and sales of Assets on a contractual basis according to Bank's instruction-deadline schedule and current securities-industry practices, if Bank has all the information and the Account has all the Assets necessary for the purchase or sale. With respect to purchases and redemptions of mutual-fund shares, Customer hereby acknowledges that meeting Bank's internal trading cut-off on any business day does not guarantee (i) that Bank will settle the purchase or redemption on the same business day or (ii) if the fund has a floating or variable net asset value ("NAV"), the use of the NAV then most recently determined.

7.2. Customer hereby covenants not to (i) direct the purchase of an asset, notify a third party that Bank will settle the purchase, or cause or permit anyone else to provide such direction or notice, if the Account has insufficient funds to settle the purchase; (ii) cause or permit proceeds from the sale of an Asset to be used to pay for the earlier purchase of the same Asset; or (iii) cause or permit the sale of an Asset that the Account has not fully paid for.

7.3. With respect to any sale of an Asset on a non-delivery-versus-payment basis, Bank hereby covenants to use commercially reasonable efforts to obtain payment on the same business day that Bank delivered the Asset, and the Account (and not Bank) assumes all risk that payment is delayed or not received.

SECTION 8 PRICE-REPORTING; CLIENT-CONTROLLED ASSETS

8.1. **Price-reporting.** For purposes of reporting the price of an Asset on an Account statement:

8.1.1. **Pricing from Vendor or Exchange.** If Bank receives a price from its third-party pricing vendor, or if a price is quoted on a National Securities Exchange, then Bank will report such price.

8.1.2. **Pricing from Other Sources.** If Bank does not receive a price from its third-party pricing vendor, and a price is not quoted on a National Securities Exchange, then Bank will report (i) the most recent price that Bank received from Customer or Customer's agent (and Customer hereby covenants that Customer and

Customer's agents will use a pricing form acceptable to Bank as the means of providing prices to Bank), (ii) the most recent price that Bank received from the Asset's broker, fund accountant, general partner, issuer, investment manager, transfer agent, or other service provider, (iii) the Asset's par value, or (iv) a nominal value for the Asset.

8.1.3. **Limitations.** Customer hereby acknowledges that Bank is performing a routine, ministerial, non-discretionary price-reporting function and that the reported price might be neither fair market value nor fair value (under Accounting Standards or applicable law). Customer hereby covenants not to rely on the reported price as a substitute for (i) determining the Asset's value in connection with a decision to acquire, hold, dispose of, or exchange any securities or other investment property; (ii) obtaining and ensuring the reliability of an independent third-party appraisal with respect to such a decision; or (iii) obtaining Investment Advice.

8.1.4. **Pricing Sources; Methodology.** Upon Customer's request, Bank will provide Customer with information about Bank's pricing sources and methodologies.

8.2 **Client-controlled Assets.** Customer may direct Bank from time to time to include in the Account statements specific Client-controlled Assets that are registered in the name of Customer. In such a case, Bank has the right to exclude such assets from the Account statements or to include them with a notation about control. To the extent Bank includes them, Customer hereby acknowledges that:

8.2.1. Customer is responsible for reviewing (i) the Account statements to ensure that they include notations about the control of each such asset and (ii) any third-party reports made accessible by Bank to ensure that they do not inaccurately identify the holder of any such assets.

8.2.2. Bank is not responsible for performing any duties under this Agreement (other than statement-reporting duties, as limited herein) with respect to such assets, and Customer assumes all such duties.

8.2.3. When furnishing Account statements or making third-party reports accessible, Bank may rely on information provided by Customer or by Customer's agents, affiliates, or representatives with respect to such assets (including, but not limited to, information on the units, price, or marketability of such assets) without questioning the information. To that end, Customer will cause each holder of such assets to provide Bank with a copy of such holder's periodic Customer account statements with respect to such assets.

8.2.4. Such assets are subject to **Exhibit A (Fee Schedule)** hereto.

SECTION 9 LIMITATIONS ON DUTIES

9.1. Customer hereby acknowledges that Bank does not provide any services under this Agreement (i) in a "fiduciary capacity" within the meaning of 12 CFR §9.2(e) or (ii) as a "fiduciary" as such term may be defined in State law or otherwise.

9.2. The duties of Bank will be strictly limited to those set forth in this Agreement, and no implied covenants, duties, responsibilities, representations, warranties, or obligations shall be read into this Agreement against Bank. Without limiting the generality of the foregoing, Bank shall have no duty to:

9.2.1. Evaluate or to advise anyone of the prudence, suitability, or propriety of action or proposed action of Customer in any particular transaction involving an Asset or the suitability or propriety of retaining any particular investment as an Asset; review, question, approve, or make inquiries as to any investment directions received under this Agreement; or review the securities or other property held in the Account with respect to prudence or diversification.

9.2.2. Act as trustee of the Assets.

9.2.3. Act as custodian of any assets other than the Assets.

9.2.4. Act as investment manager of the Assets, except to the extent the Assets are subject to Bank's discretion to manage under a separate written investment-management agreement (if any).

9.2.5. Provide Investment Advice.

9.2.6. Determine, monitor, question, or collect any contributions to the Account or monitor compliance with any applicable funding requirements.

9.2.7. Inspect, review, or examine any Client-controlled Asset or governing, offering, subscription, or similar document with respect thereto, to determine or question whether the asset or document is authentic, genuine, enforceable, properly signed, appropriate for the represented purpose, is what it purports to be on its face, or for any other purpose, or to execute such document, regardless of whether Bank has physical possession of such asset or document.

9.2.8. (i) Collect any income, principal, or other distribution due and payable on an Asset if the Asset is in default or if payment is refused after due demand or (ii) except as expressly provided herein, to notify Customer in the event of such default or refusal.

9.2.9. Provide notice of, or forward, mini-tenders (which are tender offers for less than 5% of an outstanding equity or debt issue) for any equity or debt issue.

9.2.10. Determine or question whether any direction received under this Agreement is prudent or contrary to applicable law; to solicit or confirm directions; or to take notice of facts not actually known by any Bank employee with direct responsibility for providing services under this Agreement.

9.2.11. Calculate, withhold, prepare, sign, disclose, file, report, remit, or furnish to any taxing authority or any taxpayer any federal, state, or local taxes, tax returns, or information returns that may be required to be calculated, withheld, prepared, signed, disclosed, filed, reported, remitted, or furnished with respect to the Assets or the Account, except to the extent such duties are required by law to be performed only by Bank in its capacity as custodian under this Agreement or are expressly set forth herein.

9.2.12. Monitor service providers hired by Customer or guarantee their performance.

9.2.13. Advance funds or securities or otherwise expend or risk its own funds or incur its own liability in the exercise of its powers or rights or performance of its duties under this Agreement.

SECTION 10 AUTHORIZED PERSONS; DELIVERY OF DIRECTIONS

10.1. **Authorized Persons.** With respect to this Agreement:

10.1.1. Customer will notify Bank of the identity of each (i) employee of Customer who is authorized to act on Customer's behalf, (ii) third-party agent that is authorized to act on Customer's behalf, and (iii) employee of each third-party agent who is authorized to act on such agent's behalf. In no event is any such agent authorized to execute this Agreement or any amendment thereto or to terminate this Agreement.

10.1.2. Bank may assume that any such employee or agent continues to be so authorized, until Bank receives notice to the contrary from Customer (or, with respect to any such employee of any such agent, from such agent).

10.1.3. Customer hereby represents and warrants that any such employee or agent was duly appointed and is appropriately monitored and covenants that Customer will furnish such employee or agent with a copy of this Agreement, as amended from time to time. Customer hereby acknowledges that (i) such employee's or agent's actions or omissions are binding upon Customer as if Customer had taken such actions or made such omissions itself and (ii) Bank is indemnified, released, and held harmless accordingly.

10.2. **Delivery of Directions.** Any direction, notice, or other communication under this Agreement will be given in writing and (i) addressed as provided pursuant to this Agreement, (ii) entered into Customer's account in Bank's on-line portal, or (iii) sent by Messaging System. If a direction, notice, or other communication to Bank was so addressed, entered, or sent, then Customer hereby assumes all risk to the Account that the direction, notice, or other communication was compromised by fraud. Furthermore, any direction under this Agreement to Bank to distribute or transfer cash Assets will not be sent by email.

SECTION 11 FEES AND EXPENSES

11.1. **Fees; Expenses.** Customer shall pay Bank compensation for providing services under this Agreement. A schedule of that compensation is attached as **Exhibit A (Fee Schedule)** hereto.

11.2. **Outstanding Fees and Expenses.** To the extent of any outstanding compensation, expenses, fees, costs, or other charges incurred by Bank in providing services under this Agreement, Customer hereby grants Bank a first-priority lien and security interest in, and right of set-off against, the Assets. Bank may execute that lien and security interest, and exercise that right, at any time.

11.3. **Advance of Funds or Securities.** To the extent of any advance of funds or securities under this Agreement, Customer hereby grants Bank a first-priority lien and security interest in, and right of set-off against, the Assets. Bank may execute that lien and security interest, and exercise that right, at any time. Furthermore, nothing in this Agreement constitutes a waiver of any of Bank's rights as a securities intermediary under Uniform Commercial Code §9-206, and Customer hereby acknowledges that the obligation to pay a purchase price to Bank arises at the time of the purchase.

SECTION 12 INDEMNIFICATION

12.1. Indemnification.

12.1.1. Customer hereby indemnifies and releases each Indemnified Person and holds each Indemnified Person harmless from and against, and an Indemnified Person will incur no liability to any person or entity for, any Harm that may be imposed on, incurred by, or asserted against an Indemnified Person by reason of the Indemnified Person's action or omission in connection with this Agreement or the Account, except to the extent that a court of competent jurisdiction has made a final, non-appealable judgment that the Harm resulted directly from the Indemnified Person's willful misconduct, gross negligence, or bad faith.

12.1.2. The foregoing provisions shall survive the termination of this Agreement.

12.2. **Force Majeure.** No party is liable for any delay or failure in performing its obligations under this Agreement caused by wars (whether declared or not and including existing wars and the invocation of war powers), revolutions, insurrections, riots, civil commotion, acts of God, medical emergencies, disease outbreaks, accidents, fires, explosions; stoppages of labor, strikes, or other differences with employees (other than Bank's disputes with its employees); laws, regulations, orders, or other acts of any governmental authority; or any other circumstances beyond its reasonable control, regardless of whether such was already in existence as of the date of this Agreement. Nor will any such failure or delay give any party the right to terminate this Agreement.

12.3. **Damages.** No party is liable for any indirect, incidental, special, punitive, or consequential damages arising out of or in any way related to this Agreement or the performance of its obligations under this Agreement. This limitation applies even if the party has been advised of, or is aware of, the possibility of such damages.

12.4. **Statements.** Bank is not liable with respect to the propriety of Bank's actions or omissions reflected in a statement furnished under this Agreement, except to the extent a Statement Recipient objects to Bank within thirty (30) calendar days after such statement is furnished.

SECTION 13

TERMINATION OR SHUTDOWN

13.1 **Term.** Unless earlier terminated in accordance with the provisions in Section 13 of this Agreement, the term of this agreement shall commence on March 1, 2024, and terminate on February 28, 2029 (“Initial Term”). This Agreement may be extended for two additional year(s) upon mutual agreement by both parties (“Extended Term”), and executed in writing.

13.2. **Termination of Agreement.** This Agreement terminates upon the effective date of Bank’s resignation or removal under this Agreement.

13.3. **Resignation; Removal.**

13.3.1. Bank may resign under this Agreement by notice to Customer. Customer may remove Bank under this Agreement by notice to Bank. The resignation or removal shall be effective thirty (30) calendar days after delivery of the notice, except to the extent the parties agree in writing to a different effective date. By such effective date, Customer shall appoint a new custodian and notify Bank of the appointment. If Customer fails to do so, Bank shall have the right to petition a court at Account expense for appointment of a new custodian.

13.3.2. Upon receiving notice of such appointment, Bank will transfer Assets to the new custodian as directed by Customer or the court, as the case may be. However, Bank shall not be required to transfer any Assets until Bank has received payment or reimbursement for all (a) compensation, expenses, fees, costs, or other charges incurred by Bank in providing services under this Agreement and (b) funds or securities advanced under this Agreement.

13.4. **Shutdown.** Notwithstanding anything herein to the contrary, Bank shall have the power to segregate or restrict an asset, delay processing a direction or transaction, refuse to process a direction or transaction, or suspend the Account for one or more business days or close the Account or terminate this Agreement at any time (i) to comply with Bank’s economic sanctions or anti-money laundering policies or obligations, (ii) to safeguard against fraud, or (iii) pursuant to a court order or direction of an authorized governmental agency or as required by law, and Bank will incur no liability to any person or entity for any Harm in connection with any such segregation, restriction, delay, refusal, suspension, closure, or termination.

SECTION 14 DATA PRIVACY, CONFIDENTIALITY, AND SECURITY

14.1. **Definitions.** For purposes of this Section:

14.1.1. **“Applicable Privacy, Confidentiality, and Security Laws”** means, with respect to a party, all applicable federal, state, and local laws, rules, regulations, directives, and other binding requirements issued by any Governmental Authority (as defined below) pertaining to the privacy, confidentiality, or security of Confidential Information (as defined below), including, with respect to Bank, GLBA (as defined below).

14.1.2. **“Confidential Information”** means all information, data, documents, records, and other materials one party receives in connection with this Agreement or the Account from another party that is labeled *“Confidential Information”* or should reasonably be classified by the recipient as personal or confidential information given the nature of the information or the circumstances of its receipt, other than Non-Confidential Information (as defined below).

14.1.3. **“GLBA”** means the Gramm-Leach-Bliley Act, 15 U.S.C. §§6801 *et seq.*, and its implementing regulations, including Regulation P, 12 C.F.R. Part 1016.

14.1.4. **“Governmental Authority”** means, with respect to a party, a state or federal governmental entity having jurisdiction over such party with respect to the activities that are the subject matter of this Agreement.

14.1.5. **“Non-Confidential Information”** means information (i) of the disclosing party that was known by the receiving party without any obligation of confidentiality prior to the disclosing party’s disclosure thereof; (ii) of a party that was or becomes publicly available other than pursuant to a breach of this Agreement by the other

party; (iii) of a party that was received by the receiving party in good faith on a non-confidential basis from a third party that is not actually known to the receiving party to have disclosed such information in violation of a confidentiality agreement in favor of the other party; (iv) that is independently developed by one party without use of Confidential Information; or (v) of a party that is approved for disclosure by that party.

14.1.6. **“Services”** means the services provided by Bank pursuant to this Agreement.

14.2. **Compliance with Law.** Bank hereby represents and warrants that it complies with all Applicable Privacy, Confidentiality, and Security Laws.

14.3. **Privacy.**

14.3.1. **Program.** Bank hereby represents and warrants that it maintains an enterprise-wide privacy program that (i) complies with federal banking law and regulations and (ii) is consistent with industry standards for providers of services similar to the Services.

14.3.2. **Use and Disclosure of Confidential Information.** Bank will use and disclose Confidential Information only as permitted by Applicable Privacy, Confidentiality, and Security Laws and this Agreement. Upon Customer’s request, Bank will provide a monthly written report to Customer regarding how Confidential Information has been used.

14.3.3. **Records Retention.** Bank will maintain commercially-standard records of Confidential Information for the period required by Applicable Privacy, Confidentiality, and Security Laws (or, if longer, the period required by Bank’s record-retention policy). Following the expiration of such period, Bank will, to the extent practicable, promptly destroy all Confidential Information.

14.3.4. **Aggregated, Anonymized, or De-Identified Data.** Customer hereby authorizes Bank to use Confidential Information in an aggregated, anonymized, or de-identified format (i) for the purpose of providing reports and analytics to other customers of Bank and to develop new products and services and (ii) for internal purposes that do not involve disclosure of such data to third parties.

14.3.5. **Consents.** If Customer is a “*financial institution*” as defined in GLBA [15 U.S.C. §6809(3)], then Customer hereby represents and warrants that Customer has obtained all consents from its customers as needed in order to permit Bank to provide the Services and to use Confidential Information as described in this Agreement.

14.4. **Information Security.**

14.4.1. **Program.** Bank hereby represents and warrants that it maintains an enterprise-wide information-security program that (i) complies with federal banking law and regulations and (ii) is consistent with industry standards for providers of services similar to the Services.

14.4.2. **Safeguards.** Bank will maintain physical, electronic, and procedural safeguards that are designed to (i) maintain the security and confidentiality of Confidential Information; (ii) protect Confidential Information against anticipated threats or hazards to the security or integrity of Confidential Information; and (iii) prevent unauthorized access to; unauthorized use, disclosure, or modification of; or misuse or loss of such Confidential Information that could result in substantial harm or inconvenience to Customer.

14.4.3. **Notification of Breach.** Within seven (7) calendar days after Bank becomes aware that Confidential Information has been compromised as a result of a breach of security at Bank, Bank will, to the extent permitted by law, provide written notice thereof to Customer. Such notice will include Bank’s estimate of the number of Customer’s records affected and the nature of the information exposed, together with the steps to be taken by Bank to limit such exposure and avoid a recurrence thereof. Bank will cooperate in Customer’s investigation relating to the breach and address the cause of the breach.

14.5. **Business Continuity.**

14.5.1. **Business-Continuity Plan.** Bank hereby represents and warrants that it maintains a business-continuity plan that (i) complies with federal banking law and regulations and (ii) is consistent with industry standards for providers of services similar to the Services.

14.5.2. **Transfer of Services.** In the event of a *force-majeure* event or a bankruptcy or insolvency of Bank that renders Bank unable to provide the Services, Bank will cooperate with Customer and the replacement vendor selected by Customer to transition performance of the Services to such replacement vendor, including through the delivery of any Account records to such replacement vendor. However, the foregoing will not require Bank to provide any Confidential Information of Bank to any third party unless such third party has executed a confidentiality agreement acceptable to Bank.

14.6. **Audit.**

14.6.1. **Third-Party Audit.** Bank hereby represents and warrants that it obtains an independent auditor's System and Organization Controls (SOC) 2 Report or its equivalent annually. Upon Customer's request, Bank will provide the most recent such report to Customer.

14.6.2. **Assessment.** No more than once per calendar year, Customer has the right to assess the policies, standards, and practices of Bank with respect to the performance of this Agreement, to the extent necessary to verify Bank's compliance with the terms of this Section. Customer hereby acknowledges that information which Bank deems confidential or proprietary may not be considered necessary to verify Bank's compliance. The assessment will be conducted during regular business hours upon not less than ninety (90) calendar days written notice by Customer to Bank on a date agreed upon by them. Bank will make efforts to resolve deficiencies noted as a result of such assessment in a manner commensurate to the risk those deficiencies represent.

14.6.3. **Regulatory Audit.** Bank hereby authorizes Customer to provide information regarding the performance of this Agreement to a Governmental Authority with authority to review Customer's service arrangements, but only when the Governmental Authority specifically requests such information. Notwithstanding anything in this Agreement to the contrary, Bank may disclose Confidential Information to a governmental agency that regulates Bank, whether in routine disclosures or in connection with such agency's inquiry about or examination of Bank records or otherwise, without notice to Customer.

14.7. **Insurance.** Bank hereby represents and warrants that (i) Bank maintains General Liability Insurance, Cyber Liability Insurance, Bankers Professional Liability Insurance, a Financial Institution Bond (Crime and Dishonesty Policy), and Workers Compensation Insurance as applicable and (ii) such insurance complies with federal banking law and regulations and is consistent with industry standards for providers of services similar to the Services. Upon Customer's request, Bank will provide Customer with copies of a certificate of insurance for each form of insurance stated above, and shall name the City of La Quinta as an Additional Insured on the General Liability Insurance. Insurance requirements are attached as **Exhibit B** hereto.

14.8. **Customer.** Customer hereby represents and warrants that it (i) complies with all Applicable Privacy, Confidentiality, and Security Laws, (ii) maintains an enterprise-wide information-security program that is consistent with its own industry's standards, and (iii) obtains an annual independent risk-assessment of its information-security program. Customer will (i) use and disclose Confidential Information only as permitted by Applicable Privacy, Confidentiality, and Security Laws and this Agreement; maintain commercially-standard records of Confidential Information for the period required by Applicable Privacy, Confidentiality, and Security Laws; and, following the expiration of such period, to the extent practicable, promptly destroy all Confidential Information; and (ii) notify Bank within seven (7) calendar days after becoming aware that Confidential Information has been compromised as a result of a breach of security at Customer, cooperate in Bank's investigation of the breach, and address the cause of the breach.

14.9. **Third Party/Subcontractor.** Each party hereby acknowledges that it is responsible for the actions of its officers, directors, employees, and agents with respect to the privacy, confidentiality, and security of Confidential Information. Customer will not, and will not ask Bank to, disclose Bank's Confidential Information to any online

portal or platform maintained by any third party that Customer has hired to manage or assess Customer's vendor due-diligence and monitoring activities, unless (i) Customer has identified the third party (and the portal or platform) to Bank, (ii) the third party has provided information about its information-security processes and procedures to Bank, in a form acceptable to Bank, (iii) Bank has assessed those processes and procedures, and (iv) Bank has notified Customer that such disclosure to the portal or platform is permitted under this Agreement.

SECTION 15 MISCELLANEOUS

15.1. **Services Not Exclusive.** Bank is free to render services to others, whether similar to those services rendered under this Agreement or of a different nature.

15.2. **Binding Obligations.** Customer and Bank each represent and warrant that (i) it has the power and authority to transact the business in which it is engaged and to execute, deliver, and perform this Agreement and has taken all action necessary to execute, deliver, and perform this Agreement and (ii) this Agreement constitutes its legal, valid, and binding obligation enforceable according to the terms hereof.

15.3. **Complete Agreement; Amendment.**

15.3.1. **Complete Agreement.** This Agreement contains a complete statement of all the arrangements between the parties with respect to its subject matter and supersedes any existing agreements between them concerning the subject.

15.3.2. **Amendment.** This Agreement may be amended at any time, in whole or in part, by a written instrument signed by Customer and Bank. Notwithstanding the foregoing, the terms of **Exhibit A (Fee Schedule)** hereto alone govern amendments thereto.

15.3.3. **Control Agreement.** Customer has the power to direct Bank to enter into a separate written control agreement with respect to the Account or any Asset. Any such control agreement prevails over this Agreement to the extent such agreements are inconsistent with each other.

15.4. **Governing Law; Venue.** This Agreement will be governed, enforced, and interpreted according to the laws of the State without regard to conflicts of laws, except where pre-empted by federal law. All legal actions or other proceedings directly or indirectly relating to this Agreement will be brought in federal court (or, if unavailable, state court) sitting in the State. The parties submit to the jurisdiction of any such court in any such action or proceeding and waive any immunity from suit in such court or execution, attachment (whether before or after judgment), or other legal process in or by such court.

15.5. **Successors and Assigns.**

15.5.1. This Agreement binds, and inures to the benefit of, Customer, Bank, and their respective successors and assigns.

15.5.2. No party may assign any of its rights under this Agreement without the consent of each other party, which consent will not be unreasonably withheld. Customer hereby acknowledges that Bank will withhold consent unless and until Bank verifies an assignee's identity according to Bank's Customer Identification Program and, to that end, Customer hereby agrees to notify Bank of such assignment and provide Bank with the assignee's name, physical address, EIN, organizational documents, certificate of good standing, and license to do business, as well as other information that Bank may request. No consent is required if a party merges with, consolidates with, or sells substantially all of its assets to another entity, provided that such other entity assumes without delay, qualification, or limitation all obligations of that party under this Agreement by operation of law or by contract.

15.6. **Severability.** The provisions of this Agreement are severable. The invalidity of a provision herein will not affect the validity of any other provision.

15.7. **No Third-Party Beneficiaries.** This Agreement is made solely for the benefit of the parties. No person other than such parties has any rights or remedies under this Agreement.

15.8. **Solvency.** Customer hereby represents and warrants that Customer is neither insolvent nor subject to any pending bankruptcy proceeding. Customer will promptly notify Bank of any such insolvency or proceeding.

15.9. **Tax-Lot Selection-Method.** For the purpose of complying with IRS regulations requiring cost basis reporting, Customer hereby designates the tax-lot selection-method for the Account:

- Minimize Gain** – Shares are sold from tax lots having the highest per unit federal tax cost with a holding period of more than one year.
- First In First Out (FIFO)** – Shares are sold from tax lots having the earliest federal tax acquisition date.
- Last In First Out (LIFO)** – Shares are sold from tax lots having the most recent federal tax acquisition date.
- Highest Federal Cost First Out (HIFO)** – Shares are sold from tax lots having the highest federal tax cost per share.
- Lowest Federal Cost First Out (LOFO)** – Shares are sold from tax lots having the lowest federal tax cost per share.
- Specify Tax Lot** – Shares are sold from tax lots that you specify.
- Average Federal Tax Cost** – Shares are sold across all tax lots using the average cost. If the Account holds investments for which this method is not permitted, the FIFO default method will be used, unless Customer directs otherwise.
- Maximize Gain** – Shares are sold from tax lots having the lowest per unit federal tax cost.

If the foregoing does not designate one and only one tax-lot selection-method, then Customer is deemed to have designated FIFO method. If Customer wishes to use a tax-lot selection-method that is different from what is selected above for an individual trade, then Customer may designate such other selection-method when executing the trade.

15.10. **Shareholder Communications Act Election.** Under the Shareholder Communications Act of 1985, as amended, Bank must try to permit direct communications between a company that issues a security held in the Account (the “Securities-Issuer”) and any person who has or shares the power to vote, or the power to direct the voting of, that security (the “Voter”). Unless the Voter registers its objection with Bank, Bank must disclose the Voter’s name, address, and securities positions held in the Account to the Securities-Issuer upon the Securities-Issuer’s request (“Disclosure”). To the extent that Customer is the Voter, Customer hereby (i) acknowledges that failing to check one and only one box below will cause Customer to be deemed to have consented to Disclosure and (ii) registers its (*check only one*):

- Consent to Disclosure.
- Objection to Disclosure.

15.11. **Tax Reclaims.** To the extent Bank provides the Account with a service to minimize foreign withholding or reclaim foreign taxes withheld with respect to an Asset, Customer hereby directs Bank to disclose Customer’s name, address, and taxpayer identification number, as well as the Account’s position in the Asset, to Bank’s sub-custodians and other service providers, to the Asset’s issuer and the issuer’s agents, and to local (foreign) tax authorities as needed in order to provide such service.

15.12. **Abandoned Property.** Bank will escheat Assets pursuant to the applicable state’s abandoned property, escheat, or similar law, and Bank shall be held harmless therefrom. The provisions of this Section shall survive the termination of this Agreement.

15.13. **Legal Advice.** Customer hereby acknowledges that it (i) did not receive legal advice from Bank concerning this Agreement, (ii) had an adequate opportunity to consult an attorney of its choice before executing this Agreement, and (iii) executed this Agreement upon its own judgment and, if sought, the advice of such attorney.

15.14. **Waiver of Jury Trial.** Each party hereby irrevocably waives all right to a trial by jury in any action, proceeding, claim, or counterclaim (whether based on contract, tort, or otherwise) directly or indirectly arising out of or relating to this Agreement.

15.15. **Legal Action.** If Bank is served with any freeze order, garnishment, levy, restraining order, search warrant, subpoena, writ of attachment or execution, bankruptcy-court order, receivership order, or similar order relating to the Account (each, a "Legal Action"), then Bank will, to the extent permitted by law, use commercially reasonable efforts to notify Customer of such service. Customer will reimburse Bank for any expenses, fees, costs, or other charges incurred by Bank in responding to the Legal Action, including, but not limited to, any fees charged by an attorney of Bank's choice. If Customer notifies Bank that Customer is seeking a protective order to resist the Legal Action, then Bank will provide reasonable cooperation at Customer's request and sole cost and expense. In any event, Bank may comply with the Legal Action at any time, except to the extent Bank has received a protective order that prevents Bank from complying.

15.16. **Representations and Warranties.** Customer hereby covenants that, if any of the representations or warranties that it provides in this Agreement becomes inaccurate or incomplete, it will promptly notify Bank thereof and of any fact, omission, event, or change of circumstances related thereto.

15.17. **Publicity.** No party will disclose the existence of this Agreement or any terms thereof in advertising, promotional, or marketing materials without obtaining, in each case, the prior written consent of each other party.

15.18. **Counterparts and Duplicates.** This Agreement may be executed in any number of counterparts, each of which shall be considered an original, but all of which together shall constitute the same instrument. This Agreement and any administrative form under this Agreement may be proved either by a signed original or by a reproduced copy thereof (including, not by way of limitation, a microfiche copy or an electronic file copy).

15.19. **E-signature.** Each party hereby (i) consents to electronically sign this Agreement, amendments thereto, and Account forms requiring its signature, but may, by notice to each other party, withdraw such consent or opt-out of electronic signing and (ii) covenants to rely on DocuSign (or another e-sign vendor as subsequently agreed upon by all parties) to facilitate any such e-signatures. The party creating the e-signed document through its account with the e-sign vendor hereby covenants to retain the authoritative copy and provide a copy to each other party. No party hereby forfeits any power to use a conventional handwritten signature as a means of signing this Agreement, amendments thereto, and Account forms.

15.20. **Foreign Customer.** If Customer is organized outside the U.S., then Customer hereby acknowledges that (i) Bank has not obtained a license under the laws of Customer's domicile to provide the services described in this Agreement and (ii) Bank has no duty to determine or question whether this Agreement or any Account transaction complies with the laws of Customer's domicile.

15.21. **Effective Date.** This Agreement will become effective when all parties have signed it. The date of this Agreement will be the date this Agreement is signed by the last party to sign it (as indicated by the date associated with that party's signature).

IN WITNESS WHEREOF, an authorized officer of each party hereby executes this Agreement on the date stated beneath that party's signature.

CUSTOMER (AS DEFINED IN THIS AGREEMENT)

By: _____
(Signature of Customer's authorized officer)

Jon McMillen
(Printed name of Customer's authorized officer)

Its: City Manager

(Title of Customer's authorized officer)

Dated: _____

U.S. Mail Address:
78-495 Calle Tampico
La Quinta, CA 92253

Email Address:
jmcmillen@laquintaca.gov

ATTEST:

MONIKA RADEVA, City Clerk
City of La Quinta, California

APPROVED AS TO FORM:

WILLIAM H. IHRKE, City Attorney
City of La Quinta, California

U.S. BANK NATIONAL ASSOCIATION

By: _____
(Signature)

April Beauchamp
(Printed name)

Its: Vice President and Relationship Manager

Dated: _____

U.S. Mail Address:
1 California Street, Suite 1000
San Francisco, CA 94111

Email Address:
april.beauchamp@usbank.com

[CLICK HERE to Return to Agenda](#)

CUSTODY AGREEMENT

Exhibit A (Fee Schedule)

FEE SCHEDULE

This Fee Schedule relates to the U.S. Bank National Association (“USBNA”) Institutional Trust & Custody division (“IT&C”) account identified below (such account, including any sub-accounts therein, the “Account”) and is effective as of March 1, 2024 (date) (or, if no date is entered there, then the effective date of the Account’s governing trust or custody agreement) (the “Fee Schedule’s Effective Date”).

Account Name: **City of La Quinta Custody Account**

Account Number: **5002533-000**

USBNA; Elavon Financial Services DAC (“Elavon”); PFM Asset Management LLC (“PFMAM”); PFM Fund Distributors, Inc. (“PFMFD”); U.S. Bancorp Asset Management, Inc. (“USBAM”); U.S. Bancorp Fund Services, LLC (“USBFS”); and U.S. Bank Global Fund Services (Cayman), (Guernsey), and (Ireland) Limited and (Luxembourg) S.a.r.l. (“USBGFS”) are affiliates of U.S. Bancorp (collectively with U.S. Bancorp, “U.S. Bank”). This Fee Schedule, together with the service contract(s) between the Customer (as defined below) and USBNA regarding the Account (“Account’s Governing USBNA Service Contract(s)”), describes services that U.S. Bank expects to provide to the Account pursuant thereto and compensation that U.S. Bank expects to receive therefor:

Account Profile (Part A): *Describes the Account and U.S. Bank’s role with respect to the Account.*

Account-level Fees (Part B): *Describes fees U.S. Bank receives directly from the Account (the “Account Fees”).*

Fund-level Fees (Part C): *Describes fees the Account pays on the investment of Account assets in open-end investment companies registered under the Investment Company Act of 1940 (“Mutual Funds”), 3(c)(1) or (7) funds (“Private Funds”), Undertakings for Collective Investment in Transferable Securities funds (“UCITS”), and Alternative Investment Fund Managers Directive funds (“AIFs”) (each of the foregoing, a “Fund”) (the “Fund Fees”) and fees U.S. Bank receives from those investments or their agents (“U.S. Bank Revenue Share”).*

Other Compensation (Part D): *Describes compensation that U.S. Bank receives other than Account Fees or U.S. Bank Revenue Share (“Other Compensation”).*

Changes (Part E): *Describes circumstances under which this Fee Schedule may be changed.*

Approval (Part F): *Provides the customer’s approval of the fees described herein.*

ACCOUNT PROFILE (PART A)

If the Account is a USBNA trust account, then the undersigned is the trust’s grantor; if the Account is a USBNA custody account, then the undersigned is the Account’s owner (such undersigned, the “Customer”). USBNA has no discretion to invest Account assets (a “Directed Account”).

ACCOUNT-LEVEL FEES (PART B)

The Account Fees, which are in addition to Fund Fees and are paid directly to USBNA, are as follows. For a complete description of services that U.S. Bank expects to provide to the Account, see the Account’s Governing USBNA Service Contract(s).

**Administration fee
(FOR A****USBNA CUSTODY ACCOUNT):**

Provide account administration. The administration fee is calculated in tiers:
0.5 bps on the balance of Account assets

Foreign Securities. For Account assets invested in foreign securities other than Euroclear-eligible securities, U.S. Bank provides account administration by way of a sub-contract (the “Foreign-securities Custody Agreement”) between USBNA and a foreign-securities custodian (the “Foreign-securities Custodian”). The name, address, and principal place of business of the Foreign-securities Custodian (and the name and address of the regulatory authority that supervises or regulates it) can be obtained upon request from the Customer’s Relationship Manager at USBNA. Account assets invested in any foreign securities are excluded from the fee-tiers above, the fee-rates on

such assets are instead set forth in the U.S. Bank Institutional Trust & Custody Global Fee Schedule (the “Global Fee Schedule”), and the fee for such assets is based on Account assets invested in the applicable country. (U.S. Bank compensates the Foreign-securities Custodian from U.S. Bank’s own fees; the Account does not pay fees in addition to the fees stated herein in connection with services provided by the Foreign-securities Custodian.)

Securities-transfer fees:	Transfer DTC-eligible securities (including ETFs), Fed book-entry securities, or domestic open-end mutual funds: Free receipts (per security) <u>Included</u> Free deliveries (per security) <u>Included</u>
Trade-processing fees:	Process purchases, sales, or other transactions with respect to Account assets: DTC-eligible securities, including ETFs (per transaction) <u>Included</u> Fed book-entry securities (per transaction) <u>Included</u> Domestic open-end mutual funds (per transaction) <u>Included</u> Foreign securities (per transaction) <i>See the Global Fee Schedule.</i> Certificates of deposit (per set-up, deposit, or withdrawal) <u>Included</u> Derivatives: Forwards, futures, options, or swaps (per transaction) \$ <u>15</u> Non-marketable/physical not otherwise listed here (per transaction) \$ <u>25</u>
Distribution fees:	Distribute Account cash: ACH payment to DDA at USBNA (per ACH payment) <u>Included</u> ACH payment to non-USBNA DDA (per ACH payment) <u>\$ 10</u> Check (per check) <u>\$ 10</u> Wire to domestic location (per wire) <u>\$ 10</u> Wire to international location (per wire) <u>\$ 25</u>
Foreign-exchange fees:	Execute foreign-currency exchange (“FX”) transactions related to Account assets invested in foreign securities. A spread-fee is built into the exchange rate for each FX transaction; reduces the amount of foreign securities purchased, the amount of proceeds from the sale thereof, or the amount of entitlement payments received with respect thereto, as the case may be; and varies from FX transaction to FX transaction, based on current market conditions. In addition, each FX transaction is subject to trade-processing fees at the applicable rate set forth in the Global Fee Schedule.
Class-actions fees:	File proofs of claim regarding class-action litigation over the Account’s securities: Filing fee (per filing) <u>\$ 25</u> Recovery fee (per recovery) <u>2</u> % of recovery Maximum recovery fee (per recovery) <u>\$2,000</u>
Asset-holding fee:	Hold assets (per year): Commingled funds (CITs/CTFs), LPs, or hedge funds (per holding) <u>\$ 1,020</u> Private equity/debt or other commitment-based funds (per holding) <u>\$ 1,200</u>
Securities-intermediary fee:	Serve as securities intermediary with respect to the Account under a control agreement (per control agreement per year): <u>\$2,500</u> For a complete description of services that U.S. Bank expects to provide to the Account, see the governing control agreement(s).
ADR tax services:	Provide the Account with a service to minimize foreign tax withholding or to reclaim foreign taxes withheld with respect to ADRs (per year) <u>\$250</u>

Contract-negotiation fee:	Consider the Customer's proposed edits to USBNA's standard service contract(s) (per contract)	<u>\$ 2,500</u>
Other fees:	Minimum relationship fees (per year)	<u>\$15,000</u>
Extraordinary-services fee:	Provide services described in neither this Fee Schedule nor the Account's Governing USBNA Service Contract(s). The extraordinary-services fee will be calculated at an hourly rate or be a flat fee. USBNA will obtain the Customer's consent to the fee.	

Minimum Relationship Fees. The Account is subject to minimum relationship fees. For each billing period, they apply as follows. Start with the minimum annual relationship fees stated above. Prorate that amount to reflect the length of the billing period elected below (the "Minimum Relationship Fees"). Find the sum of the Account Fees (other than the Minimum Relationship Fees) and the account fees owed with respect to the Customer's other Institutional Trust & Custody division accounts (excluding accounts that hold plan assets) for the billing period (the "Actual Relationship Fees"). If the Actual Relationship Fees are less than the Minimum Relationship Fees, then the Account Fees for the billing period are the Account's proportionate share of the Minimum Relationship Fees (rather than the Account Fees otherwise disclosed under this Fee Schedule). That proportionate share is based on relative account balances as of the end of the billing period or over the billing period, as applicable based on the balance method elected below.

Manner of Receipt. Account Fees will be calculated (*check one and only one*):

- Monthly.
- Quarterly.

Any asset-based Account Fees will be based on (*check one and only one*): the applicable Account balance (or portion thereof) as of the end of the billing period / the average applicable Account balance (or portion thereof) over the billing period. (The asset values used in such calculation may vary from the asset values reported on an asset statement because of timing issues, such as the posting of accruals or the late-pricing of securities.) Account Fees will then be (*check one and only one*):

- Billed and invoiced to the Customer with instructions on how to remit payment. The Customer hereby acknowledges that U.S. Bank may charge such fees to the Account if the Customer has not paid the invoice within sixty (60) calendar days of receiving it.
- Charged to the Account, with a subsequent advice to the Customer about the charges. (*This option is unavailable if the Account is a USBNA trust account and the trust's beneficiary intends to seek credit for reinsurance in respect of the trust.*)

FUND-LEVEL FEES (PART C)

Fund Fees. Fund Fees, and U.S. Bank Revenue Share, are based on investment in a Fund and may vary by Fund and by class of shares or units issued by the Fund. Fund Fees are charged against the Fund's assets and reduce the Funds' average daily balance and investment yields. U.S. Bank Revenue Share is paid indirectly from the Fund Fees and is not in addition to the Fund Fees.

Additional Investment-Related Information. See a Mutual Fund's or UCITS's prospectus; a Private Fund's or AIF's governing documents (such as a limited liability company agreement, limited partnership agreement, trust agreement, or declaration of trust), offering documents (such as an offering circular, offering memorandum, private placement memorandum, prospectus, or summary description), and subscription documents (such as an adoption agreement or subscription agreement); and any Fund's fund-fact sheet (collectively, as applicable, the "Fund-Issuer's Disclosure") for Fund details not reflected in this Fee Schedule. See, especially, sections thereof regarding fees, expenses, additional compensation, and payments to financial intermediaries.

First American Funds. USBAM is the investment advisor to the Mutual Funds in the First American Funds, Inc. family (the "First American Funds"). First American Funds issue shares in multiple classes, and their fees may vary by class. U.S. Bank may enter into agreements with First American Funds or with First American Funds' service providers (including investment advisers, administrators, transfer agents, or distributors) whereby U.S. Bank provides services to the First American Funds, including, as applicable, services provided by USBAM (investment advisory, shareholder services), by USBNA (custody, securities-lending), and by USBFS (accounting, administration, transfer agency), and receives fees for

these services from the Fund or the Fund's sponsor or agent. Prospectuses for First American Funds are available at <https://www.firstamericanfunds.com/index/FundPerformance/ShareholderDocuments.html>.

PFMAM Funds. PFMAM is the investment advisor to the Mutual Funds in the PFM Multi-Manager Series Trust (the "PFMAM Funds"). U.S. Bank may enter into agreements with PFMAM Funds or with PFMAM Funds' service providers (including investment advisers, administrators, transfer agents, or distributors) whereby U.S. Bank provides services to the PFMAM Funds, including, as applicable, services provided by PFMAM (investment advisory), by USBNA (shareholder services), and by PFMFD (distribution), and receives fees for these services from the Fund or the Fund's sponsor or agent. Prospectuses for PFMAM Funds are available at <https://mmst.pfmam.com/forms-documents>.

Other Mutual Funds. U.S. Bank may enter into agreements with Mutual Funds other than First American Funds or PFMAM Funds ("Other Mutual Funds") or with Other Mutual Funds' service providers (including investment advisers, administrators, transfer agents, or distributors) whereby U.S. Bank provides services to the Other Mutual Funds, including, as applicable, services provided by USBNA (custody, securities lending, shareholder services, National Securities Clearing Corporation (NSCC) networking) and by USBFS (accounting, administration, transfer agency), and receives fees for these services from the Fund or the Fund's sponsor or agent. Prospectuses for Other Mutual Funds are available at <https://www.sec.gov/edgar/searchedgar/prospectus>.

Private Funds. U.S. Bank may enter into agreements with Private Funds or with their service providers, whereby U.S. Bank provides services to such Funds, including, as applicable, services provided by USBNA (custody) and by USBFS (accounting, administration, shareholder services, transfer agency), and receives fees for these services from the Fund or the Fund's sponsor or agent.

UCITS and AIFs. U.S. Bank may enter into agreements with UCITS or AIFs or with their service providers, whereby U.S. Bank provides services to such Funds, including, as applicable, services provided by Elavon (depository) and USBGFS (accounting, administration, shareholder services) and receives fees for these services from the Fund or the Fund's sponsor or agent.

OTHER COMPENSATION (PART D)

Float Income. USBNA may hold (i) cash awaiting either investment or distribution to proper recipients or (ii) funds held for other purposes (for example, pending investment following a trade fail, because funds were received too late to be posted the same day, or pursuant to an investment direction) in a noninterest-bearing deposit account at USBNA and, thereby, earn and retain income on the float as part of its fees for servicing the Account.

Expenses. Expenses, fees, costs, and other charges incurred by USBNA in providing services under the Account's Governing USBNA Service Contract(s) are expenses of the Account.

Advance of Funds. If USBNA advances funds in furtherance of settling the redemption of Fund shares or units, then the yield, if any, paid on the shares or units that were treated as redeemed is retained by USBNA as part of its fees for servicing the Account.

Foreign Securities or Deposits. In connection with the Account's global activity, USBNA may hold cash in the form of U.S. dollars or foreign currency in a deposit account at a bank other than USBNA. For example, cash may be held pending settlement of an FX transaction or a foreign-securities purchase. Interest, if any, earned on such deposits is retained by USBNA as part of its fees for servicing the Account.

External Insured Deposit Program. If the Account participates in an insured deposit program offered by an external cash manager (the "Program Manager"), then USBNA transfers Account assets as directed under the program to and from deposit accounts at banks other than USBNA and receives fees from the Program Manager for making the transfers. The Program Manager and fee are as follows:

Program Manager's full legal name:

Program Manager's employer identification number:

_____ bps on Account assets held by USBNA in such deposit accounts

Furthermore, the Program Manager receives a portion of any interest earned on such deposits, thereby reducing the amount of interest credited to the Account. For a complete description of the Account's participation in the program, see the Program Manager's agreement with respect to the Account.

CHANGES (PART E)

USBNA may amend this Fee Schedule by delivering to the Customer an amended and restated Fee Schedule (or another written notice of the change). If the Customer does not deliver a written objection to USBNA within thirty (30) calendar days thereafter, USBNA will treat the Customer's silence as approval.

APPROVAL (PART F)

The Customer hereby acknowledges that it:

- is independent of U.S. Bank and has authority to enter into, extend, and renew contracts for the services described herein and to select the investments and approve the fees described herein.
- received, read, understands, and executed the Account's Governing USBNA Service Contract(s).
- will obtain, read, and understand the Fund-Issuer's Disclosure for a Fund before selecting the Fund, including, but not limited to, the sections thereof describing fees, expenses, and compensation, and acknowledges that the purchase or sale of Fund shares or units is subject to the terms of the Fund-Issuer's Disclosure.
- expects to (*check one and only one*) cause or permit the Account to acquire foreign securities and received, read, and understands the Global Fee Schedule / neither cause nor permit the Account to acquire any foreign securities.
- understands and approves the services and fees described herein, including the Account Fees, the Fund Fees for each Fund, U.S. Bank Revenue Share for each Fund, and the Other Compensation.
- agrees to the process described herein for amending this Fee Schedule.
- may contact its Relationship Manager at USBNA regarding this Fee Schedule.
- FOR AN ACCOUNT WHERE A U.S. BANK-AFFILIATED REGISTERED INVESTMENT ADVISER IS THE ACCOUNT'S INVESTMENT MANAGER, understands that USBNA waives any securities-transfer, trade-processing, foreign-exchange, corporate-actions, class-actions, asset-holding, ADR-tax-services, foreign-securities-or-deposits, or external-insured-deposit-program fees described herein.

The Customer hereby executes this Fee Schedule.

Customer: **City of La Quinta**

By: _____
(Signature of Customer's authorized officer)

Jon McMillen
(Printed name of Customer's authorized officer)

Its: **City Manager**
(Title of Customer's authorized officer)

Dated: _____

Shares of registered investment companies, and units of private funds, are not deposits or obligations of, or endorsed or guaranteed in any way by, any bank, including any bank affiliated with U.S. Bancorp. Nor does the Federal Deposit Insurance Corporation, the Federal Reserve Board, or any other governmental agency insure such products. An investment in such products involves investment risks, including the possible loss of principal, due to fluctuations in each product's net asset value. Deposit products are offered by U.S. Bank National Association, member FDIC.

Exhibit B
Insurance Requirements

Insurance. Prior to the beginning of and throughout the duration of this Agreement, the following policies shall be maintained and kept in full force and effect providing insurance with minimum limits as indicated below and issued by insurers with A.M. Best ratings of no less than A-VI:

Commercial General Liability (at least as broad as ISO CG 0001)
\$1,000,000 (per occurrence)
\$2,000,000 (general aggregate)

Errors and Omissions Liability
\$1,000,000 (per claim and aggregate)

Workers' Compensation
(per statutory requirements)

Must include the following endorsements:

Workers Compensation with Waiver of Subrogation

Cyber Liability
\$1,000,000 (per occurrence)
\$2,000,000 (general aggregate)

Custodian shall ~~procure and~~ maintain, at its cost, and submit concurrently with its execution of this Agreement, Commercial General Liability insurance against all claims for injuries against persons or damages to property resulting from Custodian's acts or omissions rising out of or related to Custodian's performance under this Agreement. The insurance policy shall contain a severability of interest clause providing that the coverage shall be primary for losses arising out of Custodian's performance hereunder and neither Customer nor its insurers shall be required to contribute to any such loss.

Professional Liability or Errors and Omissions Insurance as appropriate shall be written on a policy form coverage specifically designed to protect against acts, errors or omissions of the Custodian and "Covered Professional Services" as designated in the policy must specifically include work performed under this agreement. The policy limit shall be no less than \$1,000,000 per claim and in the aggregate. The policy must "pay on behalf of" the insured ~~and must include a provision establishing the insurer's duty to defend~~. The policy retroactive date shall be on or before the effective date of this agreement.

[CLICK HERE to Return to Agenda](#)

City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: AUTHORIZE OVERNIGHT TRAVEL FOR MAYOR AND ONE COUNCILMEMBER TO ATTEND THE LEAGUE OF CALIFORNIA CITIES CITY LEADERS SUMMIT IN SACRAMENTO, CALIFORNIA, APRIL 17-19, 2024

RECOMMENDATION

Authorize overnight travel for the Mayor and one Councilmember to attend the League of California Cities City Leaders Summit in Sacramento, California, April 17-19, 2024.

EXECUTIVE SUMMARY

- The League of California Cities (League) is an association of California cities that collaborate to exchange information and combine resources to influence state legislation.
- The League's City Leaders Summit (Summit) is an educational event that allows city officials to influence state policy decisions, gain leadership skills and participate in discussion forums and networking.

FISCAL IMPACT

Estimated expenses are \$1,900 per attendee, which includes conference registration, lodging, air travel, and meals. Funds are available in the City Council travel and training account (No. 101-1001-60320).

BACKGROUND/ANALYSIS

The League's Summit provides an opportunity for local leaders to learn from leading experts as well as participate in peer-to-peer discussions and educational workshops.

During the event, city leaders will have the opportunity to spend a full day meeting with state lawmakers to discuss the most pertinent issues impacting their cities. Educational sessions and forums will cover need to know information on emerging concerns and solutions for top issues cities are facing.

ALTERNATIVES

Council may elect not to authorize this request or reduce the number of attendees.

Prepared by: Jennifer Nelson, Executive Specialist

Approved by: Jon McMillen, City Manager

City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: RECEIVE AND FILE SECOND QUARTER FISCAL YEAR 2023/24 TREASURY REPORTS FOR OCTOBER, NOVEMBER, AND DECEMBER 2023

RECOMMENDATION

Receive and file the second quarter fiscal year 2023/24 Treasury Reports for October, November, and December 2023.

BACKGROUND/ANALYSIS

Commentary and Summary of Significant Activity

The total book value of the portfolio decreased \$3.0 million, from \$231.4 million at the end of September to \$228.4 million at the end of December. In addition to normal operating revenue and expenditures, the City made several large payments for CIP street paving and bridge projects, along with a land purchase that may be designated as a future site for affordable housing. The portfolio is within policy limits for investment types and total allocation by type (see chart below) and is also within policy guidelines for investment ratings.

<u>Portfolio Allocations</u>				
Investment Type	October	November	December	Max Allowed
Bank Accounts	3.65%	4.45%	3.53%	100%
Local Agency Investment Fund (LAIF) City	6.32%	6.33%	6.35%	(1)
Local Agency Investment Fund (LAIF) Housing	0.91%	0.91%	0.91%	(1)(2)
Money Market Pool Accounts-CAMP	21.71%	21.80%	21.95%	N/A
Federal Agency Coupons & Discount Notes	22.93%	22.98%	23.50%	100%
Treasury Coupons & Discount Notes	23.33%	21.99%	21.64%	100%
Certificates of Deposit (CD's)	17.55%	17.80%	18.19%	30%
Corporate Notes	0.64%	0.64%	0.64%	30%
Money Market with Fiscal Agent	0.01%	0.01%	0.01%	(2)
CERBT- OPEB Trust	0.77%	0.77%	0.86%	(3)
PARS Pension Trust	2.19%	2.32%	2.43%	(3)
Total	100%	100%	100%	

(1) LAIF is subject to maximum dollar amount not a percentage of the portfolio
 (2) Funds held by fiscal agent and the LAIF Housing funds are governed by bond indentures and not subject to City Investment Policy
 (3) OPEB and pension trusts are fiduciary accounts and not subject to City Investment Policy

The fiscal year annual effective rate of return was 3.28% as of December, which was an 8 basis point (bps) increase since September. Total earnings for the quarter of \$1.95 million were 996,000 above last year, when the annual effective rate was only 1.55%. City staff routinely evaluates liquidity needs while also monitoring market trends. We are investing money in United States Treasuries in the 1-3 year range to capitalize on the yield environment, while continuing to utilize the California Asset Management Program (CAMP) which is yielding more than the Local Agency Investment Fund (LAIF), for short-term investing.

	Total Earnings	Average Days to Maturity	Effective Rate of Return MTD	Effective Rate of Return YTD	YTM
October	\$ 619,645	511	3.15%	3.19%	3.383%
November	\$ 673,511	511	3.55%	3.27%	3.358%
December	\$ 653,006	505	3.35%	3.28%	3.407%
Quarter 2	\$ 1,946,162	509	3.35%	3.25%	3.383%
Quarter 1 2023/24	\$ 1,992,690	487	3.20%	3.13%	3.235%

Throughout the quarter, one agency bond, five treasuries, and nine CDs matured, while three agency bonds, six treasuries, and fifteen CDs were purchased. There were no sales or calls. Maturities and purchases are listed in detail in the attached reports.

Other Notes

Money market funds with the fiscal agent are bond proceeds subject to bond indentures, not the City’s investment policy. Successor Agency (SA) funds cannot be invested long-term; therefore SA funds are only invested in LAIF.

Looking Ahead

The Treasurer follows a “buy and hold” Investment Policy, unless it is fiscally advantageous to actively trade outside of maturity dates. In the short term, the Treasurer will invest in CAMP, LAIF, and U.S. Treasuries as needed. Longer term investments may include Government Sponsored Enterprise (agencies) securities, U.S. Treasuries, Corporate Notes, and Negotiable Certificates of Deposits. All investments recognize both immediate and long-term cash flow needs, and there is sufficient liquidity in the portfolio to meet expenditure requirements for the next six months.

ALTERNATIVES - None

Prepared by: Rosemary Hallick, Principal Management Analyst
 Approved by: Claudia Martinez, Finance Director/City Treasurer

Attachment: 1. Treasurer’s Report for October 1, 2023 to December 30, 2023



City of La Quinta

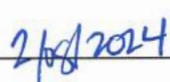
**City of La Quinta
Portfolio Management
Portfolio Summary
October 31, 2023**

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 365 Equiv.
Bank Accounts	8,385,735.96	8,385,735.96	8,385,735.96	3.65	1	1	0.000
CAMP TERM	20,000,000.00	20,000,000.00	20,000,000.00	8.71	263	163	5.645
Local Agency Investment Fund-City	14,507,754.14	13,990,936.78	14,507,754.14	6.32	1	1	3.670
Local Agency Invstmnt Fund-Housing	2,084,665.23	2,053,564.57	2,084,665.23	0.91	1	1	3.670
Money Market Accounts - CAMP	29,853,837.11	29,853,837.11	29,853,837.11	13.00	1	1	5.560
Federal Agency Coupon Securities	51,000,000.00	47,938,104.00	50,753,437.74	22.10	1,540	831	2.252
Federal Agency Discount	2,000,000.00	1,936,440.00	1,916,929.44	0.83	287	232	5.533
Treasury Coupon Securities	44,750,000.00	42,452,162.50	43,841,420.04	19.09	1,175	730	3.147
Treasury Discount Notes	10,000,000.00	9,911,090.00	9,740,908.32	4.24	180	60	5.469
Certificate of Deposits	40,317,000.00	38,459,922.97	40,316,377.50	17.55	1,673	913	2.891
Corporate Notes	1,500,000.00	1,388,915.00	1,470,410.00	0.64	1,827	1,411	3.054
Money Market with Fiscal Agent	13,847.37	13,847.37	13,847.37	0.01	1	1	0.000
CERBT - OPEB Trust	1,773,353.25	1,773,353.25	1,773,353.25	0.77	1	1	0.000
PARS Pension Trust	5,036,465.39	5,036,465.39	5,036,465.39	2.19	1	1	0.000
	231,222,658.45	223,194,374.90	229,695,141.49	100.00%	903	511	3.383

Total Earnings	October 31 Month Ending	Fiscal Year To Date
Current Year	627,849.49	2,620,539.88
Average Daily Balance	231,466,278.97	243,054,091.33
Effective Rate of Return	3.19%	3.20%

I certify that this report accurately reflects all pooled investments and is in compliance with the California Government Code and the City Investment Policy. As Treasurer of the City of La Quinta, I hereby certify that sufficient investment liquidity and anticipated revenues are available to meet the City's expenditure requirements for the next six months. The City of La Quinta used the monthly account statements issued by our financial institutions to determine the fair market value of investments at month end.


 Claudia Martinez, Finance Director/City Treasurer


 2/28/2024

Reporting period 10/01/2023-10/31/2023

Run Date: 02/01/2024 - 18:16

Portfolio CITY
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.6.1

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
October 31, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	Maturity	YTM 365	Maturity Date
Bank Accounts												
SYS1293	1293	Bank of New York		09/01/2020	282,659.38	282,659.38	282,659.38		1	1	0.000	
059731851	1228	BMO f/k/a Bank of the West		08/20/2019	7,128,260.98	7,128,260.98	7,128,260.98		1	1	0.000	
SYS1059	1059	City Petty Cash		07/01/2016	3,300.00	3,300.00	3,300.00		1	1	0.000	
SYS1318	1318	Dune Palms Mobile Estates		03/09/2021	843,198.53	843,198.53	843,198.53		1	1	0.000	
SYS1062	1062	La Quinta Palms Realty		07/01/2016	128,317.07	128,317.07	128,317.07		1	1	0.000	
Subtotal and Average			6,789,079.01		8,385,735.96	8,385,735.96	8,385,735.96		1	1	0.000	
CAMP TERM												
6067-001TERM	1521	California Asset Management Pr		07/24/2023	20,000,000.00	20,000,000.00	20,000,000.00	5.645	263	163	5.645	04/12/2024
Subtotal and Average			20,000,000.00		20,000,000.00	20,000,000.00	20,000,000.00		263	163	5.645	
Local Agency Investment Fund-City												
98-33-434	1055	Local Agency Inv Fund			14,507,754.14	13,990,936.78	14,507,754.14	3.670	1	1	3.670	
Subtotal and Average			19,249,069.70		14,507,754.14	13,990,936.78	14,507,754.14		1	1	3.670	
Local Agency Investmnt Fund-Housing												
25-33-005	1113	Local Agency Inv Fund			2,084,665.23	2,053,564.57	2,084,665.23	3.670	1	1	3.670	
Subtotal and Average			2,077,453.36		2,084,665.23	2,053,564.57	2,084,665.23		1	1	3.670	
Money Market Accounts - CAMP												
SYS1153	1153	California Asset Management Pr		09/26/2018	29,853,837.11	29,853,837.11	29,853,837.11	5.560	1	1	5.560	
Subtotal and Average			29,718,127.08		29,853,837.11	29,853,837.11	29,853,837.11		1	1	5.560	
Federal Agency Coupon Securities												
3133EKVV4	1212	Federal Farm Credit Bank		08/02/2019	500,000.00	487,070.00	499,500.00	1.850	1,820	268	1.871	07/26/2024
3133EKP75	1224	Federal Farm Credit Bank		09/17/2019	500,000.00	483,425.00	498,750.00	1.600	1,827	321	1.652	09/17/2024
3133EK4X1	1234	Federal Farm Credit Bank		11/01/2019	1,000,000.00	1,000,000.00	996,900.00	1.600	1,461	0	1.680	11/01/2023
3133ELEA8	1242	Federal Farm Credit Bank		12/17/2019	1,000,000.00	967,700.00	998,600.00	1.700	1,736	321	1.731	09/17/2024
3133ELNE0	1246	Federal Farm Credit Bank		02/14/2020	1,000,000.00	988,010.00	999,000.00	1.430	1,461	105	1.456	02/14/2024
3133ELH23	1280	Federal Farm Credit Bank		06/09/2020	500,000.00	462,680.00	499,850.00	0.500	1,826	586	0.506	06/09/2025
3133ELH80	1282	Federal Farm Credit Bank		06/10/2020	500,000.00	464,240.00	500,000.00	0.680	1,826	587	0.680	06/10/2025
3133EM2C5	1330	Federal Farm Credit Bank		08/10/2021	500,000.00	443,300.00	498,000.00	0.710	1,826	1,013	0.792	08/10/2026
3133EM4X7	1338	Federal Farm Credit Bank		09/28/2021	1,000,000.00	886,740.00	991,080.00	0.800	1,808	1,044	0.985	09/10/2026
3133ENCQ1	1344	Federal Farm Credit Bank		11/02/2021	1,000,000.00	894,330.00	1,000,000.00	1.270	1,826	1,097	1.270	11/02/2026
3133ENGN4	1355	Federal Farm Credit Bank		12/09/2021	1,000,000.00	951,100.00	1,000,000.00	0.970	1,096	404	0.970	12/09/2024

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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	Maturity	YTM 365	Maturity Date
Federal Agency Coupon Securities												
3133ENYH7	1401	Federal Farm Credit Bank		06/10/2022	500,000.00	491,515.00	499,080.00	2.625	731	222	2.720	06/10/2024
3133ENL99	1426	Federal Farm Credit Bank		09/15/2022	1,000,000.00	942,220.00	997,492.55	3.375	1,826	1,414	3.430	09/15/2027
3133ENQ29	1433	Federal Farm Credit Bank		09/30/2022	1,000,000.00	963,590.00	996,400.00	4.000	1,825	1,428	4.080	09/29/2027
3133EN3H1	1441	Federal Farm Credit Bank		11/29/2022	1,000,000.00	962,030.00	998,650.00	4.000	1,826	1,489	4.030	11/29/2027
3133EN3S7	1446	Federal Farm Credit Bank		12/07/2022	1,000,000.00	952,660.00	998,000.00	3.750	1,826	1,497	3.794	12/07/2027
3133EPAV7	1464	Federal Farm Credit Bank		02/15/2023	1,000,000.00	955,250.00	995,400.00	3.875	1,825	1,566	3.977	02/14/2028
3133EPME2	1505	Federal Farm Credit Bank		06/08/2023	1,000,000.00	952,240.00	998,190.00	3.875	1,827	1,681	3.915	06/08/2028
3133EPQD0	1527	Federal Farm Credit Bank		07/31/2023	1,000,000.00	966,390.00	998,655.69	4.250	1,813	1,720	4.280	07/17/2028
3133EPSK2	1531	Federal Farm Credit Bank		08/18/2023	1,000,000.00	965,830.00	990,400.00	4.250	1,816	1,741	4.467	08/07/2028
3130AFW94	1177	Federal Home Loan Bank		03/01/2019	500,000.00	495,705.00	498,550.00	2.500	1,810	104	2.563	02/13/2024
3130AJKW8	1281	Federal Home Loan Bank		06/03/2020	500,000.00	463,635.00	499,850.00	0.500	1,836	590	0.506	06/13/2025
3130AJRP6	1283	Federal Home Loan Bank		06/30/2020	300,000.00	277,950.00	300,000.00	0.680	1,826	607	0.680	06/30/2025
3130AKFA9	1298	Federal Home Loan Bank		12/07/2020	500,000.00	452,380.00	497,400.00	0.375	1,831	772	0.480	12/12/2025
3130AKMZ6	1302	Federal Home Loan Bank		01/14/2021	500,000.00	451,600.00	500,000.00	0.510	1,826	805	0.510	01/14/2026
3130AKN28	1304	Federal Home Loan Bank		01/29/2021	500,000.00	451,235.00	500,000.00	0.550	1,826	820	0.550	01/29/2026
3130ALV92	1312	Federal Home Loan Bank		03/30/2021	500,000.00	454,910.00	500,000.00	1.050	1,826	880	0.938	03/30/2026
3130AMFS6	1324	Federal Home Loan Bank		06/17/2021	1,000,000.00	896,110.00	993,420.00	0.750	1,821	954	0.885	06/12/2026
3130APBM6	1337	Federal Home Loan Bank		09/30/2021	1,000,000.00	889,240.00	999,000.00	1.000	1,826	1,064	1.021	09/30/2026
3130APB46	1339	Federal Home Loan Bank		10/13/2021	1,000,000.00	887,770.00	998,250.00	0.950	1,826	1,077	0.986	10/13/2026
3130APTV7	1347	Federal Home Loan Bank		11/24/2021	500,000.00	455,990.00	499,500.00	0.700	1,826	1,119	1.489	11/24/2026
3130AP2U8	1353	Federal Home Loan Bank		12/07/2021	1,000,000.00	942,960.00	987,100.00	0.550	1,127	433	0.976	01/07/2025
3130AQF65	1358	Federal Home Loan Bank		12/22/2021	1,000,000.00	892,730.00	999,750.00	1.250	1,825	1,146	1.255	12/21/2026
3130AQJR5	1364	Federal Home Loan Bank		01/27/2022	1,000,000.00	892,500.00	1,000,000.00	1.500	1,826	1,183	1.500	01/27/2027
3130AQSA2	1367	Federal Home Loan Bank		02/10/2022	1,000,000.00	900,930.00	1,000,000.00	1.830	1,826	1,197	1.830	02/10/2027
3130AQWY5	1374	Federal Home Loan Bank		02/25/2022	1,000,000.00	952,100.00	1,000,000.00	1.700	1,095	481	1.700	02/24/2025
3130ARGJ4	1385	Federal Home Loan Bank		04/14/2022	500,000.00	475,865.00	500,000.00	2.500	1,279	713	2.500	10/14/2025
3130ARGY1	1387	Federal Home Loan Bank		04/19/2022	1,000,000.00	923,000.00	1,000,000.00	2.700	1,826	1,265	2.700	04/19/2027
3130ASDV8	1409	Federal Home Loan Bank		06/28/2022	300,000.00	281,643.00	300,000.00	3.300	1,826	1,335	3.300	06/28/2027
3130ARHG9	1529	Federal Home Loan Bank		08/10/2023	1,000,000.00	988,760.00	982,520.00	2.125	202	119	5.391	02/28/2024
3130AXEL8	1541	Federal Home Loan Bank		10/03/2023	1,000,000.00	993,880.00	999,500.00	4.750	1,802	1,773	4.762	09/08/2028
3134GVYG7	1279	Federal Home Loan Mtg Corp		05/27/2020	1,000,000.00	929,830.00	1,000,000.00	0.625	1,826	573	0.625	05/27/2025
3137EAEU9	1297	Federal Home Loan Mtg Corp		12/07/2020	1,000,000.00	922,700.00	997,300.00	0.375	1,687	628	0.434	07/21/2025
3134GXGZ1	1301	Federal Home Loan Mtg Corp		12/30/2020	500,000.00	453,580.00	500,000.00	0.550	1,826	790	0.550	12/30/2025
3137EAXE3	1307	Federal Home Loan Mtg Corp		02/23/2021	500,000.00	457,230.00	495,999.50	0.375	1,673	692	0.552	09/23/2025
3137EAXE3	1310	Federal Home Loan Mtg Corp		03/30/2021	1,000,000.00	914,460.00	983,940.00	0.375	1,638	692	0.740	09/23/2025
3134GW6C5	1359	Federal Home Loan Mtg Corp		01/05/2022	500,000.00	441,130.00	486,000.00	0.800	1,757	1,092	1.403	10/28/2026

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Federal Agency Coupon Securities												
3137EAEU9	1361	Federal Home Loan Mtg Corp		01/05/2022	500,000.00	461,350.00	487,090.00	0.375	1,293	628	1.120	07/21/2025
3134GW5R3	1362	Federal Home Loan Mtg Corp		01/05/2022	400,000.00	365,956.00	391,360.00	0.650	1,391	726	1.232	10/27/2026
3134GWUQ7	1366	Federal Home Loan Mtg Corp		02/10/2022	1,000,000.00	873,320.00	945,570.00	0.700	1,784	1,155	1.870	12/30/2026
3134GYPJ5	1484	Federal Home Loan Mtg Corp		04/24/2023	1,000,000.00	975,190.00	999,100.00	5.200	1,820	1,629	5.220	04/17/2028
3135G0V75	1206	Federal National Mtg Assn		07/15/2019	500,000.00	487,820.00	495,950.00	1.750	1,814	244	1.922	07/02/2024
3135G05S8	1288	Federal National Mtg Assn		08/14/2020	500,000.00	459,400.00	500,000.00	0.500	1,826	652	0.500	08/14/2025
3136G4N74	1289	Federal National Mtg Assn		08/21/2020	1,000,000.00	919,140.00	1,000,000.00	0.560	1,826	659	0.560	08/21/2025
3136G4M75	1290	Federal National Mtg Assn		08/28/2020	500,000.00	460,195.00	499,750.00	0.520	1,816	656	0.530	08/18/2025
3135G06E8	1291	Federal National Mtg Assn		11/18/2020	500,000.00	474,020.00	499,250.00	0.420	1,461	383	0.458	11/18/2024
3135GA2Z3	1292	Federal National Mtg Assn		11/17/2020	500,000.00	455,485.00	499,250.00	0.560	1,826	747	0.590	11/17/2025
3135GA7D7	1299	Federal National Mtg Assn		12/23/2020	500,000.00	453,515.00	500,000.00	0.600	1,826	783	0.600	12/23/2025
3135GAAW1	1300	Federal National Mtg Assn		12/30/2020	500,000.00	471,315.00	500,000.00	0.400	1,461	425	0.400	12/30/2024
3135G06G3	1354	Federal National Mtg Assn		12/07/2021	1,000,000.00	911,630.00	976,300.00	0.500	1,431	737	1.120	11/07/2025
3135G03U5	1365	Federal National Mtg Assn		01/28/2022	500,000.00	467,505.00	487,790.00	0.625	1,180	538	1.400	04/22/2025
3135GAGA3	1480	Federal National Mtg Assn		04/03/2023	2,000,000.00	1,991,300.00	2,000,000.00	5.200	484	272	5.206	07/30/2024
3135GAGK1	1482	Federal National Mtg Assn		04/12/2023	3,000,000.00	2,990,820.00	3,000,000.00	5.050	366	163	5.050	04/12/2024
Subtotal and Average			50,688,953.87		51,000,000.00	47,938,104.00	50,753,437.74		1,540	831	2.252	
Federal Agency Discount												
313384YJ2	1534	Federal Home Loan Bank		09/07/2023	2,000,000.00	1,936,440.00	1,916,929.44	5.210	287	232	5.533	06/20/2024
Subtotal and Average			1,916,929.44		2,000,000.00	1,936,440.00	1,916,929.44		287	232	5.533	
Treasury Coupon Securities												
912828YV6	1241	U.S. Treasury		12/16/2019	1,000,000.00	958,950.00	989,687.50	1.500	1,811	395	1.718	11/30/2024
91282CBC4	1303	U.S. Treasury		01/07/2021	500,000.00	453,010.00	498,632.81	0.375	1,819	791	0.431	12/31/2025
91282CBH3	1309	U.S. Treasury		02/23/2021	500,000.00	451,135.00	495,100.00	0.375	1,803	822	0.577	01/31/2026
91282CAT8	1311	U.S. Treasury		03/30/2021	1,000,000.00	909,180.00	977,500.00	0.250	1,676	730	0.750	10/31/2025
91282CAZ4	1315	U.S. Treasury		04/22/2021	500,000.00	454,220.00	492,187.50	0.375	1,683	760	0.720	11/30/2025
91282CBQ3	1319	U.S. Treasury		05/28/2021	500,000.00	451,075.00	494,165.00	0.500	1,737	850	0.750	02/28/2026
91282CBT7	1320	U.S. Treasury		05/28/2021	500,000.00	452,830.00	499,525.00	0.750	1,768	881	0.770	03/31/2026
91282CCF6	1321	U.S. Treasury		06/01/2021	1,000,000.00	899,220.00	997,060.00	0.750	1,825	942	0.810	05/31/2026
91282CBT7	1322	U.S. Treasury		06/17/2021	500,000.00	452,830.00	498,450.00	0.750	1,748	881	0.816	03/31/2026
91282CCF6	1323	U.S. Treasury		06/17/2021	500,000.00	449,610.00	497,095.00	0.750	1,809	942	0.870	05/31/2026
91282CCP4	1335	U.S. Treasury		09/29/2021	1,000,000.00	891,060.00	983,750.00	0.625	1,766	1,003	0.970	07/31/2026
91282CCW9	1336	U.S. Treasury		09/29/2021	1,000,000.00	891,090.00	988,500.00	0.750	1,797	1,034	0.990	08/31/2026
91282CBE0	1342	U.S. Treasury		10/22/2021	1,000,000.00	989,380.00	991,000.00	0.125	815	75	0.531	01/15/2024

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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	Maturity	YTM 365	Maturity Date
Treasury Coupon Securities												
91282CDB4	1343	U.S. Treasury		10/22/2021	1,000,000.00	955,390.00	996,320.00	0.625	1,089	349	0.750	10/15/2024
91282CBA8	1351	U.S. Treasury		12/07/2021	1,000,000.00	993,670.00	990,000.00	0.125	738	44	0.623	12/15/2023
91282CBR1	1352	U.S. Treasury		12/07/2021	1,000,000.00	981,020.00	989,800.00	0.250	829	135	0.704	03/15/2024
91282CBV2	1360	U.S. Treasury		01/05/2022	500,000.00	488,690.00	494,882.81	0.375	831	166	0.830	04/15/2024
912828Z78	1369	U.S. Treasury		02/10/2022	1,000,000.00	899,410.00	986,700.00	1.500	1,816	1,187	1.781	01/31/2027
91282CCW9	1380	U.S. Treasury		03/22/2022	500,000.00	445,545.00	466,454.17	0.750	1,623	1,034	2.350	08/31/2026
91282CDG3	1381	U.S. Treasury		03/22/2022	500,000.00	448,010.00	473,396.82	1.125	1,684	1,095	2.350	10/31/2026
91282CBQ3	1382	U.S. Treasury		03/23/2022	750,000.00	676,612.50	698,025.00	0.500	1,438	850	2.353	02/28/2026
9128286S4	1383	U.S. Treasury		03/23/2022	1,000,000.00	939,810.00	999,010.00	2.375	1,499	911	2.400	04/30/2026
9128283D0	1390	U.S. Treasury		05/03/2022	1,000,000.00	969,060.00	985,190.00	2.250	912	365	2.870	10/31/2024
91282CEF4	1391	U.S. Treasury		05/03/2022	1,000,000.00	926,450.00	976,860.00	2.500	1,793	1,246	3.010	03/31/2027
912828X88	1397	U.S. Treasury		06/07/2022	1,000,000.00	919,140.00	969,687.50	2.375	1,803	1,291	3.041	05/15/2027
91282CEN7	1398	U.S. Treasury		06/08/2022	500,000.00	466,095.00	495,000.00	2.750	1,787	1,276	2.971	04/30/2027
91282CET4	1399	U.S. Treasury		06/08/2022	500,000.00	463,240.00	491,842.18	2.625	1,818	1,307	2.980	05/31/2027
9128282U3	1400	U.S. Treasury		06/09/2022	500,000.00	485,315.00	490,850.00	1.875	814	304	2.727	08/31/2024
91282CFB2	1417	U.S. Treasury		08/15/2022	1,000,000.00	927,660.00	989,460.00	2.750	1,811	1,368	2.980	07/31/2027
912828XT2	1418	U.S. Treasury		05/04/2022	1,000,000.00	980,080.00	985,240.00	2.000	758	212	2.736	05/31/2024
91282CFB2	1422	U.S. Treasury		08/29/2022	1,000,000.00	927,660.00	979,645.67	2.750	1,797	1,368	3.200	07/31/2027
9128282R0	1439	U.S. Treasury		11/18/2022	1,000,000.00	910,040.00	927,110.00	2.250	1,731	1,383	3.950	08/15/2027
91282CFH9	1456	U.S. Treasury		01/24/2023	500,000.00	469,705.00	489,175.00	3.125	1,680	1,399	3.640	08/31/2027
91282CGH8	1460	U.S. Treasury		02/02/2023	1,000,000.00	948,520.00	996,369.14	3.500	1,824	1,552	3.580	01/31/2028
91282CEW7	1465	U.S. Treasury		02/15/2023	1,000,000.00	946,130.00	967,220.00	3.250	1,596	1,337	4.075	06/30/2027
91282CGT2	1508	U.S. Treasury		06/15/2023	1,000,000.00	952,030.00	984,600.00	3.625	1,751	1,612	3.980	03/31/2028
912828V80	1516	U.S. Treasury		06/30/2023	2,000,000.00	1,984,300.00	1,964,400.00	2.250	215	91	5.374	01/31/2024
912828B66	1518	U.S. Treasury		07/12/2023	2,000,000.00	1,984,460.00	1,969,580.00	2.750	218	106	5.382	02/15/2024
91282CDV0	1519	U.S. Treasury		07/12/2023	1,000,000.00	988,870.00	975,600.00	0.875	203	91	5.416	01/31/2024
91282CGT2	1524	U.S. Treasury		05/31/2023	1,000,000.00	952,030.00	990,000.00	3.625	1,766	1,612	3.853	03/31/2028
91282CHA2	1525	U.S. Treasury		05/31/2023	1,000,000.00	946,600.00	985,000.00	3.500	1,796	1,642	3.837	04/30/2028
91282CEK3	1528	U.S. Treasury		08/10/2023	1,000,000.00	985,270.00	980,080.00	2.500	264	181	5.340	04/30/2024
912828W71	1530	U.S. Treasury		08/10/2023	2,000,000.00	1,972,740.00	1,960,078.13	2.125	234	151	5.338	03/31/2024
9128282U3	1535	U.S. Treasury		09/07/2023	3,000,000.00	2,911,890.00	2,899,170.81	1.875	359	304	5.440	08/31/2024
9128282N9	1536	U.S. Treasury		09/07/2023	2,000,000.00	1,951,100.00	1,942,840.00	2.125	328	273	5.431	07/31/2024
91282CGT2	1543	U.S. Treasury		10/19/2023	1,000,000.00	952,030.00	949,180.00	3.625	1,625	1,612	4.910	03/31/2028
Subtotal and Average			43,290,283.27		44,750,000.00	42,452,162.50	43,841,420.04		1,175	730	3.147	

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Treasury Discount Notes											
912796YT0	1487	U.S. Treasury		05/03/2023	1,000,000.00	999,850.00	975,854.17	4.750	183	1	5.003 11/02/2023
912797FL6	1502	U.S. Treasury		05/31/2023	4,000,000.00	3,986,480.00	3,898,126.67	5.180	177	23	5.464 11/24/2023
912796ZN2	1517	U.S. Treasury		06/30/2023	3,000,000.00	2,974,740.00	2,920,963.32	5.240	181	57	5.532 12/28/2023
912796CX5	1542	U.S. Treasury		10/18/2023	2,000,000.00	1,950,020.00	1,945,964.16	5.315	183	169	5.615 04/18/2024
Subtotal and Average			9,715,385.07		10,000,000.00	9,911,090.00	9,740,908.32		180	60	5.469
Certificate of Deposits											
336460CX6	1222	First State Bk DeQueen		08/30/2019	248,000.00	239,968.64	248,000.00	1.800	1,827	303	1.802 08/30/2024
31911KAK4	1515	First Bank Elk River		06/30/2023	248,000.00	244,128.87	248,000.00	4.400	1,827	1,703	4.405 06/30/2028
32027BAL1	1273	First Freedom Bank		04/29/2020	249,000.00	232,901.75	249,000.00	1.200	1,826	545	1.201 04/29/2025
33766LAJ7	1216	FirsTier Bank		08/23/2019	249,000.00	241,424.98	249,000.00	1.950	1,827	296	1.952 08/23/2024
32056GDJ6	1278	1st Internet Bank		05/11/2020	248,000.00	230,835.16	248,000.00	1.000	1,827	558	0.985 05/12/2025
32112UDR9	1274	First Natl Bk McGregor		04/28/2020	248,000.00	232,537.10	248,000.00	1.350	1,826	544	1.351 04/28/2025
334342CD2	1221	First Natl Bk of Syracuse		08/30/2019	249,000.00	241,041.32	249,000.00	1.850	1,827	303	1.852 08/30/2024
32114VBT3	1250	First National Bank Michigan		02/14/2020	248,000.00	235,296.39	248,000.00	1.650	1,827	471	1.652 02/14/2025
33625CCP2	1209	First Security Bank of WA		07/30/2019	248,000.00	241,118.38	248,000.00	2.000	1,827	272	2.002 07/30/2024
33640VDD7	1231	First Service Bank		11/15/2019	248,000.00	242,564.50	248,000.00	1.700	1,643	196	1.701 05/15/2024
88413QDN5	1420	Third Federal Savings and Loan		08/19/2022	245,000.00	226,833.42	245,000.00	3.300	1,826	1,387	3.302 08/19/2027
00257TBD7	1207	Abacus Federal Savings		07/26/2019	248,000.00	241,122.38	248,000.00	1.950	1,827	268	1.952 07/26/2024
00435JBH5	1256	Access Bank		03/13/2020	248,000.00	234,526.51	248,000.00	1.600	1,826	498	1.601 03/13/2025
00833JAQ4	1478	Affinity Bank		03/17/2023	248,000.00	243,043.45	248,000.00	4.900	1,827	1,598	4.906 03/17/2028
01025RAG4	1510	Alabama Credit Union		06/20/2023	248,000.00	244,569.94	248,000.00	5.000	1,098	964	5.005 06/22/2026
011852AE0	1469	Alaska USA/Global FCU		03/08/2023	248,000.00	240,160.88	248,000.00	4.600	1,827	1,589	4.606 03/08/2028
01882MAC6	1451	Alliant CU		12/30/2022	247,000.00	243,207.09	247,000.00	5.000	1,826	1,520	5.003 12/30/2027
01664MAB2	1448	All In FCU		12/20/2022	248,000.00	238,639.44	248,000.00	4.400	1,826	1,510	4.402 12/20/2027
02007GPX5	1388	Ally Bank Midvale		04/21/2022	245,000.00	234,215.63	245,000.00	2.550	1,096	537	2.550 04/21/2025
020080BX4	1267	Alma Bank		03/30/2020	248,000.00	233,501.55	248,000.00	1.400	1,824	513	1.399 03/28/2025
029728BC5	1255	American State		02/21/2020	248,000.00	235,116.50	248,000.00	1.600	1,827	478	1.602 02/21/2025
02589ADH2	1421	American Express, NB		08/29/2022	245,000.00	228,279.55	245,000.00	3.450	1,793	1,364	3.067 07/27/2027
02357QAQ0	1372	Amerant Bank		02/14/2022	245,000.00	216,147.96	245,000.00	1.600	1,828	1,203	1.601 02/16/2027
052392BT3	1427	Austin Telco FCU		09/21/2022	248,000.00	233,607.87	248,000.00	3.800	1,826	1,420	3.770 09/21/2027
05465DAE8	1258	Axos Bank		03/26/2020	248,000.00	234,409.02	248,000.00	1.650	1,826	511	1.651 03/26/2025
05765LBU0	1520	Balboa Thrift and Loan		07/19/2023	248,000.00	246,282.60	248,000.00	4.400	1,827	1,722	4.405 07/19/2028
062119BT8	1492	Bank Five Nine		05/12/2023	248,000.00	236,495.20	248,000.00	4.250	1,827	1,654	4.255 05/12/2028
06610RCA5	1499	Bankers Bank		05/24/2023	248,000.00	235,471.73	248,000.00	4.150	1,827	1,666	4.155 05/24/2028
066851WJ1	1172	Bar Harbor Bank and Trust		12/31/2018	248,000.00	247,004.87	248,000.00	3.350	1,824	58	3.352 12/29/2023

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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	Maturity	YTM 365	Maturity Date
Certificate of Deposits												
07371AYE7	1370	Beal Bank TX		02/23/2022	245,000.00	217,388.50	245,000.00	1.900	1,820	1,204	1.901	02/17/2027
07371CE88	1371	Beal Bank USA		02/23/2022	245,000.00	218,336.96	245,000.00	1.900	1,820	1,204	1.901	02/17/2027
08016PDQ9	1270	Belmont Bank and Trust		04/16/2020	248,000.00	242,807.94	248,000.00	1.250	1,461	167	1.251	04/16/2024
06417NZQ9	1329	Bank OZK		07/29/2021	248,000.00	232,511.56	248,000.00	0.400	1,280	455	0.401	01/29/2025
064520BG3	1287	Bank Princeton		06/30/2020	248,000.00	233,248.96	248,000.00	0.500	1,644	425	0.500	12/30/2024
064860MC0	1476	Bank of the Sierra		03/15/2023	244,000.00	237,282.44	244,000.00	4.600	1,461	1,230	4.604	03/15/2027
09582YAF9	1467	Blue Ridge Bank		02/28/2023	244,000.00	232,544.03	244,000.00	4.200	1,826	1,580	4.202	02/28/2028
05580AD50	1333	BMW Bank		09/10/2021	245,000.00	234,405.29	245,000.00	0.650	1,096	314	0.651	09/10/2024
06652CHB0	1227	BankWest Inc		09/27/2019	248,000.00	239,011.48	248,000.00	1.700	1,827	331	1.702	09/27/2024
05584CJJ6	1533	BNY Mellon		09/07/2023	244,000.00	234,844.52	244,000.00	4.500	1,827	1,772	4.505	09/07/2028
108622NJ6	1479	Bridgewater Bank		03/29/2023	248,000.00	243,080.28	248,000.00	4.850	1,461	1,244	4.767	03/29/2027
14042RQB0	1346	Capital One Natl Assn FDIC4297		11/17/2021	248,000.00	217,146.58	248,000.00	1.100	1,826	1,112	1.101	11/17/2026
14042TDD6	1271	Capital One USA FDIC33954		04/08/2020	245,000.00	231,154.52	245,000.00	1.600	1,826	524	1.601	04/08/2025
14622LAA0	1316	Carter FCU		04/27/2021	248,000.00	220,991.71	248,000.00	0.750	1,826	908	0.750	04/27/2026
20033A3A2	1386	Comenity Capital Bank		04/14/2022	248,000.00	225,629.27	248,000.00	2.650	1,826	1,260	2.652	04/14/2027
15118RRH2	1220	Celtic Bank		08/30/2019	248,000.00	240,073.28	248,000.00	1.850	1,827	303	1.852	08/30/2024
156634AK3	1184	Century Next Bank		05/29/2019	248,000.00	243,413.30	248,000.00	2.500	1,827	210	2.503	05/29/2024
152577BN1	1493	Central Bank		05/12/2023	248,000.00	233,997.93	248,000.00	4.000	1,827	1,654	4.005	05/12/2028
169894AS1	1284	Chippewa Valley Bk		06/24/2020	248,000.00	228,597.23	248,000.00	0.600	1,826	601	0.600	06/24/2025
16141BAC5	1506	Chartway FCU		06/09/2023	248,000.00	243,956.71	248,000.00	4.900	1,096	951	4.905	06/09/2026
12547CBJ6	1497	CIBC Bank USA		05/16/2023	244,000.00	233,652.46	244,000.00	4.350	1,827	1,658	4.355	05/16/2028
17286TAG0	1252	Citadel FCU		02/27/2020	248,000.00	235,127.28	248,000.00	1.650	1,827	484	1.652	02/27/2025
2027506M2	1268	Commonwealth Business Bk		03/31/2020	248,000.00	232,903.30	248,000.00	1.250	1,826	516	1.251	03/31/2025
20825WAR1	1357	Connexus CU		12/23/2021	249,000.00	217,983.31	249,000.00	1.250	1,826	1,148	1.250	12/23/2026
22258JAB7	1430	County Schools FCU		09/30/2022	248,000.00	238,891.94	248,000.00	4.400	1,826	1,429	4.325	09/30/2027
20143PDV9	1162	Commercial Bank		11/15/2018	248,000.00	247,603.37	248,000.00	3.400	1,826	14	3.402	11/15/2023
20416TAQ5	1202	Communitywide FCU		06/28/2019	248,000.00	242,313.74	248,000.00	2.250	1,827	240	2.253	06/28/2024
20786ADL6	1334	Connect One		09/24/2021	248,000.00	216,163.39	248,000.00	0.800	1,826	1,058	0.800	09/24/2026
176688CP2	1199	Citizens State Bank		06/21/2019	248,000.00	242,758.04	248,000.00	2.400	1,827	233	2.403	06/21/2024
23204HPB8	1507	Customers Bank		06/14/2023	244,000.00	241,318.42	244,000.00	4.500	1,827	1,687	4.505	06/14/2028
23248UAB3	1494	Cy-Fair FCU		05/12/2023	248,000.00	238,992.46	248,000.00	4.500	1,827	1,654	4.505	05/12/2028
24773RCR4	1377	Delta Natl B&T		03/09/2022	245,000.00	218,912.61	245,000.00	2.000	1,814	1,212	2.001	02/25/2027
25460FDW3	1438	Direct FCU		11/07/2022	248,000.00	242,349.23	248,000.00	4.800	1,827	1,468	4.735	11/08/2027
254673E69	1392	Discover Bank Greenwood DE CF		05/24/2022	245,000.00	235,566.77	245,000.00	3.100	1,099	573	3.103	05/27/2025
25844MAK4	1447	Dort Financial CU		12/16/2022	247,000.00	238,611.76	247,000.00	4.500	1,826	1,506	4.503	12/16/2027
27004PCM3	1375	Eaglemark Savings		03/02/2022	245,000.00	218,814.03	245,000.00	2.000	1,826	1,217	2.001	03/02/2027

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Certificate of Deposits											
299547AQ2	1196	Liberty FCU F/K/A Evansville T		06/12/2019	248,000.00	243,411.39	248,000.00	2.600	1,827	224	2.603 06/12/2024
87270LDL4	1306	TIAA F/K/A EverBank		02/12/2021	245,000.00	218,208.79	245,000.00	0.500	1,826	834	0.500 02/12/2026
300185LM5	1457	Evergreen Bank Group		01/27/2023	248,000.00	237,123.90	248,000.00	3.850	1,277	999	3.849 07/27/2026
307811DF3	1363	Farmers & Merch		01/14/2022	249,000.00	229,594.29	249,000.00	0.900	1,277	621	0.900 07/14/2025
30960QAR8	1526	Farmers Insurance Group FCU		07/26/2023	248,000.00	246,944.86	248,000.00	5.400	733	635	5.408 07/28/2025
31617CAV5	1317	Fidelity Homestead		04/30/2021	248,000.00	219,499.08	248,000.00	0.700	1,826	911	0.711 04/30/2026
33847E3A3	1276	Flagstar		04/30/2020	248,000.00	232,268.51	248,000.00	1.250	1,826	546	1.251 04/30/2025
34520LATO	1435	Forbright Bank		11/02/2022	248,000.00	240,531.52	248,000.00	4.600	1,826	1,462	4.602 11/02/2027
35637RDC8	1248	Freedom Financial		02/14/2020	248,000.00	235,098.49	248,000.00	1.550	1,827	471	1.552 02/14/2025
35089LAF0	1491	Four Points FCU		05/10/2023	248,000.00	241,963.08	248,000.00	4.550	1,097	922	4.443 05/11/2026
38149MXX4	1326	Goldman Sachs		07/28/2021	248,000.00	218,731.91	248,000.00	1.000	1,826	1,000	1.001 07/28/2026
38120MCA2	1511	Golden State Business Bank		06/22/2023	249,000.00	240,599.06	249,000.00	4.450	1,461	1,329	4.453 06/22/2027
39573LBC1	1313	Greenstate FCU		04/16/2021	249,000.00	222,231.25	249,000.00	0.950	1,826	897	0.951 04/16/2026
397417AQ9	1419	Greenwoods State Bank		05/17/2022	248,000.00	228,397.05	248,000.00	3.050	1,826	1,293	3.052 05/17/2027
42228LAN1	1547	Healthcare Systems FCU		10/27/2023	248,000.00	248,000.00	248,000.00	5.100	1,827	1,822	5.106 10/27/2028
45157PAZ3	1450	Ideal CU		12/29/2022	248,000.00	239,537.52	248,000.00	4.500	1,826	1,519	4.502 12/29/2027
46256YAZ2	1186	Iowa State Bank		05/23/2019	245,000.00	240,414.88	245,000.00	2.400	1,827	204	2.403 05/23/2024
472312AA5	1514	Jeep Country FCU		06/29/2023	248,000.00	241,707.56	248,000.00	4.700	1,461	1,336	4.704 06/29/2027
48115LAD6	1396	Jovia Financial Credit Union		06/07/2022	248,000.00	247,000.40	248,000.00	2.500	548	36	2.502 12/07/2023
48128HXU7	1185	JP Morgan Chase		05/16/2019	245,000.00	239,997.86	245,000.00	3.250	1,827	197	3.254 05/16/2024
49306SJ56	1475	Kay Bank, N.A.		03/15/2023	244,000.00	241,674.50	244,000.00	5.000	733	502	5.008 03/17/2025
499724AP7	1532	Knoxville TVA Credit Union		08/25/2023	248,000.00	242,398.63	248,000.00	4.850	1,827	1,759	4.854 08/25/2028
51828MAC8	1449	Latino Comm. CU		12/21/2022	248,000.00	239,561.60	248,000.00	4.500	1,826	1,511	4.503 12/21/2027
530520AH8	1466	Liberty First CU		02/21/2023	248,000.00	239,230.62	248,000.00	4.500	1,827	1,574	4.504 02/22/2028
501798RP9	1356	LCA Bank Corp		12/27/2021	248,000.00	220,060.09	248,000.00	1.000	1,642	968	1.000 06/26/2026
52168UHY1	1389	Leader Bank		04/22/2022	245,000.00	234,197.01	245,000.00	2.550	1,096	538	2.552 04/22/2025
52171MAM7	1549	Leaders Credit Union		10/30/2023	248,000.00	245,089.12	248,000.00	5.100	1,827	1,825	5.106 10/30/2028
52470QEC4	1539	Legacy Bank & Trust		09/27/2023	248,000.00	238,632.79	248,000.00	4.500	1,827	1,792	4.505 09/27/2028
524661CB9	1197	Legacy Bank		06/19/2019	248,000.00	242,804.20	248,000.00	2.400	1,827	231	2.403 06/19/2024
50625LAW3	1384	Lafayette FCU		03/30/2022	248,000.00	244,248.92	248,000.00	2.050	729	148	2.053 03/28/2024
538036GV0	1238	Live Oak Bank		11/27/2019	248,000.00	242,389.72	248,000.00	1.800	1,644	209	1.802 05/28/2024
51210SQU4	1208	Lakeside Bank		07/30/2019	248,000.00	245,636.54	248,000.00	2.000	1,644	89	2.003 01/29/2024
51507LCC6	1305	Landmark Community Bank		01/22/2021	248,000.00	221,298.73	248,000.00	0.500	1,826	813	0.500 01/22/2026
560507AQ8	1522	Maine Savings FCU		07/21/2023	248,000.00	241,892.40	248,000.00	4.800	1,827	1,724	4.806 07/21/2028
56065GAG3	1188	Main Street Bank		04/26/2019	248,000.00	244,264.24	248,000.00	2.600	1,827	177	2.603 04/26/2024
58404DTP6	1545	Medallion Bank		10/20/2023	248,000.00	247,819.78	248,000.00	4.850	1,827	1,815	4.855 10/20/2028

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59161YAP1	1373	Metro Credit Union		02/18/2022	249,000.00	220,097.44	249,000.00	1.700	1,826	1,205	1.701 02/18/2027
59524LAA4	1474	Mid Carolina Credit Union		03/13/2023	248,000.00	244,658.08	248,000.00	4.850	1,096	863	4.855 03/13/2026
60425SKB4	1486	Minnwest Bank		05/01/2023	248,000.00	238,228.91	248,000.00	4.250	1,463	1,279	4.254 05/03/2027
60936TAL3	1538	Money One FCU		09/14/2023	248,000.00	243,955.97	248,000.00	5.000	1,827	1,779	5.005 09/14/2028
61690UNX4	1237	Morgan Stanley Bank		11/20/2019	245,000.00	235,487.92	245,000.00	1.950	1,827	385	1.952 11/20/2024
59013KPN0	1345	Merrick Bank		11/09/2021	249,000.00	217,912.50	249,000.00	1.100	1,826	1,104	1.101 11/09/2026
565819AG4	1379	Marathon Bnak		03/16/2022	248,000.00	219,472.40	248,000.00	1.800	1,826	1,231	1.801 03/16/2027
61760A3B3	1236	Morgan Stanley Private Bk, NA		11/20/2019	245,000.00	235,361.46	245,000.00	1.900	1,827	385	1.902 11/20/2024
62384RAT3	1485	Mountain America Federal CU		04/28/2023	248,000.00	243,766.76	248,000.00	4.700	1,096	909	4.705 04/28/2026
654062JZ2	1266	Nicolet Natl Bank		03/31/2020	248,000.00	233,413.23	248,000.00	1.400	1,826	516	1.401 03/31/2025
64034KAZ4	1376	Nelnet Bank		03/02/2022	245,000.00	224,637.04	245,000.00	1.800	1,461	852	1.801 03/02/2026
635573AL2	1170	National Cooperative Bank, N.A		12/21/2018	245,000.00	244,068.90	245,000.00	3.400	1,826	50	3.402 12/21/2023
67054NAN3	1164	Numerica Credit Union		11/28/2018	248,000.00	247,504.06	248,000.00	3.550	1,826	27	3.552 11/28/2023
666613MK7	1544	Northpointe Bank		10/20/2023	248,000.00	245,847.67	248,000.00	4.850	1,827	1,815	4.855 10/20/2028
66736ABP3	1181	Northwest Bank		02/13/2019	248,000.00	246,005.46	248,000.00	2.950	1,826	104	2.951 02/13/2024
69506YRH4	1269	Pacific Western Bk/Banc of CA		04/16/2020	245,000.00	239,913.99	245,000.00	1.300	1,461	167	1.301 04/16/2024
70962LAF9	1331	Pentagon FCU		09/01/2021	249,000.00	227,341.51	249,000.00	0.700	1,462	671	0.687 09/02/2025
710571DS6	1210	People's Bank		07/31/2019	248,000.00	241,080.24	248,000.00	2.000	1,827	273	2.002 07/31/2024
724468AC7	1483	Pitney Bowes Bank		04/14/2023	244,000.00	233,874.68	244,000.00	4.350	1,826	1,625	4.355 04/13/2028
72651LCL6	1195	Plains Commerce Bank		06/07/2019	245,000.00	240,512.18	245,000.00	2.550	1,827	219	2.553 06/07/2024
732329BD8	1425	Ponce Bank		09/15/2022	248,000.00	231,032.77	248,000.00	3.500	1,826	1,414	3.502 09/15/2027
740367HP5	1213	Preferred Bank		08/16/2019	249,000.00	241,661.26	249,000.00	2.000	1,827	289	2.002 08/16/2024
761402BY1	1203	Revere Bank		06/28/2019	247,000.00	241,434.52	247,000.00	2.300	1,827	240	2.303 06/28/2024
77579ADF0	1251	Rollstone B&T		02/12/2020	245,000.00	241,992.27	245,000.00	1.650	1,461	103	1.651 02/12/2024
795451AF0	1327	Sallie Mae Bank Salt Lake City		07/28/2021	248,000.00	217,942.40	248,000.00	1.000	1,826	1,000	1.001 07/28/2026
804375DL4	1235	Sauk Valley B&T Co		11/07/2019	248,000.00	237,981.61	248,000.00	1.700	1,827	372	1.702 11/07/2024
843383CS7	1498	Southern Bank Poplar MO		05/17/2023	248,000.00	235,972.13	248,000.00	4.200	1,827	1,659	4.205 05/17/2028
80865MAB3	1454	Scient FCU		01/13/2023	248,000.00	245,063.81	248,000.00	4.650	731	439	4.656 01/13/2025
79772FAG1	1459	San Francisco FCU		02/03/2023	248,000.00	238,023.88	248,000.00	4.350	1,826	1,555	4.352 02/03/2028
82671DAB3	1458	Signature FCU		01/31/2023	248,000.00	238,505.38	248,000.00	4.400	1,826	1,552	4.402 01/31/2028
78472EAB0	1455	SPCO Credit Union		01/20/2023	249,000.00	238,911.38	249,000.00	4.350	1,826	1,541	4.352 01/20/2028
849430BF9	1257	Spring Bank		03/20/2020	248,000.00	234,049.80	248,000.00	1.500	1,826	505	1.501 03/20/2025
84229LBA9	1434	Southern Bank Sardis GA		10/28/2022	244,000.00	237,097.86	244,000.00	4.250	1,188	819	4.254 01/28/2026
84223QAN7	1286	Southern Bancorp Bk		06/26/2020	248,000.00	235,379.48	248,000.00	0.500	1,582	359	0.500 10/25/2024
85279AAC6	1509	St Vincent Med Center FCU		06/16/2023	248,000.00	240,881.93	248,000.00	4.600	1,461	1,323	4.604 06/16/2027
87165ET98	1332	Synchrony Bank Retail		09/03/2021	245,000.00	199,557.40	245,000.00	0.900	1,826	1,037	0.900 09/03/2026

Portfolio CITY
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**City of La Quinta
Portfolio Management
Portfolio Details - Investments
October 31, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term Maturity	YTM 365	Maturity Date
Certificate of Deposits											
89388CEY0	1328	Transportation Alliance Bk TAB		07/23/2021	248,000.00	232,200.85	248,000.00	0.400	1,280	449	0.401 01/23/2025
87868YAO6	1501	Technology Credit Union		05/30/2023	248,000.00	244,607.30	248,000.00	5.000	1,095	940	5.005 05/29/2026
882213AB7	1260	Texas Bank Financial		03/31/2020	245,000.00	240,271.87	245,000.00	1.100	1,458	148	1.101 03/28/2024
472382AQ3	1272	The Jefferson Bank		04/15/2020	248,000.00	242,837.88	248,000.00	1.250	1,461	166	1.251 04/15/2024
89235MKY6	1314	Toyota Financial Savings Bank		04/22/2021	245,000.00	218,513.67	245,000.00	0.900	1,826	903	0.900 04/22/2026
89269FDP7	1415	Tradition Capital		07/20/2022	246,000.00	244,429.43	246,000.00	3.000	551	82	3.008 01/22/2024
89789AAG2	1473	Truliant FCU		03/10/2023	248,000.00	241,525.26	248,000.00	4.700	1,645	1,409	4.707 09/10/2027
89786MAF1	1368	True Sky FCU		02/04/2022	245,000.00	216,348.06	245,000.00	1.600	1,826	1,191	1.601 02/04/2027
898812AC6	1537	Tucson FCU		09/08/2023	248,000.00	243,956.89	248,000.00	5.000	1,827	1,773	5.005 09/08/2028
90355GHG4	1546	UBS Bank USA		10/25/2023	248,000.00	248,000.00	248,000.00	4.900	1,827	1,820	4.905 10/25/2028
909557KQ2	1477	United Bankers Bank		03/16/2023	248,000.00	245,567.57	248,000.00	5.000	732	502	4.823 03/17/2025
914098DJ4	1442	University Bank		11/30/2022	249,000.00	237,822.17	249,000.00	4.200	1,826	1,490	4.202 11/30/2027
914242AA0	1429	University Credit Union		09/26/2022	248,000.00	240,754.43	248,000.00	4.000	1,096	695	3.891 09/26/2025
91527PBY2	1495	Univest Bank & Trust		05/12/2023	248,000.00	237,494.10	248,000.00	4.350	1,827	1,654	4.355 05/12/2028
90983WBT7	1249	United Community		02/07/2020	248,000.00	235,587.98	248,000.00	1.650	1,827	464	1.652 02/07/2025
910286GN7	1513	United Fidelity Bank		06/29/2023	248,000.00	245,070.74	248,000.00	4.500	1,827	1,702	4.505 06/29/2028
91139LAB2	1378	United Roosevelt Savings		03/11/2022	248,000.00	220,332.99	248,000.00	1.900	1,826	1,226	1.901 03/11/2027
90352RDB8	1500	US Alliance FCU		05/26/2023	248,000.00	239,488.18	248,000.00	4.550	1,827	1,668	4.555 05/26/2028
91739JAB1	1523	Utah First FCU		07/21/2023	245,000.00	241,013.46	245,000.00	5.000	1,827	1,724	5.006 07/21/2028
92559TAJ7	1325	Vibrant Credit Union		07/02/2021	249,000.00	219,477.77	248,377.50	0.800	1,824	972	0.852 06/30/2026
92834ABT2	1496	VisionBank		05/12/2023	248,000.00	234,497.39	248,000.00	4.050	1,827	1,654	4.055 05/12/2028
92891CCZ3	1472	Vystar CU		03/10/2023	248,000.00	239,681.75	248,000.00	4.550	1,827	1,591	4.555 03/10/2028
949763XY7	1174	Wells Fargo		02/27/2019	248,000.00	245,862.10	248,000.00	3.000	1,826	118	3.001 02/27/2024
98138MCA6	1548	Workers FCU		10/30/2023	248,000.00	248,000.00	248,000.00	5.200	1,827	1,825	5.206 10/30/2028
938828BH2	1215	Washington Federal		08/23/2019	248,000.00	240,557.76	248,000.00	2.000	1,827	296	2.002 08/23/2024
95960NKD8	1277	Western State Bank		05/13/2020	245,000.00	228,097.52	245,000.00	1.000	1,826	559	1.001 05/13/2025
Subtotal and Average			39,623,538.79		40,317,000.00	38,459,922.97	40,316,377.50		1,673	913	2.891
Corporate Notes											
45950VPS9	1308	International Finance Corp.		02/26/2021	500,000.00	447,635.00	497,300.00	0.500	1,826	848	0.610 02/26/2026
931142EE9	1512	Wal-Mart Stores, Inc		06/26/2023	1,000,000.00	941,280.00	973,110.00	3.700	1,827	1,699	4.303 06/26/2028
Subtotal and Average			1,470,410.00		1,500,000.00	1,388,915.00	1,470,410.00		1,827	1,411	3.054
Money Market with Fiscal Agent											
SYS1058	1058	US Bank		07/01/2016	13,847.37	13,847.37	13,847.37		1	1	0.000

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
October 31, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Term	Days to Maturity	YTM 365	Maturity Date
Subtotal and Average			13,794.83		13,847.37	13,847.37	13,847.37			1	1	0.000
CERBT - OPEB Trust												
SYS1114	1114	CalPERS CERBT Plan		07/01/2023	1,773,353.25	1,773,353.25	1,773,353.25			1	1	0.000
Subtotal and Average			1,773,353.25		1,773,353.25	1,773,353.25	1,773,353.25			1	1	0.000
PARS Pension Trust												
SYS1230	1230	Pblc Agncy Rtrmnt Serv			5,036,465.39	5,036,465.39	5,036,465.39			1	1	0.000
Subtotal and Average			5,139,901.30		5,036,465.39	5,036,465.39	5,036,465.39			1	1	0.000
Total and Average			231,466,278.97		231,222,658.45	223,194,374.90	229,695,141.49			903	511	3.383



**City of La Quinta
Total Earnings
Sorted by Fund - Fund
October 1, 2023 - October 31, 2023**

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
98-33-434	1055	101	LAIF	14,507,754.14	19,256,152.68	14,507,754.14	3.670	3.989	65,236.40	0.00	0.00	65,236.40
SYS1059	1059	101	CITYPC	3,300.00	3,300.00	3,300.00			0.00	0.00	0.00	0.00
SYS1114	1114	101	CALPRS	1,773,353.25	1,773,353.25	1,773,353.25			0.00	0.00	0.00	0.00
SYS1153	1153	101	CAMP	29,853,837.11	29,713,603.41	29,853,837.11	5.560	5.557	140,233.70	0.00	0.00	140,233.70
474067AQ8	1154	101	JEFF	0.00	245,000.00	0.00	3.350	3.350	404.75	0.00	0.00	404.75
57116ARV2	1155	101	MARBUS	0.00	248,000.00	0.00	3.300	3.300	381.17	0.00	0.00	381.17
625925AR3	1160	101	MUNTRS	0.00	245,000.00	0.00	3.200	3.200	365.15	0.00	0.00	365.15
90348JEJ5	1161	101	UBS	0.00	245,000.00	0.00	3.350	3.350	382.27	0.00	0.00	382.27
20143PDV9	1162	101	COMMBK	248,000.00	248,000.00	248,000.00	3.400	3.400	716.14	0.00	0.00	716.14
67054NAN3	1164	101	NMRCA	248,000.00	248,000.00	248,000.00	3.550	3.550	747.74	0.00	0.00	747.74
635573AL2	1170	101	NLCOOP	245,000.00	245,000.00	245,000.00	3.400	3.400	707.47	0.00	0.00	707.47
066851WJ1	1172	101	BARHAR	248,000.00	248,000.00	248,000.00	3.350	3.350	705.61	0.00	0.00	705.61
949763XY7	1174	101	WELLS	248,000.00	248,000.00	248,000.00	3.000	3.000	631.89	0.00	0.00	631.89
3130AFW94	1177	101	FHLB	500,000.00	498,550.00	498,550.00	2.500	2.460	1,041.66	0.00	0.00	1,041.66
66736ABP3	1181	101	NRTHWS	248,000.00	248,000.00	248,000.00	2.950	2.950	621.36	0.00	0.00	621.36
156634AK3	1184	101	CENTNX	248,000.00	248,000.00	248,000.00	2.500	2.500	526.57	0.00	0.00	526.57
48128HXU7	1185	101	JPMORG	245,000.00	245,000.00	245,000.00	3.250	3.250	676.27	0.00	0.00	676.27
46256YAZ2	1186	101	IOWAST	245,000.00	245,000.00	245,000.00	2.400	2.400	499.40	0.00	0.00	499.40
56065GAG3	1188	101	MAINST	248,000.00	248,000.00	248,000.00	2.600	2.600	547.64	0.00	0.00	547.64
72651LCL6	1195	101	PLAINS	245,000.00	245,000.00	245,000.00	2.550	2.550	530.61	0.00	0.00	530.61
299547AQ2	1196	101	EVNSCU	248,000.00	248,000.00	248,000.00	2.600	2.600	547.64	0.00	0.00	547.64
524661CB9	1197	101	LEGCY	248,000.00	248,000.00	248,000.00	2.400	2.400	505.51	0.00	0.00	505.51
176688CP2	1199	101	CTZNST	248,000.00	248,000.00	248,000.00	2.400	2.400	505.52	0.00	0.00	505.52
20416TAQ5	1202	101	COMMW	248,000.00	248,000.00	248,000.00	2.250	2.250	473.92	0.00	0.00	473.92
761402BY1	1203	101	REVER	247,000.00	247,000.00	247,000.00	2.300	2.300	482.49	0.00	0.00	482.49
3135G0V75	1206	101	FNMA	500,000.00	495,950.00	495,950.00	1.750	1.731	729.17	0.00	0.00	729.17
00257TBD7	1207	101	ABACUS	248,000.00	248,000.00	248,000.00	1.950	1.950	410.73	0.00	0.00	410.73
51210SQU4	1208	101	LKSIDE	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
33625CCP2	1209	101	1STSEC	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
710571DS6	1210	101	PEOPLE	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26

City of La Quinta
 Total Earnings
 October 1, 2023 - October 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
3133EKVV4	1212	101	FFCB	500,000.00	499,500.00	499,500.00	1.850	1.817	770.83	0.00	0.00	770.83
740367HP5	1213	101	PREFRD	249,000.00	249,000.00	249,000.00	2.000	2.000	422.96	0.00	0.00	422.96
938828BH2	1215	101	WSHFED	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
33766LAJ7	1216	101	1STIER	249,000.00	249,000.00	249,000.00	1.950	1.950	412.38	0.00	0.00	412.38
15118RRH2	1220	101	CELTIC	248,000.00	248,000.00	248,000.00	1.850	1.850	389.67	0.00	0.00	389.67
334342CD2	1221	101	1STNBS	249,000.00	249,000.00	249,000.00	1.850	1.850	391.24	0.00	0.00	391.24
336460CX6	1222	101	1STDQN	248,000.00	248,000.00	248,000.00	1.800	1.800	379.13	0.00	0.00	379.13
3133EKP75	1224	101	FFCB	500,000.00	498,750.00	498,750.00	1.600	1.574	666.67	0.00	0.00	666.67
06652CHB0	1227	101	BNKWST	248,000.00	248,000.00	248,000.00	1.700	1.700	358.07	0.00	0.00	358.07
059731851	1228	101	BOTW	7,128,260.98	4,176,100.00	7,128,260.98			0.01	0.00	0.00	0.01
SYS1230	1230	101	PARS	5,036,465.39	5,143,349.16	5,036,465.39			0.00	0.00	0.00	0.00
33640VDD7	1231	101	1STSER	248,000.00	248,000.00	248,000.00	1.700	1.700	358.07	0.00	0.00	358.07
3133EK4X1	1234	101	FFCB	1,000,000.00	996,900.00	996,900.00	1.600	1.575	1,333.33	0.00	0.00	1,333.33
804375DL4	1235	101	SAUKVL	248,000.00	248,000.00	248,000.00	1.700	1.700	358.07	0.00	0.00	358.07
61760A3B3	1236	101	MSPRIV	245,000.00	245,000.00	245,000.00	1.900	1.900	395.35	0.00	0.00	395.35
61690UNX4	1237	101	MORGST	245,000.00	245,000.00	245,000.00	1.950	1.950	405.76	0.00	0.00	405.76
538036GV0	1238	101	LIVEOK	248,000.00	248,000.00	248,000.00	1.800	1.800	379.13	0.00	0.00	379.13
912828YV6	1241	101	USTR	1,000,000.00	989,687.50	989,687.50	1.500	1.511	1,270.50	0.00	0.00	1,270.50
3133ELEA8	1242	101	FFCB	1,000,000.00	998,600.00	998,600.00	1.700	1.670	1,416.67	0.00	0.00	1,416.67
3133ELNE0	1246	101	FFCB	1,000,000.00	999,000.00	999,000.00	1.430	1.404	1,191.67	0.00	0.00	1,191.67
35637RDC8	1248	101	FRDMFI	248,000.00	248,000.00	248,000.00	1.550	1.550	326.48	0.00	0.00	326.48
90983WBT7	1249	101	UNTDCM	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
32114VBT3	1250	101	1STNMI	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
77579ADF0	1251	101	RLLSTN	245,000.00	245,000.00	245,000.00	1.650	1.650	343.33	0.00	0.00	343.33
17286TAG0	1252	101	CITADL	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
029728BC5	1255	101	AMERST	248,000.00	248,000.00	248,000.00	1.600	1.600	337.01	0.00	0.00	337.01
00435JBH5	1256	101	ACCSS	248,000.00	248,000.00	248,000.00	1.600	1.600	337.01	0.00	0.00	337.01
849430BF9	1257	101	SPRING	248,000.00	248,000.00	248,000.00	1.500	1.500	315.94	0.00	0.00	315.94
05465DAE8	1258	101	AXOS	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
882213AB7	1260	101	TEXAS	245,000.00	245,000.00	245,000.00	1.100	1.100	228.89	0.00	0.00	228.89
654062JZ2	1266	101	NCOLET	248,000.00	248,000.00	248,000.00	1.400	1.400	294.88	0.00	0.00	294.88
020080BX4	1267	101	ALMABK	248,000.00	248,000.00	248,000.00	1.400	1.400	294.88	0.00	0.00	294.88
2027506M2	1268	101	CMWBUS	248,000.00	248,000.00	248,000.00	1.250	1.250	263.29	0.00	0.00	263.29
69506YRH4	1269	101	PACWST	245,000.00	245,000.00	245,000.00	1.300	1.300	270.51	0.00	0.00	270.51
08016PDQ9	1270	101	BELB&T	248,000.00	248,000.00	248,000.00	1.250	1.250	263.29	0.00	0.00	263.29
14042TDD6	1271	101	CAPONE	245,000.00	245,000.00	245,000.00	1.600	1.600	332.93	0.00	0.00	332.93
472382AQ3	1272	101	THEJEF	248,000.00	248,000.00	248,000.00	1.250	1.250	263.28	0.00	0.00	263.28

City of La Quinta
 Total Earnings
 October 1, 2023 - October 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
32027BAL1	1273	101	1STFDM	249,000.00	249,000.00	249,000.00	1.200	1.200	253.77	0.00	0.00	253.77
32112UDR9	1274	101	1STMCG	248,000.00	248,000.00	248,000.00	1.350	1.350	284.35	0.00	0.00	284.35
33847E3A3	1276	101	FLGSTR	248,000.00	248,000.00	248,000.00	1.250	1.250	263.29	0.00	0.00	263.29
95960NKD8	1277	101	WSTRNS	245,000.00	245,000.00	245,000.00	1.000	1.000	208.08	0.00	0.00	208.08
32056GDJ6	1278	101	1STINT	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
3134GVYG7	1279	101	FHLMC	1,000,000.00	1,000,000.00	1,000,000.00	0.625	0.613	520.83	0.00	0.00	520.83
3133ELH23	1280	101	FFCB	500,000.00	499,850.00	499,850.00	0.500	0.491	208.33	0.00	0.00	208.33
3130AJKW8	1281	101	FHLB	500,000.00	499,850.00	499,850.00	0.500	0.491	208.33	0.00	0.00	208.33
3133ELH80	1282	101	FFCB	500,000.00	500,000.00	500,000.00	0.680	0.667	283.34	0.00	0.00	283.34
3130AJRP6	1283	101	FHLB	300,000.00	300,000.00	300,000.00	0.680	0.667	170.00	0.00	0.00	170.00
169894AS1	1284	101	CHIPVA	248,000.00	248,000.00	248,000.00	0.600	0.600	126.38	0.00	0.00	126.38
84223QAN7	1286	101	STHRNB	248,000.00	248,000.00	248,000.00	0.500	0.500	105.32	0.00	0.00	105.32
064520BG3	1287	101	BKPRNC	248,000.00	248,000.00	248,000.00	0.500	0.500	105.32	0.00	0.00	105.32
3135G05S8	1288	101	FNMA	500,000.00	500,000.00	500,000.00	0.500	0.491	208.33	0.00	0.00	208.33
3136G4N74	1289	101	FNMA	1,000,000.00	1,000,000.00	1,000,000.00	0.560	0.549	466.67	0.00	0.00	466.67
3136G4M75	1290	101	FNMA	500,000.00	499,750.00	499,750.00	0.520	0.510	216.66	0.00	0.00	216.66
3135G06E8	1291	101	FNMA	500,000.00	499,250.00	499,250.00	0.420	0.413	175.00	0.00	0.00	175.00
3135GA2Z3	1292	101	FNMA	500,000.00	499,250.00	499,250.00	0.560	0.550	233.34	0.00	0.00	233.34
SYS1293	1293	101	BNY	282,659.38	1,684,317.31	282,659.38			0.00	0.00	0.00	0.00
3137EAEU9	1297	101	FHLMC	1,000,000.00	997,300.00	997,300.00	0.375	0.369	312.50	0.00	0.00	312.50
3130AKFA9	1298	101	FHLB	500,000.00	497,400.00	497,400.00	0.375	0.370	156.25	0.00	0.00	156.25
3135GA7D7	1299	101	FNMA	500,000.00	500,000.00	500,000.00	0.600	0.589	250.00	0.00	0.00	250.00
3135GAAW1	1300	101	FNMA	500,000.00	500,000.00	500,000.00	0.400	0.392	166.66	0.00	0.00	166.66
3134GXGZ1	1301	101	FHLMC	500,000.00	500,000.00	500,000.00	0.550	0.540	229.17	0.00	0.00	229.17
3130AKMZ6	1302	101	FHLB	500,000.00	500,000.00	500,000.00	0.510	0.500	212.50	0.00	0.00	212.50
91282CBC4	1303	101	USTR	500,000.00	498,632.81	498,632.81	0.375	0.373	157.94	0.00	0.00	157.94
3130AKN28	1304	101	FHLB	500,000.00	500,000.00	500,000.00	0.550	0.540	229.17	0.00	0.00	229.17
51507LCC6	1305	101	LNDMRK	248,000.00	248,000.00	248,000.00	0.500	0.500	105.32	0.00	0.00	105.32
87270LDL4	1306	101	EVRBA	245,000.00	245,000.00	245,000.00	0.500	0.500	104.04	0.00	0.00	104.04
3137EAEX3	1307	101	FHLMC	500,000.00	495,999.50	495,999.50	0.375	0.371	156.25	0.00	0.00	156.25
45950VPS9	1308	101	IFC	500,000.00	497,300.00	497,300.00	0.500	0.499	210.59	0.00	0.00	210.59
91282CBH3	1309	101	USTR	500,000.00	495,100.00	495,100.00	0.375	0.376	157.95	0.00	0.00	157.95
3137EAEX3	1310	101	FHLMC	1,000,000.00	983,940.00	983,940.00	0.375	0.374	312.50	0.00	0.00	312.50
91282CAT8	1311	101	USTR	1,000,000.00	977,500.00	977,500.00	0.250	0.254	210.67	0.00	0.00	210.67
3130ALV92	1312	101	FHLB	500,000.00	500,000.00	500,000.00	1.050	1.030	437.50	0.00	0.00	437.50
39573LBC1	1313	101	GRNST	249,000.00	249,000.00	249,000.00	0.950	0.950	200.91	0.00	0.00	200.91
89235MKY6	1314	101	TOYFSB	245,000.00	245,000.00	245,000.00	0.900	0.900	187.27	0.00	0.00	187.27

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
91282CAZ4	1315	101	USTR	500,000.00	492,187.50	492,187.50	0.375	0.380	158.81	0.00	0.00	158.81
14622LAA0	1316	101	CARTER	248,000.00	248,000.00	248,000.00	0.750	0.750	157.97	0.00	0.00	157.97
31617CAV5	1317	101	FIDHMS	248,000.00	248,000.00	248,000.00	0.700	0.700	147.44	0.00	0.00	147.44
SYS1318	1318	101	DPME	843,198.53	825,789.63	843,198.53			0.00	0.00	0.00	0.00
91282CBQ3	1319	101	USTR	500,000.00	494,165.00	494,165.00	0.500	0.507	212.91	0.00	0.00	212.91
91282CBT7	1320	101	USTR	500,000.00	499,525.00	499,525.00	0.750	0.749	317.62	0.00	0.00	317.62
91282CCF6	1321	101	USTR	1,000,000.00	997,060.00	997,060.00	0.750	0.750	635.25	0.00	0.00	635.25
91282CBT7	1322	101	USTR	500,000.00	498,450.00	498,450.00	0.750	0.750	317.62	0.00	0.00	317.62
91282CCF6	1323	101	USTR	500,000.00	497,095.00	497,095.00	0.750	0.752	317.62	0.00	0.00	317.62
3130AMFS6	1324	101	FHLB	1,000,000.00	993,420.00	993,420.00	0.750	0.741	625.00	0.00	0.00	625.00
92559TAJ7	1325	101	VIBRNT	249,000.00	248,377.50	248,377.50	0.800	0.802	169.18	0.00	0.00	169.18
38149MXX4	1326	101	GLDMAN	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
795451AF0	1327	101	SALMAE	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
89388CEY0	1328	101	TABBK	248,000.00	248,000.00	248,000.00	0.400	0.400	84.25	0.00	0.00	84.25
06417NZQ9	1329	101	BKOZK	248,000.00	248,000.00	248,000.00	0.400	0.400	84.25	0.00	0.00	84.25
3133EM2C5	1330	101	FFCB	500,000.00	498,000.00	498,000.00	0.710	0.699	295.83	0.00	0.00	295.83
70962LAF9	1331	101	PENTGN	249,000.00	249,000.00	249,000.00	0.700	0.700	148.04	0.00	0.00	148.04
87165ET98	1332	101	SYNCHR	245,000.00	245,000.00	245,000.00	0.900	0.900	187.27	0.00	0.00	187.27
05580AD50	1333	101	BMW	245,000.00	245,000.00	245,000.00	0.650	0.650	135.26	0.00	0.00	135.26
20786ADL6	1334	101	CONNEC	248,000.00	248,000.00	248,000.00	0.800	0.800	168.51	0.00	0.00	168.51
91282CCP4	1335	101	USTR	1,000,000.00	983,750.00	983,750.00	0.625	0.630	526.49	0.00	0.00	526.49
91282CCW9	1336	101	USTR	1,000,000.00	988,500.00	988,500.00	0.750	0.761	638.73	0.00	0.00	638.73
3130APBM6	1337	101	FHLB	1,000,000.00	999,000.00	999,000.00	1.000	0.982	833.33	0.00	0.00	833.33
3133EM4X7	1338	101	FFCB	1,000,000.00	991,080.00	991,080.00	0.800	0.792	666.66	0.00	0.00	666.66
3130APB46	1339	101	FHLB	1,000,000.00	998,250.00	998,250.00	0.950	0.934	791.67	0.00	0.00	791.67
91282CBE0	1342	101	USTR	1,000,000.00	991,000.00	991,000.00	0.125	0.125	105.29	0.00	0.00	105.29
91282CDB4	1343	101	USTR	1,000,000.00	996,320.00	996,320.00	0.625	0.626	529.37	0.00	0.00	529.37
3133ENCO1	1344	101	FFCB	1,000,000.00	1,000,000.00	1,000,000.00	1.270	1.246	1,058.33	0.00	0.00	1,058.33
59013KPN0	1345	101	MRRCK	249,000.00	249,000.00	249,000.00	1.100	1.100	232.63	0.00	0.00	232.63
14042RQB0	1346	101	CAP1NA	248,000.00	248,000.00	248,000.00	1.100	1.100	231.70	0.00	0.00	231.70
3130APTV7	1347	101	FHLB	500,000.00	499,500.00	499,500.00	0.700	0.688	291.67	0.00	0.00	291.67
91282CBA8	1351	101	USTR	1,000,000.00	990,000.00	990,000.00	0.125	0.126	105.88	0.00	0.00	105.88
91282CBR1	1352	101	USTR	1,000,000.00	989,800.00	989,800.00	0.250	0.253	212.91	0.00	0.00	212.91
3130AP2U8	1353	101	FHLB	1,000,000.00	987,100.00	987,100.00	0.550	0.547	458.34	0.00	0.00	458.34
3135G06G3	1354	101	FNMA	1,000,000.00	976,300.00	976,300.00	0.500	0.503	416.67	0.00	0.00	416.67
3133ENGN4	1355	101	FFCB	1,000,000.00	1,000,000.00	1,000,000.00	0.970	0.952	808.33	0.00	0.00	808.33
501798RP9	1356	101	LCA	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
20825WAR1	1357	101	CNNXS	249,000.00	249,000.00	249,000.00	1.250	1.250	264.35	0.00	0.00	264.35
3130AQF65	1358	101	FHLB	1,000,000.00	999,750.00	999,750.00	1.250	1.227	1,041.67	0.00	0.00	1,041.67
3134GW6C5	1359	101	FHLMC	500,000.00	486,000.00	486,000.00	0.800	0.808	333.33	0.00	0.00	333.33
91282CBV2	1360	101	USTR	500,000.00	494,882.81	494,882.81	0.375	0.378	158.81	0.00	0.00	158.81
3137EAEU9	1361	101	FHLMC	500,000.00	487,090.00	487,090.00	0.375	0.378	156.25	0.00	0.00	156.25
3134GW5R3	1362	101	FHLMC	400,000.00	391,360.00	391,360.00	0.650	0.652	216.67	0.00	0.00	216.67
307811DF3	1363	101	FARMER	249,000.00	249,000.00	249,000.00	0.900	0.900	190.33	0.00	0.00	190.33
3130AQJR5	1364	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.500	1.472	1,250.00	0.00	0.00	1,250.00
3135G03U5	1365	101	FNMA	500,000.00	487,790.00	487,790.00	0.625	0.629	260.42	0.00	0.00	260.42
3134GWUQ7	1366	101	FHLMC	1,000,000.00	945,570.00	945,570.00	0.700	0.726	583.34	0.00	0.00	583.34
3130AQSA2	1367	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.830	1.796	1,525.00	0.00	0.00	1,525.00
89786MAF1	1368	101	TRUSKY	245,000.00	245,000.00	245,000.00	1.600	1.600	332.94	0.00	0.00	332.94
912828Z78	1369	101	USTR	1,000,000.00	986,700.00	986,700.00	1.500	1.508	1,263.59	0.00	0.00	1,263.59
07371AYE7	1370	101	BEALTX	245,000.00	245,000.00	245,000.00	1.900	1.900	395.36	0.00	0.00	395.36
07371CE88	1371	101	BEALUS	245,000.00	245,000.00	245,000.00	1.900	1.900	395.36	0.00	0.00	395.36
02357QAO0	1372	101	AMRNT	245,000.00	245,000.00	245,000.00	1.600	1.600	332.93	0.00	0.00	332.93
59161YAP1	1373	101	METRO	249,000.00	249,000.00	249,000.00	1.700	1.700	359.52	0.00	0.00	359.52
3130AQWY5	1374	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.700	1.668	1,416.67	0.00	0.00	1,416.67
27004PCM3	1375	101	EGLMRK	245,000.00	245,000.00	245,000.00	2.000	2.000	416.16	0.00	0.00	416.16
64034KAZ4	1376	101	NELNET	245,000.00	245,000.00	245,000.00	1.800	1.800	374.55	0.00	0.00	374.55
24773RCR4	1377	101	DELTA	245,000.00	245,000.00	245,000.00	2.000	2.000	416.17	0.00	0.00	416.17
91139LAB2	1378	101	URSVLT	248,000.00	248,000.00	248,000.00	1.900	1.900	400.20	0.00	0.00	400.20
565819AG4	1379	101	MRTTHON	248,000.00	248,000.00	248,000.00	1.800	1.800	379.13	0.00	0.00	379.13
91282CCW9	1380	101	USTR	500,000.00	466,454.17	466,454.17	0.750	0.806	319.37	0.00	0.00	319.37
91282CDG3	1381	101	USTR	500,000.00	473,396.82	473,396.82	1.125	1.179	474.01	0.00	0.00	474.01
91282CBQ3	1382	101	USTR	750,000.00	698,025.00	698,025.00	0.500	0.539	319.37	0.00	0.00	319.37
9128286S4	1383	101	USTR	1,000,000.00	999,010.00	999,010.00	2.375	2.359	2,001.39	0.00	0.00	2,001.39
50625LAW3	1384	101	LFYTT	248,000.00	248,000.00	248,000.00	2.050	2.050	431.79	0.00	0.00	431.79
3130ARGJ4	1385	101	FHLB	500,000.00	500,000.00	500,000.00	2.500	2.453	1,041.67	0.00	0.00	1,041.67
20033A3A2	1386	101	CCBA	248,000.00	248,000.00	248,000.00	2.650	2.650	558.16	0.00	0.00	558.16
3130ARGY1	1387	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	2.700	2.649	2,250.00	0.00	0.00	2,250.00
02007GPX5	1388	101	ALLY	245,000.00	245,000.00	245,000.00	2.550	2.550	530.61	0.00	0.00	530.61
52168UHY1	1389	101	LEADR	245,000.00	245,000.00	245,000.00	2.550	2.550	530.61	0.00	0.00	530.61
9128283D0	1390	101	USTR	1,000,000.00	985,190.00	985,190.00	2.250	2.266	1,896.05	0.00	0.00	1,896.05
91282CEF4	1391	101	USTR	1,000,000.00	976,860.00	976,860.00	2.500	2.552	2,117.48	0.00	0.00	2,117.48
254673E69	1392	101	DISCOV	245,000.00	245,000.00	245,000.00	3.100	3.100	645.05	0.00	0.00	645.05
48115LAD6	1396	101	JOVIA	248,000.00	248,000.00	248,000.00	2.500	2.500	526.57	0.00	0.00	526.57

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									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
912828X88	1397	101	USTR	1,000,000.00	969,687.50	969,687.50	2.375	2.429	2,000.68	0.00	0.00	2,000.68
91282CEN7	1398	101	USTR	500,000.00	495,000.00	495,000.00	2.750	2.756	1,158.69	0.00	0.00	1,158.69
91282CET4	1399	101	USTR	500,000.00	491,842.18	491,842.18	2.625	2.661	1,111.68	0.00	0.00	1,111.68
9128282U3	1400	101	USTR	500,000.00	490,850.00	490,850.00	1.875	1.915	798.42	0.00	0.00	798.42
3133ENYH7	1401	101	FFCB	500,000.00	499,080.00	499,080.00	2.625	2.580	1,093.75	0.00	0.00	1,093.75
3130ASDV8	1409	101	FHLB	300,000.00	300,000.00	300,000.00	3.300	3.238	825.00	0.00	0.00	825.00
89269FDP7	1415	101	TRADCP	246,000.00	246,000.00	246,000.00	3.000	3.000	626.80	0.00	0.00	626.80
91282CFB2	1417	101	USTR	1,000,000.00	989,460.00	989,460.00	2.750	2.757	2,316.58	0.00	0.00	2,316.58
912828XT2	1418	101	USTR	1,000,000.00	985,240.00	985,240.00	2.000	2.024	1,693.99	0.00	0.00	1,693.99
397417AQ9	1419	101	GRNWDS	248,000.00	248,000.00	248,000.00	3.050	3.050	642.43	0.00	0.00	642.43
88413QDN5	1420	101	3RD	245,000.00	245,000.00	245,000.00	3.300	3.300	686.67	0.00	0.00	686.67
02589ADH2	1421	101	AMEXNB	245,000.00	245,000.00	245,000.00	3.450	3.450	717.88	0.00	0.00	717.88
91282CFB2	1422	101	USTR	1,000,000.00	979,645.67	979,645.67	2.750	2.784	2,316.58	0.00	0.00	2,316.58
732329BD8	1425	101	PONCE	248,000.00	248,000.00	248,000.00	3.500	3.500	737.21	0.00	0.00	737.21
3133ENL99	1426	101	FFCB	1,000,000.00	997,492.55	997,492.55	3.375	3.320	2,812.50	0.00	0.00	2,812.50
052392BT3	1427	101	AUSTEL	248,000.00	248,000.00	248,000.00	3.800	3.800	800.39	0.00	0.00	800.39
914242AA0	1429	101	UNIVCU	248,000.00	248,000.00	248,000.00	4.000	4.000	842.52	0.00	0.00	842.52
22258JAB7	1430	101	CNTYSC	248,000.00	248,000.00	248,000.00	4.400	4.400	926.78	0.00	0.00	926.78
3133ENQ29	1433	101	FFCB	1,000,000.00	996,400.00	996,400.00	4.000	3.939	3,333.34	0.00	0.00	3,333.34
84229LBA9	1434	101	STHBNK	244,000.00	244,000.00	244,000.00	4.250	4.250	880.74	0.00	0.00	880.74
34520LATO	1435	101	FORBRT	248,000.00	248,000.00	248,000.00	4.600	4.600	968.90	0.00	0.00	968.90
25460FDW3	1438	101	DIRFCU	248,000.00	248,000.00	248,000.00	4.800	4.800	1,011.03	0.00	0.00	1,011.03
9128282R0	1439	101	USTR	1,000,000.00	927,110.00	927,110.00	2.250	2.407	1,895.38	0.00	0.00	1,895.38
3133EN3H1	1441	101	FFCB	1,000,000.00	998,650.00	998,650.00	4.000	3.930	3,333.33	0.00	0.00	3,333.33
914098DJ4	1442	101	UNIVBK	249,000.00	249,000.00	249,000.00	4.200	4.200	888.21	0.00	0.00	888.21
3133EN3S7	1446	101	FFCB	1,000,000.00	998,000.00	998,000.00	3.750	3.687	3,125.00	0.00	0.00	3,125.00
25844MAK4	1447	101	DORTCU	247,000.00	247,000.00	247,000.00	4.500	4.500	944.01	0.00	0.00	944.01
01664MAB2	1448	101	ALL IN	248,000.00	248,000.00	248,000.00	4.400	4.400	926.77	0.00	0.00	926.77
51828MAC8	1449	101	LATCOM	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
45157PAZ3	1450	101	IDEAL	248,000.00	248,000.00	248,000.00	4.500	4.500	947.84	0.00	0.00	947.84
01882MAC6	1451	101	ALIAN	247,000.00	247,000.00	247,000.00	5.000	5.000	1,048.91	0.00	0.00	1,048.91
80865MAB3	1454	101	SCIENT	248,000.00	248,000.00	248,000.00	4.650	4.650	979.43	0.00	0.00	979.43
78472EAB0	1455	101	SPCOCU	249,000.00	249,000.00	249,000.00	4.350	4.350	919.94	0.00	0.00	919.94
91282CFH9	1456	101	USTR	500,000.00	489,175.00	489,175.00	3.125	3.203	1,330.70	0.00	0.00	1,330.70
300185LM5	1457	101	EVRGRN	248,000.00	248,000.00	248,000.00	3.850	3.850	810.93	0.00	0.00	810.93
82671DAB3	1458	101	SIGFCU	248,000.00	248,000.00	248,000.00	4.400	4.400	926.77	0.00	0.00	926.77
79772FAG1	1459	101	SF FCU	248,000.00	248,000.00	248,000.00	4.350	4.350	916.24	0.00	0.00	916.24

City of La Quinta
 Total Earnings
 October 1, 2023 - October 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
91282CGH8	1460	101	USTR	1,000,000.00	996,369.14	996,369.14	3.500	3.484	2,948.37	0.00	0.00	2,948.37
3133EPAV7	1464	101	FFCB	1,000,000.00	995,400.00	995,400.00	3.875	3.820	3,229.16	0.00	0.00	3,229.16
91282CEW7	1465	101	USTR	1,000,000.00	967,220.00	967,220.00	3.250	3.333	2,737.77	0.00	0.00	2,737.77
530520AH8	1466	101	LBRTY1	248,000.00	248,000.00	248,000.00	4.500	4.500	947.84	0.00	0.00	947.84
09582YAF9	1467	101	BLURDG	244,000.00	244,000.00	244,000.00	4.200	4.200	870.38	0.00	0.00	870.38
011852AE0	1469	101	ALASKA	248,000.00	248,000.00	248,000.00	4.600	4.600	968.90	0.00	0.00	968.90
92891CCZ3	1472	101	VYSTAR	248,000.00	248,000.00	248,000.00	4.550	4.550	958.37	0.00	0.00	958.37
89789AAG2	1473	101	TRUFUCU	248,000.00	248,000.00	248,000.00	4.700	4.700	989.96	0.00	0.00	989.96
59524LAA4	1474	101	MIDCAR	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.55	0.00	0.00	1,021.55
49306SJ56	1475	101	KEYBNK	244,000.00	244,000.00	244,000.00	5.000	5.000	1,036.17	0.00	0.00	1,036.17
064860MC0	1476	101	BKSIER	244,000.00	244,000.00	244,000.00	4.600	4.600	953.27	0.00	0.00	953.27
909557KQ2	1477	101	UNBKRS	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
00833JAQ4	1478	101	AFFNTY	248,000.00	248,000.00	248,000.00	4.900	4.900	1,032.09	0.00	0.00	1,032.09
108622NJ6	1479	101	BRIDWA	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.56	0.00	0.00	1,021.56
3135GAGA3	1480	101	FNMA	2,000,000.00	2,000,000.00	2,000,000.00	5.200	5.102	8,666.67	0.00	0.00	8,666.67
3135GAGK1	1482	101	FNMA	3,000,000.00	3,000,000.00	3,000,000.00	5.050	4.955	12,625.00	0.00	0.00	12,625.00
724468AC7	1483	101	PITBOW	244,000.00	244,000.00	244,000.00	4.350	4.350	901.46	0.00	0.00	901.46
3134GYPJ5	1484	101	FHLMC	1,000,000.00	999,100.00	999,100.00	5.200	5.107	4,333.33	0.00	0.00	4,333.33
62384RAT3	1485	101	MTNAMR	248,000.00	248,000.00	248,000.00	4.700	4.700	989.96	0.00	0.00	989.96
60425SKB4	1486	101	MINWST	248,000.00	248,000.00	248,000.00	4.250	4.250	895.18	0.00	0.00	895.18
912796YTO	1487	101	USTR	1,000,000.00	975,854.17	975,854.17	4.750		0.00	0.00	0.00	0.00
912797FA0	1488	101	USTR	0.00	2,935,470.00	0.00	4.780	72.943	0.00	0.00	64,530.00	64,530.00
35089LAF0	1491	101	FRPNTS	248,000.00	248,000.00	248,000.00	4.550	4.550	958.37	0.00	0.00	958.37
062119BT8	1492	101	BANK59	248,000.00	248,000.00	248,000.00	4.250	4.250	895.18	0.00	0.00	895.18
152577BN1	1493	101	CENTRL	248,000.00	248,000.00	248,000.00	4.000	4.000	842.52	0.00	0.00	842.52
23248UAB3	1494	101	CYFAIR	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
91527PBY2	1495	101	UNIVST	248,000.00	248,000.00	248,000.00	4.350	4.350	916.24	0.00	0.00	916.24
92834ABT2	1496	101	VISION	248,000.00	248,000.00	248,000.00	4.050	4.050	853.05	0.00	0.00	853.05
12547CBJ6	1497	101	CIBCBK	244,000.00	244,000.00	244,000.00	4.350	4.350	901.46	0.00	0.00	901.46
843383CS7	1498	101	SBPOPM	248,000.00	248,000.00	248,000.00	4.200	4.200	884.65	0.00	0.00	884.65
06610RCA5	1499	101	BANKRS	248,000.00	248,000.00	248,000.00	4.150	4.150	874.12	0.00	0.00	874.12
90352RDB8	1500	101	USAFUCU	248,000.00	248,000.00	248,000.00	4.550	4.550	958.37	0.00	0.00	958.37
87868YAQ6	1501	101	TECHCU	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
912797FL6	1502	101	USTR	4,000,000.00	3,898,126.67	3,898,126.67	5.180		0.00	0.00	0.00	0.00
3133EPME2	1505	101	FFCB	1,000,000.00	998,190.00	998,190.00	3.875	3.809	3,229.17	0.00	0.00	3,229.17
16141BAC5	1506	101	CHRTWY	248,000.00	248,000.00	248,000.00	4.900	4.900	1,032.09	0.00	0.00	1,032.09
23204HPB8	1507	101	CUST	244,000.00	244,000.00	244,000.00	4.500	4.500	932.55	0.00	0.00	932.55

City of La Quinta
 Total Earnings
 October 1, 2023 - October 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
91282CGT2	1508	101	USTR	1,000,000.00	984,600.00	984,600.00	3.625	3.672	3,070.36	0.00	0.00	3,070.36
85279AAC6	1509	101	STVINC	248,000.00	248,000.00	248,000.00	4.600	4.600	968.89	0.00	0.00	968.89
01025RAG4	1510	101	ALABAM	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
38120MCA2	1511	101	GLDNST	249,000.00	249,000.00	249,000.00	4.450	4.450	941.09	0.00	0.00	941.09
931142EE9	1512	101	WALMRT	1,000,000.00	973,110.00	973,110.00	3.700	3.731	3,083.33	0.00	0.00	3,083.33
910286GN7	1513	101	UNTFDL	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
472312AA5	1514	101	JEPCO	248,000.00	248,000.00	248,000.00	4.700	4.700	989.97	0.00	0.00	989.97
31911KAK4	1515	101	1STELK	248,000.00	248,000.00	248,000.00	4.400	4.400	926.78	0.00	0.00	926.78
912828V80	1516	101	USTR	2,000,000.00	1,964,400.00	1,964,400.00	2.250	2.272	3,790.76	0.00	0.00	3,790.76
912796ZN2	1517	101	USTR	3,000,000.00	2,920,963.32	2,920,963.32	5.240		0.00	0.00	0.00	0.00
912828B66	1518	101	USTR	2,000,000.00	1,969,580.00	1,969,580.00	2.750	2.770	4,633.15	0.00	0.00	4,633.15
91282CDV0	1519	101	USTR	1,000,000.00	975,600.00	975,600.00	0.875	0.890	737.10	0.00	0.00	737.10
05765LBU0	1520	101	BALBOA	248,000.00	248,000.00	248,000.00	4.400	4.400	926.77	0.00	0.00	926.77
6067-001TERM	1521	101	CAMP	20,000,000.00	20,000,000.00	20,000,000.00	5.645	5.645	95,879.18	0.00	0.00	95,879.18
560507AQ8	1522	101	MAINE	248,000.00	248,000.00	248,000.00	4.800	4.800	1,011.03	0.00	0.00	1,011.03
91739JAB1	1523	101	UTH1ST	245,000.00	245,000.00	245,000.00	5.000	5.000	1,040.41	0.00	0.00	1,040.41
91282CGT2	1524	101	USTR	1,000,000.00	990,000.00	990,000.00	3.625	3.652	3,070.36	0.00	0.00	3,070.36
91282CHA2	1525	101	USTR	1,000,000.00	985,000.00	985,000.00	3.500	3.526	2,949.41	0.00	0.00	2,949.41
30960QAR8	1526	101	FARMIG	248,000.00	248,000.00	248,000.00	5.400	5.400	1,137.40	0.00	0.00	1,137.40
3133EPOD0	1527	101	FFCB	1,000,000.00	998,655.69	998,655.69	4.250	4.176	3,541.67	0.00	0.00	3,541.67
91282CEK3	1528	101	USTR	1,000,000.00	980,080.00	980,080.00	2.500	2.531	2,106.72	0.00	0.00	2,106.72
3130ARHG9	1529	101	FHLB	1,000,000.00	982,520.00	982,520.00	2.125	2.122	1,770.83	0.00	0.00	1,770.83
912828W71	1530	101	USTR	2,000,000.00	1,960,078.13	1,960,078.13	2.125	2.162	3,599.73	0.00	0.00	3,599.73
3133EPSK2	1531	101	FFCB	1,000,000.00	990,400.00	990,400.00	4.250	4.210	3,541.67	0.00	0.00	3,541.67
499724AP7	1532	101	KNOX	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.56	0.00	0.00	1,021.56
05584CJJ6	1533	101	BNYMEL	244,000.00	244,000.00	244,000.00	4.500	4.500	932.55	0.00	0.00	932.55
313384YJ2	1534	101	FHLB	2,000,000.00	1,916,929.44	1,916,929.44	5.210		0.00	0.00	0.00	0.00
9128282U3	1535	101	USTR	3,000,000.00	2,899,170.81	2,899,170.81	1.875	1.946	4,790.52	0.00	0.00	4,790.52
9128282N9	1536	101	USTR	2,000,000.00	1,942,840.00	1,942,840.00	2.125	2.170	3,580.16	0.00	0.00	3,580.16
898812AC6	1537	101	TUCSON	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
60936TAL3	1538	101	MONEY1	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
52470QEC4	1539	101	LEGBKT	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
3130AXEL8	1541	101	FHLB	1,000,000.00	0.00	999,500.00	4.750	4.652	3,694.45	0.00	0.00	3,694.45
912796CX5	1542	101	USTR	2,000,000.00	0.00	1,945,964.16	5.315		0.00	0.00	0.00	0.00
91282CGT2	1543	101	USTR	1,000,000.00	0.00	949,180.00	3.625	3.809	1,287.57	0.00	0.00	1,287.57
666613MK7	1544	101	NORPNT	248,000.00	0.00	248,000.00	4.850	4.850	362.49	0.00	0.00	362.49
58404DTP6	1545	101	MEDBA	248,000.00	0.00	248,000.00	4.850	4.850	362.49	0.00	0.00	362.49

City of La Quinta
 Total Earnings
 October 1, 2023 - October 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
90355GHG4	1546	101	UBS	248,000.00	0.00	248,000.00	4.900	4.900	199.76	0.00	0.00	199.76
42228LAN1	1547	101	HEALTH	248,000.00	0.00	248,000.00	5.100	5.100	138.61	0.00	0.00	138.61
98138MCA6	1548	101	WORKRS	248,000.00	0.00	248,000.00	5.200	5.200	35.33	0.00	0.00	35.33
52171MAM7	1549	101	LEADRS	248,000.00	0.00	248,000.00	5.100	5.100	34.65	0.00	0.00	34.65
Subtotal				228,995,828.78	229,151,274.32	227,468,311.82		3.187	556,224.57	0.00	64,530.00	620,754.57
Fund: Fiscal Agent												
SYS1058	1058	231	USBANK	13,847.37	13,793.08	13,847.37		4.634	54.29	0.00	0.00	54.29
Subtotal				13,847.37	13,793.08	13,847.37		4.634	54.29	0.00	0.00	54.29
Fund: Housing Authority : WSA and LQ												
SYS1062	1062	241	LQPR	128,317.07	141,682.52	128,317.07			0.00	0.00	0.00	0.00
Subtotal				128,317.07	141,682.52	128,317.07			0.00	0.00	0.00	0.00
Fund: SA Low/Mod Bond Fund												
25-33-005	1113	249	LAIF	2,084,665.23	2,066,034.56	2,084,665.23	3.670	4.012	7,040.63	0.00	0.00	7,040.63
Subtotal				2,084,665.23	2,066,034.56	2,084,665.23		4.012	7,040.63	0.00	0.00	7,040.63
Total				231,222,658.45	231,372,784.48	229,695,141.49		3.193	563,319.49	0.00	64,530.00	627,849.49



**City of La Quinta
Portfolio Management
Portfolio Summary
November 30, 2023**

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 365 Equiv.
Bank Accounts	10,203,654.50	10,203,654.50	10,203,654.50	4.45	1	1	0.000
CAMP TERM	20,000,000.00	20,000,000.00	20,000,000.00	8.72	263	133	5.645
Local Agency Investment Fund-City	14,507,754.14	13,990,936.78	14,507,754.14	6.33	1	1	3.843
Local Agency Invstmnt Fund-Housing	2,084,665.23	2,053,564.57	2,084,665.23	0.91	1	1	3.843
Money Market Accounts - CAMP	29,990,769.01	29,990,769.01	29,990,769.01	13.08	1	1	5.580
Federal Agency Coupon Securities	51,000,000.00	48,491,112.00	50,756,307.74	22.14	1,547	837	2.310
Federal Agency Discount	2,000,000.00	1,945,840.00	1,916,929.44	0.84	287	202	5.533
Treasury Coupon Securities	45,500,000.00	43,625,270.00	44,580,620.04	19.44	1,179	712	3.170
Treasury Discount Notes	6,000,000.00	5,926,180.00	5,841,105.48	2.55	181	85	5.556
Certificate of Deposits	40,811,000.00	39,175,787.93	40,810,377.50	17.80	1,673	914	2.936
Corporate Notes	1,500,000.00	1,422,520.00	1,470,410.00	0.64	1,827	1,381	3.054
Money Market with Fiscal Agent	13,903.88	13,903.88	13,903.88	0.01	1	1	0.000
CERBT - OPEB Trust	1,773,353.25	1,773,353.25	1,773,353.25	0.77	1	1	0.000
PARS Pension Trust	5,319,206.44	5,319,206.44	5,319,206.44	2.32	1	1	0.000
Investments	230,704,306.45	223,932,098.36	229,269,056.65	100.00%	912	511	3.358

Total Earnings	November 30 Month Ending	Fiscal Year To Date
Current Year	673,510.84	3,294,050.72
Average Daily Balance	230,593,438.13	240,610,826.00
Effective Rate of Return	3.55%	3.27%

I certify that this report accurately reflects all pooled investments and is in compliance with the California Government Code and the City Investment Policy. As Treasurer of the City of La Quinta, I hereby certify that sufficient investment liquidity and anticipated revenues are available to meet the City's expenditure requirements for the next six months. The City of La Quinta used the monthly account statements issued by our financial institutions to determine the fair market value of investments at month end.



 Claudia Martinez, Finance Director/City Treasurer
 2/08/2024

Reporting period 11/01/2023-11/30/2023

Run Date: 02/01/2024 - 18:18

Portfolio CITY
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.6.1

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
November 30, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term Maturity	YTM 365	Maturity Date
Bank Accounts											
SYS1293	1293	Bank of New York		09/01/2020	2,050,855.59	2,050,855.59	2,050,855.59		1 1	0.000	
059731851	1228	BMO f/k/a Bank of the West		08/20/2019	7,141,420.81	7,141,420.81	7,141,420.81		1 1	0.000	
SYS1059	1059	City Petty Cash		07/01/2016	3,300.00	3,300.00	3,300.00		1 1	0.000	
SYS1318	1318	Dune Palms Mobile Estates		03/09/2021	874,624.36	874,624.36	874,624.36		1 1	0.000	
SYS1062	1062	La Quinta Palms Realty		07/01/2016	133,453.74	133,453.74	133,453.74		1 1	0.000	
Subtotal and Average			9,746,186.54		10,203,654.50	10,203,654.50	10,203,654.50		1 1	0.000	
CAMP TERM											
6067-001TERM	1521	California Asset Management Pr		07/24/2023	20,000,000.00	20,000,000.00	20,000,000.00	5.645	263 133	5.645	04/12/2024
Subtotal and Average			20,000,000.00		20,000,000.00	20,000,000.00	20,000,000.00		263 133	5.645	
Local Agency Investment Fund-City											
98-33-434	1055	Local Agency Inv Fund			14,507,754.14	13,990,936.78	14,507,754.14	3.843	1 1	3.843	
Subtotal and Average			14,507,754.14		14,507,754.14	13,990,936.78	14,507,754.14		1 1	3.843	
Local Agency Invstmnt Fund-Housing											
25-33-005	1113	Local Agency Inv Fund			2,084,665.23	2,053,564.57	2,084,665.23	3.843	1 1	3.843	
Subtotal and Average			2,084,665.23		2,084,665.23	2,053,564.57	2,084,665.23		1 1	3.843	
Money Market Accounts - CAMP											
SYS1153	1153	California Asset Management Pr		09/26/2018	29,990,769.01	29,990,769.01	29,990,769.01	5.580	1 1	5.580	
Subtotal and Average			29,858,401.51		29,990,769.01	29,990,769.01	29,990,769.01		1 1	5.580	
Federal Agency Coupon Securities											
3133EKVV4	1212	Federal Farm Credit Bank		08/02/2019	500,000.00	488,870.00	499,500.00	1.850	1,820 238	1.871	07/26/2024
3133EKP75	1224	Federal Farm Credit Bank		09/17/2019	500,000.00	485,850.00	498,750.00	1.600	1,827 291	1.652	09/17/2024
3133ELEA8	1242	Federal Farm Credit Bank		12/17/2019	1,000,000.00	973,500.00	998,600.00	1.700	1,736 291	1.731	09/17/2024
3133ELNE0	1246	Federal Farm Credit Bank		02/14/2020	1,000,000.00	991,870.00	999,000.00	1.430	1,461 75	1.456	02/14/2024
3133ELH23	1280	Federal Farm Credit Bank		06/09/2020	500,000.00	466,750.00	499,850.00	0.500	1,826 556	0.506	06/09/2025
3133ELH80	1282	Federal Farm Credit Bank		06/10/2020	500,000.00	468,370.00	500,000.00	0.680	1,826 557	0.680	06/10/2025
3133EM2C5	1330	Federal Farm Credit Bank		08/10/2021	500,000.00	450,580.00	498,000.00	0.710	1,826 983	0.792	08/10/2026
3133EM4X7	1338	Federal Farm Credit Bank		09/28/2021	1,000,000.00	901,590.00	991,080.00	0.800	1,808 1,014	0.985	09/10/2026
3133ENCQ1	1344	Federal Farm Credit Bank		11/02/2021	1,000,000.00	909,760.00	1,000,000.00	1.270	1,826 1,067	1.270	11/02/2026
3133ENGN4	1355	Federal Farm Credit Bank		12/09/2021	1,000,000.00	957,010.00	1,000,000.00	0.970	1,096 374	0.970	12/09/2024
3133ENYH7	1401	Federal Farm Credit Bank		06/10/2022	500,000.00	492,745.00	499,080.00	2.625	731 192	2.720	06/10/2024

**City of La Quinta
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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term Maturity	YTM 365	Maturity Date	
Federal Agency Coupon Securities												
3133ENL99	1426	Federal Farm Credit Bank		09/15/2022	1,000,000.00	960,960.00	997,492.55	3.375	1,826	1,384	3.430	09/15/2027
3133ENQ29	1433	Federal Farm Credit Bank		09/30/2022	1,000,000.00	982,290.00	996,400.00	4.000	1,825	1,398	4.080	09/29/2027
3133EN3H1	1441	Federal Farm Credit Bank		11/29/2022	1,000,000.00	981,680.00	998,650.00	4.000	1,826	1,459	4.030	11/29/2027
3133EN3S7	1446	Federal Farm Credit Bank		12/07/2022	1,000,000.00	972,480.00	998,000.00	3.750	1,826	1,467	3.794	12/07/2027
3133EPAV7	1464	Federal Farm Credit Bank		02/15/2023	1,000,000.00	975,820.00	995,400.00	3.875	1,825	1,536	3.977	02/14/2028
3133EPME2	1505	Federal Farm Credit Bank		06/08/2023	1,000,000.00	974,210.00	998,190.00	3.875	1,827	1,651	3.915	06/08/2028
3133EPQD0	1527	Federal Farm Credit Bank		07/31/2023	1,000,000.00	988,720.00	998,655.69	4.250	1,813	1,690	4.280	07/17/2028
3133EPSK2	1531	Federal Farm Credit Bank		08/18/2023	1,000,000.00	988,400.00	990,400.00	4.250	1,816	1,711	4.467	08/07/2028
3133EPC45	1554	Federal Farm Credit Bank		11/13/2023	1,000,000.00	1,010,750.00	999,770.00	4.625	1,827	1,809	4.630	11/13/2028
3130AFW94	1177	Federal Home Loan Bank		03/01/2019	500,000.00	497,020.00	498,550.00	2.500	1,810	74	2.563	02/13/2024
3130AJKW8	1281	Federal Home Loan Bank		06/03/2020	500,000.00	467,540.00	499,850.00	0.500	1,836	560	0.506	06/13/2025
3130AJRP6	1283	Federal Home Loan Bank		06/30/2020	300,000.00	279,501.00	300,000.00	0.680	1,826	577	0.680	06/30/2025
3130AKFA9	1298	Federal Home Loan Bank		12/07/2020	500,000.00	458,065.00	497,400.00	0.375	1,831	742	0.480	12/12/2025
3130AKMZ6	1302	Federal Home Loan Bank		01/14/2021	500,000.00	457,495.00	500,000.00	0.510	1,826	775	0.510	01/14/2026
3130AKN28	1304	Federal Home Loan Bank		01/29/2021	500,000.00	457,165.00	500,000.00	0.550	1,826	790	0.550	01/29/2026
3130ALV92	1312	Federal Home Loan Bank		03/30/2021	500,000.00	461,075.00	500,000.00	1.050	1,826	850	0.938	03/30/2026
3130AMFS6	1324	Federal Home Loan Bank		06/17/2021	1,000,000.00	909,670.00	993,420.00	0.750	1,821	924	0.885	06/12/2026
3130APBM6	1337	Federal Home Loan Bank		09/30/2021	1,000,000.00	904,320.00	999,000.00	1.000	1,826	1,034	1.021	09/30/2026
3130APB46	1339	Federal Home Loan Bank		10/13/2021	1,000,000.00	902,980.00	998,250.00	0.950	1,826	1,047	0.986	10/13/2026
3130APTV7	1347	Federal Home Loan Bank		11/24/2021	500,000.00	464,300.00	499,500.00	2.000	1,826	1,089	1.489	11/24/2026
3130AP2U8	1353	Federal Home Loan Bank		12/07/2021	1,000,000.00	949,270.00	987,100.00	0.550	1,127	403	0.976	01/07/2025
3130AQF65	1358	Federal Home Loan Bank		12/22/2021	1,000,000.00	906,980.00	999,750.00	1.250	1,825	1,116	1.255	12/21/2026
3130AQJR5	1364	Federal Home Loan Bank		01/27/2022	1,000,000.00	909,100.00	1,000,000.00	1.500	1,826	1,153	1.500	01/27/2027
3130AQSA2	1367	Federal Home Loan Bank		02/10/2022	1,000,000.00	912,120.00	1,000,000.00	1.830	1,826	1,167	1.830	02/10/2027
3130AQWY5	1374	Federal Home Loan Bank		02/25/2022	1,000,000.00	958,060.00	1,000,000.00	1.700	1,095	451	1.700	02/24/2025
3130ARGJ4	1385	Federal Home Loan Bank		04/14/2022	500,000.00	479,585.00	500,000.00	2.500	1,279	683	2.500	10/14/2025
3130ARGY1	1387	Federal Home Loan Bank		04/19/2022	1,000,000.00	938,840.00	1,000,000.00	2.700	1,826	1,235	2.700	04/19/2027
3130ASDV8	1409	Federal Home Loan Bank		06/28/2022	300,000.00	286,845.00	300,000.00	3.300	1,826	1,305	3.300	06/28/2027
3130ARHG9	1529	Federal Home Loan Bank		08/10/2023	1,000,000.00	991,150.00	982,520.00	2.125	202	89	5.391	02/28/2024
3130AXEL8	1541	Federal Home Loan Bank		10/03/2023	1,000,000.00	1,014,030.00	999,500.00	4.750	1,802	1,743	4.762	09/08/2028
3134GVYG7	1279	Federal Home Loan Mtg Corp		05/27/2020	1,000,000.00	935,210.00	1,000,000.00	0.625	1,826	543	0.625	05/27/2025
3137EAEU9	1297	Federal Home Loan Mtg Corp		12/07/2020	1,000,000.00	930,610.00	997,300.00	0.375	1,687	598	0.434	07/21/2025
3134GXGZ1	1301	Federal Home Loan Mtg Corp		12/30/2020	500,000.00	459,310.00	500,000.00	0.550	1,826	760	0.550	12/30/2025
3137EAXE3	1307	Federal Home Loan Mtg Corp		02/23/2021	500,000.00	461,765.00	495,999.50	0.375	1,673	662	0.552	09/23/2025
3137EAXE3	1310	Federal Home Loan Mtg Corp		03/30/2021	1,000,000.00	923,530.00	983,940.00	0.375	1,638	662	0.740	09/23/2025
3134GW6C5	1359	Federal Home Loan Mtg Corp		01/05/2022	500,000.00	444,425.00	486,000.00	0.800	1,757	1,062	1.403	10/28/2026

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Federal Agency Coupon Securities												
3137EAEU9	1361	Federal Home Loan Mtg Corp		01/05/2022	500,000.00	465,305.00	487,090.00	0.375	1,293	598	1.120	07/21/2025
3134GW5R3	1362	Federal Home Loan Mtg Corp		01/05/2022	400,000.00	367,616.00	391,360.00	0.650	1,391	696	1.232	10/27/2026
3134GWUQ7	1366	Federal Home Loan Mtg Corp		02/10/2022	1,000,000.00	890,040.00	945,570.00	0.700	1,784	1,125	1.870	12/30/2026
3134GYPJ5	1484	Federal Home Loan Mtg Corp		04/24/2023	1,000,000.00	986,210.00	999,100.00	5.200	1,820	1,599	5.220	04/17/2028
3135G0V75	1206	Federal National Mtg Assn		07/15/2019	500,000.00	489,780.00	495,950.00	1.750	1,814	214	1.922	07/02/2024
3135G05S8	1288	Federal National Mtg Assn		08/14/2020	500,000.00	463,920.00	500,000.00	0.500	1,826	622	0.500	08/14/2025
3136G4N74	1289	Federal National Mtg Assn		08/21/2020	1,000,000.00	928,250.00	1,000,000.00	0.560	1,826	629	0.560	08/21/2025
3136G4M75	1290	Federal National Mtg Assn		08/28/2020	500,000.00	464,700.00	499,750.00	0.520	1,816	626	0.530	08/18/2025
3135G06E8	1291	Federal National Mtg Assn		11/18/2020	500,000.00	476,360.00	499,250.00	0.420	1,461	353	0.458	11/18/2024
3135GA2Z3	1292	Federal National Mtg Assn		11/17/2020	500,000.00	460,835.00	499,250.00	0.560	1,826	717	0.590	11/17/2025
3135GA7D7	1299	Federal National Mtg Assn		12/23/2020	500,000.00	459,220.00	500,000.00	0.600	1,826	753	0.600	12/23/2025
3135GAAW1	1300	Federal National Mtg Assn		12/30/2020	500,000.00	474,500.00	500,000.00	0.400	1,461	395	0.400	12/30/2024
3135G06G3	1354	Federal National Mtg Assn		12/07/2021	1,000,000.00	921,510.00	976,300.00	0.500	1,431	707	1.120	11/07/2025
3135G03U5	1365	Federal National Mtg Assn		01/28/2022	500,000.00	471,110.00	487,790.00	0.625	1,180	508	1.400	04/22/2025
3135GAGA3	1480	Federal National Mtg Assn		04/03/2023	2,000,000.00	1,995,140.00	2,000,000.00	5.200	484	242	5.206	07/30/2024
3135GAGK1	1482	Federal National Mtg Assn		04/12/2023	3,000,000.00	2,994,450.00	3,000,000.00	5.050	366	133	5.050	04/12/2024
Subtotal and Average			50,356,399.74		51,000,000.00	48,491,112.00	50,756,307.74		1,547	837	2.310	
Federal Agency Discount												
313384YJ2	1534	Federal Home Loan Bank		09/07/2023	2,000,000.00	1,945,840.00	1,916,929.44	5.210	287	202	5.533	06/20/2024
Subtotal and Average			1,916,929.44		2,000,000.00	1,945,840.00	1,916,929.44		287	202	5.533	
Treasury Coupon Securities												
912828YV6	1241	U.S. Treasury		12/16/2019	1,000,000.00	964,260.00	989,687.50	1.500	1,811	365	1.718	11/30/2024
91282CBC4	1303	U.S. Treasury		01/07/2021	500,000.00	458,145.00	498,632.81	0.375	1,819	761	0.431	12/31/2025
91282CBH3	1309	U.S. Treasury		02/23/2021	500,000.00	456,485.00	495,100.00	0.375	1,803	792	0.577	01/31/2026
91282CAT8	1311	U.S. Treasury		03/30/2021	1,000,000.00	918,630.00	977,500.00	0.250	1,676	700	0.750	10/31/2025
91282CAZ4	1315	U.S. Treasury		04/22/2021	500,000.00	459,120.00	492,187.50	0.375	1,683	730	0.720	11/30/2025
91282CBQ3	1319	U.S. Treasury		05/28/2021	500,000.00	456,545.00	494,165.00	0.500	1,737	820	0.750	02/28/2026
91282CBT7	1320	U.S. Treasury		05/28/2021	500,000.00	458,340.00	499,525.00	0.750	1,768	851	0.770	03/31/2026
91282CCF6	1321	U.S. Treasury		06/01/2021	1,000,000.00	911,130.00	997,060.00	0.750	1,825	912	0.810	05/31/2026
91282CBT7	1322	U.S. Treasury		06/17/2021	500,000.00	458,340.00	498,450.00	0.750	1,748	851	0.816	03/31/2026
91282CCF6	1323	U.S. Treasury		06/17/2021	500,000.00	455,565.00	497,095.00	0.750	1,809	912	0.870	05/31/2026
91282CCP4	1335	U.S. Treasury		09/29/2021	1,000,000.00	903,320.00	983,750.00	0.625	1,766	973	0.970	07/31/2026
91282CCW9	1336	U.S. Treasury		09/29/2021	1,000,000.00	903,980.00	988,500.00	0.750	1,797	1,004	0.990	08/31/2026
91282CBE0	1342	U.S. Treasury		10/22/2021	1,000,000.00	993,620.00	991,000.00	0.125	815	45	0.531	01/15/2024

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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	Maturity	YTM 365	Maturity Date
Treasury Coupon Securities												
91282CDB4	1343	U.S. Treasury		10/22/2021	1,000,000.00	961,130.00	996,320.00	0.625	1,089	319	0.750	10/15/2024
91282CBA8	1351	U.S. Treasury		12/07/2021	1,000,000.00	998,000.00	990,000.00	0.125	738	14	0.623	12/15/2023
91282CBR1	1352	U.S. Treasury		12/07/2021	1,000,000.00	985,550.00	989,800.00	0.250	829	105	0.704	03/15/2024
91282CBV2	1360	U.S. Treasury		01/05/2022	500,000.00	490,975.00	494,882.81	0.375	831	136	0.830	04/15/2024
912828Z78	1369	U.S. Treasury		02/10/2022	1,000,000.00	914,220.00	986,700.00	1.500	1,816	1,157	1.781	01/31/2027
91282CCW9	1380	U.S. Treasury		03/22/2022	500,000.00	451,990.00	466,454.17	0.750	1,623	1,004	2.350	08/31/2026
91282CDG3	1381	U.S. Treasury		03/22/2022	500,000.00	454,765.00	473,396.82	1.125	1,684	1,065	2.350	10/31/2026
91282CBQ3	1382	U.S. Treasury		03/23/2022	750,000.00	684,817.50	698,025.00	0.500	1,438	820	2.353	02/28/2026
9128286S4	1383	U.S. Treasury		03/23/2022	1,000,000.00	950,350.00	999,010.00	2.375	1,499	881	2.400	04/30/2026
9128283D0	1390	U.S. Treasury		05/03/2022	1,000,000.00	973,480.00	985,190.00	2.250	912	335	2.870	10/31/2024
91282CEF4	1391	U.S. Treasury		05/03/2022	1,000,000.00	941,250.00	976,860.00	2.500	1,793	1,216	3.010	03/31/2027
912828X88	1397	U.S. Treasury		06/07/2022	1,000,000.00	934,920.00	969,687.50	2.375	1,803	1,261	3.041	05/15/2027
91282CEN7	1398	U.S. Treasury		06/08/2022	500,000.00	473,770.00	495,000.00	2.750	1,787	1,246	2.971	04/30/2027
91282CET4	1399	U.S. Treasury		06/08/2022	500,000.00	471,135.00	491,842.18	2.625	1,818	1,277	2.980	05/31/2027
9128282U3	1400	U.S. Treasury		06/09/2022	500,000.00	487,600.00	490,850.00	1.875	814	274	2.727	08/31/2024
91282CFB2	1417	U.S. Treasury		08/15/2022	1,000,000.00	944,380.00	989,460.00	2.750	1,811	1,338	2.980	07/31/2027
912828XT2	1418	U.S. Treasury		05/04/2022	1,000,000.00	983,520.00	985,240.00	2.000	758	182	2.736	05/31/2024
91282CFB2	1422	U.S. Treasury		08/29/2022	1,000,000.00	944,380.00	979,645.67	2.750	1,797	1,338	3.200	07/31/2027
9128282R0	1439	U.S. Treasury		11/18/2022	1,000,000.00	926,880.00	927,110.00	2.250	1,731	1,353	3.950	08/15/2027
91282CFH9	1456	U.S. Treasury		01/24/2023	500,000.00	478,045.00	489,175.00	3.125	1,680	1,369	3.640	08/31/2027
91282CGH8	1460	U.S. Treasury		02/02/2023	1,000,000.00	967,230.00	996,369.14	3.500	1,824	1,522	3.580	01/31/2028
91282CEW7	1465	U.S. Treasury		02/15/2023	1,000,000.00	962,070.00	967,220.00	3.250	1,596	1,307	4.075	06/30/2027
91282CGT2	1508	U.S. Treasury		06/15/2023	1,000,000.00	971,450.00	984,600.00	3.625	1,751	1,582	3.980	03/31/2028
912828V80	1516	U.S. Treasury		06/30/2023	2,000,000.00	1,989,460.00	1,964,400.00	2.250	215	61	5.374	01/31/2024
912828B66	1518	U.S. Treasury		07/12/2023	2,000,000.00	1,989,380.00	1,969,580.00	2.750	218	76	5.382	02/15/2024
91282CDV0	1519	U.S. Treasury		07/12/2023	1,000,000.00	992,560.00	975,600.00	0.875	203	61	5.416	01/31/2024
91282CGT2	1524	U.S. Treasury		05/31/2023	1,000,000.00	971,450.00	990,000.00	3.625	1,766	1,582	3.853	03/31/2028
91282CHA2	1525	U.S. Treasury		05/31/2023	1,000,000.00	966,250.00	985,000.00	3.500	1,796	1,612	3.837	04/30/2028
91282CEK3	1528	U.S. Treasury		08/10/2023	1,000,000.00	988,320.00	980,080.00	2.500	264	151	5.340	04/30/2024
912828W71	1530	U.S. Treasury		08/10/2023	2,000,000.00	1,979,380.00	1,960,078.13	2.125	234	121	5.338	03/31/2024
9128282U3	1535	U.S. Treasury		09/07/2023	3,000,000.00	2,925,600.00	2,899,170.81	1.875	359	274	5.440	08/31/2024
9128282N9	1536	U.S. Treasury		09/07/2023	2,000,000.00	1,959,060.00	1,942,840.00	2.125	328	243	5.431	07/31/2024
91282CGT2	1543	U.S. Treasury		10/19/2023	1,000,000.00	971,450.00	949,180.00	3.625	1,625	1,582	4.910	03/31/2028
91282CFU0	1550	U.S. Treasury		11/06/2023	750,000.00	742,972.50	739,200.00	4.125	1,455	1,430	4.524	10/31/2027
Subtotal and Average			44,457,420.04		45,500,000.00	43,625,270.00	44,580,620.04		1,179	712	3.170	

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Treasury Discount Notes											
912796ZN2	1517	U.S. Treasury		06/30/2023	3,000,000.00	2,988,120.00	2,920,963.32	5.240	181	27	5.532 12/28/2023
912796CX5	1542	U.S. Treasury		10/18/2023	2,000,000.00	1,960,000.00	1,945,964.16	5.315	183	139	5.615 04/18/2024
912797HH3	1551	U.S. Treasury		11/06/2023	1,000,000.00	978,060.00	974,178.00	5.222	178	153	5.511 05/02/2024
Subtotal and Average			8,699,834.73		6,000,000.00	5,926,180.00	5,841,105.48		181	85	5.556
Certificate of Deposits											
336460CX6	1222	First State Bk DeQueen		08/30/2019	248,000.00	240,890.88	248,000.00	1.800	1,827	273	1.802 08/30/2024
31911KAK4	1515	First Bank Elk River		06/30/2023	248,000.00	244,968.52	248,000.00	4.400	1,827	1,673	4.405 06/30/2028
32027BAL1	1273	First Freedom Bank		04/29/2020	249,000.00	233,904.62	249,000.00	1.200	1,826	515	1.201 04/29/2025
33766LAJ7	1216	FirsTier Bank		08/23/2019	249,000.00	242,333.15	249,000.00	1.950	1,827	266	1.952 08/23/2024
32056GDJ6	1278	1st Internet Bank		05/11/2020	248,000.00	231,943.28	248,000.00	1.000	1,827	528	0.985 05/12/2025
32112UDR9	1274	First Natl Bk McGregor		04/28/2020	248,000.00	233,502.80	248,000.00	1.350	1,826	514	1.351 04/28/2025
334342CD2	1221	First Natl Bk of Syracuse		08/30/2019	249,000.00	241,956.41	249,000.00	1.850	1,827	273	1.852 08/30/2024
32114VBT3	1250	First National Bank Michigan		02/14/2020	248,000.00	236,417.00	248,000.00	1.650	1,827	441	1.652 02/14/2025
33625CCP2	1209	First Security Bank of WA		07/30/2019	248,000.00	242,056.49	248,000.00	2.000	1,827	242	2.002 07/30/2024
33640VDD7	1231	First Service Bank		11/15/2019	248,000.00	243,609.39	248,000.00	1.700	1,643	166	1.701 05/15/2024
88413QDN5	1420	Third Federal Savings and Loan		08/19/2022	245,000.00	231,695.97	245,000.00	3.300	1,826	1,357	3.302 08/19/2027
00257TBD7	1207	Abacus Federal Savings		07/26/2019	248,000.00	242,077.72	248,000.00	1.950	1,827	238	1.952 07/26/2024
00435JBH5	1256	Access Bank		03/13/2020	248,000.00	235,407.78	248,000.00	1.600	1,826	468	1.601 03/13/2025
00833JAQ4	1478	Affinity Bank		03/17/2023	248,000.00	244,016.51	248,000.00	4.900	1,827	1,568	4.906 03/17/2028
01025RAG4	1510	Alabama Credit Union		06/20/2023	248,000.00	246,545.37	248,000.00	5.000	1,098	934	5.005 06/22/2026
011852AE0	1469	Alaska USA/Global FCU		03/08/2023	248,000.00	241,180.13	248,000.00	4.600	1,827	1,559	4.606 03/08/2028
01882MAC6	1451	Alliant CU		12/30/2022	247,000.00	244,032.51	247,000.00	5.000	1,826	1,490	5.003 12/30/2027
01664MAB2	1448	All In FCU		12/20/2022	248,000.00	239,616.86	248,000.00	4.400	1,826	1,480	4.402 12/20/2027
02007GPX5	1388	Ally Bank Midvale		04/21/2022	245,000.00	234,876.11	245,000.00	2.550	1,096	507	2.550 04/21/2025
020080BX4	1267	Alma Bank		03/30/2020	248,000.00	234,401.74	248,000.00	1.400	1,824	483	1.399 03/28/2025
029728BC5	1255	American State		02/21/2020	248,000.00	235,920.22	248,000.00	1.600	1,827	448	1.602 02/21/2025
02589ADH2	1421	American Express, NB		08/29/2022	245,000.00	230,121.13	245,000.00	3.450	1,793	1,334	3.067 07/27/2027
02357QAQ0	1372	Amerant Bank		02/14/2022	245,000.00	219,013.68	245,000.00	1.600	1,828	1,173	1.601 02/16/2027
052392BT3	1427	Austin Telco FCU		09/21/2022	248,000.00	235,139.84	248,000.00	3.800	1,826	1,390	3.770 09/21/2027
05465DAE8	1258	Axos Bank		03/26/2020	248,000.00	235,253.40	248,000.00	1.650	1,826	481	1.651 03/26/2025
05765LBU0	1520	Balboa Thrift and Loan		07/19/2023	248,000.00	247,145.69	248,000.00	4.400	1,827	1,692	4.405 07/19/2028
062119BT8	1492	Bank Five Nine		05/12/2023	248,000.00	237,524.83	248,000.00	4.250	1,827	1,624	4.255 05/12/2028
06610RCA5	1499	Bankers Bank		05/24/2023	248,000.00	236,443.62	248,000.00	4.150	1,827	1,636	4.155 05/24/2028
066851WJ1	1172	Bar Harbor Bank and Trust		12/31/2018	248,000.00	247,511.29	248,000.00	3.350	1,824	28	3.352 12/29/2023
07371AYE7	1370	Beal Bank TX		02/23/2022	245,000.00	221,154.97	245,000.00	1.900	1,820	1,174	1.901 02/17/2027

**City of La Quinta
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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term Maturity	YTM 365	Maturity Date
Certificate of Deposits											
07371CE88	1371	Beal Bank USA		02/23/2022	245,000.00	221,154.97	245,000.00	1.900	1,820	1,174	1.901 02/17/2027
08016PDO9	1270	Belmont Bank and Trust		04/16/2020	248,000.00	243,881.76	248,000.00	1.250	1,461	137	1.251 04/16/2024
06417NZQ9	1329	Bank OZK		07/29/2021	248,000.00	233,766.67	248,000.00	0.400	1,280	425	0.401 01/29/2025
064520BG3	1287	Bank Princeton		06/30/2020	248,000.00	234,220.08	248,000.00	0.500	1,644	395	0.500 12/30/2024
064860MC0	1476	Bank of the Sierra		03/15/2023	244,000.00	239,579.39	244,000.00	4.600	1,461	1,200	4.604 03/15/2027
09582YAF9	1467	Blue Ridge Bank		02/28/2023	244,000.00	233,563.79	244,000.00	4.200	1,826	1,550	4.202 02/28/2028
05580AD50	1333	BMW Bank		09/10/2021	245,000.00	235,816.76	245,000.00	0.650	1,096	284	0.651 09/10/2024
06652CHB0	1227	BankWest Inc		09/27/2019	248,000.00	239,936.78	248,000.00	1.700	1,827	301	1.702 09/27/2024
05584CJJ6	1533	BNY Mellon		09/07/2023	244,000.00	235,701.23	244,000.00	4.500	1,827	1,742	4.505 09/07/2028
108622NJ6	1479	Bridgewater Bank		03/29/2023	248,000.00	245,313.08	248,000.00	4.850	1,461	1,214	4.767 03/29/2027
14042RQB0	1346	Capital One Natl Assn FDIC4297		11/17/2021	248,000.00	220,405.86	248,000.00	1.100	1,826	1,082	1.101 11/17/2026
14042TDD6	1271	Capital One USA FDIC33954		04/08/2020	245,000.00	232,043.31	245,000.00	1.600	1,826	494	1.601 04/08/2025
14622LAA0	1316	Carter FCU		04/27/2021	248,000.00	223,298.14	248,000.00	0.750	1,826	878	0.750 04/27/2026
20033A3A2	1386	Comenity Capital Bank		04/14/2022	248,000.00	228,183.06	248,000.00	2.650	1,826	1,230	2.652 04/14/2027
15118RRH2	1220	Celtic Bank		08/30/2019	248,000.00	240,984.70	248,000.00	1.850	1,827	273	1.852 08/30/2024
156634AK3	1184	Century Next Bank		05/29/2019	248,000.00	244,247.72	248,000.00	2.500	1,827	180	2.503 05/29/2024
152577BN1	1493	Central Bank		05/12/2023	248,000.00	235,061.72	248,000.00	4.000	1,827	1,624	4.005 05/12/2028
169894AS1	1284	Chippewa Valley Bk		06/24/2020	248,000.00	229,871.87	248,000.00	0.600	1,826	571	0.600 06/24/2025
16141BAC5	1506	Chartway FCU		06/09/2023	248,000.00	245,884.90	248,000.00	4.900	1,096	921	4.905 06/09/2026
12547CBJ6	1497	CIBC Bank USA		05/16/2023	244,000.00	234,665.16	244,000.00	4.350	1,827	1,628	4.355 05/16/2028
17286TAG0	1252	Citadel FCU		02/27/2020	248,000.00	235,929.19	248,000.00	1.650	1,827	454	1.652 02/27/2025
2027506M2	1268	Commonwealth Business Bk		03/31/2020	248,000.00	233,884.99	248,000.00	1.250	1,826	486	1.251 03/31/2025
20825WAR1	1357	Connexus CU		12/23/2021	249,000.00	221,213.55	249,000.00	1.250	1,826	1,118	1.250 12/23/2026
22258JAB7	1430	County Schools FCU		09/30/2022	248,000.00	240,225.04	248,000.00	4.400	1,826	1,399	4.325 09/30/2027
20416TAQ5	1202	Communitywide FCU		06/28/2019	248,000.00	243,243.36	248,000.00	2.250	1,827	210	2.253 06/28/2024
20786ADL6	1334	Connect One		09/24/2021	248,000.00	219,236.69	248,000.00	0.800	1,826	1,028	0.800 09/24/2026
176688CP2	1199	Citizens State Bank		06/21/2019	248,000.00	243,624.88	248,000.00	2.400	1,827	203	2.403 06/21/2024
23204HPB8	1507	Customers Bank		06/14/2023	244,000.00	242,168.54	244,000.00	4.500	1,827	1,657	4.505 06/14/2028
23248UAB3	1494	Cy-Fair FCU		05/12/2023	248,000.00	239,987.94	248,000.00	4.500	1,827	1,624	4.505 05/12/2028
24773RCR4	1377	Delta Natl B&T		03/09/2022	245,000.00	221,683.72	245,000.00	2.000	1,814	1,182	2.001 02/25/2027
25460FDW3	1438	Direct FCU		11/07/2022	248,000.00	243,426.03	248,000.00	4.800	1,827	1,438	4.735 11/08/2027
254673E69	1392	Discover Bank Greenwood DE CF		05/24/2022	245,000.00	236,255.61	245,000.00	3.100	1,099	543	3.103 05/27/2025
25844MAK4	1447	Dort Financial CU		12/16/2022	247,000.00	239,575.70	247,000.00	4.500	1,826	1,476	4.503 12/16/2027
27004PCM3	1375	Eaglemark Savings		03/02/2022	245,000.00	221,565.01	245,000.00	2.000	1,826	1,187	2.001 03/02/2027
291916AJ3	1555	Empower FCU		11/15/2023	247,000.00	249,712.88	247,000.00	5.250	1,827	1,811	5.255 11/15/2028
299547AQ2	1196	Liberty FCU F/K/A Evansville T		06/12/2019	248,000.00	244,223.99	248,000.00	2.600	1,827	194	2.603 06/12/2024

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Certificate of Deposits											
87270LDL4	1306	TIAA F/K/A EverBank		02/12/2021	245,000.00	220,184.43	245,000.00	0.500	1,826	804	0.500 02/12/2026
300185LM5	1457	Evergreen Bank Group		01/27/2023	248,000.00	239,350.66	248,000.00	3.850	1,277	969	3.849 07/27/2026
307811DF3	1363	Farmers & Merch		01/14/2022	249,000.00	230,862.41	249,000.00	0.900	1,277	591	0.900 07/14/2025
30960QAR8	1526	Farmers Insurance Group FCU		07/26/2023	248,000.00	247,610.43	248,000.00	5.400	733	605	5.408 07/28/2025
31617CAV5	1317	Fidelity Homestead		04/30/2021	248,000.00	221,847.03	248,000.00	0.700	1,826	881	0.711 04/30/2026
33847E3A3	1276	Flagstar		04/30/2020	248,000.00	233,200.92	248,000.00	1.250	1,826	516	1.251 04/30/2025
34520LATO	1435	Forbright Bank		11/02/2022	248,000.00	241,678.18	248,000.00	4.600	1,826	1,432	4.602 11/02/2027
35637RDC8	1248	Freedom Financial		02/14/2020	248,000.00	235,950.17	248,000.00	1.550	1,827	441	1.552 02/14/2025
35089LAF0	1491	Four Points FCU		05/10/2023	248,000.00	243,812.21	248,000.00	4.550	1,097	892	4.443 05/11/2026
372348DJ8	1556	The Genoa Banking Company		11/28/2023	248,000.00	248,000.00	248,000.00	4.600	1,827	1,824	4.605 11/28/2028
38149MXX4	1326	Goldman Sachs		07/28/2021	248,000.00	221,469.89	248,000.00	1.000	1,826	970	1.001 07/28/2026
38120MCA2	1511	Golden State Business Bank		06/22/2023	249,000.00	242,555.79	249,000.00	4.450	1,461	1,299	4.453 06/22/2027
39573LBC1	1313	Greenstate FCU		04/16/2021	249,000.00	224,477.70	249,000.00	0.950	1,826	867	0.951 04/16/2026
397417AQ9	1419	Greenwoods State Bank		05/17/2022	248,000.00	230,735.79	248,000.00	3.050	1,826	1,263	3.052 05/17/2027
42228LAN1	1547	Healthcare Systems FCU		10/27/2023	248,000.00	245,799.00	248,000.00	5.100	1,827	1,792	5.106 10/27/2028
45157PAZ3	1450	Ideal CU		12/29/2022	248,000.00	240,516.10	248,000.00	4.500	1,826	1,489	4.502 12/29/2027
46256YAZ2	1186	Iowa State Bank		05/23/2019	245,000.00	241,289.44	245,000.00	2.400	1,827	174	2.403 05/23/2024
472312AA5	1514	Jeep Country FCU		06/29/2023	248,000.00	243,518.55	248,000.00	4.700	1,461	1,306	4.704 06/29/2027
48115LAD6	1396	Jovia Financial Credit Union		06/07/2022	248,000.00	247,673.96	248,000.00	2.500	548	6	2.502 12/07/2023
48128HXU7	1185	JP Morgan Chase		05/16/2019	245,000.00	239,997.86	245,000.00	3.250	1,827	167	3.254 05/16/2024
49306SJ56	1475	Kay Bank, N.A.		03/15/2023	244,000.00	242,180.20	244,000.00	5.000	733	472	5.008 03/17/2025
499724AP7	1532	Knoxville TVA Credit Union		08/25/2023	248,000.00	243,275.93	248,000.00	4.850	1,827	1,729	4.854 08/25/2028
51828MAC8	1449	Latino Comm. CU		12/21/2022	248,000.00	240,490.22	248,000.00	4.500	1,826	1,481	4.503 12/21/2027
530520AH8	1466	Liberty First CU		02/21/2023	248,000.00	240,271.26	248,000.00	4.500	1,827	1,544	4.504 02/22/2028
501798RP9	1356	LCA Bank Corp		12/27/2021	248,000.00	222,598.63	248,000.00	1.000	1,642	938	1.000 06/26/2026
52168UHY1	1389	Leader Bank		04/22/2022	245,000.00	234,859.74	245,000.00	2.550	1,096	508	2.552 04/22/2025
52171MAM7	1549	Leaders Credit Union		10/30/2023	248,000.00	245,859.48	248,000.00	5.100	1,827	1,795	5.106 10/30/2028
52470QEC4	1539	Legacy Bank & Trust		09/27/2023	248,000.00	239,465.79	248,000.00	4.500	1,827	1,762	4.505 09/27/2028
524661CB9	1197	Legacy Bank		06/19/2019	248,000.00	243,686.65	248,000.00	2.400	1,827	201	2.403 06/19/2024
50625LAW3	1384	Lafayette FCU		03/30/2022	248,000.00	245,114.26	248,000.00	2.050	729	118	2.053 03/28/2024
538036GV0	1238	Live Oak Bank		11/27/2019	248,000.00	243,426.26	248,000.00	1.800	1,644	179	1.802 05/28/2024
51210SQU4	1208	Lakeside Bank		07/30/2019	248,000.00	246,407.81	248,000.00	2.000	1,644	59	2.003 01/29/2024
51507LCC6	1305	Landmark Community Bank		01/22/2021	248,000.00	223,205.68	248,000.00	0.500	1,826	783	0.500 01/22/2026
560507AQ8	1522	Maine Savings FCU		07/21/2023	248,000.00	242,801.51	248,000.00	4.800	1,827	1,694	4.806 07/21/2028
56065GAG3	1188	Main Street Bank		04/26/2019	248,000.00	245,015.95	248,000.00	2.600	1,827	147	2.603 04/26/2024
58404DTP6	1545	Medallion Bank		10/20/2023	248,000.00	248,616.02	248,000.00	4.850	1,827	1,785	4.855 10/20/2028

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59161YAP1	1373	Metro Credit Union		02/18/2022	249,000.00	223,040.56	249,000.00	1.700	1,826	1,175	1.701 02/18/2027
59524LAA4	1474	Mid Carolina Credit Union		03/13/2023	248,000.00	246,185.82	248,000.00	4.850	1,096	833	4.855 03/13/2026
60425SKB4	1486	Minnwest Bank		05/01/2023	248,000.00	240,449.52	248,000.00	4.250	1,463	1,249	4.254 05/03/2027
60936TAL3	1538	Money One FCU		09/14/2023	248,000.00	244,798.23	248,000.00	5.000	1,827	1,749	5.005 09/14/2028
61690UNX4	1237	Morgan Stanley Bank		11/20/2019	245,000.00	236,192.50	245,000.00	1.950	1,827	355	1.952 11/20/2024
59013KPN0	1345	Merrick Bank		11/09/2021	249,000.00	221,236.28	249,000.00	1.100	1,826	1,074	1.101 11/09/2026
565819AG4	1379	Marathon Bnak		03/16/2022	248,000.00	222,280.58	248,000.00	1.800	1,826	1,201	1.801 03/16/2027
61760A3B3	1236	Morgan Stanley Private Bk, NA		11/20/2019	245,000.00	236,075.04	245,000.00	1.900	1,827	355	1.902 11/20/2024
62384RAT3	1485	Mountain America Federal CU		04/28/2023	248,000.00	244,942.33	248,000.00	4.700	1,096	879	4.705 04/28/2026
654062JZ2	1266	Nicolet Natl Bank		03/31/2020	248,000.00	234,364.54	248,000.00	1.400	1,826	486	1.401 03/31/2025
64034KAZ4	1376	Nelnet Bank		03/02/2022	245,000.00	226,454.36	245,000.00	1.800	1,461	822	1.801 03/02/2026
635573AL2	1170	National Cooperative Bank, N.A		12/21/2018	245,000.00	244,528.14	245,000.00	3.400	1,826	20	3.402 12/21/2023
666613MK7	1544	Northpointe Bank		10/20/2023	248,000.00	243,144.13	248,000.00	4.850	1,827	1,785	4.855 10/20/2028
66736ABP3	1181	Northwest Bank		02/13/2019	248,000.00	246,618.50	248,000.00	2.950	1,826	74	2.951 02/13/2024
69506YRH4	1269	Pacific Western Bk/Banc of CA		04/16/2020	245,000.00	240,941.07	245,000.00	1.300	1,461	137	1.301 04/16/2024
70962LAF9	1331	Pentagon FCU		09/01/2021	249,000.00	228,773.43	249,000.00	0.700	1,462	641	0.687 09/02/2025
710571DS6	1210	People's Bank		07/31/2019	248,000.00	242,067.55	248,000.00	2.000	1,827	243	2.002 07/31/2024
724468AC7	1483	Pitney Bowes Bank		04/14/2023	244,000.00	234,843.61	244,000.00	4.350	1,826	1,595	4.355 04/13/2028
72651LCL6	1195	Plains Commerce Bank		06/07/2019	245,000.00	241,284.90	245,000.00	2.550	1,827	189	2.553 06/07/2024
732329BD8	1425	Ponce Bank		09/15/2022	248,000.00	232,646.36	248,000.00	3.500	1,826	1,384	3.502 09/15/2027
740367HP5	1213	Preferred Bank		08/16/2019	249,000.00	242,623.91	249,000.00	2.000	1,827	259	2.002 08/16/2024
761402BY1	1203	Revere Bank		06/28/2019	247,000.00	242,351.30	247,000.00	2.300	1,827	210	2.303 06/28/2024
77579ADF0	1251	Rollstone B&T		02/12/2020	245,000.00	242,855.36	245,000.00	1.650	1,461	73	1.651 02/12/2024
795451AF0	1327	Sallie Mae Bank Salt Lake City		07/28/2021	248,000.00	221,889.47	248,000.00	1.000	1,826	970	1.001 07/28/2026
804375DL4	1235	Sauk Valley B&T Co		11/07/2019	248,000.00	239,048.87	248,000.00	1.700	1,827	342	1.702 11/07/2024
843383CS7	1498	Southern Bank Poplar MO		05/17/2023	248,000.00	237,005.50	248,000.00	4.200	1,827	1,629	4.205 05/17/2028
80865MAB3	1454	Scient FCU		01/13/2023	248,000.00	245,237.19	248,000.00	4.650	731	409	4.656 01/13/2025
79772FAG1	1459	San Francisco FCU		02/03/2023	248,000.00	238,959.59	248,000.00	4.350	1,826	1,525	4.352 02/03/2028
82671DAB3	1458	Signature FCU		01/31/2023	248,000.00	239,439.00	248,000.00	4.400	1,826	1,522	4.402 01/31/2028
78472EAB0	1455	SPCO Credit Union		01/20/2023	249,000.00	239,993.94	249,000.00	4.350	1,826	1,511	4.352 01/20/2028
849430BF9	1257	Spring Bank		03/20/2020	248,000.00	234,926.14	248,000.00	1.500	1,826	475	1.501 03/20/2025
84229LBA9	1434	Southern Bank Sardis GA		10/28/2022	244,000.00	238,295.84	244,000.00	4.250	1,188	789	4.254 01/28/2026
84223QAN7	1286	Southern Bancorp Bk		06/26/2020	248,000.00	236,424.84	248,000.00	0.500	1,582	329	0.500 10/25/2024
85279AAC6	1509	St Vincent Med Center FCU		06/16/2023	248,000.00	242,841.72	248,000.00	4.600	1,461	1,293	4.604 06/16/2027
87165ET98	1332	Synchrony Bank Retail		09/03/2021	245,000.00	217,919.12	245,000.00	0.900	1,826	1,007	0.900 09/03/2026
89388CEY0	1328	Transportation Alliance Bk TAB		07/23/2021	248,000.00	233,220.47	248,000.00	0.400	1,280	419	0.401 01/23/2025

Portfolio CITY
CP

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
November 30, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term Maturity	YTM 365	Maturity Date
Certificate of Deposits											
87868YAO6	1501	Technology Credit Union		05/30/2023	248,000.00	246,469.61	248,000.00	5.000	1,095	910	5.005 05/29/2026
882213AB7	1260	Texas Bank Financial		03/31/2020	245,000.00	241,307.45	245,000.00	1.100	1,458	118	1.101 03/28/2024
472382AQ3	1272	The Jefferson Bank		04/15/2020	248,000.00	243,909.62	248,000.00	1.250	1,461	136	1.251 04/15/2024
89235MKY6	1314	Toyota Financial Savings Bank		04/22/2021	245,000.00	220,639.80	245,000.00	0.900	1,826	873	0.900 04/22/2026
89269FDP7	1415	Tradition Capital		07/20/2022	246,000.00	245,004.41	246,000.00	3.000	551	52	3.008 01/22/2024
89789AAG2	1473	Truliant FCU		03/10/2023	248,000.00	243,001.74	248,000.00	4.700	1,645	1,379	4.707 09/10/2027
89786MAF1	1368	True Sky FCU		02/04/2022	245,000.00	219,260.93	245,000.00	1.600	1,826	1,161	1.601 02/04/2027
898812AC6	1537	Tucson FCU		09/08/2023	248,000.00	244,803.57	248,000.00	5.000	1,827	1,743	5.005 09/08/2028
90355GHG4	1546	UBS Bank USA		10/25/2023	248,000.00	243,652.49	248,000.00	4.900	1,827	1,790	4.905 10/25/2028
909557KQ2	1477	United Bankers Bank		03/16/2023	248,000.00	246,126.74	248,000.00	5.000	732	472	4.823 03/17/2025
914098DJ4	1442	University Bank		11/30/2022	249,000.00	238,842.40	249,000.00	4.200	1,826	1,460	4.202 11/30/2027
914242AA0	1429	University Credit Union		09/26/2022	248,000.00	241,546.29	248,000.00	4.000	1,096	665	3.891 09/26/2025
91527PBY2	1495	Univest Bank & Trust		05/12/2023	248,000.00	243,079.66	248,000.00	4.350	1,827	1,624	4.355 05/12/2028
90983WBT7	1249	United Community		02/07/2020	248,000.00	236,409.70	248,000.00	1.650	1,827	434	1.652 02/07/2025
910286GN7	1513	United Fidelity Bank		06/29/2023	248,000.00	245,897.35	248,000.00	4.500	1,827	1,672	4.505 06/29/2028
91139LAB2	1378	United Roosevelt Savings		03/11/2022	248,000.00	223,148.30	248,000.00	1.900	1,826	1,196	1.901 03/11/2027
90352RDB8	1500	US Alliance FCU		05/26/2023	248,000.00	240,393.92	248,000.00	4.550	1,827	1,638	4.555 05/26/2028
91739JAB1	1523	Utah First FCU		07/21/2023	245,000.00	241,884.84	245,000.00	5.000	1,827	1,694	5.006 07/21/2028
913065AD0	1553	United Teletech Financial FCU		11/08/2023	248,000.00	246,071.76	248,000.00	5.100	1,461	1,438	5.103 11/08/2027
92559TAJ7	1325	Vibrant Credit Union		07/02/2021	249,000.00	222,116.95	248,377.50	0.800	1,824	942	0.852 06/30/2026
92834ABT2	1496	VisionBank		05/12/2023	248,000.00	235,542.01	248,000.00	4.050	1,827	1,624	4.055 05/12/2028
92023CAJ2	1552	ValleyStar Credit Union		11/08/2023	247,000.00	245,900.81	247,000.00	5.200	1,827	1,804	5.205 11/08/2028
92891CCZ3	1472	Vystar CU		03/10/2023	248,000.00	240,706.75	248,000.00	4.550	1,827	1,561	4.555 03/10/2028
949763XY7	1174	Wells Fargo		02/27/2019	248,000.00	246,390.64	248,000.00	3.000	1,826	88	3.001 02/27/2024
98138MCA6	1548	Workers FCU		10/30/2023	248,000.00	246,935.76	248,000.00	5.200	1,827	1,795	5.206 10/30/2028
938828BH2	1215	Washington Federal		08/23/2019	248,000.00	241,451.45	248,000.00	2.000	1,827	266	2.002 08/23/2024
95960NKD8	1277	Western State Bank		05/13/2020	245,000.00	229,387.38	245,000.00	1.000	1,826	529	1.001 05/13/2025
Subtotal and Average			40,662,344.17		40,811,000.00	39,175,787.93	40,810,377.50		1,673	914	2.936
Corporate Notes											
45950VPS9	1308	International Finance Corp.		02/26/2021	500,000.00	453,570.00	497,300.00	0.500	1,826	818	0.610 02/26/2026
931142EE9	1512	Wal-Mart Stores, Inc		06/26/2023	1,000,000.00	968,950.00	973,110.00	3.700	1,827	1,669	4.303 06/26/2028
Subtotal and Average			1,470,410.00		1,500,000.00	1,422,520.00	1,470,410.00		1,827	1,381	3.054

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
November 30, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Term	Days to Maturity	YTM 365	Maturity Date
Money Market with Fiscal Agent												
SYS1058	1058	US Bank		07/01/2016	13,903.88	13,903.88	13,903.88		1	1	0.000	
		Subtotal and Average	13,849.25		13,903.88	13,903.88	13,903.88		1	1	0.000	
CERBT - OPEB Trust												
SYS1114	1114	CalPERS CERBT Plan		07/01/2023	1,773,353.25	1,773,353.25	1,773,353.25		1	1	0.000	
		Subtotal and Average	1,773,353.25		1,773,353.25	1,773,353.25	1,773,353.25		1	1	0.000	
PARS Pension Trust												
SYS1230	1230	Pblc Agncy Rtrmnt Serv			5,319,206.44	5,319,206.44	5,319,206.44		1	1	0.000	
		Subtotal and Average	5,045,890.09		5,319,206.44	5,319,206.44	5,319,206.44		1	1	0.000	
		Total and Average	230,593,438.13		230,704,306.45	223,932,098.36	229,269,056.65		912	511	3.358	



**City of La Quinta
Total Earnings
Sorted by Fund - Fund
November 1, 2023 - November 30, 2023**

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
98-33-434	1055	101	LAIF	14,507,754.14	14,507,754.14	14,507,754.14	3.843	3.990	47,581.71	0.00	0.00	47,581.71
SYS1059	1059	101	CITYPC	3,300.00	3,300.00	3,300.00			0.00	0.00	0.00	0.00
SYS1114	1114	101	CALPRS	1,773,353.25	1,773,353.25	1,773,353.25			0.00	0.00	0.00	0.00
SYS1153	1153	101	CAMP	29,990,769.01	29,853,837.11	29,990,769.01	5.580	5.581	136,931.90	0.00	0.00	136,931.90
20143PDV9	1162	101	COMMBK	0.00	248,000.00	0.00	3.400	3.400	346.52	0.00	0.00	346.52
67054NAN3	1164	101	NMRCA	0.00	248,000.00	0.00	3.550	3.550	675.38	0.00	0.00	675.38
635573AL2	1170	101	NLCOOP	245,000.00	245,000.00	245,000.00	3.400	3.400	684.66	0.00	0.00	684.66
066851WJ1	1172	101	BARHAR	248,000.00	248,000.00	248,000.00	3.350	3.350	682.85	0.00	0.00	682.85
949763XY7	1174	101	WELLS	248,000.00	248,000.00	248,000.00	3.000	3.000	611.51	0.00	0.00	611.51
3130AFW94	1177	101	FHLB	500,000.00	498,550.00	498,550.00	2.500	2.542	1,041.67	0.00	0.00	1,041.67
66736ABP3	1181	101	NRTHWS	248,000.00	248,000.00	248,000.00	2.950	2.950	601.32	0.00	0.00	601.32
156634AK3	1184	101	CENTNX	248,000.00	248,000.00	248,000.00	2.500	2.500	509.60	0.00	0.00	509.60
48128HXU7	1185	101	JPMORG	245,000.00	245,000.00	245,000.00	3.250	3.250	654.45	0.00	0.00	654.45
46256YAZ2	1186	101	IOWAST	245,000.00	245,000.00	245,000.00	2.400	2.400	483.29	0.00	0.00	483.29
56065GAG3	1188	101	MAINST	248,000.00	248,000.00	248,000.00	2.600	2.600	529.97	0.00	0.00	529.97
72651LCL6	1195	101	PLAINS	245,000.00	245,000.00	245,000.00	2.550	2.550	513.49	0.00	0.00	513.49
299547AQ2	1196	101	EVNSCU	248,000.00	248,000.00	248,000.00	2.600	2.600	529.97	0.00	0.00	529.97
524661CB9	1197	101	LEGCY	248,000.00	248,000.00	248,000.00	2.400	2.400	489.21	0.00	0.00	489.21
176688CP2	1199	101	CTZNST	248,000.00	248,000.00	248,000.00	2.400	2.400	489.20	0.00	0.00	489.20
20416TAQ5	1202	101	COMMW	248,000.00	248,000.00	248,000.00	2.250	2.250	458.63	0.00	0.00	458.63
761402BY1	1203	101	REVER	247,000.00	247,000.00	247,000.00	2.300	2.300	466.93	0.00	0.00	466.93
3135G0V75	1206	101	FNMA	500,000.00	495,950.00	495,950.00	1.750	1.789	729.17	0.00	0.00	729.17
00257TBD7	1207	101	ABACUS	248,000.00	248,000.00	248,000.00	1.950	1.950	397.48	0.00	0.00	397.48
51210SQU4	1208	101	LKSIDE	248,000.00	248,000.00	248,000.00	2.000	2.000	407.67	0.00	0.00	407.67
33625CCP2	1209	101	1STSEC	248,000.00	248,000.00	248,000.00	2.000	2.000	407.67	0.00	0.00	407.67
710571DS6	1210	101	PEOPLE	248,000.00	248,000.00	248,000.00	2.000	2.000	407.67	0.00	0.00	407.67
3133EKWV4	1212	101	FFCB	500,000.00	499,500.00	499,500.00	1.850	1.878	770.84	0.00	0.00	770.84
740367HP5	1213	101	PREFRD	249,000.00	249,000.00	249,000.00	2.000	2.000	409.32	0.00	0.00	409.32
938828BH2	1215	101	WSHFED	248,000.00	248,000.00	248,000.00	2.000	2.000	407.67	0.00	0.00	407.67
33766LAJ7	1216	101	1STIER	249,000.00	249,000.00	249,000.00	1.950	1.950	399.08	0.00	0.00	399.08

City of La Quinta
 Total Earnings
 November 1, 2023 - November 30, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
15118RRH2	1220	101	CELTIC	248,000.00	248,000.00	248,000.00	1.850	1.850	377.10	0.00	0.00	377.10
334342CD2	1221	101	1STNBS	249,000.00	249,000.00	249,000.00	1.850	1.850	378.62	0.00	0.00	378.62
336460CX6	1222	101	1STDQN	248,000.00	248,000.00	248,000.00	1.800	1.800	366.90	0.00	0.00	366.90
3133EKP75	1224	101	FFCB	500,000.00	498,750.00	498,750.00	1.600	1.626	666.66	0.00	0.00	666.66
06652CHB0	1227	101	BNKWST	248,000.00	248,000.00	248,000.00	1.700	1.700	346.52	0.00	0.00	346.52
059731851	1228	101	BOTW	7,141,420.81	7,128,260.98	7,141,420.81			0.01	0.00	0.00	0.01
SYS1230	1230	101	PARS	5,319,206.44	5,036,465.39	5,319,206.44			0.00	0.00	0.00	0.00
33640VDD7	1231	101	1STSER	248,000.00	248,000.00	248,000.00	1.700	1.700	346.52	0.00	0.00	346.52
3133EK4X1	1234	101	FFCB	0.00	996,900.00	0.00	1.600	113.502	0.00	0.00	3,100.00	3,100.00
804375DL4	1235	101	SAUKVL	248,000.00	248,000.00	248,000.00	1.700	1.700	346.52	0.00	0.00	346.52
61760A3B3	1236	101	MSPRIV	245,000.00	245,000.00	245,000.00	1.900	1.900	382.61	0.00	0.00	382.61
61690UNX4	1237	101	MORGST	245,000.00	245,000.00	245,000.00	1.950	1.950	392.67	0.00	0.00	392.67
538036GV0	1238	101	LIVEOK	248,000.00	248,000.00	248,000.00	1.800	1.800	366.90	0.00	0.00	366.90
912828YV6	1241	101	USTR	1,000,000.00	989,687.50	989,687.50	1.500	1.511	1,229.50	0.00	0.00	1,229.50
3133ELEA8	1242	101	FFCB	1,000,000.00	998,600.00	998,600.00	1.700	1.726	1,416.66	0.00	0.00	1,416.66
3133ELNE0	1246	101	FFCB	1,000,000.00	999,000.00	999,000.00	1.430	1.451	1,191.67	0.00	0.00	1,191.67
35637RDC8	1248	101	FRDMFI	248,000.00	248,000.00	248,000.00	1.550	1.550	315.95	0.00	0.00	315.95
90983WBT7	1249	101	UNTDCM	248,000.00	248,000.00	248,000.00	1.650	1.650	336.33	0.00	0.00	336.33
32114VBT3	1250	101	1STNMI	248,000.00	248,000.00	248,000.00	1.650	1.650	336.33	0.00	0.00	336.33
77579ADF0	1251	101	RLLSTN	245,000.00	245,000.00	245,000.00	1.650	1.650	332.26	0.00	0.00	332.26
17286TAG0	1252	101	CITADL	248,000.00	248,000.00	248,000.00	1.650	1.650	336.33	0.00	0.00	336.33
029728BC5	1255	101	AMERST	248,000.00	248,000.00	248,000.00	1.600	1.600	326.14	0.00	0.00	326.14
00435JBH5	1256	101	ACCSS	248,000.00	248,000.00	248,000.00	1.600	1.600	326.14	0.00	0.00	326.14
849430BF9	1257	101	SPRING	248,000.00	248,000.00	248,000.00	1.500	1.500	305.76	0.00	0.00	305.76
05465DAE8	1258	101	AXOS	248,000.00	248,000.00	248,000.00	1.650	1.650	336.33	0.00	0.00	336.33
882213AB7	1260	101	TEXAS	245,000.00	245,000.00	245,000.00	1.100	1.100	221.51	0.00	0.00	221.51
654062JZ2	1266	101	NCOLET	248,000.00	248,000.00	248,000.00	1.400	1.400	285.37	0.00	0.00	285.37
020080BX4	1267	101	ALMABK	248,000.00	248,000.00	248,000.00	1.400	1.400	285.37	0.00	0.00	285.37
2027506M2	1268	101	CMWBUS	248,000.00	248,000.00	248,000.00	1.250	1.250	254.79	0.00	0.00	254.79
69506YRH4	1269	101	PACWST	245,000.00	245,000.00	245,000.00	1.300	1.300	261.78	0.00	0.00	261.78
08016PDQ9	1270	101	BELB&T	248,000.00	248,000.00	248,000.00	1.250	1.250	254.79	0.00	0.00	254.79
14042TDD6	1271	101	CAPONE	245,000.00	245,000.00	245,000.00	1.600	1.600	322.20	0.00	0.00	322.20
472382AQ3	1272	101	THEJEF	248,000.00	248,000.00	248,000.00	1.250	1.250	254.80	0.00	0.00	254.80
32027BAL1	1273	101	1STFDM	249,000.00	249,000.00	249,000.00	1.200	1.200	245.60	0.00	0.00	245.60
32112UDR9	1274	101	1STMCG	248,000.00	248,000.00	248,000.00	1.350	1.350	275.18	0.00	0.00	275.18
33847E3A3	1276	101	FLGSTR	248,000.00	248,000.00	248,000.00	1.250	1.250	254.79	0.00	0.00	254.79
95960NKD8	1277	101	WSTRNS	245,000.00	245,000.00	245,000.00	1.000	1.000	201.37	0.00	0.00	201.37

City of La Quinta
 Total Earnings
 November 1, 2023 - November 30, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
32056GDJ6	1278	101	1STINT	248,000.00	248,000.00	248,000.00	1.000	1.000	203.84	0.00	0.00	203.84
3134GVYG7	1279	101	FHLMC	1,000,000.00	1,000,000.00	1,000,000.00	0.625	0.634	520.83	0.00	0.00	520.83
3133ELH23	1280	101	FFCB	500,000.00	499,850.00	499,850.00	0.500	0.507	208.33	0.00	0.00	208.33
3130AJKW8	1281	101	FHLB	500,000.00	499,850.00	499,850.00	0.500	0.507	208.34	0.00	0.00	208.34
3133ELH80	1282	101	FFCB	500,000.00	500,000.00	500,000.00	0.680	0.689	283.33	0.00	0.00	283.33
3130AJRP6	1283	101	FHLB	300,000.00	300,000.00	300,000.00	0.680	0.689	170.00	0.00	0.00	170.00
169894AS1	1284	101	CHIPVA	248,000.00	248,000.00	248,000.00	0.600	0.600	122.30	0.00	0.00	122.30
84223QAN7	1286	101	STHRNB	248,000.00	248,000.00	248,000.00	0.500	0.500	101.92	0.00	0.00	101.92
064520BG3	1287	101	BKPRNC	248,000.00	248,000.00	248,000.00	0.500	0.500	101.92	0.00	0.00	101.92
3135G05S8	1288	101	FNMA	500,000.00	500,000.00	500,000.00	0.500	0.507	208.34	0.00	0.00	208.34
3136G4N74	1289	101	FNMA	1,000,000.00	1,000,000.00	1,000,000.00	0.560	0.568	466.67	0.00	0.00	466.67
3136G4M75	1290	101	FNMA	500,000.00	499,750.00	499,750.00	0.520	0.527	216.67	0.00	0.00	216.67
3135G06E8	1291	101	FNMA	500,000.00	499,250.00	499,250.00	0.420	0.426	175.00	0.00	0.00	175.00
3135GA2Z3	1292	101	FNMA	500,000.00	499,250.00	499,250.00	0.560	0.569	233.33	0.00	0.00	233.33
SYS1293	1293	101	BNY	2,050,855.59	282,659.38	2,050,855.59			0.00	0.00	0.00	0.00
3137EAEU9	1297	101	FHLMC	1,000,000.00	997,300.00	997,300.00	0.375	0.381	312.50	0.00	0.00	312.50
3130AKFA9	1298	101	FHLB	500,000.00	497,400.00	497,400.00	0.375	0.382	156.25	0.00	0.00	156.25
3135GA7D7	1299	101	FNMA	500,000.00	500,000.00	500,000.00	0.600	0.608	250.00	0.00	0.00	250.00
3135GAAW1	1300	101	FNMA	500,000.00	500,000.00	500,000.00	0.400	0.406	166.67	0.00	0.00	166.67
3134GXGZ1	1301	101	FHLMC	500,000.00	500,000.00	500,000.00	0.550	0.558	229.16	0.00	0.00	229.16
3130AKMZ6	1302	101	FHLB	500,000.00	500,000.00	500,000.00	0.510	0.517	212.50	0.00	0.00	212.50
91282CBC4	1303	101	USTR	500,000.00	498,632.81	498,632.81	0.375	0.373	152.86	0.00	0.00	152.86
3130AKN28	1304	101	FHLB	500,000.00	500,000.00	500,000.00	0.550	0.558	229.16	0.00	0.00	229.16
51507LCC6	1305	101	LNDMRK	248,000.00	248,000.00	248,000.00	0.500	0.500	101.92	0.00	0.00	101.92
87270LDL4	1306	101	EVRBA	245,000.00	245,000.00	245,000.00	0.500	0.500	100.68	0.00	0.00	100.68
3137EAEX3	1307	101	FHLMC	500,000.00	495,999.50	495,999.50	0.375	0.383	156.25	0.00	0.00	156.25
45950VPS9	1308	101	IFC	500,000.00	497,300.00	497,300.00	0.500	0.499	203.81	0.00	0.00	203.81
91282CBH3	1309	101	USTR	500,000.00	495,100.00	495,100.00	0.375	0.376	152.85	0.00	0.00	152.85
3137EAEX3	1310	101	FHLMC	1,000,000.00	983,940.00	983,940.00	0.375	0.386	312.50	0.00	0.00	312.50
91282CAT8	1311	101	USTR	1,000,000.00	977,500.00	977,500.00	0.250	0.256	206.04	0.00	0.00	206.04
3130ALV92	1312	101	FHLB	500,000.00	500,000.00	500,000.00	1.050	1.065	437.50	0.00	0.00	437.50
39573LBC1	1313	101	GRNST	249,000.00	249,000.00	249,000.00	0.950	0.950	194.42	0.00	0.00	194.42
89235MKY6	1314	101	TOYFSB	245,000.00	245,000.00	245,000.00	0.900	0.900	181.23	0.00	0.00	181.23
91282CAZ4	1315	101	USTR	500,000.00	492,187.50	492,187.50	0.375	0.380	153.69	0.00	0.00	153.69
14622LAA0	1316	101	CARTER	248,000.00	248,000.00	248,000.00	0.750	0.750	152.88	0.00	0.00	152.88
31617CAV5	1317	101	FIDHMS	248,000.00	248,000.00	248,000.00	0.700	0.700	142.68	0.00	0.00	142.68
SYS1318	1318	101	DPME	874,624.36	843,198.53	874,624.36			0.00	0.00	0.00	0.00

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
91282CBQ3	1319	101	USTR	500,000.00	494,165.00	494,165.00	0.500	0.507	206.05	0.00	0.00	206.05
91282CBT7	1320	101	USTR	500,000.00	499,525.00	499,525.00	0.750	0.749	307.38	0.00	0.00	307.38
91282CCF6	1321	101	USTR	1,000,000.00	997,060.00	997,060.00	0.750	0.750	614.75	0.00	0.00	614.75
91282CBT7	1322	101	USTR	500,000.00	498,450.00	498,450.00	0.750	0.750	307.38	0.00	0.00	307.38
91282CCF6	1323	101	USTR	500,000.00	497,095.00	497,095.00	0.750	0.752	307.38	0.00	0.00	307.38
3130AMFS6	1324	101	FHLB	1,000,000.00	993,420.00	993,420.00	0.750	0.765	625.00	0.00	0.00	625.00
92559TAJ7	1325	101	VIBRNT	249,000.00	248,377.50	248,377.50	0.800	0.802	163.73	0.00	0.00	163.73
38149MXX4	1326	101	GLDMAN	248,000.00	248,000.00	248,000.00	1.000	1.000	203.84	0.00	0.00	203.84
795451AF0	1327	101	SALMAE	248,000.00	248,000.00	248,000.00	1.000	1.000	203.84	0.00	0.00	203.84
89388CEY0	1328	101	TABBK	248,000.00	248,000.00	248,000.00	0.400	0.400	81.53	0.00	0.00	81.53
06417NZQ9	1329	101	BKOZK	248,000.00	248,000.00	248,000.00	0.400	0.400	81.53	0.00	0.00	81.53
3133EM2C5	1330	101	FFCB	500,000.00	498,000.00	498,000.00	0.710	0.723	295.83	0.00	0.00	295.83
70962LAF9	1331	101	PENTGN	249,000.00	249,000.00	249,000.00	0.700	0.700	143.26	0.00	0.00	143.26
87165ET98	1332	101	SYNCHR	245,000.00	245,000.00	245,000.00	0.900	0.900	181.24	0.00	0.00	181.24
05580AD50	1333	101	BMW	245,000.00	245,000.00	245,000.00	0.650	0.650	130.89	0.00	0.00	130.89
20786ADL6	1334	101	CONNEC	248,000.00	248,000.00	248,000.00	0.800	0.800	163.06	0.00	0.00	163.06
91282CCP4	1335	101	USTR	1,000,000.00	983,750.00	983,750.00	0.625	0.630	509.51	0.00	0.00	509.51
91282CCW9	1336	101	USTR	1,000,000.00	988,500.00	988,500.00	0.750	0.761	618.13	0.00	0.00	618.13
3130APBM6	1337	101	FHLB	1,000,000.00	999,000.00	999,000.00	1.000	1.015	833.33	0.00	0.00	833.33
3133EM4X7	1338	101	FFCB	1,000,000.00	991,080.00	991,080.00	0.800	0.818	666.67	0.00	0.00	666.67
3130APB46	1339	101	FHLB	1,000,000.00	998,250.00	998,250.00	0.950	0.965	791.67	0.00	0.00	791.67
91282CBE0	1342	101	USTR	1,000,000.00	991,000.00	991,000.00	0.125	0.125	101.91	0.00	0.00	101.91
91282CDB4	1343	101	USTR	1,000,000.00	996,320.00	996,320.00	0.625	0.626	512.30	0.00	0.00	512.30
3133ENCQ1	1344	101	FFCB	1,000,000.00	1,000,000.00	1,000,000.00	1.270	1.288	1,058.34	0.00	0.00	1,058.34
59013KPN0	1345	101	MRRCK	249,000.00	249,000.00	249,000.00	1.100	1.100	225.12	0.00	0.00	225.12
14042RQB0	1346	101	CAP1NA	248,000.00	248,000.00	248,000.00	1.100	1.100	224.22	0.00	0.00	224.22
3130APTV7	1347	101	FHLB	500,000.00	499,500.00	499,500.00	2.000	1.018	418.05	0.00	0.00	418.05
91282CBA8	1351	101	USTR	1,000,000.00	990,000.00	990,000.00	0.125	0.126	102.46	0.00	0.00	102.46
91282CBR1	1352	101	USTR	1,000,000.00	989,800.00	989,800.00	0.250	0.253	206.05	0.00	0.00	206.05
3130AP2U8	1353	101	FHLB	1,000,000.00	987,100.00	987,100.00	0.550	0.565	458.33	0.00	0.00	458.33
3135G06G3	1354	101	FNMA	1,000,000.00	976,300.00	976,300.00	0.500	0.519	416.66	0.00	0.00	416.66
3133ENGN4	1355	101	FFCB	1,000,000.00	1,000,000.00	1,000,000.00	0.970	0.983	808.33	0.00	0.00	808.33
501798RP9	1356	101	LCA	248,000.00	248,000.00	248,000.00	1.000	1.000	203.83	0.00	0.00	203.83
20825WAR1	1357	101	CNNXS	249,000.00	249,000.00	249,000.00	1.250	1.250	255.82	0.00	0.00	255.82
3130AQF65	1358	101	FHLB	1,000,000.00	999,750.00	999,750.00	1.250	1.268	1,041.67	0.00	0.00	1,041.67
3134GW6C5	1359	101	FHLMC	500,000.00	486,000.00	486,000.00	0.800	0.834	333.34	0.00	0.00	333.34
91282CBV2	1360	101	USTR	500,000.00	494,882.81	494,882.81	0.375	0.378	153.69	0.00	0.00	153.69

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
3137EAEU9	1361	101	FHLMC	500,000.00	487,090.00	487,090.00	0.375	0.390	156.25	0.00	0.00	156.25
3134GW5R3	1362	101	FHLMC	400,000.00	391,360.00	391,360.00	0.650	0.674	216.67	0.00	0.00	216.67
307811DF3	1363	101	FARMER	249,000.00	249,000.00	249,000.00	0.900	0.900	184.19	0.00	0.00	184.19
3130AQJR5	1364	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.500	1.521	1,250.00	0.00	0.00	1,250.00
3135G03U5	1365	101	FNMA	500,000.00	487,790.00	487,790.00	0.625	0.650	260.41	0.00	0.00	260.41
3134GWUQ7	1366	101	FHLMC	1,000,000.00	945,570.00	945,570.00	0.700	0.751	583.33	0.00	0.00	583.33
3130AQSA2	1367	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.830	1.855	1,525.00	0.00	0.00	1,525.00
89786MAF1	1368	101	TRUSKY	245,000.00	245,000.00	245,000.00	1.600	1.600	322.19	0.00	0.00	322.19
912828Z78	1369	101	USTR	1,000,000.00	986,700.00	986,700.00	1.500	1.508	1,222.83	0.00	0.00	1,222.83
07371AYE7	1370	101	BEALTX	245,000.00	245,000.00	245,000.00	1.900	1.900	382.60	0.00	0.00	382.60
07371CE88	1371	101	BEALUS	245,000.00	245,000.00	245,000.00	1.900	1.900	382.60	0.00	0.00	382.60
02357QAQ0	1372	101	AMRNT	245,000.00	245,000.00	245,000.00	1.600	1.600	322.19	0.00	0.00	322.19
59161YAP1	1373	101	METRO	249,000.00	249,000.00	249,000.00	1.700	1.700	347.92	0.00	0.00	347.92
3130AQWY5	1374	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.700	1.724	1,416.67	0.00	0.00	1,416.67
27004PCM3	1375	101	EGLMRK	245,000.00	245,000.00	245,000.00	2.000	2.000	402.74	0.00	0.00	402.74
64034KAZ4	1376	101	NELNET	245,000.00	245,000.00	245,000.00	1.800	1.800	362.47	0.00	0.00	362.47
24773RCR4	1377	101	DELTA	245,000.00	245,000.00	245,000.00	2.000	2.000	402.74	0.00	0.00	402.74
91139LAB2	1378	101	URSVLT	248,000.00	248,000.00	248,000.00	1.900	1.900	387.29	0.00	0.00	387.29
565819AG4	1379	101	MRTHON	248,000.00	248,000.00	248,000.00	1.800	1.800	366.90	0.00	0.00	366.90
91282CCW9	1380	101	USTR	500,000.00	466,454.17	466,454.17	0.750	0.806	309.06	0.00	0.00	309.06
91282CDG3	1381	101	USTR	500,000.00	473,396.82	473,396.82	1.125	1.191	463.60	0.00	0.00	463.60
91282CBQ3	1382	101	USTR	750,000.00	698,025.00	698,025.00	0.500	0.539	309.06	0.00	0.00	309.06
9128286S4	1383	101	USTR	1,000,000.00	999,010.00	999,010.00	2.375	2.384	1,957.41	0.00	0.00	1,957.41
50625LAW3	1384	101	LFYTT	248,000.00	248,000.00	248,000.00	2.050	2.050	417.86	0.00	0.00	417.86
3130ARGJ4	1385	101	FHLB	500,000.00	500,000.00	500,000.00	2.500	2.535	1,041.66	0.00	0.00	1,041.66
20033A3A2	1386	101	CCBA	248,000.00	248,000.00	248,000.00	2.650	2.650	540.17	0.00	0.00	540.17
3130ARGY1	1387	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	2.700	2.738	2,250.00	0.00	0.00	2,250.00
02007GPX5	1388	101	ALLY	245,000.00	245,000.00	245,000.00	2.550	2.550	513.49	0.00	0.00	513.49
52168UHY1	1389	101	LEADR	245,000.00	245,000.00	245,000.00	2.550	2.550	513.50	0.00	0.00	513.50
9128283D0	1390	101	USTR	1,000,000.00	985,190.00	985,190.00	2.250	2.290	1,854.40	0.00	0.00	1,854.40
91282CEF4	1391	101	USTR	1,000,000.00	976,860.00	976,860.00	2.500	2.552	2,049.18	0.00	0.00	2,049.18
254673E69	1392	101	DISCOV	245,000.00	245,000.00	245,000.00	3.100	3.100	624.25	0.00	0.00	624.25
48115LAD6	1396	101	JOVIA	248,000.00	248,000.00	248,000.00	2.500	2.500	509.60	0.00	0.00	509.60
912828X88	1397	101	USTR	1,000,000.00	969,687.50	969,687.50	2.375	2.444	1,947.49	0.00	0.00	1,947.49
91282CEN7	1398	101	USTR	500,000.00	495,000.00	495,000.00	2.750	2.785	1,133.25	0.00	0.00	1,133.25
91282CET4	1399	101	USTR	500,000.00	491,842.18	491,842.18	2.625	2.661	1,075.82	0.00	0.00	1,075.82
9128282U3	1400	101	USTR	500,000.00	490,850.00	490,850.00	1.875	1.915	772.67	0.00	0.00	772.67

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									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
3133ENYH7	1401	101	FFCB	500,000.00	499,080.00	499,080.00	2.625	2.666	1,093.75	0.00	0.00	1,093.75
3130ASDV8	1409	101	FHLB	300,000.00	300,000.00	300,000.00	3.300	3.346	825.00	0.00	0.00	825.00
89269FDP7	1415	101	TRADCP	246,000.00	246,000.00	246,000.00	3.000	3.000	606.57	0.00	0.00	606.57
91282CFB2	1417	101	USTR	1,000,000.00	989,460.00	989,460.00	2.750	2.757	2,241.85	0.00	0.00	2,241.85
912828XT2	1418	101	USTR	1,000,000.00	985,240.00	985,240.00	2.000	2.024	1,639.34	0.00	0.00	1,639.34
397417AQ9	1419	101	GRNWDS	248,000.00	248,000.00	248,000.00	3.050	3.050	621.69	0.00	0.00	621.69
88413QDN5	1420	101	3RD	245,000.00	245,000.00	245,000.00	3.300	3.300	664.52	0.00	0.00	664.52
02589ADH2	1421	101	AMEXNB	245,000.00	245,000.00	245,000.00	3.450	3.450	694.73	0.00	0.00	694.73
91282CFB2	1422	101	USTR	1,000,000.00	979,645.67	979,645.67	2.750	2.784	2,241.85	0.00	0.00	2,241.85
732329BD8	1425	101	PONCE	248,000.00	248,000.00	248,000.00	3.500	3.500	713.42	0.00	0.00	713.42
3133ENL99	1426	101	FFCB	1,000,000.00	997,492.55	997,492.55	3.375	3.430	2,812.50	0.00	0.00	2,812.50
052392BT3	1427	101	AUSTEL	248,000.00	248,000.00	248,000.00	3.800	3.800	774.58	0.00	0.00	774.58
914242AA0	1429	101	UNIVCU	248,000.00	248,000.00	248,000.00	4.000	4.000	815.34	0.00	0.00	815.34
22258JAB7	1430	101	CNTYSC	248,000.00	248,000.00	248,000.00	4.400	4.400	896.87	0.00	0.00	896.87
3133ENQ29	1433	101	FFCB	1,000,000.00	996,400.00	996,400.00	4.000	4.070	3,333.33	0.00	0.00	3,333.33
84229LBA9	1434	101	STHBNK	244,000.00	244,000.00	244,000.00	4.250	4.250	852.33	0.00	0.00	852.33
34520LATO	1435	101	FORBRT	248,000.00	248,000.00	248,000.00	4.600	4.600	937.64	0.00	0.00	937.64
25460FDW3	1438	101	DIRFCU	248,000.00	248,000.00	248,000.00	4.800	4.800	978.40	0.00	0.00	978.40
9128282R0	1439	101	USTR	1,000,000.00	927,110.00	927,110.00	2.250	2.407	1,834.24	0.00	0.00	1,834.24
3133EN3H1	1441	101	FFCB	1,000,000.00	998,650.00	998,650.00	4.000	4.061	3,333.33	0.00	0.00	3,333.33
914098DJ4	1442	101	UNIVBK	249,000.00	249,000.00	249,000.00	4.200	4.200	859.56	0.00	0.00	859.56
3133EN3S7	1446	101	FFCB	1,000,000.00	998,000.00	998,000.00	3.750	3.810	3,125.00	0.00	0.00	3,125.00
25844MAK4	1447	101	DORTCU	247,000.00	247,000.00	247,000.00	4.500	4.500	913.56	0.00	0.00	913.56
01664MAB2	1448	101	ALL IN	248,000.00	248,000.00	248,000.00	4.400	4.400	896.88	0.00	0.00	896.88
51828MAC8	1449	101	LATCOM	248,000.00	248,000.00	248,000.00	4.500	4.500	917.27	0.00	0.00	917.27
45157PAZ3	1450	101	IDEAL	248,000.00	248,000.00	248,000.00	4.500	4.500	917.26	0.00	0.00	917.26
01882MAC6	1451	101	ALIAN	247,000.00	247,000.00	247,000.00	5.000	5.000	1,015.06	0.00	0.00	1,015.06
80865MAB3	1454	101	SCIENT	248,000.00	248,000.00	248,000.00	4.650	4.650	947.84	0.00	0.00	947.84
78472EAB0	1455	101	SPCOCU	249,000.00	249,000.00	249,000.00	4.350	4.350	890.26	0.00	0.00	890.26
91282CFH9	1456	101	USTR	500,000.00	489,175.00	489,175.00	3.125	3.203	1,287.78	0.00	0.00	1,287.78
300185LM5	1457	101	EVRGRN	248,000.00	248,000.00	248,000.00	3.850	3.850	784.77	0.00	0.00	784.77
82671DAB3	1458	101	SIGFCU	248,000.00	248,000.00	248,000.00	4.400	4.400	896.88	0.00	0.00	896.88
79772FAG1	1459	101	SF FCU	248,000.00	248,000.00	248,000.00	4.350	4.350	886.68	0.00	0.00	886.68
91282CGH8	1460	101	USTR	1,000,000.00	996,369.14	996,369.14	3.500	3.484	2,853.26	0.00	0.00	2,853.26
3133EPAV7	1464	101	FFCB	1,000,000.00	995,400.00	995,400.00	3.875	3.947	3,229.17	0.00	0.00	3,229.17
91282CEW7	1465	101	USTR	1,000,000.00	967,220.00	967,220.00	3.250	3.333	2,649.45	0.00	0.00	2,649.45
530520AH8	1466	101	LBRTY1	248,000.00	248,000.00	248,000.00	4.500	4.500	917.26	0.00	0.00	917.26

City of La Quinta
 Total Earnings
 November 1, 2023 - November 30, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
09582YAF9	1467	101	BLURDG	244,000.00	244,000.00	244,000.00	4.200	4.200	842.30	0.00	0.00	842.30
011852AE0	1469	101	ALASKA	248,000.00	248,000.00	248,000.00	4.600	4.600	937.64	0.00	0.00	937.64
92891CCZ3	1472	101	VYSTAR	248,000.00	248,000.00	248,000.00	4.550	4.550	927.45	0.00	0.00	927.45
89789AAG2	1473	101	TRUFUCU	248,000.00	248,000.00	248,000.00	4.700	4.700	958.03	0.00	0.00	958.03
59524LAA4	1474	101	MIDCAR	248,000.00	248,000.00	248,000.00	4.850	4.850	988.61	0.00	0.00	988.61
49306SJ56	1475	101	KEYBNK	244,000.00	244,000.00	244,000.00	5.000	5.000	1,002.74	0.00	0.00	1,002.74
064860MC0	1476	101	BKSIER	244,000.00	244,000.00	244,000.00	4.600	4.600	922.52	0.00	0.00	922.52
909557KQ2	1477	101	UNBKRS	248,000.00	248,000.00	248,000.00	5.000	5.000	1,019.18	0.00	0.00	1,019.18
00833JAQ4	1478	101	AFFNTY	248,000.00	248,000.00	248,000.00	4.900	4.900	998.79	0.00	0.00	998.79
108622NJ6	1479	101	BRIDWA	248,000.00	248,000.00	248,000.00	4.850	4.850	988.60	0.00	0.00	988.60
3135GAGA3	1480	101	FNMA	2,000,000.00	2,000,000.00	2,000,000.00	5.200	5.272	8,666.67	0.00	0.00	8,666.67
3135GAGK1	1482	101	FNMA	3,000,000.00	3,000,000.00	3,000,000.00	5.050	5.120	12,625.00	0.00	0.00	12,625.00
724468AC7	1483	101	PITBOW	244,000.00	244,000.00	244,000.00	4.350	4.350	872.38	0.00	0.00	872.38
3134GYPJ5	1484	101	FHLMC	1,000,000.00	999,100.00	999,100.00	5.200	5.277	4,333.34	0.00	0.00	4,333.34
62384RAT3	1485	101	MTNAMR	248,000.00	248,000.00	248,000.00	4.700	4.700	958.03	0.00	0.00	958.03
60425SKB4	1486	101	MINWST	248,000.00	248,000.00	248,000.00	4.250	4.250	866.30	0.00	0.00	866.30
912796YT0	1487	101	USTR	0.00	975,854.17	0.00	4.750	903.130	0.00	0.00	24,145.83	24,145.83
35089LAF0	1491	101	FRPNTS	248,000.00	248,000.00	248,000.00	4.550	4.550	927.45	0.00	0.00	927.45
062119BT8	1492	101	BANK59	248,000.00	248,000.00	248,000.00	4.250	4.250	866.30	0.00	0.00	866.30
152577BN1	1493	101	CENTRL	248,000.00	248,000.00	248,000.00	4.000	4.000	815.34	0.00	0.00	815.34
23248UAB3	1494	101	CYFAIR	248,000.00	248,000.00	248,000.00	4.500	4.500	917.27	0.00	0.00	917.27
91527PBY2	1495	101	UNIVST	248,000.00	248,000.00	248,000.00	4.350	4.350	886.68	0.00	0.00	886.68
92834ABT2	1496	101	VISION	248,000.00	248,000.00	248,000.00	4.050	4.050	825.53	0.00	0.00	825.53
12547CBJ6	1497	101	CIBCBK	244,000.00	244,000.00	244,000.00	4.350	4.350	872.38	0.00	0.00	872.38
843383CS7	1498	101	SBPOPM	248,000.00	248,000.00	248,000.00	4.200	4.200	856.11	0.00	0.00	856.11
06610RCA5	1499	101	BANKRS	248,000.00	248,000.00	248,000.00	4.150	4.150	845.92	0.00	0.00	845.92
90352RDB8	1500	101	USAFUCU	248,000.00	248,000.00	248,000.00	4.550	4.550	927.45	0.00	0.00	927.45
87868YAQ6	1501	101	TECHCU	248,000.00	248,000.00	248,000.00	5.000	5.000	1,019.18	0.00	0.00	1,019.18
912797FL6	1502	101	USTR	0.00	3,898,126.67	0.00	5.180	41.473	0.00	0.00	101,873.33	101,873.33
3133EPME2	1505	101	FFCB	1,000,000.00	998,190.00	998,190.00	3.875	3.936	3,229.17	0.00	0.00	3,229.17
16141BAC5	1506	101	CHRTWY	248,000.00	248,000.00	248,000.00	4.900	4.900	998.79	0.00	0.00	998.79
23204HPB8	1507	101	CUST	244,000.00	244,000.00	244,000.00	4.500	4.500	902.46	0.00	0.00	902.46
91282CGT2	1508	101	USTR	1,000,000.00	984,600.00	984,600.00	3.625	3.672	2,971.31	0.00	0.00	2,971.31
85279AAC6	1509	101	STVINC	248,000.00	248,000.00	248,000.00	4.600	4.600	937.65	0.00	0.00	937.65
01025RAG4	1510	101	ALABAM	248,000.00	248,000.00	248,000.00	5.000	5.000	1,019.18	0.00	0.00	1,019.18
38120MCA2	1511	101	GLDNST	249,000.00	249,000.00	249,000.00	4.450	4.450	910.72	0.00	0.00	910.72
931142EE9	1512	101	WALMRT	1,000,000.00	973,110.00	973,110.00	3.700	3.855	3,083.34	0.00	0.00	3,083.34

City of La Quinta
 Total Earnings
 November 1, 2023 - November 30, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
910286GN7	1513	101	UNTFDL	248,000.00	248,000.00	248,000.00	4.500	4.500	917.27	0.00	0.00	917.27
472312AA5	1514	101	JEPCO	248,000.00	248,000.00	248,000.00	4.700	4.700	958.02	0.00	0.00	958.02
31911KAK4	1515	101	1STELK	248,000.00	248,000.00	248,000.00	4.400	4.400	896.87	0.00	0.00	896.87
912828V80	1516	101	USTR	2,000,000.00	1,964,400.00	1,964,400.00	2.250	2.272	3,668.48	0.00	0.00	3,668.48
912796ZN2	1517	101	USTR	3,000,000.00	2,920,963.32	2,920,963.32	5.240		0.00	0.00	0.00	0.00
912828B66	1518	101	USTR	2,000,000.00	1,969,580.00	1,969,580.00	2.750	2.770	4,483.69	0.00	0.00	4,483.69
91282CDV0	1519	101	USTR	1,000,000.00	975,600.00	975,600.00	0.875	0.890	713.31	0.00	0.00	713.31
05765LBU0	1520	101	BALBOA	248,000.00	248,000.00	248,000.00	4.400	4.400	896.88	0.00	0.00	896.88
6067-001TERM	1521	101	CAMP	20,000,000.00	20,000,000.00	20,000,000.00	5.645	5.644	92,786.30	0.00	0.00	92,786.30
560507AQ8	1522	101	MAINE	248,000.00	248,000.00	248,000.00	4.800	4.800	978.40	0.00	0.00	978.40
91739JAB1	1523	101	UTH1ST	245,000.00	245,000.00	245,000.00	5.000	5.000	1,006.85	0.00	0.00	1,006.85
91282CGT2	1524	101	USTR	1,000,000.00	990,000.00	990,000.00	3.625	3.652	2,971.31	0.00	0.00	2,971.31
91282CHA2	1525	101	USTR	1,000,000.00	985,000.00	985,000.00	3.500	3.563	2,884.62	0.00	0.00	2,884.62
30960QAR8	1526	101	FARMIG	248,000.00	248,000.00	248,000.00	5.400	5.400	1,100.71	0.00	0.00	1,100.71
3133EPQD0	1527	101	FFCB	1,000,000.00	998,655.69	998,655.69	4.250	4.315	3,541.66	0.00	0.00	3,541.66
91282CEK3	1528	101	USTR	1,000,000.00	980,080.00	980,080.00	2.500	2.558	2,060.44	0.00	0.00	2,060.44
3130ARHG9	1529	101	FHLB	1,000,000.00	982,520.00	982,520.00	2.125	2.193	1,770.83	0.00	0.00	1,770.83
912828W71	1530	101	USTR	2,000,000.00	1,960,078.13	1,960,078.13	2.125	2.162	3,483.60	0.00	0.00	3,483.60
3133EPSK2	1531	101	FFCB	1,000,000.00	990,400.00	990,400.00	4.250	4.351	3,541.66	0.00	0.00	3,541.66
499724AP7	1532	101	KNOX	248,000.00	248,000.00	248,000.00	4.850	4.850	988.60	0.00	0.00	988.60
05584CJJ6	1533	101	BNYMEL	244,000.00	244,000.00	244,000.00	4.500	4.500	902.47	0.00	0.00	902.47
313384YJ2	1534	101	FHLB	2,000,000.00	1,916,929.44	1,916,929.44	5.210		0.00	0.00	0.00	0.00
912828U3	1535	101	USTR	3,000,000.00	2,899,170.81	2,899,170.81	1.875	1.946	4,635.99	0.00	0.00	4,635.99
9128282N9	1536	101	USTR	2,000,000.00	1,942,840.00	1,942,840.00	2.125	2.170	3,464.67	0.00	0.00	3,464.67
898812AC6	1537	101	TUCSON	248,000.00	248,000.00	248,000.00	5.000	5.000	1,019.18	0.00	0.00	1,019.18
60936TAL3	1538	101	MONEY1	248,000.00	248,000.00	248,000.00	5.000	5.000	1,019.18	0.00	0.00	1,019.18
52470QEC4	1539	101	LEGBKT	248,000.00	248,000.00	248,000.00	4.500	4.500	917.27	0.00	0.00	917.27
3130AXEL8	1541	101	FHLB	1,000,000.00	999,500.00	999,500.00	4.750	4.818	3,958.33	0.00	0.00	3,958.33
912796CX5	1542	101	USTR	2,000,000.00	1,945,964.16	1,945,964.16	5.315		0.00	0.00	0.00	0.00
91282CGT2	1543	101	USTR	1,000,000.00	949,180.00	949,180.00	3.625	3.809	2,971.31	0.00	0.00	2,971.31
666613MK7	1544	101	NORPNT	248,000.00	248,000.00	248,000.00	4.850	4.850	988.60	0.00	0.00	988.60
58404DTP6	1545	101	MEDBA	248,000.00	248,000.00	248,000.00	4.850	4.850	988.60	0.00	0.00	988.60
90355GHG4	1546	101	UBS	248,000.00	248,000.00	248,000.00	4.900	4.900	998.79	0.00	0.00	998.79
42228LAN1	1547	101	HEALTH	248,000.00	248,000.00	248,000.00	5.100	5.100	1,039.56	0.00	0.00	1,039.56
98138MCA6	1548	101	WORKRS	248,000.00	248,000.00	248,000.00	5.200	5.200	1,059.95	0.00	0.00	1,059.95
52171MAM7	1549	101	LEADRS	248,000.00	248,000.00	248,000.00	5.100	5.100	1,039.56	0.00	0.00	1,039.56
91282CFU0	1550	101	USTR	750,000.00	0.00	739,200.00	4.125	4.197	2,124.83	0.00	0.00	2,124.83

**City of La Quinta
Total Earnings
November 1, 2023 - November 30, 2023**

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
912797HH3	1551	101	USTR	1,000,000.00	0.00	974,178.00	5.222		0.00	0.00	0.00	0.00
92023CAJ2	1552	101	VLLSTR	247,000.00	0.00	247,000.00	5.200	5.200	774.16	0.00	0.00	774.16
913065AD0	1553	101	UTLTCH	248,000.00	0.00	248,000.00	5.100	5.100	762.34	0.00	0.00	762.34
3133EPC45	1554	101	FFCB	1,000,000.00	0.00	999,770.00	4.625	4.690	2,312.50	0.00	0.00	2,312.50
291916AJ3	1555	101	EMPOWR	247,000.00	0.00	247,000.00	5.250	5.250	532.91	0.00	0.00	532.91
372348DJ8	1556	101	GENOA	248,000.00	0.00	248,000.00	4.600	4.600	62.51	0.00	0.00	62.51
			Subtotal	228,472,283.60	227,468,311.82	227,037,033.80		3.572	537,498.00	0.00	129,119.16	666,617.16
Fund: Fiscal Agent												
SYS1058	1058	231	USBANK	13,903.88	13,847.37	13,903.88		4.965	56.51	0.00	0.00	56.51
			Subtotal	13,903.88	13,847.37	13,903.88		4.965	56.51	0.00	0.00	56.51
Fund: Housing Authority : WSA and LQ												
SYS1062	1062	241	LQPR	133,453.74	128,317.07	133,453.74			0.00	0.00	0.00	0.00
			Subtotal	133,453.74	128,317.07	133,453.74			0.00	0.00	0.00	0.00
Fund: SA Low/Mod Bond Fund												
25-33-005	1113	249	LAIF	2,084,665.23	2,084,665.23	2,084,665.23	3.843	3.990	6,837.17	0.00	0.00	6,837.17
			Subtotal	2,084,665.23	2,084,665.23	2,084,665.23		3.990	6,837.17	0.00	0.00	6,837.17
			Total	230,704,306.45	229,695,141.49	229,269,056.65		3.574	544,391.68	0.00	129,119.16	673,510.84





**City of La Quinta
Portfolio Management
Portfolio Summary
December 31, 2023**

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 365 Equiv.
Bank Accounts	8,062,744.13	8,062,744.13	8,062,744.13	3.53	1	1	0.000
CAMP TERM	20,000,000.00	20,000,000.00	20,000,000.00	8.76	263	102	5.645
Local Agency Investment Fund-City	14,507,754.14	13,990,936.78	14,507,754.14	6.35	1	1	3.929
Local Agency Invstmnt Fund-Housing	2,084,665.23	2,053,564.57	2,084,665.23	0.91	1	1	3.929
Money Market Accounts - CAMP	30,132,190.61	30,132,190.61	30,132,190.61	13.19	1	1	5.550
Federal Agency Coupon Securities	52,000,000.00	50,037,016.00	51,755,823.74	22.66	1,524	798	2.358
Federal Agency Discount	2,000,000.00	1,951,080.00	1,916,929.44	0.84	287	171	5.533
Treasury Coupon Securities	45,500,000.00	44,027,795.00	44,557,338.79	19.51	1,202	720	3.242
Treasury Discount Notes	5,000,000.00	4,910,030.00	4,869,608.38	2.13	180	128	5.504
Certificate of Deposits	41,546,000.00	40,174,158.18	41,545,377.50	18.19	1,642	891	2.999
Corporate Notes	1,500,000.00	1,448,300.00	1,470,410.00	0.64	1,827	1,350	3.054
Money Market with Fiscal Agent	13,958.98	13,958.98	13,958.98	0.01	1	1	0.000
CERBT - OPEB Trust	1,955,099.21	1,955,099.21	1,955,099.21	0.86	1	1	0.000
PARS Pension Trust	5,544,348.61	5,544,348.61	5,544,348.61	2.43	1	1	0.000
Investments	229,846,760.91	224,301,222.07	228,416,248.76	100.00%	920	505	3.407

Total Earnings	December 31	Month Ending	Fiscal Year To Date
Current Year		653,005.71	3,947,056.43
Average Daily Balance		229,230,087.47	238,693,418.97
Effective Rate of Return		3.35%	3.28%

I certify that this report accurately reflects all pooled investments and is in compliance with the California Government Code and the City Investment Policy. As Treasurer of the City of La Quinta, I hereby certify that sufficient investment liquidity and anticipated revenues are available to meet the City's expenditure requirements for the next six months. The City of La Quinta used the monthly account statements issued by our financial institutions to determine the fair market value of investments at month end.


 Claudia Martinez, Finance Director/City Treasurer



Reporting period 12/01/2023-12/31/2023

Run Date: 02/01/2024 - 18:22

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
December 31, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	YTM 365	Maturity Date
Bank Accounts											
SYS1293	1293	Bank of New York		09/01/2020	1,319,319.64	1,319,319.64	1,319,319.64		1	1	0.000
059731851	1228	BMO f/k/a Bank of the West		08/20/2019	5,699,749.19	5,699,749.19	5,699,749.19		1	1	0.000
SYS1059	1059	City Petty Cash		07/01/2016	3,300.00	3,300.00	3,300.00		1	1	0.000
SYS1318	1318	Dune Palms Mobile Estates		03/09/2021	896,553.20	896,553.20	896,553.20		1	1	0.000
SYS1062	1062	La Quinta Palms Realty		07/01/2016	143,822.10	143,822.10	143,822.10		1	1	0.000
Subtotal and Average			9,092,321.78		8,062,744.13	8,062,744.13	8,062,744.13		1	1	0.000
CAMP TERM											
6067-001TERM	1521	California Asset Management Pr		07/24/2023	20,000,000.00	20,000,000.00	20,000,000.00	5.645	263	102	5.645 04/12/2024
Subtotal and Average			20,000,000.00		20,000,000.00	20,000,000.00	20,000,000.00		263	102	5.645
Local Agency Investment Fund-City											
98-33-434	1055	Local Agency Inv Fund			14,507,754.14	13,990,936.78	14,507,754.14	3.929	1	1	3.929
Subtotal and Average			14,507,754.14		14,507,754.14	13,990,936.78	14,507,754.14		1	1	3.929
Local Agency Invstmnt Fund-Housing											
25-33-005	1113	Local Agency Inv Fund			2,084,665.23	2,053,564.57	2,084,665.23	3.929	1	1	3.929
Subtotal and Average			2,084,665.23		2,084,665.23	2,053,564.57	2,084,665.23		1	1	3.929
Money Market Accounts - CAMP											
SYS1153	1153	California Asset Management Pr		09/26/2018	30,132,190.61	30,132,190.61	30,132,190.61	5.550	1	1	5.550
Subtotal and Average			29,995,331.00		30,132,190.61	30,132,190.61	30,132,190.61		1	1	5.550
Federal Agency Coupon Securities											
3133EKVV4	1212	Federal Farm Credit Bank		08/02/2019	500,000.00	491,025.00	499,500.00	1.850	1,820	207	1.871 07/26/2024
3133EKP75	1224	Federal Farm Credit Bank		09/17/2019	500,000.00	488,475.00	498,750.00	1.600	1,827	260	1.652 09/17/2024
3133ELEA8	1242	Federal Farm Credit Bank		12/17/2019	1,000,000.00	978,560.00	998,600.00	1.700	1,736	260	1.731 09/17/2024
3133ELNE0	1246	Federal Farm Credit Bank		02/14/2020	1,000,000.00	995,250.00	999,000.00	1.430	1,461	44	1.456 02/14/2024
3133ELH23	1280	Federal Farm Credit Bank		06/09/2020	500,000.00	472,580.00	499,850.00	0.500	1,826	525	0.506 06/09/2025
3133ELH80	1282	Federal Farm Credit Bank		06/10/2020	500,000.00	472,600.00	500,000.00	0.680	1,826	526	0.680 06/10/2025
3133EM2C5	1330	Federal Farm Credit Bank		08/10/2021	500,000.00	455,765.00	498,000.00	0.710	1,826	952	0.792 08/10/2026
3133EM4X7	1338	Federal Farm Credit Bank		09/28/2021	1,000,000.00	916,280.00	991,080.00	0.800	1,808	983	0.985 09/10/2026
3133ENCQ1	1344	Federal Farm Credit Bank		11/02/2021	1,000,000.00	918,560.00	1,000,000.00	1.270	1,826	1,036	1.270 11/02/2026
3133ENGN4	1355	Federal Farm Credit Bank		12/09/2021	1,000,000.00	963,720.00	1,000,000.00	0.970	1,096	343	0.970 12/09/2024
3133ENYH7	1401	Federal Farm Credit Bank		06/10/2022	500,000.00	494,280.00	499,080.00	2.625	731	161	2.720 06/10/2024

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Federal Agency Coupon Securities											
3133ENL99	1426	Federal Farm Credit Bank		09/15/2022	1,000,000.00	976,330.00	997,492.55	3.375	1,826	1,353	3.430 09/15/2027
3133ENQ29	1433	Federal Farm Credit Bank		09/30/2022	1,000,000.00	999,120.00	996,400.00	4.000	1,825	1,367	4.080 09/29/2027
3133EN3H1	1441	Federal Farm Credit Bank		11/29/2022	1,000,000.00	999,360.00	998,650.00	4.000	1,826	1,428	4.030 11/29/2027
3133EN3S7	1446	Federal Farm Credit Bank		12/07/2022	1,000,000.00	990,410.00	998,000.00	3.750	1,826	1,436	3.794 12/07/2027
3133EPAV7	1464	Federal Farm Credit Bank		02/15/2023	1,000,000.00	994,810.00	995,400.00	3.875	1,825	1,505	3.977 02/14/2028
3133EPME2	1505	Federal Farm Credit Bank		06/08/2023	1,000,000.00	994,790.00	998,190.00	3.875	1,827	1,620	3.915 06/08/2028
3133EPQD0	1527	Federal Farm Credit Bank		07/31/2023	1,000,000.00	1,009,840.00	998,655.69	4.250	1,813	1,659	4.280 07/17/2028
3133EPSK2	1531	Federal Farm Credit Bank		08/18/2023	1,000,000.00	1,009,810.00	990,400.00	4.250	1,816	1,680	4.467 08/07/2028
3133EPC45	1554	Federal Farm Credit Bank		11/13/2023	1,000,000.00	1,031,690.00	999,770.00	4.625	1,827	1,778	4.630 11/13/2028
3130AFW94	1177	Federal Home Loan Bank		03/01/2019	500,000.00	498,310.00	498,550.00	2.500	1,810	43	2.563 02/13/2024
3130AJKW8	1281	Federal Home Loan Bank		06/03/2020	500,000.00	472,580.00	499,850.00	0.500	1,836	529	0.506 06/13/2025
3130AJRP6	1283	Federal Home Loan Bank		06/30/2020	300,000.00	284,013.00	300,000.00	0.680	1,826	546	0.680 06/30/2025
3130AKFA9	1298	Federal Home Loan Bank		12/07/2020	500,000.00	462,975.00	497,400.00	0.375	1,831	711	0.480 12/12/2025
3130AKMZ6	1302	Federal Home Loan Bank		01/14/2021	500,000.00	463,100.00	500,000.00	0.510	1,826	744	0.510 01/14/2026
3130AKN28	1304	Federal Home Loan Bank		01/29/2021	500,000.00	462,765.00	500,000.00	0.550	1,826	759	0.550 01/29/2026
3130ALV92	1312	Federal Home Loan Bank		03/30/2021	500,000.00	466,935.00	500,000.00	1.050	1,826	819	0.938 03/30/2026
3130AMFS6	1324	Federal Home Loan Bank		06/17/2021	1,000,000.00	921,290.00	993,420.00	0.750	1,821	893	0.885 06/12/2026
3130APBM6	1337	Federal Home Loan Bank		09/30/2021	1,000,000.00	914,330.00	999,000.00	1.000	1,826	1,003	1.021 09/30/2026
3130APB46	1339	Federal Home Loan Bank		10/13/2021	1,000,000.00	912,140.00	998,250.00	0.950	1,826	1,016	0.986 10/13/2026
3130APTV7	1347	Federal Home Loan Bank		11/24/2021	500,000.00	471,020.00	499,500.00	2.000	1,826	1,058	1.489 11/24/2026
3130AP2U8	1353	Federal Home Loan Bank		12/07/2021	1,000,000.00	962,740.00	987,100.00	0.550	1,127	372	0.976 01/07/2025
3130AQF65	1358	Federal Home Loan Bank		12/22/2021	1,000,000.00	919,680.00	999,750.00	1.250	1,825	1,085	1.255 12/21/2026
3130AQJR5	1364	Federal Home Loan Bank		01/27/2022	1,000,000.00	922,500.00	1,000,000.00	1.500	1,826	1,122	1.500 01/27/2027
3130AQSA2	1367	Federal Home Loan Bank		02/10/2022	1,000,000.00	930,940.00	1,000,000.00	1.830	1,826	1,136	1.830 02/10/2027
3130AQWY5	1374	Federal Home Loan Bank		02/25/2022	1,000,000.00	960,720.00	1,000,000.00	1.700	1,095	420	1.700 02/24/2025
3130ARGJ4	1385	Federal Home Loan Bank		04/14/2022	500,000.00	483,910.00	500,000.00	2.500	1,279	652	2.500 10/14/2025
3130ARGY1	1387	Federal Home Loan Bank		04/19/2022	1,000,000.00	955,040.00	1,000,000.00	2.700	1,826	1,204	2.700 04/19/2027
3130ASDV8	1409	Federal Home Loan Bank		06/28/2022	300,000.00	291,471.00	300,000.00	3.300	1,826	1,274	3.300 06/28/2027
3130ARHG9	1529	Federal Home Loan Bank		08/10/2023	1,000,000.00	995,160.00	982,520.00	2.125	202	58	5.391 02/28/2024
3130AXEL8	1541	Federal Home Loan Bank		10/03/2023	1,000,000.00	1,035,820.00	999,500.00	4.750	1,802	1,712	4.762 09/08/2028
3130AYB08	1566	Federal Home Loan Bank		12/29/2023	1,000,000.00	999,480.00	999,516.00	4.750	363	360	4.800 12/26/2024
3134GVYG7	1279	Federal Home Loan Mtg Corp		05/27/2020	1,000,000.00	946,870.00	1,000,000.00	0.625	1,826	512	0.625 05/27/2025
3137EAEU9	1297	Federal Home Loan Mtg Corp		12/07/2020	1,000,000.00	939,900.00	997,300.00	0.375	1,687	567	0.434 07/21/2025
3134GXGZ1	1301	Federal Home Loan Mtg Corp		12/30/2020	500,000.00	464,925.00	500,000.00	0.550	1,826	729	0.550 12/30/2025
3137EAXE3	1307	Federal Home Loan Mtg Corp		02/23/2021	500,000.00	466,760.00	495,999.50	0.375	1,673	631	0.552 09/23/2025
3137EAXE3	1310	Federal Home Loan Mtg Corp		03/30/2021	1,000,000.00	933,520.00	983,940.00	0.375	1,638	631	0.740 09/23/2025

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Federal Agency Coupon Securities												
3134GW6C5	1359	Federal Home Loan Mtg Corp		01/05/2022	500,000.00	453,480.00	486,000.00	0.800	1,757	1,031	1.403	10/28/2026
3137EAEU9	1361	Federal Home Loan Mtg Corp		01/05/2022	500,000.00	469,950.00	487,090.00	0.375	1,293	567	1.120	07/21/2025
3134GW5R3	1362	Federal Home Loan Mtg Corp		01/05/2022	400,000.00	373,132.00	391,360.00	0.650	1,391	665	1.232	10/27/2025
3134GWUQ7	1366	Federal Home Loan Mtg Corp		02/10/2022	1,000,000.00	898,660.00	945,570.00	0.700	1,784	1,094	1.870	12/30/2026
3134GYPJ5	1484	Federal Home Loan Mtg Corp		04/24/2023	1,000,000.00	992,340.00	999,100.00	5.200	1,820	1,568	5.220	04/17/2028
3135G0V75	1206	Federal National Mtg Assn		07/15/2019	500,000.00	491,660.00	495,950.00	1.750	1,814	183	1.922	07/02/2024
3135G05S8	1288	Federal National Mtg Assn		08/14/2020	500,000.00	469,655.00	500,000.00	0.500	1,826	591	0.500	08/14/2025
3136G4N74	1289	Federal National Mtg Assn		08/21/2020	1,000,000.00	939,540.00	1,000,000.00	0.560	1,826	598	0.560	08/21/2025
3136G4M75	1290	Federal National Mtg Assn		08/28/2020	500,000.00	469,490.00	499,750.00	0.520	1,816	595	0.530	08/18/2025
3135G06E8	1291	Federal National Mtg Assn		11/18/2020	500,000.00	480,680.00	499,250.00	0.420	1,461	322	0.458	11/18/2024
3135GA2Z3	1292	Federal National Mtg Assn		11/17/2020	500,000.00	464,535.00	499,250.00	0.560	1,826	686	0.590	11/17/2025
3135GA7D7	1299	Federal National Mtg Assn		12/23/2020	500,000.00	462,955.00	500,000.00	0.600	1,826	722	0.600	12/23/2025
3135GAAW1	1300	Federal National Mtg Assn		12/30/2020	500,000.00	478,505.00	500,000.00	0.400	1,461	364	0.400	12/30/2024
3135G06G3	1354	Federal National Mtg Assn		12/07/2021	1,000,000.00	931,660.00	976,300.00	0.500	1,431	676	1.120	11/07/2025
3135G03U5	1365	Federal National Mtg Assn		01/28/2022	500,000.00	475,465.00	487,790.00	0.625	1,180	477	1.400	04/22/2025
3135GAGA3	1480	Federal National Mtg Assn		04/03/2023	2,000,000.00	1,996,460.00	2,000,000.00	5.200	484	211	5.206	07/30/2024
3135GAGK1	1482	Federal National Mtg Assn		04/12/2023	3,000,000.00	2,996,700.00	3,000,000.00	5.050	366	102	5.050	04/12/2024
Subtotal and Average			50,853,035.09		52,000,000.00	50,037,016.00	51,755,823.74		1,524	798	2.358	
Federal Agency Discount												
313384YJ2	1534	Federal Home Loan Bank		09/07/2023	2,000,000.00	1,951,080.00	1,916,929.44	5.210	287	171	5.533	06/20/2024
Subtotal and Average			1,916,929.44		2,000,000.00	1,951,080.00	1,916,929.44		287	171	5.533	
Treasury Coupon Securities												
912828YV6	1241	U.S. Treasury		12/16/2019	1,000,000.00	969,920.00	989,687.50	1.500	1,811	334	1.718	11/30/2024
91282CBC4	1303	U.S. Treasury		01/07/2021	500,000.00	463,495.00	498,632.81	0.375	1,819	730	0.431	12/31/2025
91282CBH3	1309	U.S. Treasury		02/23/2021	500,000.00	462,050.00	495,100.00	0.375	1,803	761	0.577	01/31/2026
91282CAT8	1311	U.S. Treasury		03/30/2021	1,000,000.00	929,100.00	977,500.00	0.250	1,676	669	0.750	10/31/2025
91282CAZ4	1315	U.S. Treasury		04/22/2021	500,000.00	464,455.00	492,187.50	0.375	1,683	699	0.720	11/30/2025
91282CBQ3	1319	U.S. Treasury		05/28/2021	500,000.00	462,205.00	494,165.00	0.500	1,737	789	0.750	02/28/2026
91282CBT7	1320	U.S. Treasury		05/28/2021	500,000.00	464,045.00	499,525.00	0.750	1,768	820	0.770	03/31/2026
91282CCF6	1321	U.S. Treasury		06/01/2021	1,000,000.00	923,440.00	997,060.00	0.750	1,825	881	0.810	05/31/2026
91282CBT7	1322	U.S. Treasury		06/17/2021	500,000.00	464,045.00	498,450.00	0.750	1,748	820	0.816	03/31/2026
91282CCF6	1323	U.S. Treasury		06/17/2021	500,000.00	461,720.00	497,095.00	0.750	1,809	881	0.870	05/31/2026
91282CCP4	1335	U.S. Treasury		09/29/2021	1,000,000.00	916,290.00	983,750.00	0.625	1,766	942	0.970	07/31/2026
91282CCW9	1336	U.S. Treasury		09/29/2021	1,000,000.00	917,230.00	988,500.00	0.750	1,797	973	0.990	08/31/2026

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Treasury Coupon Securities												
91282CBE0	1342	U.S. Treasury		10/22/2021	1,000,000.00	998,160.00	991,000.00	0.125	815	14	0.531	01/15/2024
91282CDB4	1343	U.S. Treasury		10/22/2021	1,000,000.00	967,190.00	996,320.00	0.625	1,089	288	0.750	10/15/2024
91282CBR1	1352	U.S. Treasury		12/07/2021	1,000,000.00	990,040.00	989,800.00	0.250	829	74	0.704	03/15/2024
91282CBV2	1360	U.S. Treasury		01/05/2022	500,000.00	493,125.00	494,882.81	0.375	831	105	0.830	04/15/2024
91282Z78	1369	U.S. Treasury		02/10/2022	1,000,000.00	928,710.00	986,700.00	1.500	1,816	1,126	1.781	01/31/2027
91282CCW9	1380	U.S. Treasury		03/22/2022	500,000.00	458,615.00	466,454.17	0.750	1,623	973	2.350	08/31/2026
91282CDG3	1381	U.S. Treasury		03/22/2022	500,000.00	461,620.00	473,396.82	1.125	1,684	1,034	2.350	10/31/2026
91282CBQ3	1382	U.S. Treasury		03/23/2022	750,000.00	693,307.50	698,025.00	0.500	1,438	789	2.353	02/28/2026
9128286S4	1383	U.S. Treasury		03/23/2022	1,000,000.00	961,370.00	999,010.00	2.375	1,499	850	2.400	04/30/2026
9128283D0	1390	U.S. Treasury		05/03/2022	1,000,000.00	978,440.00	985,190.00	2.250	912	304	2.870	10/31/2024
91282CEF4	1391	U.S. Treasury		05/03/2022	1,000,000.00	956,330.00	976,860.00	2.500	1,793	1,185	3.010	03/31/2027
912828X88	1397	U.S. Treasury		06/07/2022	1,000,000.00	950,390.00	969,687.50	2.375	1,803	1,230	3.041	05/15/2027
91282CEN7	1398	U.S. Treasury		06/08/2022	500,000.00	481,250.00	495,000.00	2.750	1,787	1,215	2.971	04/30/2027
91282CET4	1399	U.S. Treasury		06/08/2022	500,000.00	478,865.00	491,842.18	2.625	1,818	1,246	2.980	05/31/2027
9128282U3	1400	U.S. Treasury		06/09/2022	500,000.00	489,765.00	490,850.00	1.875	814	243	2.727	08/31/2024
91282CFB2	1417	U.S. Treasury		08/15/2022	1,000,000.00	960,550.00	989,460.00	2.750	1,811	1,307	2.980	07/31/2027
912828XT2	1418	U.S. Treasury		05/04/2022	1,000,000.00	986,680.00	985,240.00	2.000	758	151	2.736	05/31/2024
91282CFB2	1422	U.S. Treasury		08/29/2022	1,000,000.00	960,550.00	979,645.67	2.750	1,797	1,307	3.200	07/31/2027
9128282R0	1439	U.S. Treasury		11/18/2022	1,000,000.00	943,790.00	927,110.00	2.250	1,731	1,322	3.950	08/15/2027
91282CFH9	1456	U.S. Treasury		01/24/2023	500,000.00	486,270.00	489,175.00	3.125	1,680	1,338	3.640	08/31/2027
91282CGH8	1460	U.S. Treasury		02/02/2023	1,000,000.00	985,000.00	996,369.14	3.500	1,824	1,491	3.580	01/31/2028
91282CEW7	1465	U.S. Treasury		02/15/2023	1,000,000.00	977,850.00	967,220.00	3.250	1,596	1,276	4.075	06/30/2027
91282CGT2	1508	U.S. Treasury		06/15/2023	1,000,000.00	989,920.00	984,600.00	3.625	1,751	1,551	3.980	03/31/2028
912828V80	1516	U.S. Treasury		06/30/2023	2,000,000.00	1,995,020.00	1,964,400.00	2.250	215	30	5.374	01/31/2024
912828B66	1518	U.S. Treasury		07/12/2023	2,000,000.00	1,993,700.00	1,969,580.00	2.750	218	45	5.382	02/15/2024
91282CDV0	1519	U.S. Treasury		07/12/2023	1,000,000.00	996,460.00	975,600.00	0.875	203	30	5.416	01/31/2024
91282CGT2	1524	U.S. Treasury		05/31/2023	1,000,000.00	989,920.00	990,000.00	3.625	1,766	1,551	3.853	03/31/2028
91282CHA2	1525	U.S. Treasury		05/31/2023	1,000,000.00	984,960.00	985,000.00	3.500	1,796	1,581	3.837	04/30/2028
91282CEK3	1528	U.S. Treasury		08/10/2023	1,000,000.00	990,860.00	980,080.00	2.500	264	120	5.340	04/30/2024
912828W71	1530	U.S. Treasury		08/10/2023	2,000,000.00	1,984,060.00	1,960,078.13	2.125	234	90	5.338	03/31/2024
9128282U3	1535	U.S. Treasury		09/07/2023	3,000,000.00	2,938,590.00	2,899,170.81	1.875	359	243	5.440	08/31/2024
9128282N9	1536	U.S. Treasury		09/07/2023	2,000,000.00	1,966,260.00	1,942,840.00	2.125	328	212	5.431	07/31/2024
91282CGT2	1543	U.S. Treasury		10/19/2023	1,000,000.00	989,920.00	949,180.00	3.625	1,625	1,551	4.910	03/31/2028
91282CFU0	1550	U.S. Treasury		11/06/2023	750,000.00	755,272.50	739,200.00	4.125	1,455	1,399	4.524	10/31/2027
9128285M8	1565	U.S. Treasury		12/29/2023	1,000,000.00	966,990.00	966,718.75	3.125	1,783	1,780	3.880	11/15/2028
Subtotal and Average			44,131,270.24		45,500,000.00	44,027,795.00	44,557,338.79		1,202	720	3.242	

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Treasury Discount Notes												
912796CX5	1542	U.S. Treasury		10/18/2023	2,000,000.00	1,969,140.00	1,945,964.16	5.315	183	108	5.615	04/18/2024
912797HH3	1551	U.S. Treasury		11/06/2023	1,000,000.00	982,670.00	974,178.00	5.222	178	122	5.511	05/02/2024
912797HS9	1558	U.S. Treasury		12/04/2023	2,000,000.00	1,958,220.00	1,949,466.22	5.110	178	150	5.389	05/30/2024
Subtotal and Average			7,225,015.19		5,000,000.00	4,910,030.00	4,869,608.38		180	128	5.504	
Certificate of Deposits												
336460CX6	1222	First State Bk DeQueen		08/30/2019	248,000.00	242,357.64	248,000.00	1.800	1,827	242	1.802	08/30/2024
31911KAK4	1515	First Bank Elk River		06/30/2023	248,000.00	244,793.52	248,000.00	4.400	1,827	1,642	4.405	06/30/2028
32027BAL1	1273	First Freedom Bank		04/29/2020	249,000.00	236,201.79	249,000.00	1.200	1,826	484	1.201	04/29/2025
33766LAJ7	1216	FirsTier Bank		08/23/2019	249,000.00	243,533.23	249,000.00	1.950	1,827	235	1.952	08/23/2024
32056GDJ6	1278	1st Internet Bank		05/11/2020	248,000.00	234,284.04	248,000.00	1.000	1,827	497	0.985	05/12/2025
32112UDR9	1274	First Natl Bk McGregor		04/28/2020	248,000.00	235,760.29	248,000.00	1.350	1,826	483	1.351	04/28/2025
334342CD2	1221	First Natl Bk of Syracuse		08/30/2019	249,000.00	243,417.96	249,000.00	1.850	1,827	242	1.852	08/30/2024
32114VBT3	1250	First National Bank Michigan		02/14/2020	248,000.00	237,340.44	248,000.00	1.650	1,827	410	1.652	02/14/2025
33625CCP2	1209	First Security Bank of WA		07/30/2019	248,000.00	243,260.00	248,000.00	2.000	1,827	211	2.002	07/30/2024
33640VDD7	1231	First Service Bank		11/15/2019	248,000.00	244,581.52	248,000.00	1.700	1,643	135	1.701	05/15/2024
88413QDN5	1420	Third Federal Savings and Loan		08/19/2022	245,000.00	232,568.14	245,000.00	3.300	1,826	1,326	3.302	08/19/2027
00257TBD7	1207	Abacus Federal Savings		07/26/2019	248,000.00	243,097.48	248,000.00	1.950	1,827	207	1.952	07/26/2024
00435JBH5	1256	Access Bank		03/13/2020	248,000.00	237,380.51	248,000.00	1.600	1,826	437	1.601	03/13/2025
00833JAQ4	1478	Affinity Bank		03/17/2023	248,000.00	243,827.88	248,000.00	4.900	1,827	1,537	4.906	03/17/2028
01025RAG4	1510	Alabama Credit Union		06/20/2023	248,000.00	246,895.30	248,000.00	5.000	1,098	903	5.005	06/22/2026
011852AE0	1469	Alaska USA/Global FCU		03/08/2023	248,000.00	240,990.63	248,000.00	4.600	1,827	1,528	4.606	03/08/2028
01882MAC6	1451	Alliant CU		12/30/2022	247,000.00	248,588.75	247,000.00	5.000	1,826	1,459	5.003	12/30/2027
01664MAB2	1448	All In FCU		12/20/2022	248,000.00	244,214.33	248,000.00	4.400	1,826	1,449	4.402	12/20/2027
02007GPX5	1388	Ally Bank Midvale		04/21/2022	245,000.00	236,825.80	245,000.00	2.550	1,096	476	2.550	04/21/2025
020080BX4	1267	Alma Bank		03/30/2020	248,000.00	236,518.01	248,000.00	1.400	1,824	452	1.399	03/28/2025
029728BC5	1255	American State		02/21/2020	248,000.00	237,851.42	248,000.00	1.600	1,827	417	1.602	02/21/2025
02589ADH2	1421	American Express, NB		08/29/2022	245,000.00	233,661.65	245,000.00	3.450	1,793	1,303	3.067	07/27/2027
02357QAQ0	1372	Amerant Bank		02/14/2022	245,000.00	221,662.83	245,000.00	1.600	1,828	1,142	1.601	02/16/2027
052392BT3	1427	Austin Telco FCU		09/21/2022	248,000.00	239,137.10	248,000.00	3.800	1,826	1,359	3.770	09/21/2027
05465DAE8	1258	Axos Bank		03/26/2020	248,000.00	237,317.21	248,000.00	1.650	1,826	450	1.651	03/26/2025
05765LBU0	1520	Balboa Thrift and Loan		07/19/2023	248,000.00	246,914.48	248,000.00	4.400	1,827	1,661	4.405	07/19/2028
062119BT8	1492	Bank Five Nine		05/12/2023	248,000.00	237,409.75	248,000.00	4.250	1,827	1,593	4.255	05/12/2028
06610RCA5	1499	Bankers Bank		05/24/2023	248,000.00	236,367.49	248,000.00	4.150	1,827	1,605	4.155	05/24/2028
07371AYE7	1370	Beal Bank TX		02/23/2022	245,000.00	224,011.49	245,000.00	1.900	1,820	1,143	1.901	02/17/2027
07371CE88	1371	Beal Bank USA		02/23/2022	245,000.00	223,770.37	245,000.00	1.900	1,820	1,143	1.901	02/17/2027

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Certificate of Deposits											
08016PDQ9	1270	Belmont Bank and Trust		04/16/2020	248,000.00	244,974.34	248,000.00	1.250	1,461	106	1.251 04/16/2024
06417NZQ9	1329	Bank OZK		07/29/2021	248,000.00	234,832.93	248,000.00	0.400	1,280	394	0.401 01/29/2025
064520BG3	1287	Bank Princeton		06/30/2020	248,000.00	236,153.43	248,000.00	0.500	1,644	364	0.500 12/30/2024
064860MC0	1476	Bank of the Sierra		03/15/2023	244,000.00	239,728.55	244,000.00	4.600	1,461	1,169	4.604 03/15/2027
09582YAF9	1467	Blue Ridge Bank		02/28/2023	244,000.00	233,469.99	244,000.00	4.200	1,826	1,519	4.202 02/28/2028
05580AD50	1333	BMW Bank		09/10/2021	245,000.00	237,175.02	245,000.00	0.650	1,096	253	0.651 09/10/2024
06652CHB0	1227	BankWest Inc		09/27/2019	248,000.00	241,427.17	248,000.00	1.700	1,827	270	1.702 09/27/2024
05584CJJ6	1533	BNY Mellon		09/07/2023	244,000.00	244,370.24	244,000.00	4.500	1,827	1,711	4.505 09/07/2028
108622NJ6	1479	Bridgewater Bank		03/29/2023	248,000.00	245,463.69	248,000.00	4.850	1,461	1,183	4.767 03/29/2027
130162BL3	1564	California Credit Union		12/28/2023	244,000.00	244,274.48	244,000.00	5.100	550	546	5.105 06/30/2025
14042RQB0	1346	Capital One Natl Assn FDIC4297		11/17/2021	248,000.00	222,727.20	248,000.00	1.100	1,826	1,051	1.101 11/17/2026
14042TDD6	1271	Capital One USA FDIC33954		04/08/2020	245,000.00	234,104.54	245,000.00	1.600	1,826	463	1.601 04/08/2025
14622LAA0	1316	Carter FCU		04/27/2021	248,000.00	226,548.39	248,000.00	0.750	1,826	847	0.750 04/27/2026
20033A3A2	1386	Comenity Capital Bank		04/14/2022	248,000.00	231,104.79	248,000.00	2.650	1,826	1,199	2.652 04/14/2027
15118RRH2	1220	Celtic Bank		08/30/2019	248,000.00	242,440.37	248,000.00	1.850	1,827	242	1.852 08/30/2024
156634AK3	1184	Century Next Bank		05/29/2019	248,000.00	245,035.25	248,000.00	2.500	1,827	149	2.503 05/29/2024
152577BN1	1493	Central Bank		05/12/2023	248,000.00	234,975.04	248,000.00	4.000	1,827	1,593	4.005 05/12/2028
169894AS1	1284	Chippewa Valley Bk		06/24/2020	248,000.00	232,496.36	248,000.00	0.600	1,826	540	0.600 06/24/2025
16141BAC5	1506	Chartway FCU		06/09/2023	248,000.00	246,237.38	248,000.00	4.900	1,096	890	4.905 06/09/2026
12547CBJ6	1497	CIBC Bank USA		05/16/2023	244,000.00	234,535.13	244,000.00	4.350	1,827	1,597	4.355 05/16/2028
17286TAG0	1252	Citadel FCU		02/27/2020	248,000.00	237,875.70	248,000.00	1.650	1,827	423	1.652 02/27/2025
2027506M2	1268	Commonwealth Business Bk		03/31/2020	248,000.00	235,995.58	248,000.00	1.250	1,826	455	1.251 03/31/2025
20825WAR1	1357	Connexus CU		12/23/2021	249,000.00	223,623.50	249,000.00	1.250	1,826	1,087	1.250 12/23/2026
22258JAB7	1430	County Schools FCU		09/30/2022	248,000.00	240,314.64	248,000.00	4.400	1,826	1,368	4.325 09/30/2027
20416TAQ5	1202	Communitywide FCU		06/28/2019	248,000.00	244,149.36	248,000.00	2.250	1,827	179	2.253 06/28/2024
20786ADL6	1334	Connect One		09/24/2021	248,000.00	221,914.91	248,000.00	0.800	1,826	997	0.800 09/24/2026
176688CP2	1199	Citizens State Bank		06/21/2019	248,000.00	244,473.02	248,000.00	2.400	1,827	172	2.403 06/21/2024
23204HPB8	1507	Customers Bank		06/14/2023	244,000.00	242,053.80	244,000.00	4.500	1,827	1,626	4.505 06/14/2028
23248UAB3	1494	Cy-Fair FCU		05/12/2023	248,000.00	239,844.46	248,000.00	4.500	1,827	1,593	4.505 05/12/2028
24773RCR4	1377	Delta Natl B&T		03/09/2022	245,000.00	224,336.22	245,000.00	2.000	1,814	1,151	2.001 02/25/2027
25460FDW3	1438	Direct FCU		11/07/2022	248,000.00	247,739.57	248,000.00	4.800	1,827	1,407	4.735 11/08/2027
254673E69	1392	Discover Bank Greenwood DE CF		05/24/2022	245,000.00	238,284.99	245,000.00	3.100	1,099	512	3.103 05/27/2025
25844MAK4	1447	Dort Financial CU		12/16/2022	247,000.00	244,121.28	247,000.00	4.500	1,826	1,445	4.503 12/16/2027
27004PCM3	1375	Eaglemark Savings		03/02/2022	245,000.00	224,249.36	245,000.00	2.000	1,826	1,156	2.001 03/02/2027
291916AJ3	1555	Empower FCU		11/15/2023	247,000.00	249,721.34	247,000.00	5.250	1,827	1,780	5.255 11/15/2028
299547AQ2	1196	Liberty FCU F/K/A Evansville T		06/12/2019	248,000.00	244,877.29	248,000.00	2.600	1,827	163	2.603 06/12/2024

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Certificate of Deposits											
87270LDL4	1306	TIAA F/K/A EverBank		02/12/2021	245,000.00	223,602.97	245,000.00	0.500	1,826	773	0.500 02/12/2026
300185LM5	1457	Evergreen Bank Group		01/27/2023	248,000.00	241,854.89	248,000.00	3.850	1,277	938	3.849 07/27/2026
307811DF3	1363	Farmers & Merch		01/14/2022	249,000.00	233,528.25	249,000.00	0.900	1,277	560	0.900 07/14/2025
30960QAR8	1526	Farmers Insurance Group FCU		07/26/2023	248,000.00	248,154.97	248,000.00	5.400	733	574	5.408 07/28/2025
31617CAV5	1317	Fidelity Homestead		04/30/2021	248,000.00	225,081.63	248,000.00	0.700	1,826	850	0.711 04/30/2026
33847E3A3	1276	Flagstar		04/30/2020	248,000.00	235,443.90	248,000.00	1.250	1,826	485	1.251 04/30/2025
34520LATO	1435	Forbright Bank		11/02/2022	248,000.00	245,955.75	248,000.00	4.600	1,826	1,401	4.602 11/02/2027
319137CB9	1562	First Bank		12/28/2023	244,000.00	243,388.29	244,000.00	4.450	729	725	4.456 12/26/2025
35637RDC8	1248	Freedom Financial		02/14/2020	248,000.00	237,817.29	248,000.00	1.550	1,827	410	1.552 02/14/2025
35089LAF0	1491	Four Points FCU		05/10/2023	248,000.00	244,255.51	248,000.00	4.550	1,097	861	4.443 05/11/2026
372348DJ8	1556	The Genoa Banking Company		11/28/2023	248,000.00	244,824.06	248,000.00	4.600	1,827	1,793	4.605 11/28/2028
37173RAL7	1561	Genesee Regional Bank		12/27/2023	244,000.00	242,829.86	244,000.00	4.200	1,097	1,092	3.638 12/28/2026
38149MXK4	1326	Goldman Sachs		07/28/2021	248,000.00	224,336.93	248,000.00	1.000	1,826	939	1.001 07/28/2026
38120MCA2	1511	Golden State Business Bank		06/22/2023	249,000.00	242,682.58	249,000.00	4.450	1,461	1,268	4.453 06/22/2027
39573LBC1	1313	Greenstate FCU		04/16/2021	249,000.00	227,728.72	249,000.00	0.950	1,826	836	0.951 04/16/2026
397417AQ9	1419	Greenwoods State Bank		05/17/2022	248,000.00	233,839.82	248,000.00	3.050	1,826	1,232	3.052 05/17/2027
42228LAN1	1547	Healthcare Systems FCU		10/27/2023	248,000.00	245,623.50	248,000.00	5.100	1,827	1,761	5.106 10/27/2028
45157PAZ3	1450	Ideal CU		12/29/2022	248,000.00	245,061.30	248,000.00	4.500	1,826	1,458	4.502 12/29/2027
46256YAZ2	1186	Iowa State Bank		05/23/2019	245,000.00	242,128.24	245,000.00	2.400	1,827	143	2.403 05/23/2024
472312AA5	1514	Jeep Country FCU		06/29/2023	248,000.00	243,625.16	248,000.00	4.700	1,461	1,275	4.704 06/29/2027
48128HXU7	1185	JP Morgan Chase		05/16/2019	245,000.00	243,047.06	245,000.00	3.250	1,827	136	3.254 05/16/2024
49306SJ56	1475	Kay Bank, N.A.		03/15/2023	244,000.00	242,644.57	244,000.00	5.000	733	441	5.008 03/17/2025
499724AP7	1532	Knoxville TVA Credit Union		08/25/2023	248,000.00	243,057.90	248,000.00	4.850	1,827	1,698	4.854 08/25/2028
51828MAC8	1449	Latino Comm. CU		12/21/2022	248,000.00	245,109.85	248,000.00	4.500	1,826	1,450	4.503 12/21/2027
530520AH8	1466	Liberty First CU		02/21/2023	248,000.00	240,101.16	248,000.00	4.500	1,827	1,513	4.504 02/22/2028
501798RP9	1356	LCA Bank Corp		12/27/2021	248,000.00	225,580.01	248,000.00	1.000	1,642	907	1.000 06/26/2026
52168UHY1	1389	Leader Bank		04/22/2022	245,000.00	236,813.75	245,000.00	2.550	1,096	477	2.552 04/22/2025
52171MAM7	1549	Leaders Credit Union		10/30/2023	248,000.00	249,782.90	248,000.00	5.100	1,827	1,764	5.106 10/30/2028
52470QEC4	1539	Legacy Bank & Trust		09/27/2023	248,000.00	239,359.38	248,000.00	4.500	1,827	1,731	4.505 09/27/2028
524661CB9	1197	Legacy Bank		06/19/2019	248,000.00	244,512.69	248,000.00	2.400	1,827	170	2.403 06/19/2024
50625LAW3	1384	Lafayette FCU		03/30/2022	248,000.00	245,978.16	248,000.00	2.050	729	87	2.053 03/28/2024
538036GV0	1238	Live Oak Bank		11/27/2019	248,000.00	244,366.54	248,000.00	1.800	1,644	148	1.802 05/28/2024
51210SQU4	1208	Lakeside Bank		07/30/2019	248,000.00	247,277.27	248,000.00	2.000	1,644	28	2.003 01/29/2024
51507LCC6	1305	Landmark Community Bank		01/22/2021	248,000.00	226,745.58	248,000.00	0.500	1,826	752	0.500 01/22/2026
560507AQ8	1522	Maine Savings FCU		07/21/2023	248,000.00	242,626.84	248,000.00	4.800	1,827	1,663	4.806 07/21/2028
56065GAG3	1188	Main Street Bank		04/26/2019	248,000.00	245,794.29	248,000.00	2.600	1,827	116	2.603 04/26/2024

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58404DTP6	1545	Medallion Bank		10/20/2023	248,000.00	248,371.67	248,000.00	4.850	1,827	1,754	4.855 10/20/2028
59161YAP1	1373	Metro Credit Union		02/18/2022	249,000.00	225,736.65	249,000.00	1.700	1,826	1,144	1.701 02/18/2027
59524LAA4	1474	Mid Carolina Credit Union		03/13/2023	248,000.00	246,704.47	248,000.00	4.850	1,096	802	4.855 03/13/2026
60425SKB4	1486	Minnwest Bank		05/01/2023	248,000.00	240,578.75	248,000.00	4.250	1,463	1,218	4.254 05/03/2027
60936TAL3	1538	Money One FCU		09/14/2023	248,000.00	244,600.03	248,000.00	5.000	1,827	1,718	5.005 09/14/2028
61690UNX4	1237	Morgan Stanley Bank		11/20/2019	245,000.00	237,403.81	245,000.00	1.950	1,827	324	1.952 11/20/2024
59013KPN0	1345	Merrick Bank		11/09/2021	249,000.00	223,612.81	249,000.00	1.100	1,826	1,043	1.101 11/09/2026
565819AG4	1379	Marathon Bnak		03/16/2022	248,000.00	225,121.90	248,000.00	1.800	1,826	1,170	1.801 03/16/2027
61760A3B3	1236	Morgan Stanley Private Bk, NA		11/20/2019	245,000.00	237,296.87	245,000.00	1.900	1,827	324	1.902 11/20/2024
62384RAT3	1485	Mountain America Federal CU		04/28/2023	248,000.00	247,188.14	248,000.00	4.700	1,096	848	4.705 04/28/2026
654062JZ2	1266	Nicolet Natl Bank		03/31/2020	248,000.00	236,447.53	248,000.00	1.400	1,826	455	1.401 03/31/2025
64034KAZ4	1376	Nelnet Bank		03/02/2022	245,000.00	229,629.56	245,000.00	1.800	1,461	791	1.801 03/02/2026
666613MK7	1544	Northpointe Bank		10/20/2023	248,000.00	246,623.83	248,000.00	4.850	1,827	1,754	4.855 10/20/2028
66736ABP3	1181	Northwest Bank		02/13/2019	248,000.00	247,227.05	248,000.00	2.950	1,826	43	2.951 02/13/2024
67886WAJ6	1559	Oklahoma Credit Union		12/14/2023	248,000.00	248,374.97	248,000.00	5.700	183	165	5.716 06/14/2024
69506YRH4	1269	Pacific Western Bk/Banc of CA		04/16/2020	245,000.00	242,054.84	245,000.00	1.300	1,461	106	1.301 04/16/2024
70962LAF9	1331	Pentagon FCU		09/01/2021	249,000.00	231,724.74	249,000.00	0.700	1,462	610	0.687 09/02/2025
710571DS6	1210	People's Bank		07/31/2019	248,000.00	243,238.09	248,000.00	2.000	1,827	212	2.002 07/31/2024
724468AC7	1483	Pitney Bowes Bank		04/14/2023	244,000.00	234,725.85	244,000.00	4.350	1,826	1,564	4.355 04/13/2028
72651LCL6	1195	Plains Commerce Bank		06/07/2019	245,000.00	241,973.65	245,000.00	2.550	1,827	158	2.553 06/07/2024
732329BD8	1425	Ponce Bank		09/15/2022	248,000.00	236,624.10	248,000.00	3.500	1,826	1,353	3.502 09/15/2027
740367HP5	1213	Preferred Bank		08/16/2019	249,000.00	243,769.31	249,000.00	2.000	1,827	228	2.002 08/16/2024
761402BY1	1203	Revere Bank		06/28/2019	247,000.00	243,267.33	247,000.00	2.300	1,827	179	2.303 06/28/2024
77579ADF0	1251	Rollstone B&T		02/12/2020	245,000.00	243,848.80	245,000.00	1.650	1,461	42	1.651 02/12/2024
77357DAD0	1560	Rockland Federal Credit Union		12/22/2023	248,000.00	248,000.00	248,000.00	4.600	1,096	1,086	4.604 12/22/2026
795451AF0	1327	Sallie Mae Bank Salt Lake City		07/28/2021	248,000.00	224,749.72	248,000.00	1.000	1,826	939	1.001 07/28/2026
804375DL4	1235	Sauk Valley B&T Co		11/07/2019	248,000.00	240,018.62	248,000.00	1.700	1,827	311	1.702 11/07/2024
843383CS7	1498	Southern Bank Poplar MO		05/17/2023	248,000.00	236,906.45	248,000.00	4.200	1,827	1,598	4.205 05/17/2028
80865MAB3	1454	Scient FCU		01/13/2023	248,000.00	246,398.33	248,000.00	4.650	731	378	4.656 01/13/2025
79772FAG1	1459	San Francisco FCU		02/03/2023	248,000.00	243,469.72	248,000.00	4.350	1,826	1,494	4.352 02/03/2028
82671DAB3	1458	Signature FCU		01/31/2023	248,000.00	243,949.14	248,000.00	4.400	1,826	1,491	4.402 01/31/2028
78472EAB0	1455	SPCO Credit Union		01/20/2023	249,000.00	239,879.01	249,000.00	4.350	1,826	1,480	4.352 01/20/2028
849430BF9	1257	Spring Bank		03/20/2020	248,000.00	236,980.64	248,000.00	1.500	1,826	444	1.501 03/20/2025
84229LBA9	1434	Southern Bank Sardis GA		10/28/2022	244,000.00	241,165.68	244,000.00	4.250	1,188	758	4.254 01/28/2026
84223QAN7	1286	Southern Bancorp Bk		06/26/2020	248,000.00	238,463.50	248,000.00	0.500	1,582	298	0.500 10/25/2024
85279AAC6	1509	St Vincent Med Center FCU		06/16/2023	248,000.00	242,953.91	248,000.00	4.600	1,461	1,262	4.604 06/16/2027

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
December 31, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	Maturity	YTM 365	Maturity Date
Certificate of Deposits												
87165ET98	1332	Synchrony Bank Retail		09/03/2021	245,000.00	220,903.21	245,000.00	0.900	1,826	976	0.900	09/03/2026
89388CEY0	1328	Transportation Alliance Bk TAB		07/23/2021	248,000.00	235,284.87	248,000.00	0.400	1,280	388	0.401	01/23/2025
87868YAQ6	1501	Technology Credit Union		05/30/2023	248,000.00	246,829.31	248,000.00	5.000	1,095	879	5.005	05/29/2026
882213AB7	1260	Texas Bank Financial		03/31/2020	245,000.00	242,428.14	245,000.00	1.100	1,458	87	1.101	03/28/2024
472382AQ3	1272	The Jefferson Bank		04/15/2020	248,000.00	245,001.86	248,000.00	1.250	1,461	105	1.251	04/15/2024
89235MKY6	1314	Toyota Financial Savings Bank		04/22/2021	245,000.00	223,830.56	245,000.00	0.900	1,826	842	0.900	04/22/2026
89269FDP7	1415	Tradition Capital		07/20/2022	246,000.00	245,596.05	246,000.00	3.000	551	21	3.008	01/22/2024
89789AAG2	1473	Truliant FCU		03/10/2023	248,000.00	242,986.87	248,000.00	4.700	1,645	1,348	4.707	09/10/2027
89841MAX5	1563	Trustone Financial CU		12/28/2023	248,000.00	246,507.72	248,000.00	5.150	365	361	5.164	12/27/2024
89786MAF1	1368	True Sky FCU		02/04/2022	245,000.00	221,895.72	245,000.00	1.600	1,826	1,130	1.601	02/04/2027
898812AC6	1537	Tucson FCU		09/08/2023	248,000.00	251,224.00	248,000.00	5.000	1,827	1,712	5.005	09/08/2028
90355GHG4	1546	UBS Bank USA		10/25/2023	248,000.00	243,498.68	248,000.00	4.900	1,827	1,759	4.905	10/25/2028
909557KQ2	1477	United Bankers Bank		03/16/2023	248,000.00	246,604.08	248,000.00	5.000	732	441	4.823	03/17/2025
914098DJ4	1442	University Bank		11/30/2022	249,000.00	243,845.97	249,000.00	4.200	1,826	1,429	4.202	11/30/2027
914242AA0	1429	University Credit Union		09/26/2022	248,000.00	244,119.56	248,000.00	4.000	1,096	634	3.891	09/26/2025
91527PB2	1495	Univest Bank & Trust		05/12/2023	248,000.00	238,383.64	248,000.00	4.350	1,827	1,593	4.355	05/12/2028
90983WBT7	1249	United Community		02/07/2020	248,000.00	238,249.47	248,000.00	1.650	1,827	403	1.652	02/07/2025
910286GN7	1513	United Fidelity Bank		06/29/2023	248,000.00	245,713.34	248,000.00	4.500	1,827	1,641	4.505	06/29/2028
91139LAB2	1378	United Roosevelt Savings		03/11/2022	248,000.00	226,037.12	248,000.00	1.900	1,826	1,165	1.901	03/11/2027
90352RDB8	1500	US Alliance FCU		05/26/2023	248,000.00	240,282.15	248,000.00	4.550	1,827	1,607	4.555	05/26/2028
91739JAB1	1523	Utah First FCU		07/21/2023	245,000.00	241,687.84	245,000.00	5.000	1,827	1,663	5.006	07/21/2028
913065AD0	1553	United Teletech Financial FCU		11/08/2023	248,000.00	245,976.53	248,000.00	5.100	1,461	1,407	5.103	11/08/2027
92559TAJ7	1325	Vibrant Credit Union		07/02/2021	249,000.00	222,962.30	248,377.50	0.800	1,824	911	0.852	06/30/2026
92834ABT2	1496	VisionBank		05/12/2023	248,000.00	242,873.64	248,000.00	4.050	1,827	1,593	4.055	05/12/2028
92023CAJ2	1552	ValleyStar Credit Union		11/08/2023	247,000.00	250,333.71	247,000.00	5.200	1,827	1,773	5.205	11/08/2028
92891CCZ3	1472	Vystar CU		03/10/2023	248,000.00	240,522.69	248,000.00	4.550	1,827	1,530	4.555	03/10/2028
949763XY7	1174	Wells Fargo		02/27/2019	248,000.00	247,014.48	248,000.00	3.000	1,826	57	3.001	02/27/2024
98138MCA6	1548	Workers FCU		10/30/2023	248,000.00	246,677.28	248,000.00	5.200	1,827	1,764	5.206	10/30/2028
938828BH2	1215	Washington Federal		08/23/2019	248,000.00	242,635.56	248,000.00	2.000	1,827	235	2.002	08/23/2024
95960NKD8	1277	Western State Bank		05/13/2020	245,000.00	230,420.43	245,000.00	1.000	1,826	498	1.001	05/13/2025
Subtotal and Average			40,833,764.60		41,546,000.00	40,174,158.18	41,545,377.50		1,642	891	2.999	
Corporate Notes												
45950VPS9	1308	International Finance Corp.		02/26/2021	500,000.00	459,460.00	497,300.00	0.500	1,826	787	0.610	02/26/2026
931142EE9	1512	Wal-Mart Stores, Inc		06/26/2023	1,000,000.00	988,840.00	973,110.00	3.700	1,827	1,638	4.303	06/26/2028

**City of La Quinta
Portfolio Management
Portfolio Details - Investments
December 31, 2023**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Days to Term	YTM 365	Maturity Date
Subtotal and Average			1,470,410.00		1,500,000.00	1,448,300.00	1,470,410.00		1,827 1,350	3.054	
Money Market with Fiscal Agent											
SYS1058	1058	US Bank		07/01/2016	13,958.98	13,958.98	13,958.98		1 1	0.000	
Subtotal and Average			13,905.66		13,958.98	13,958.98	13,958.98		1 1	0.000	
CERBT - OPEB Trust											
SYS1114	1114	CalPERS CERBT Plan		07/01/2023	1,955,099.21	1,955,099.21	1,955,099.21		1 1	0.000	
Subtotal and Average			1,779,216.02		1,955,099.21	1,955,099.21	1,955,099.21		1 1	0.000	
PARS Pension Trust											
SYS1230	1230	Pblc Agncy Rtrmnt Serv			5,544,348.61	5,544,348.61	5,544,348.61		1 1	0.000	
Subtotal and Average			5,326,469.09		5,544,348.61	5,544,348.61	5,544,348.61		1 1	0.000	
Total and Average			229,230,087.47		229,846,760.91	224,301,222.07	228,416,248.76		920 505	3.407	



**City of La Quinta
Total Earnings
Sorted by Fund - Fund
December 1, 2023 - December 31, 2023**

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
98-33-434	1055	101	LAIF	14,507,754.14	14,507,754.14	14,507,754.14	3.929	3.990	49,167.76	0.00	0.00	49,167.76
SYS1059	1059	101	CITYPC	3,300.00	3,300.00	3,300.00			0.00	0.00	0.00	0.00
SYS1114	1114	101	CALPRS	1,955,099.21	1,773,353.25	1,955,099.21			0.00	0.00	0.00	0.00
SYS1153	1153	101	CAMP	30,132,190.61	29,990,769.01	30,132,190.61	5.550	5.552	141,421.60	0.00	0.00	141,421.60
635573AL2	1170	101	NLCOOP	0.00	245,000.00	0.00	3.400	3.400	456.44	0.00	0.00	456.44
066851WJ1	1172	101	BARHAR	0.00	248,000.00	0.00	3.350	3.350	660.09	0.00	0.00	660.09
949763XY7	1174	101	WELLS	248,000.00	248,000.00	248,000.00	3.000	3.000	631.89	0.00	0.00	631.89
3130AFW94	1177	101	FHLB	500,000.00	498,550.00	498,550.00	2.500	2.460	1,041.67	0.00	0.00	1,041.67
66736ABP3	1181	101	NRTHWS	248,000.00	248,000.00	248,000.00	2.950	2.950	621.36	0.00	0.00	621.36
156634AK3	1184	101	CENTNX	248,000.00	248,000.00	248,000.00	2.500	2.500	526.57	0.00	0.00	526.57
48128HXU7	1185	101	JPMORG	245,000.00	245,000.00	245,000.00	3.250	3.250	676.27	0.00	0.00	676.27
46256YAZ2	1186	101	IOWAST	245,000.00	245,000.00	245,000.00	2.400	2.400	499.40	0.00	0.00	499.40
56065GAG3	1188	101	MAINST	248,000.00	248,000.00	248,000.00	2.600	2.600	547.64	0.00	0.00	547.64
72651LCL6	1195	101	PLAINS	245,000.00	245,000.00	245,000.00	2.550	2.550	530.61	0.00	0.00	530.61
299547AQ2	1196	101	EVNSCU	248,000.00	248,000.00	248,000.00	2.600	2.600	547.64	0.00	0.00	547.64
524661CB9	1197	101	LEGCY	248,000.00	248,000.00	248,000.00	2.400	2.400	505.51	0.00	0.00	505.51
176688CP2	1199	101	CTZNST	248,000.00	248,000.00	248,000.00	2.400	2.400	505.52	0.00	0.00	505.52
20416TAQ5	1202	101	COMMW	248,000.00	248,000.00	248,000.00	2.250	2.250	473.92	0.00	0.00	473.92
761402BY1	1203	101	REVER	247,000.00	247,000.00	247,000.00	2.300	2.300	482.50	0.00	0.00	482.50
3135G0V75	1206	101	FNMA	500,000.00	495,950.00	495,950.00	1.750	1.731	729.16	0.00	0.00	729.16
00257TBD7	1207	101	ABACUS	248,000.00	248,000.00	248,000.00	1.950	1.950	410.73	0.00	0.00	410.73
51210SQU4	1208	101	LKSID	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
33625CCP2	1209	101	1STSEC	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
710571DS6	1210	101	PEOPLE	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
3133EKVV4	1212	101	FFCB	500,000.00	499,500.00	499,500.00	1.850	1.817	770.83	0.00	0.00	770.83
740367HP5	1213	101	PREFRD	249,000.00	249,000.00	249,000.00	2.000	2.000	422.96	0.00	0.00	422.96
938828BH2	1215	101	WSHFED	248,000.00	248,000.00	248,000.00	2.000	2.000	421.26	0.00	0.00	421.26
33766LAJ7	1216	101	1STIER	249,000.00	249,000.00	249,000.00	1.950	1.950	412.38	0.00	0.00	412.38
15118RRH2	1220	101	CELTIC	248,000.00	248,000.00	248,000.00	1.850	1.850	389.67	0.00	0.00	389.67
334342CD2	1221	101	1STNBS	249,000.00	249,000.00	249,000.00	1.850	1.850	391.24	0.00	0.00	391.24

City of La Quinta
 Total Earnings
 December 1, 2023 - December 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
336460CX6	1222	101	1STDQN	248,000.00	248,000.00	248,000.00	1.800	1.800	379.13	0.00	0.00	379.13
3133EKP75	1224	101	FFCB	500,000.00	498,750.00	498,750.00	1.600	1.574	666.67	0.00	0.00	666.67
06652CHB0	1227	101	BNKWST	248,000.00	248,000.00	248,000.00	1.700	1.700	358.07	0.00	0.00	358.07
059731851	1228	101	BOTW	5,699,749.19	7,141,420.81	5,699,749.19			0.01	0.00	0.00	0.01
SYS1230	1230	101	PARS	5,544,348.61	5,319,206.44	5,544,348.61			0.00	0.00	0.00	0.00
33640VDD7	1231	101	1STSER	248,000.00	248,000.00	248,000.00	1.700	1.700	358.07	0.00	0.00	358.07
804375DL4	1235	101	SAUKVL	248,000.00	248,000.00	248,000.00	1.700	1.700	358.07	0.00	0.00	358.07
61760A3B3	1236	101	MSPRIV	245,000.00	245,000.00	245,000.00	1.900	1.900	395.35	0.00	0.00	395.35
61690UNX4	1237	101	MORGST	245,000.00	245,000.00	245,000.00	1.950	1.950	405.76	0.00	0.00	405.76
538036GV0	1238	101	LIVEOK	248,000.00	248,000.00	248,000.00	1.800	1.800	379.13	0.00	0.00	379.13
912828YV6	1241	101	USTR	1,000,000.00	989,687.50	989,687.50	1.500	1.511	1,270.50	0.00	0.00	1,270.50
3133ELEA8	1242	101	FFCB	1,000,000.00	998,600.00	998,600.00	1.700	1.670	1,416.67	0.00	0.00	1,416.67
3133ELNE0	1246	101	FFCB	1,000,000.00	999,000.00	999,000.00	1.430	1.404	1,191.66	0.00	0.00	1,191.66
35637RDC8	1248	101	FRDMFI	248,000.00	248,000.00	248,000.00	1.550	1.550	326.48	0.00	0.00	326.48
90983WBT7	1249	101	UNTDCM	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
32114VBT3	1250	101	1STNMI	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
77579ADF0	1251	101	RLLSTN	245,000.00	245,000.00	245,000.00	1.650	1.650	343.34	0.00	0.00	343.34
17286TAG0	1252	101	CITADL	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
029728BC5	1255	101	AMERST	248,000.00	248,000.00	248,000.00	1.600	1.600	337.01	0.00	0.00	337.01
00435JBH5	1256	101	ACCSS	248,000.00	248,000.00	248,000.00	1.600	1.600	337.01	0.00	0.00	337.01
849430BF9	1257	101	SPRING	248,000.00	248,000.00	248,000.00	1.500	1.500	315.94	0.00	0.00	315.94
05465DAE8	1258	101	AXOS	248,000.00	248,000.00	248,000.00	1.650	1.650	347.54	0.00	0.00	347.54
882213AB7	1260	101	TEXAS	245,000.00	245,000.00	245,000.00	1.100	1.100	228.89	0.00	0.00	228.89
654062JZ2	1266	101	NCOLET	248,000.00	248,000.00	248,000.00	1.400	1.400	294.88	0.00	0.00	294.88
020080BX4	1267	101	ALMABK	248,000.00	248,000.00	248,000.00	1.400	1.400	294.88	0.00	0.00	294.88
2027506M2	1268	101	CMWBUS	248,000.00	248,000.00	248,000.00	1.250	1.250	263.29	0.00	0.00	263.29
69506YRH4	1269	101	PACWST	245,000.00	245,000.00	245,000.00	1.300	1.300	270.50	0.00	0.00	270.50
08016PDQ9	1270	101	BELB&T	248,000.00	248,000.00	248,000.00	1.250	1.250	263.29	0.00	0.00	263.29
14042TDD6	1271	101	CAPONE	245,000.00	245,000.00	245,000.00	1.600	1.600	332.93	0.00	0.00	332.93
472382AQ3	1272	101	THEJEF	248,000.00	248,000.00	248,000.00	1.250	1.250	263.28	0.00	0.00	263.28
32027BAL1	1273	101	1STFDM	249,000.00	249,000.00	249,000.00	1.200	1.200	253.77	0.00	0.00	253.77
32112UDR9	1274	101	1STMCG	248,000.00	248,000.00	248,000.00	1.350	1.350	284.35	0.00	0.00	284.35
33847E3A3	1276	101	FLGSTR	248,000.00	248,000.00	248,000.00	1.250	1.250	263.29	0.00	0.00	263.29
95960NKD8	1277	101	WSTRNS	245,000.00	245,000.00	245,000.00	1.000	1.000	208.08	0.00	0.00	208.08
32056GDJ6	1278	101	1STINT	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
3134GVYV7	1279	101	FHLMC	1,000,000.00	1,000,000.00	1,000,000.00	0.625	0.613	520.84	0.00	0.00	520.84
3133ELH23	1280	101	FFCB	500,000.00	499,850.00	499,850.00	0.500	0.491	208.34	0.00	0.00	208.34

City of La Quinta
 Total Earnings
 December 1, 2023 - December 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
3130AJKW8	1281	101	FHLB	500,000.00	499,850.00	499,850.00	0.500	0.491	208.33	0.00	0.00	208.33
3133ELH80	1282	101	FFCB	500,000.00	500,000.00	500,000.00	0.680	0.667	283.33	0.00	0.00	283.33
3130AJRP6	1283	101	FHLB	300,000.00	300,000.00	300,000.00	0.680	0.667	170.00	0.00	0.00	170.00
169894AS1	1284	101	CHIPVA	248,000.00	248,000.00	248,000.00	0.600	0.600	126.38	0.00	0.00	126.38
84223QAN7	1286	101	STHRNB	248,000.00	248,000.00	248,000.00	0.500	0.500	105.32	0.00	0.00	105.32
064520BG3	1287	101	BKPRNC	248,000.00	248,000.00	248,000.00	0.500	0.500	105.32	0.00	0.00	105.32
3135G05S8	1288	101	FNMA	500,000.00	500,000.00	500,000.00	0.500	0.491	208.33	0.00	0.00	208.33
3136G4N74	1289	101	FNMA	1,000,000.00	1,000,000.00	1,000,000.00	0.560	0.549	466.66	0.00	0.00	466.66
3136G4M75	1290	101	FNMA	500,000.00	499,750.00	499,750.00	0.520	0.510	216.67	0.00	0.00	216.67
3135G06E8	1291	101	FNMA	500,000.00	499,250.00	499,250.00	0.420	0.413	175.00	0.00	0.00	175.00
3135GA2Z3	1292	101	FNMA	500,000.00	499,250.00	499,250.00	0.560	0.550	233.33	0.00	0.00	233.33
SYS1293	1293	101	BNY	1,319,319.64	2,050,855.59	1,319,319.64			0.00	0.00	0.00	0.00
3137EAEU9	1297	101	FHLMC	1,000,000.00	997,300.00	997,300.00	0.375	0.369	312.50	0.00	0.00	312.50
3130AKFA9	1298	101	FHLB	500,000.00	497,400.00	497,400.00	0.375	0.370	156.25	0.00	0.00	156.25
3135GA7D7	1299	101	FNMA	500,000.00	500,000.00	500,000.00	0.600	0.589	250.00	0.00	0.00	250.00
3135GAAW1	1300	101	FNMA	500,000.00	500,000.00	500,000.00	0.400	0.392	166.67	0.00	0.00	166.67
3134GXGZ1	1301	101	FHLMC	500,000.00	500,000.00	500,000.00	0.550	0.540	229.17	0.00	0.00	229.17
3130AKMZ6	1302	101	FHLB	500,000.00	500,000.00	500,000.00	0.510	0.500	212.50	0.00	0.00	212.50
91282CBC4	1303	101	USTR	500,000.00	498,632.81	498,632.81	0.375	0.373	158.00	0.00	0.00	158.00
3130AKN28	1304	101	FHLB	500,000.00	500,000.00	500,000.00	0.550	0.540	229.17	0.00	0.00	229.17
51507LCC6	1305	101	LNDMRK	248,000.00	248,000.00	248,000.00	0.500	0.500	105.32	0.00	0.00	105.32
87270LDL4	1306	101	EVRBA	245,000.00	245,000.00	245,000.00	0.500	0.500	104.04	0.00	0.00	104.04
3137EAEX3	1307	101	FHLMC	500,000.00	495,999.50	495,999.50	0.375	0.371	156.25	0.00	0.00	156.25
45950VPS9	1308	101	IFC	500,000.00	497,300.00	497,300.00	0.500	0.499	210.60	0.00	0.00	210.60
91282CBH3	1309	101	USTR	500,000.00	495,100.00	495,100.00	0.375	0.376	157.95	0.00	0.00	157.95
3137EAEX3	1310	101	FHLMC	1,000,000.00	983,940.00	983,940.00	0.375	0.374	312.50	0.00	0.00	312.50
91282CAT8	1311	101	USTR	1,000,000.00	977,500.00	977,500.00	0.250	0.256	212.91	0.00	0.00	212.91
3130ALV92	1312	101	FHLB	500,000.00	500,000.00	500,000.00	1.050	1.030	437.50	0.00	0.00	437.50
39573LBC1	1313	101	GRNST	249,000.00	249,000.00	249,000.00	0.950	0.950	200.91	0.00	0.00	200.91
89235MKY6	1314	101	TOYFSB	245,000.00	245,000.00	245,000.00	0.900	0.900	187.28	0.00	0.00	187.28
91282CAZ4	1315	101	USTR	500,000.00	492,187.50	492,187.50	0.375	0.380	158.81	0.00	0.00	158.81
14622LAA0	1316	101	CARTER	248,000.00	248,000.00	248,000.00	0.750	0.750	157.97	0.00	0.00	157.97
31617CAV5	1317	101	FIDHMS	248,000.00	248,000.00	248,000.00	0.700	0.700	147.44	0.00	0.00	147.44
SYS1318	1318	101	DPME	896,553.20	874,624.36	896,553.20			0.00	0.00	0.00	0.00
91282CBQ3	1319	101	USTR	500,000.00	494,165.00	494,165.00	0.500	0.507	212.91	0.00	0.00	212.91
91282CBT7	1320	101	USTR	500,000.00	499,525.00	499,525.00	0.750	0.749	317.62	0.00	0.00	317.62
91282CCF6	1321	101	USTR	1,000,000.00	997,060.00	997,060.00	0.750	0.750	635.25	0.00	0.00	635.25

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
91282CBT7	1322	101	USTR	500,000.00	498,450.00	498,450.00	0.750	0.750	317.62	0.00	0.00	317.62
91282CCF6	1323	101	USTR	500,000.00	497,095.00	497,095.00	0.750	0.752	317.62	0.00	0.00	317.62
3130AMFS6	1324	101	FHLB	1,000,000.00	993,420.00	993,420.00	0.750	0.741	625.00	0.00	0.00	625.00
92559TAJ7	1325	101	VIBRNT	249,000.00	248,377.50	248,377.50	0.800	0.802	169.18	0.00	0.00	169.18
38149MXX4	1326	101	GLDMAN	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
795451AF0	1327	101	SALMAE	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
89388CEY0	1328	101	TABBK	248,000.00	248,000.00	248,000.00	0.400	0.400	84.25	0.00	0.00	84.25
06417NZQ9	1329	101	BKOZK	248,000.00	248,000.00	248,000.00	0.400	0.400	84.25	0.00	0.00	84.25
3133EM2C5	1330	101	FFCB	500,000.00	498,000.00	498,000.00	0.710	0.699	295.84	0.00	0.00	295.84
70962LAF9	1331	101	PENTGN	249,000.00	249,000.00	249,000.00	0.700	0.700	148.04	0.00	0.00	148.04
87165ET98	1332	101	SYNCHR	245,000.00	245,000.00	245,000.00	0.900	0.900	187.27	0.00	0.00	187.27
05580AD50	1333	101	BMW	245,000.00	245,000.00	245,000.00	0.650	0.650	135.25	0.00	0.00	135.25
20786ADL6	1334	101	CONNEC	248,000.00	248,000.00	248,000.00	0.800	0.800	168.51	0.00	0.00	168.51
91282CCP4	1335	101	USTR	1,000,000.00	983,750.00	983,750.00	0.625	0.630	526.50	0.00	0.00	526.50
91282CCW9	1336	101	USTR	1,000,000.00	988,500.00	988,500.00	0.750	0.761	638.74	0.00	0.00	638.74
3130APBM6	1337	101	FHLB	1,000,000.00	999,000.00	999,000.00	1.000	0.982	833.34	0.00	0.00	833.34
3133EM4X7	1338	101	FFCB	1,000,000.00	991,080.00	991,080.00	0.800	0.792	666.67	0.00	0.00	666.67
3130APB46	1339	101	FHLB	1,000,000.00	998,250.00	998,250.00	0.950	0.934	791.66	0.00	0.00	791.66
91282CBE0	1342	101	USTR	1,000,000.00	991,000.00	991,000.00	0.125	0.125	105.30	0.00	0.00	105.30
91282CDB4	1343	101	USTR	1,000,000.00	996,320.00	996,320.00	0.625	0.626	529.37	0.00	0.00	529.37
3133ENCQ1	1344	101	FFCB	1,000,000.00	1,000,000.00	1,000,000.00	1.270	1.246	1,058.33	0.00	0.00	1,058.33
59013KPN0	1345	101	MRRCK	249,000.00	249,000.00	249,000.00	1.100	1.100	232.63	0.00	0.00	232.63
14042RQB0	1346	101	CAP1NA	248,000.00	248,000.00	248,000.00	1.100	1.100	231.69	0.00	0.00	231.69
3130APTV7	1347	101	FHLB	500,000.00	499,500.00	499,500.00	2.000	1.964	833.34	0.00	0.00	833.34
91282CBA8	1351	101	USTR	0.00	990,000.00	0.00	0.125	26.461	47.81	0.00	10,000.00	10,047.81
91282CBR1	1352	101	USTR	1,000,000.00	989,800.00	989,800.00	0.250	0.253	212.91	0.00	0.00	212.91
3130AP2U8	1353	101	FHLB	1,000,000.00	987,100.00	987,100.00	0.550	0.547	458.33	0.00	0.00	458.33
3135G06G3	1354	101	FNMA	1,000,000.00	976,300.00	976,300.00	0.500	0.503	416.67	0.00	0.00	416.67
3133ENGN4	1355	101	FFCB	1,000,000.00	1,000,000.00	1,000,000.00	0.970	0.952	808.34	0.00	0.00	808.34
501798RP9	1356	101	LCA	248,000.00	248,000.00	248,000.00	1.000	1.000	210.63	0.00	0.00	210.63
20825WAR1	1357	101	CNNXS	249,000.00	249,000.00	249,000.00	1.250	1.250	264.35	0.00	0.00	264.35
3130AQF65	1358	101	FHLB	1,000,000.00	999,750.00	999,750.00	1.250	1.227	1,041.66	0.00	0.00	1,041.66
3134GW6C5	1359	101	FHLMC	500,000.00	486,000.00	486,000.00	0.800	0.808	333.33	0.00	0.00	333.33
91282CBV2	1360	101	USTR	500,000.00	494,882.81	494,882.81	0.375	0.378	158.81	0.00	0.00	158.81
3137EAEU9	1361	101	FHLMC	500,000.00	487,090.00	487,090.00	0.375	0.378	156.25	0.00	0.00	156.25
3134GW5R3	1362	101	FHLMC	400,000.00	391,360.00	391,360.00	0.650	0.652	216.66	0.00	0.00	216.66
307811DF3	1363	101	FARMER	249,000.00	249,000.00	249,000.00	0.900	0.900	190.33	0.00	0.00	190.33

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
3130AQRJ5	1364	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.500	1.472	1,250.00	0.00	0.00	1,250.00
3135G03U5	1365	101	FNMA	500,000.00	487,790.00	487,790.00	0.625	0.629	260.42	0.00	0.00	260.42
3134GWUQ7	1366	101	FHLMC	1,000,000.00	945,570.00	945,570.00	0.700	0.726	583.33	0.00	0.00	583.33
3130AQSAA2	1367	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.830	1.796	1,525.00	0.00	0.00	1,525.00
89786MAF1	1368	101	TRUSKY	245,000.00	245,000.00	245,000.00	1.600	1.600	332.93	0.00	0.00	332.93
912828Z78	1369	101	USTR	1,000,000.00	986,700.00	986,700.00	1.500	1.508	1,263.58	0.00	0.00	1,263.58
07371AYE7	1370	101	BEALTX	245,000.00	245,000.00	245,000.00	1.900	1.900	395.36	0.00	0.00	395.36
07371CE88	1371	101	BEALUS	245,000.00	245,000.00	245,000.00	1.900	1.900	395.36	0.00	0.00	395.36
02357QAQ0	1372	101	AMRNT	245,000.00	245,000.00	245,000.00	1.600	1.600	332.93	0.00	0.00	332.93
59161YAP1	1373	101	METRO	249,000.00	249,000.00	249,000.00	1.700	1.700	359.52	0.00	0.00	359.52
3130AQWY5	1374	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	1.700	1.668	1,416.66	0.00	0.00	1,416.66
27004PCM3	1375	101	EGLMRK	245,000.00	245,000.00	245,000.00	2.000	2.000	416.17	0.00	0.00	416.17
64034KAZ4	1376	101	NELNET	245,000.00	245,000.00	245,000.00	1.800	1.800	374.55	0.00	0.00	374.55
24773RCR4	1377	101	DELTA	245,000.00	245,000.00	245,000.00	2.000	2.000	416.16	0.00	0.00	416.16
91139LAB2	1378	101	URSVLT	248,000.00	248,000.00	248,000.00	1.900	1.900	400.20	0.00	0.00	400.20
565819AG4	1379	101	MRTTHON	248,000.00	248,000.00	248,000.00	1.800	1.800	379.13	0.00	0.00	379.13
91282CCW9	1380	101	USTR	500,000.00	466,454.17	466,454.17	0.750	0.806	319.37	0.00	0.00	319.37
91282CDG3	1381	101	USTR	500,000.00	473,396.82	473,396.82	1.125	1.191	479.05	0.00	0.00	479.05
91282CBQ3	1382	101	USTR	750,000.00	698,025.00	698,025.00	0.500	0.539	319.37	0.00	0.00	319.37
9128286S4	1383	101	USTR	1,000,000.00	999,010.00	999,010.00	2.375	2.384	2,022.67	0.00	0.00	2,022.67
50625LAW3	1384	101	LFYTT	248,000.00	248,000.00	248,000.00	2.050	2.050	431.79	0.00	0.00	431.79
3130ARGJ4	1385	101	FHLB	500,000.00	500,000.00	500,000.00	2.500	2.453	1,041.67	0.00	0.00	1,041.67
20033A3A2	1386	101	CCBA	248,000.00	248,000.00	248,000.00	2.650	2.650	558.16	0.00	0.00	558.16
3130ARGY1	1387	101	FHLB	1,000,000.00	1,000,000.00	1,000,000.00	2.700	2.649	2,250.00	0.00	0.00	2,250.00
02007GPX5	1388	101	ALLY	245,000.00	245,000.00	245,000.00	2.550	2.550	530.61	0.00	0.00	530.61
52168UHY1	1389	101	LEADR	245,000.00	245,000.00	245,000.00	2.550	2.550	530.61	0.00	0.00	530.61
9128283D0	1390	101	USTR	1,000,000.00	985,190.00	985,190.00	2.250	2.290	1,916.21	0.00	0.00	1,916.21
91282CEF4	1391	101	USTR	1,000,000.00	976,860.00	976,860.00	2.500	2.552	2,117.49	0.00	0.00	2,117.49
254673E69	1392	101	DISCOV	245,000.00	245,000.00	245,000.00	3.100	3.100	645.05	0.00	0.00	645.05
48115LAD6	1396	101	JOVIA	0.00	248,000.00	0.00	2.500	2.500	118.90	0.00	0.00	118.90
912828X88	1397	101	USTR	1,000,000.00	969,687.50	969,687.50	2.375	2.456	2,022.66	0.00	0.00	2,022.66
91282CEN7	1398	101	USTR	500,000.00	495,000.00	495,000.00	2.750	2.785	1,171.01	0.00	0.00	1,171.01
91282CET4	1399	101	USTR	500,000.00	491,842.18	491,842.18	2.625	2.661	1,111.68	0.00	0.00	1,111.68
9128282U3	1400	101	USTR	500,000.00	490,850.00	490,850.00	1.875	1.915	798.42	0.00	0.00	798.42
3133ENYH7	1401	101	FFCB	500,000.00	499,080.00	499,080.00	2.625	2.580	1,093.75	0.00	0.00	1,093.75
3130ASDV8	1409	101	FHLB	300,000.00	300,000.00	300,000.00	3.300	3.238	825.00	0.00	0.00	825.00
89269FDP7	1415	101	TRADCP	246,000.00	246,000.00	246,000.00	3.000	3.000	626.80	0.00	0.00	626.80

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CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
91282CFB2	1417	101	USTR	1,000,000.00	989,460.00	989,460.00	2.750	2.757	2,316.57	0.00	0.00	2,316.57
912828XT2	1418	101	USTR	1,000,000.00	985,240.00	985,240.00	2.000	2.024	1,693.99	0.00	0.00	1,693.99
397417AQ9	1419	101	GRNWDS	248,000.00	248,000.00	248,000.00	3.050	3.050	642.43	0.00	0.00	642.43
88413QDN5	1420	101	3RD	245,000.00	245,000.00	245,000.00	3.300	3.300	686.68	0.00	0.00	686.68
02589ADH2	1421	101	AMEXNB	245,000.00	245,000.00	245,000.00	3.450	3.450	717.88	0.00	0.00	717.88
91282CFB2	1422	101	USTR	1,000,000.00	979,645.67	979,645.67	2.750	2.784	2,316.57	0.00	0.00	2,316.57
732329BD8	1425	101	PONCE	248,000.00	248,000.00	248,000.00	3.500	3.500	737.21	0.00	0.00	737.21
3133ENL99	1426	101	FFCB	1,000,000.00	997,492.55	997,492.55	3.375	3.320	2,812.50	0.00	0.00	2,812.50
052392BT3	1427	101	AUSTEL	248,000.00	248,000.00	248,000.00	3.800	3.800	800.39	0.00	0.00	800.39
914242AA0	1429	101	UNIVCU	248,000.00	248,000.00	248,000.00	4.000	4.000	842.52	0.00	0.00	842.52
22258JAB7	1430	101	CNTYSC	248,000.00	248,000.00	248,000.00	4.400	4.400	926.78	0.00	0.00	926.78
3133ENQ29	1433	101	FFCB	1,000,000.00	996,400.00	996,400.00	4.000	3.939	3,333.33	0.00	0.00	3,333.33
84229LBA9	1434	101	STHBNK	244,000.00	244,000.00	244,000.00	4.250	4.250	880.74	0.00	0.00	880.74
34520LATO	1435	101	FORBRT	248,000.00	248,000.00	248,000.00	4.600	4.600	968.90	0.00	0.00	968.90
25460FDW3	1438	101	DIRFCU	248,000.00	248,000.00	248,000.00	4.800	4.800	1,011.03	0.00	0.00	1,011.03
9128282R0	1439	101	USTR	1,000,000.00	927,110.00	927,110.00	2.250	2.407	1,895.38	0.00	0.00	1,895.38
3133EN3H1	1441	101	FFCB	1,000,000.00	998,650.00	998,650.00	4.000	3.930	3,333.34	0.00	0.00	3,333.34
914098DJ4	1442	101	UNIVBK	249,000.00	249,000.00	249,000.00	4.200	4.200	888.21	0.00	0.00	888.21
3133EN3S7	1446	101	FFCB	1,000,000.00	998,000.00	998,000.00	3.750	3.687	3,125.00	0.00	0.00	3,125.00
25844MAK4	1447	101	DORTCU	247,000.00	247,000.00	247,000.00	4.500	4.500	944.02	0.00	0.00	944.02
01664MAB2	1448	101	ALL IN	248,000.00	248,000.00	248,000.00	4.400	4.400	926.77	0.00	0.00	926.77
51828MAC8	1449	101	LATCOM	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
45157PAZ3	1450	101	IDEAL	248,000.00	248,000.00	248,000.00	4.500	4.500	947.84	0.00	0.00	947.84
01882MAC6	1451	101	ALANT	247,000.00	247,000.00	247,000.00	5.000	5.000	1,048.91	0.00	0.00	1,048.91
80865MAB3	1454	101	SCIENT	248,000.00	248,000.00	248,000.00	4.650	4.650	979.43	0.00	0.00	979.43
78472EAB0	1455	101	SPCOCU	249,000.00	249,000.00	249,000.00	4.350	4.350	919.94	0.00	0.00	919.94
91282CFH9	1456	101	USTR	500,000.00	489,175.00	489,175.00	3.125	3.203	1,330.70	0.00	0.00	1,330.70
300185LM5	1457	101	EVRGRN	248,000.00	248,000.00	248,000.00	3.850	3.850	810.93	0.00	0.00	810.93
82671DAB3	1458	101	SIGFCU	248,000.00	248,000.00	248,000.00	4.400	4.400	926.77	0.00	0.00	926.77
79772FAG1	1459	101	SF FCU	248,000.00	248,000.00	248,000.00	4.350	4.350	916.24	0.00	0.00	916.24
91282CGH8	1460	101	USTR	1,000,000.00	996,369.14	996,369.14	3.500	3.484	2,948.37	0.00	0.00	2,948.37
3133EPAV7	1464	101	FFCB	1,000,000.00	995,400.00	995,400.00	3.875	3.820	3,229.17	0.00	0.00	3,229.17
91282CEW7	1465	101	USTR	1,000,000.00	967,220.00	967,220.00	3.250	3.334	2,738.75	0.00	0.00	2,738.75
530520AH8	1466	101	LBRTY1	248,000.00	248,000.00	248,000.00	4.500	4.500	947.84	0.00	0.00	947.84
09582YAF9	1467	101	BLURDG	244,000.00	244,000.00	244,000.00	4.200	4.200	870.38	0.00	0.00	870.38
011852AEO	1469	101	ALASKA	248,000.00	248,000.00	248,000.00	4.600	4.600	968.90	0.00	0.00	968.90
92891CCZ3	1472	101	VYSTAR	248,000.00	248,000.00	248,000.00	4.550	4.550	958.37	0.00	0.00	958.37

City of La Quinta
 Total Earnings
 December 1, 2023 - December 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
89789AAG2	1473	101	TRUFUCU	248,000.00	248,000.00	248,000.00	4.700	4.700	989.96	0.00	0.00	989.96
59524LAA4	1474	101	MIDCAR	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.55	0.00	0.00	1,021.55
49306SJ56	1475	101	KEYBNK	244,000.00	244,000.00	244,000.00	5.000	5.000	1,036.16	0.00	0.00	1,036.16
064860MC0	1476	101	BKSIER	244,000.00	244,000.00	244,000.00	4.600	4.600	953.27	0.00	0.00	953.27
909557KQ2	1477	101	UNBKRS	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
00833JAO4	1478	101	AFFNTY	248,000.00	248,000.00	248,000.00	4.900	4.900	1,032.09	0.00	0.00	1,032.09
108622NJ6	1479	101	BRIDWA	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.56	0.00	0.00	1,021.56
3135GAGA3	1480	101	FNMA	2,000,000.00	2,000,000.00	2,000,000.00	5.200	5.102	8,666.66	0.00	0.00	8,666.66
3135GAGK1	1482	101	FNMA	3,000,000.00	3,000,000.00	3,000,000.00	5.050	4.955	12,625.00	0.00	0.00	12,625.00
724468AC7	1483	101	PITBOW	244,000.00	244,000.00	244,000.00	4.350	4.350	901.47	0.00	0.00	901.47
3134GYPJ5	1484	101	FHLMC	1,000,000.00	999,100.00	999,100.00	5.200	5.107	4,333.33	0.00	0.00	4,333.33
62384RAT3	1485	101	MTNAMR	248,000.00	248,000.00	248,000.00	4.700	4.700	989.96	0.00	0.00	989.96
60425SKB4	1486	101	MINWST	248,000.00	248,000.00	248,000.00	4.250	4.250	895.18	0.00	0.00	895.18
35089LAF0	1491	101	FRPNTS	248,000.00	248,000.00	248,000.00	4.550	4.550	958.37	0.00	0.00	958.37
062119BT8	1492	101	BANK59	248,000.00	248,000.00	248,000.00	4.250	4.250	895.18	0.00	0.00	895.18
152577BN1	1493	101	CENTRL	248,000.00	248,000.00	248,000.00	4.000	4.000	842.52	0.00	0.00	842.52
23248UAB3	1494	101	CYFAIR	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
91527PBY2	1495	101	UNIVST	248,000.00	248,000.00	248,000.00	4.350	4.350	916.24	0.00	0.00	916.24
92834ABT2	1496	101	VISION	248,000.00	248,000.00	248,000.00	4.050	4.050	853.05	0.00	0.00	853.05
12547CBJ6	1497	101	CIBCBK	244,000.00	244,000.00	244,000.00	4.350	4.350	901.46	0.00	0.00	901.46
843383CS7	1498	101	SBPOPM	248,000.00	248,000.00	248,000.00	4.200	4.200	884.65	0.00	0.00	884.65
06610RCA5	1499	101	BANKRS	248,000.00	248,000.00	248,000.00	4.150	4.150	874.12	0.00	0.00	874.12
90352RDB8	1500	101	USAFUCU	248,000.00	248,000.00	248,000.00	4.550	4.550	958.37	0.00	0.00	958.37
87868YAO6	1501	101	TECHCU	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
3133EPME2	1505	101	FFCB	1,000,000.00	998,190.00	998,190.00	3.875	3.809	3,229.16	0.00	0.00	3,229.16
16141BAC5	1506	101	CHRTWY	248,000.00	248,000.00	248,000.00	4.900	4.900	1,032.09	0.00	0.00	1,032.09
23204HPB8	1507	101	CUST	244,000.00	244,000.00	244,000.00	4.500	4.500	932.55	0.00	0.00	932.55
91282CGT2	1508	101	USTR	1,000,000.00	984,600.00	984,600.00	3.625	3.672	3,070.36	0.00	0.00	3,070.36
85279AAC6	1509	101	STVINC	248,000.00	248,000.00	248,000.00	4.600	4.600	968.89	0.00	0.00	968.89
01025RAG4	1510	101	ALABAM	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
38120MCA2	1511	101	GLDNST	249,000.00	249,000.00	249,000.00	4.450	4.450	941.09	0.00	0.00	941.09
931142EE9	1512	101	WALMRT	1,000,000.00	973,110.00	973,110.00	3.700	3.731	3,083.33	0.00	0.00	3,083.33
910286GN7	1513	101	UNTFDL	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
472312AA5	1514	101	JEPCO	248,000.00	248,000.00	248,000.00	4.700	4.700	989.97	0.00	0.00	989.97
31911KAK4	1515	101	1STELK	248,000.00	248,000.00	248,000.00	4.400	4.400	926.78	0.00	0.00	926.78
912828V80	1516	101	USTR	2,000,000.00	1,964,400.00	1,964,400.00	2.250	2.272	3,790.76	0.00	0.00	3,790.76
912796ZN2	1517	101	USTR	0.00	2,920,963.32	0.00	5.240	36.579	0.00	0.00	79,036.68	79,036.68

City of La Quinta
 Total Earnings
 December 1, 2023 - December 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
912828B66	1518	101	USTR	2,000,000.00	1,969,580.00	1,969,580.00	2.750	2.770	4,633.16	0.00	0.00	4,633.16
91282CDV0	1519	101	USTR	1,000,000.00	975,600.00	975,600.00	0.875	0.890	737.09	0.00	0.00	737.09
05765LBU0	1520	101	BALBOA	248,000.00	248,000.00	248,000.00	4.400	4.400	926.77	0.00	0.00	926.77
6067-001TERM	1521	101	CAMP	20,000,000.00	20,000,000.00	20,000,000.00	5.645	5.645	95,879.18	0.00	0.00	95,879.18
560507AQ8	1522	101	MAINE	248,000.00	248,000.00	248,000.00	4.800	4.800	1,011.03	0.00	0.00	1,011.03
91739JAB1	1523	101	UTH1ST	245,000.00	245,000.00	245,000.00	5.000	5.000	1,040.41	0.00	0.00	1,040.41
91282CGT2	1524	101	USTR	1,000,000.00	990,000.00	990,000.00	3.625	3.652	3,070.36	0.00	0.00	3,070.36
91282CHA2	1525	101	USTR	1,000,000.00	985,000.00	985,000.00	3.500	3.563	2,980.77	0.00	0.00	2,980.77
30960QAR8	1526	101	FARMIG	248,000.00	248,000.00	248,000.00	5.400	5.400	1,137.40	0.00	0.00	1,137.40
3133EPQD0	1527	101	FFCB	1,000,000.00	998,655.69	998,655.69	4.250	4.176	3,541.67	0.00	0.00	3,541.67
91282CEK3	1528	101	USTR	1,000,000.00	980,080.00	980,080.00	2.500	2.558	2,129.12	0.00	0.00	2,129.12
3130ARHG9	1529	101	FHLB	1,000,000.00	982,520.00	982,520.00	2.125	2.122	1,770.84	0.00	0.00	1,770.84
912828W71	1530	101	USTR	2,000,000.00	1,960,078.13	1,960,078.13	2.125	2.162	3,599.73	0.00	0.00	3,599.73
3133EPSK2	1531	101	FFCB	1,000,000.00	990,400.00	990,400.00	4.250	4.210	3,541.67	0.00	0.00	3,541.67
499724AP7	1532	101	KNOX	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.56	0.00	0.00	1,021.56
05584CJ6	1533	101	BNYMEL	244,000.00	244,000.00	244,000.00	4.500	4.500	932.54	0.00	0.00	932.54
313384YJ2	1534	101	FHLB	2,000,000.00	1,916,929.44	1,916,929.44	5.210		0.00	0.00	0.00	0.00
9128282U3	1535	101	USTR	3,000,000.00	2,899,170.81	2,899,170.81	1.875	1.946	4,790.52	0.00	0.00	4,790.52
9128282N9	1536	101	USTR	2,000,000.00	1,942,840.00	1,942,840.00	2.125	2.170	3,580.17	0.00	0.00	3,580.17
898812AC6	1537	101	TUCSON	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
60936TAL3	1538	101	MONEY1	248,000.00	248,000.00	248,000.00	5.000	5.000	1,053.15	0.00	0.00	1,053.15
52470QEC4	1539	101	LEGBKT	248,000.00	248,000.00	248,000.00	4.500	4.500	947.83	0.00	0.00	947.83
3130AXEL8	1541	101	FHLB	1,000,000.00	999,500.00	999,500.00	4.750	4.663	3,958.34	0.00	0.00	3,958.34
912796CX5	1542	101	USTR	2,000,000.00	1,945,964.16	1,945,964.16	5.315		0.00	0.00	0.00	0.00
91282CGT2	1543	101	USTR	1,000,000.00	949,180.00	949,180.00	3.625	3.809	3,070.36	0.00	0.00	3,070.36
666613MK7	1544	101	NORPNT	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.56	0.00	0.00	1,021.56
58404DTP6	1545	101	MEDBA	248,000.00	248,000.00	248,000.00	4.850	4.850	1,021.56	0.00	0.00	1,021.56
90355GHG4	1546	101	UBS	248,000.00	248,000.00	248,000.00	4.900	4.900	1,032.09	0.00	0.00	1,032.09
42228LAN1	1547	101	HEALTH	248,000.00	248,000.00	248,000.00	5.100	5.100	1,074.21	0.00	0.00	1,074.21
98138MCA6	1548	101	WORKRS	248,000.00	248,000.00	248,000.00	5.200	5.200	1,095.28	0.00	0.00	1,095.28
52171MAM7	1549	101	LEADRS	248,000.00	248,000.00	248,000.00	5.100	5.100	1,074.21	0.00	0.00	1,074.21
91282CFU0	1550	101	USTR	750,000.00	739,200.00	739,200.00	4.125	4.197	2,634.78	0.00	0.00	2,634.78
912797HH3	1551	101	USTR	1,000,000.00	974,178.00	974,178.00	5.222		0.00	0.00	0.00	0.00
92023CAJ2	1552	101	VLLSTR	247,000.00	247,000.00	247,000.00	5.200	5.200	1,090.86	0.00	0.00	1,090.86
913065AD0	1553	101	UTLTCH	248,000.00	248,000.00	248,000.00	5.100	5.100	1,074.21	0.00	0.00	1,074.21
3133EPC45	1554	101	FFCB	1,000,000.00	999,770.00	999,770.00	4.625	4.539	3,854.17	0.00	0.00	3,854.17
291916AJ3	1555	101	EMPOWR	247,000.00	247,000.00	247,000.00	5.250	5.250	1,101.35	0.00	0.00	1,101.35

City of La Quinta
 Total Earnings
 December 1, 2023 - December 31, 2023

CUSIP	Investment #	Fund	Issuer	Ending Par Value	Beginning Book Value	Ending Book Value	Current Rate	Annualized Yield	Adjusted Interest Earnings			
									Interest Earned	Amortization/ Accretion	Realized Gain/Loss	Adjusted Interest Earnings
Fund: General Fund												
372348DJ8	1556	101	GENOA	248,000.00	248,000.00	248,000.00	4.600	4.600	968.89	0.00	0.00	968.89
912797HS9	1558	101	USTR	2,000,000.00	0.00	1,949,466.22	5.110		0.00	0.00	0.00	0.00
67886WAJ6	1559	101	OKLACU	248,000.00	0.00	248,000.00	5.700	5.700	658.39	0.00	0.00	658.39
77357DAD0	1560	101	ROCKLA	248,000.00	0.00	248,000.00	4.600	4.600	281.29	0.00	0.00	281.29
37173RAL7	1561	101	GENSEE	244,000.00	0.00	244,000.00	4.200	4.200	140.38	0.00	0.00	140.38
319137CB9	1562	101	FRBA	244,000.00	0.00	244,000.00	4.450	4.450	118.99	0.00	0.00	118.99
89841MAX5	1563	101	TRUFIN	248,000.00	0.00	248,000.00	5.150	5.150	104.98	0.00	0.00	104.98
130162BL3	1564	101	CALCRE	244,000.00	0.00	244,000.00	5.100	5.100	136.37	0.00	0.00	136.37
9128285M8	1565	101	USTR	1,000,000.00	0.00	966,718.75	3.125	3.242	257.56	0.00	0.00	257.56
3130AYBQ8	1566	101	FHLB	1,000,000.00	0.00	999,516.00	4.750	3.212	263.89	0.00	0.00	263.89
Subtotal				227,604,314.60	227,037,033.80	226,173,802.45		3.334	556,848.86	0.00	89,036.68	645,885.54
Fund: Fiscal Agent												
SYS1058	1058	231	USBANK	13,958.98	13,903.88	13,958.98		4.666	55.10	0.00	0.00	55.10
Subtotal				13,958.98	13,903.88	13,958.98		4.666	55.10	0.00	0.00	55.10
Fund: Housing Authority : WSA and LQ												
SYS1062	1062	241	LQPR	143,822.10	133,453.74	143,822.10			0.00	0.00	0.00	0.00
Subtotal				143,822.10	133,453.74	143,822.10			0.00	0.00	0.00	0.00
Fund: SA Low/Mod Bond Fund												
25-33-005	1113	249	LAIF	2,084,665.23	2,084,665.23	2,084,665.23	3.929	3.990	7,065.07	0.00	0.00	7,065.07
Subtotal				2,084,665.23	2,084,665.23	2,084,665.23		3.990	7,065.07	0.00	0.00	7,065.07
Total				229,846,760.91	229,269,056.65	228,416,248.76		3.338	563,969.03	0.00	89,036.68	653,005.71



**City of La Quinta
Maturity Report
Sorted by Maturity Date
Amounts due during October 1, 2023 - December 31, 2023**

CUSIP	Investment #	Fund	Sec. Type	Issuer	Par Value	Maturity Date	Purchase Date	Rate at Maturity	Book Value at Maturity	Interest	Maturity Proceeds	Net Income
912797FA0	1488	101	ATD	USTR	3,000,000.00	10/12/2023	05/03/2023	4.780	2,935,470.00	0.00	3,000,000.00	64,530.00
57116ARV2	1155	101	MC1	MARBUS	248,000.00	10/17/2023	10/17/2018	3.300	248,000.00	672.66	248,672.66	672.66
625925AR3	1160	101	MC1	MUNTRS	245,000.00	10/17/2023	10/17/2018	3.200	245,000.00	644.38	245,644.38	644.38
90348JEJ5	1161	101	MC1	UBS	245,000.00	10/17/2023	10/17/2018	3.350	245,000.00	674.59	245,674.59	674.59
474067AQ8	1154	101	MC1	JEFF	245,000.00	10/19/2023	10/19/2018	3.350	245,000.00	4,114.99	249,114.99	4,114.99
3133EK4X1	1234	101	FAC	FFCB	1,000,000.00	11/01/2023	11/01/2019	1.600	996,900.00	8,000.00	1,008,000.00	11,100.00
912796YT0	1487	101	ATD	USTR	1,000,000.00	11/02/2023	05/03/2023	4.750	975,854.17	0.00	1,000,000.00	24,145.83
20143PDV9	1162	101	MC1	COMMBK	248,000.00	11/15/2023	11/15/2018	3.400	248,000.00	716.14	248,716.14	716.14
912797FL6	1502	101	ATD	USTR	4,000,000.00	11/24/2023	05/31/2023	5.180	3,898,126.67	0.00	4,000,000.00	101,873.33
67054NAN3	1164	101	MC1	NMRCA	248,000.00	11/28/2023	11/28/2018	3.550	248,000.00	747.74	248,747.74	747.74
48115LAD6	1396	101	MC1	JOVIA	248,000.00	12/07/2023	06/07/2022	2.500	248,000.00	509.59	248,509.59	509.59
91282CBA8	1351	101	TRC	USTR	1,000,000.00	12/15/2023	12/07/2021	0.125	990,000.00	625.00	1,000,625.00	10,625.00
635573AL2	1170	101	MC1	NLCOOP	245,000.00	12/21/2023	12/21/2018	3.400	245,000.00	4,176.41	249,176.41	4,176.41
912796ZN2	1517	101	ATD	USTR	3,000,000.00	12/28/2023	06/30/2023	5.240	2,920,963.32	0.00	3,000,000.00	79,036.68
066851WJ1	1172	101	MC1	BARHAR	248,000.00	12/29/2023	12/31/2018	3.350	248,000.00	660.09	248,660.09	660.09
Total Maturities					15,220,000.00				14,937,314.16	21,541.59	15,241,541.59	304,227.43



**City of La Quinta
Purchases Report
Sorted by Security Type - Fund
October 1, 2023 - December 31, 2023**

CUSIP	Investment #	Fund	Sec. Type	Issuer	Original Par Value	Purchase Date	Payment Periods	Principal Purchased	Accrued Interest at Purchase	Rate at Purchase	Maturity Date	YTM	Ending Book Value
Federal Agency Coupon Securities													
3130AXEL8	1541	101	FAC	FHLB	1,000,000.00	10/03/2023	03/08 - 09/08	999,500.00	Received	4.750	09/08/2028	4.762	999,500.00
3133EPC45	1554	101	FAC	FFCB	1,000,000.00	11/13/2023	05/13 - 11/13	999,770.00		4.625	11/13/2028	4.630	999,770.00
3130AYBQ8	1566	101	FAC	FHLB	1,000,000.00	12/29/2023	06/26 - 12/26	999,516.00	Received	4.750	12/26/2024	4.800	999,516.00
			Subtotal		3,000,000.00			2,998,786.00	0.00				2,998,786.00
Treasury Coupon Securities													
91282CGT2	1543	101	TRC	USTR	1,000,000.00	10/19/2023	03/31 - 09/30	949,180.00	Received	3.625	03/31/2028	4.910	949,180.00
91282CFU0	1550	101	TRC	USTR	750,000.00	11/06/2023	04/30 - 10/31	739,200.00	Received	4.125	10/31/2027	4.524	739,200.00
9128285M8	1565	101	TRC	USTR	1,000,000.00	12/29/2023	05/15 - 11/15	966,718.75	Received	3.125	11/15/2028	3.880	966,718.75
			Subtotal		2,750,000.00			2,655,098.75	0.00				2,655,098.75
Treasury Discount Notes													
912796CX5	1542	101	ATD	USTR	2,000,000.00	10/18/2023	04/18 - At Maturity	1,945,964.16		5.315	04/18/2024	5.538	1,945,964.16
912797HH3	1551	101	ATD	USTR	1,000,000.00	11/06/2023	05/02 - At Maturity	974,178.00		5.222	05/02/2024	5.435	974,178.00
912797HS9	1558	101	ATD	USTR	2,000,000.00	12/04/2023	05/30 - At Maturity	1,949,466.22		5.110	05/30/2024	5.315	1,949,466.22
			Subtotal		5,000,000.00			4,869,608.38	0.00				4,869,608.38
Certificate of Deposits													
58404DTP6	1545	101	MC1	MEDBA	248,000.00	10/20/2023	11/20 - Monthly	248,000.00		4.850	10/20/2028	4.855	248,000.00
666613MK7	1544	101	MC1	NORPNT	248,000.00	10/20/2023	11/20 - Monthly	248,000.00		4.850	10/20/2028	4.855	248,000.00
90355GHG4	1546	101	MC1	UBS	248,000.00	10/25/2023	11/25 - Monthly	248,000.00		4.900	10/25/2028	4.905	248,000.00
42228LAN1	1547	101	MC1	HEALTH	248,000.00	10/27/2023	11/27 - Monthly	248,000.00		5.100	10/27/2028	5.106	248,000.00
52171MAM7	1549	101	MC1	LEADRS	248,000.00	10/30/2023	11/30 - Monthly	248,000.00		5.100	10/30/2028	5.106	248,000.00
98138MCA6	1548	101	MC1	WORKRS	248,000.00	10/30/2023	11/30 - Monthly	248,000.00		5.200	10/30/2028	5.206	248,000.00
913065AD0	1553	101	MC1	UTLTCH	248,000.00	11/08/2023	12/08 - Monthly	248,000.00		5.100	11/08/2027	5.103	248,000.00
92023CAJ2	1552	101	MC1	VLLSTR	247,000.00	11/08/2023	12/08 - Monthly	247,000.00		5.200	11/08/2028	5.205	247,000.00
291916AJ3	1555	101	MC1	EMPOWR	247,000.00	11/15/2023	12/10 - Monthly	247,000.00		5.250	11/15/2028	5.255	247,000.00
372348DJ8	1556	101	MC1	GENOA	248,000.00	11/28/2023	12/28 - Monthly	248,000.00		4.600	11/28/2028	4.605	248,000.00
67886WAJ6	1559	101	MC1	OKLACU	248,000.00	12/14/2023	01/14 - Monthly	248,000.00		5.700	06/14/2024	5.716	248,000.00
77357DAD0	1560	101	MC1	ROCKLA	248,000.00	12/22/2023	01/22 - Monthly	248,000.00		4.600	12/22/2026	4.604	248,000.00
37173RAL7	1561	101	MC1	GENSEE	244,000.00	12/27/2023	06/27 - 12/27	244,000.00		4.200	12/28/2026	3.638	244,000.00
130162BL3	1564	101	MC1	CALCRE	244,000.00	12/28/2023	06/28 - 12/28	244,000.00		5.100	06/30/2025	5.105	244,000.00
319137CB9	1562	101	MC1	FRBA	244,000.00	12/28/2023	06/28 - 12/28	244,000.00		4.450	12/26/2025	4.456	244,000.00

Received = Accrued Interest at Purchase was received by report ending date.

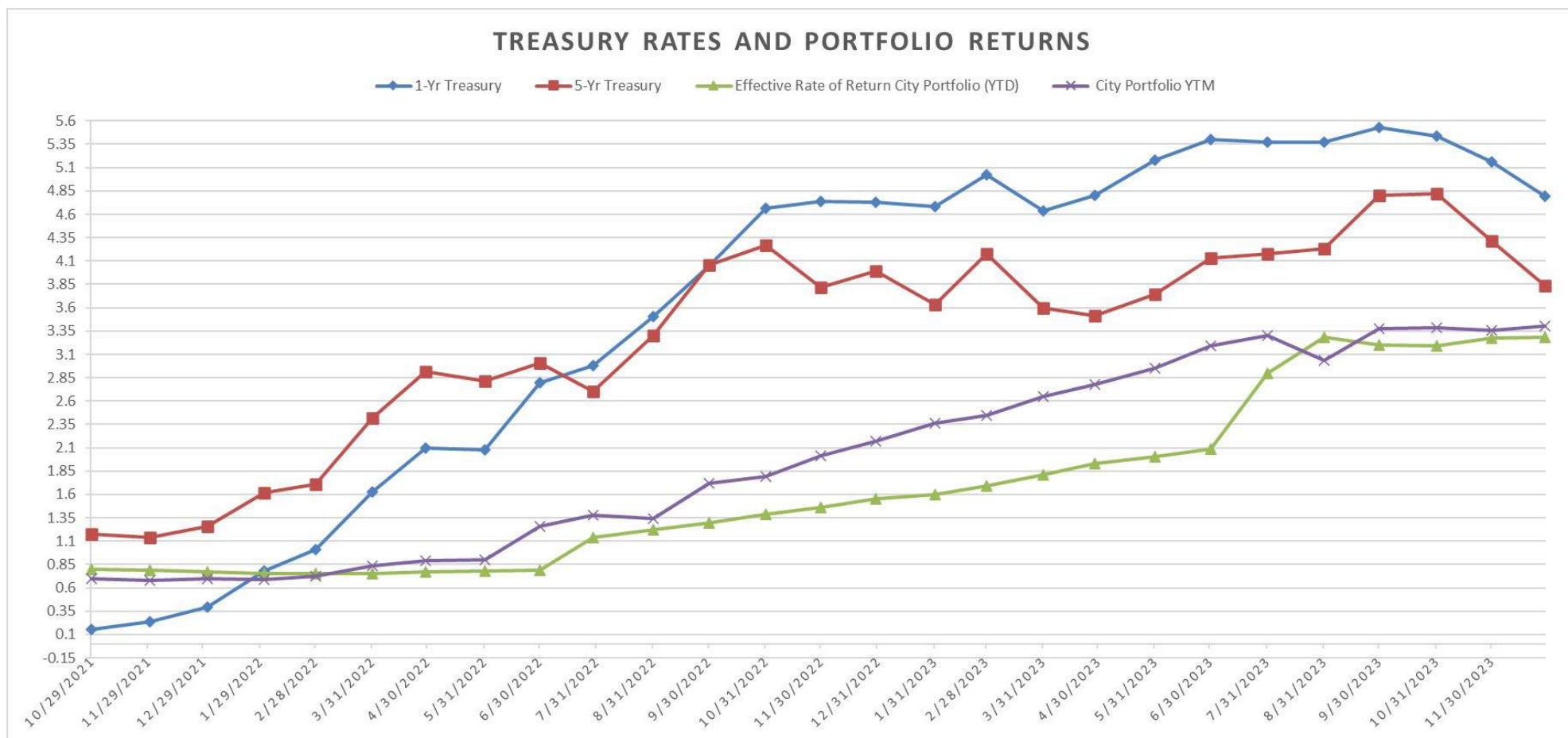
**City of La Quinta
Purchases Report
October 1, 2023 - December 31, 2023**

CUSIP	Investment #	Fund	Sec. Type	Issuer	Original Par Value	Purchase Date	Payment Periods	Principal Purchased	Accrued Interest at Purchase	Rate at Purchase	Maturity Date	YTM	Ending Book Value
Certificate of Deposits													
89841MAX5	1563	101	MC1	TRUFIN	248,000.00	12/28/2023	01/28 - Monthly	248,000.00		5.150	12/27/2024	5.164	248,000.00
			Subtotal		3,954,000.00			3,954,000.00	0.00				3,954,000.00
Total Purchases					14,704,000.00			14,477,493.13	0.00				14,477,493.13

US Treasury Rates

https://home.treasury.gov/resource-center/data-chart-center/interest-rates/TextView?type=daily_treasury_yield_curve&field_tdr_date_value=2023

Date	1 mo	2 mo	3 mo	6 mo	1 yr	2 yr	3 yr	5 yr	7 yr	10 yr	20 yr	30 yr	Effective Rate of Return City Portfolio (month)	Effective Rate of Return City Portfolio (YTD)	City Portfolio YTM
10/31/2023	5.56	5.57	5.59	5.54	5.44	5.07	4.90	4.82	4.89	4.88	5.21	5.04	3.15	3.19	3.383
11/30/2023	5.56	5.54	5.45	5.38	5.16	4.73	4.48	4.31	4.38	4.37	4.72	4.54	3.55	3.27	3.358
12/29/2023	5.60	5.59	5.40	5.26	4.79	4.23	4.01	3.84	3.88	3.88	4.20	4.03	3.35	3.28	3.407



City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: APPROVE DEMAND REGISTERS DATED FEBRUARY 2, AND FEBRUARY 9, 2024

RECOMMENDATION

Approve demand registers dated February 2 and February 9, 2024.

EXECUTIVE SUMMARY – None

FISCAL IMPACT

Demand of Cash:

City	\$	8,034,636.23
Successor Agency of RDA	\$	-
Housing Authority	\$	16,111.58
	\$	<u>8,050,747.81</u>

BACKGROUND/ANALYSIS

Routine bills and payroll must be paid between Council meetings. Attachment 1 details the weekly demand registers for approve demand registers dated February 2 and February 9, 2024.

Warrants Issued:

212985-213038	\$	718,724.41
213039-213103	\$	2,465,647.03
Wire Transfers	\$	4,572,443.27
Payroll Tax Transfers	\$	51,713.13
Payroll Direct Deposit	\$	242,219.97
	\$	<u>8,050,747.81</u>

Vendor	Account Name	Amount	Purpose
Riverside County Sheriff Department	Various	\$1,067,531.83	10/19-11/15/23 Police Services
Club Car, LLC	Vehicles	\$914,604.86	SilverRock Electric Golf Carts
Spohn Ranch, Inc.	Various	\$179,622.85	X-Park Design Build Final Payment & Retention Payment
Granite Construction Company	Retention Payable	\$127,846.89	Ave 50 & 52 Pavement Rehab Retention Payment
T.Y. Lin International	Construction	\$106,897.26	Dune Palms Bridge Management Services

Wire Transfers: Fourteen transfers totaled \$4,572,443. Of this amount, \$4 million was to Bank of New York Mellon for investment purchases and \$352,181 was to Landmark (See Attachment 2 for a complete listing).

Investment Transactions: Full details of investment transactions, as well as total holdings, are reported quarterly in the Treasurer’s Report.

Transaction	Issuer	Type	Par Value	Settle Date	Coupon Rate	YTM
Maturity	Lakeside Bank	CD	\$ 248,000	1/29/2024	2.000%	2.000%
Purchase	Federal Farm Credit Banks	Agency	\$ 1,000,000	1/30/2024	3.875%	4.000%
Purchase	Federal Home Loan Banks	Agency	\$ 1,000,000	1/31/2024	4.810%	4.810%
Purchase	United States Treasury	Treasury Note	\$ 1,000,000	1/31/2024	4.375%	4.930%
Purchase	United States Treasury	Treasury Note	\$ 1,000,000	1/31/2024	4.250%	4.330%
Purchase	United States Treasury	Treasury Note	\$ 1,000,000	1/31/2024	4.000%	4.115%
Maturity	United States Treasury	Treasury Note	\$ 2,000,000	1/31/2024	2.250%	5.370%
Maturity	United States Treasury	Treasury Note	\$ 1,000,000	1/31/2024	0.875%	5.416%
Purchase	First Federal Savings Bank	CD	\$ 248,000	2/9/2024	4.250%	4.250%

Prepared by: Jesse Batres, Finance Technician
 Approved by: Rosemary Hallick, Principal Management Analyst

Attachments: 1. Demand Registers
 2. Wire Transfers

Demand Register



City of La Quinta

Packet: APPKT03706 - 02/02/2024 JB

Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
Fund: 101 - GENERAL FUND					
PITZEN, JOHN	213015	TOT REFUND STVR # 63030	TBID Due to VGPS	101-0000-20303	12.75
PITZEN, JOHN	213015	TOT REFUND STVR # 63030	TOT - Short Term Vac. Rentals	101-0000-41401	127.50
VINTAGE E & S INC	213034	REPAIR DIRECT BURIAL BOX BROKEN SV...	Maintenance/Services	101-3005-60691	3,425.54
VINTAGE E & S INC	213034	01/12/24 - REPLACED ELECTRIC BOX	Maintenance/Services	101-3005-60691	604.47
VINTAGE E & S INC	213034	1/12/24 - REPLACED RECESSED LIGHTS ...	Maintenance/Services	101-3008-60691	1,399.59
VINTAGE E & S INC	213034	SPORTS COMPLEX LIGHTING ISSUE SVC ...	Maintenance/Services	101-3005-60691	763.12
TRI-STATE MATERIALS INC	213031	BASE ROCK	Materials/Supplies	101-3005-60431	531.72
POWERS AWARDS INC	213017	NAME PLATE FOR S.SANCHEZ	Office Supplies	101-1002-60400	34.48
JOE A GONSALVES & SON	213006	02/2024 - LOBBYIST SERVICES	Contract Services - Administr...	101-1002-60101	3,500.00
PALM SPRINGS AIR MUSEUM ..	213014	02/10/24 - GALA SPONSORSHIP	Sponsorships/Advertising	101-3007-60450	5,750.00
NI GOVERNMENT SERVICES I...	213012	11/2023 - SATELLITE PHONES	Mobile/Cell Phones/Satellites	101-2002-61304	87.35
VINTAGE ASSOCIATES	213033	01/02-01/05/24 - RETENTION BASINS R...	Maintenance/Services	101-3005-60691	1,280.00
VINTAGE ASSOCIATES	213033	01/08-01/12/24 - SPORTS COMPLEX ST...	Maintenance/Services	101-3005-60691	1,600.00
VINTAGE ASSOCIATES	213033	01/16-01/19/24 - FB PARK & COVE TRAI...	Maintenance/Services	101-3005-60691	1,280.00
QUALITY STREET SERVICE	213019	05/17/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	06/19/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	07/06/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	07/06/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	08/9/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	08/23/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	08/28/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	09/07/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	09/14/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	09/12/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	10/4/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	10/18/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	11/28/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	12/01/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
QUALITY STREET SERVICE	213019	09/08/23 - STREET SWEEPING SVCS	Professional Services	101-7003-60103	1,380.00
ALL PRO BEVERAGE INC	212986	COFFEE FOR LOBBY COFFEE MACHINE	Citywide Supplies	101-1007-60403	562.47
THE PRINTING PLACE	213029	RESIDENT CARD APPLICATION FORMS (...	Operating Supplies	101-3002-60420	232.73
KUPELIAN, NOUHA	213007	PICKLEBALL CLASS REFUND	Leisure Enrichment	101-0000-42200	280.00
VIELHARBER, KAREN	213032	GENTLE YOGA THURSDAY CLASS	Instructors	101-3002-60107	42.00
DESERT RECREATION DISTRI...	212996	11/2023 - FB POOL OPERATIONS & PRO...	Fritz Burns Pool Programming	101-3003-60184	6,584.61
DESERT RECREATION DISTRI...	212996	12/2023 - FB POOL OPERATIONS & PRO...	Fritz Burns Pool Programming	101-3003-60184	7,087.96
THE CHAMBER	213028	02/2024 - GEM PUBLICATION	Marketing & Tourism Promot...	101-3007-60461	14,465.75
SMITH PIPE & SUPPLY CO	213023	IRRIGATION PIPES & PARTS	Materials/Supplies	101-3005-60431	136.24
RASA/ERIC NELSON	213020	FTM 2022-0003 ONCALL MAP CHECKING..	Map/Plan Checking	101-7002-60183	875.00
WOOD, RUSSELL DAVID	213037	POLICE TOW LA233280056	Sheriff - Other	101-2001-60176	362.00
ROBERT HALF	213022	12/08/23 - TEMP AGENCY SERVICES A....	Temporary Agency Services	101-6006-60125	1,575.00
MACIAS NURSERY, INC.	213010	PLANTS	Materials/Supplies	101-3005-60431	2,490.37
DEPARTMENT OF ANIMAL SE...	212994	12/2023 - ANIMAL SERVICES	Animal Shelter Contract Servi...	101-6004-60197	25,317.56
DESERT RESORT MANAGEM...	212997	01/2024 - SECURITY PATROL SERVICES	Professional Services	101-6004-60103	4,018.43
EIDE BAILLY LLP	212998	FY 22/23 ACFR AUDIT SVCS	Auditors	101-1006-60106	11,800.00
BURRTEC WASTE & RECYCLI...	212988	FY 22/23 PROPERTY TAX PAYMENT SS4	Due to Waste Management	101-0000-20307	62,687.94
BURRTEC WASTE & RECYCLI...	212988	FY 22/23 PROPERTY TAX PAYMENT SS4	Franchise Taxes - Burrtec	101-0000-41505	-6,240.94
ARMENDARIZ, DERRICK	212987	1/21-1/24/24 CAPPO CONF TRAVEL REI...	Travel & Training	101-1006-60320	109.92
CARREIRO, BRIAN K	212991	2/22/24 - FOOD FOR COMMUNITY WOR...	Community Special Events	101-1001-60137	1,500.00
LA QUINTA RESORT & CLUB	213008	FY 23/24 TOT INCENTIVE PROGRAM	TOT Resort Rebate Program	101-1007-60536	49,995.00
MODERNISM WEEK	213011	MODERNISM WEEK SPONSORSHIP PLE...	Community Experiences	101-3003-60149	5,000.00
WILLIAMS HOMES INC	213036	OVERPAYMENT PERMIT REFUND BCP2...	Over Payments, AR Policy	101-0000-20330	98.03
WILLIAMS HOMES INC	213036	OVERPAYMENT PERMIT REFUND BCP2...	Over Payments, AR Policy	101-0000-20330	35.59

Demand Register

Packet: APPKT03706 - 02/02/2024 JB

Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
ROADPOST USA INC.	213021	01/23-02/22/24 - EOC SATELLITE PHON...	Mobile/Cell Phones/Satellites	101-2002-61304	200.85
Fund 101 - GENERAL FUND Total:					230,313.03
Fund: 201 - GAS TAX FUND					
TOPS' N BARRICADES INC	213030	TRAFFIC CONTROL SIGNS	Traffic Control Signs	201-7003-60429	184.88
TOPS' N BARRICADES INC	213030	TRAFFIC CONTROL SIGNS	Traffic Control Signs	201-7003-60429	157.52
TOPS' N BARRICADES INC	213030	SAFETY GEAR	Safety Gear	201-7003-60427	99.83
TOPS' N BARRICADES INC	213030	SAFETY GEAR	Safety Gear	201-7003-60427	222.29
TOPS' N BARRICADES INC	213030	TRAFFIC CONTROL SIGNS	Traffic Control Signs	201-7003-60429	431.63
ZUMAR INDUSTRIES INC	213038	STREET SIGNS	Traffic Control Signs	201-7003-60429	2,509.38
ZUMAR INDUSTRIES INC	213038	STREET SIGNS	Traffic Control Signs	201-7003-60429	744.18
ZUMAR INDUSTRIES INC	213038	STREET SIGNS	Traffic Control Signs	201-7003-60429	1,013.97
IMPERIAL IRRIGATION DIST	213003	ELECTRICITY SERVICE	Electricity - Utilities	201-7003-61101	96.12
Fund 201 - GAS TAX FUND Total:					5,459.80
Fund: 215 - LIGHTING & LANDSCAPING FUND					
TRI-STATE MATERIALS INC	213031	LANDSCAPE BOULDERS	Materials/Supplies	215-7004-60431	3,171.42
SMITH PIPE & SUPPLY CO	213023	IRRIGATION PARTS	Materials/Supplies	215-7004-60431	151.26
SMITH PIPE & SUPPLY CO	213023	IRRIGATION PVC PIPES	Materials/Supplies	215-7004-60431	309.98
SMITH PIPE & SUPPLY CO	213023	DRAIN PVC PIPES	Materials/Supplies	215-7004-60431	350.39
HIGH TECH IRRIGATION INC	213002	IRRIGATION PARTS	Materials/Supplies	215-7004-60431	318.51
HIGH TECH IRRIGATION INC	213002	IRRIGATION & DRAIN PARTS	Materials/Supplies	215-7004-60431	1,356.06
CALIFORNIA DESERT NURSER...	212989	PLANTS	Materials/Supplies	215-7004-60431	331.75
MACIAS NURSERY, INC.	213010	PLANTS	Materials/Supplies	215-7004-60431	2,312.30
PWLC II, INC	213018	01/16-01/19/24 - AVE 52 MEDIAN REST...	Maintenance/Services	215-7004-60691	4,320.00
PWLC II, INC	213018	01/10-01/12/24 - AVE 52 MEDIAN REST...	Maintenance/Services	215-7004-60691	3,840.00
PWLC II, INC	213018	1/23-1/26/24 - AVE 52 MEDIAN RESTOR...	Maintenance/Services	215-7004-60691	3,840.00
FRONTIER COMMUNICATIO...	212999	01/10-02/09/24 - PHONE SVC	Electric - Utilities	215-7004-61116	56.28
IMPERIAL IRRIGATION DIST	213003	ELECTRICITY SERVICE	Electric - Utilities	215-7004-61116	493.81
IMPERIAL IRRIGATION DIST	213003	ELECTRICITY SERVICE	Electric - Medians - Utilities	215-7004-61117	662.63
DESERT ELECTRIC SUPPLY	212995	LIGHTING PARTS	Materials/Supplies	215-7004-60431	2,901.08
Fund 215 - LIGHTING & LANDSCAPING FUND Total:					24,415.47
Fund: 221 - AB 939 - CALRECYCLE FUND					
BURRTEC WASTE & RECYCLI...	212988	FY 22/23 PROPERTY TAX PAYMENT SS4	Burrtec AB 939 Fee	221-0000-41506	-278.48
Fund 221 - AB 939 - CALRECYCLE FUND Total:					-278.48
Fund: 270 - ART IN PUBLIC PLACES FUND					
CARLI FINE ART CONSERVATI...	212990	50% RETAINER APP ASSESSMENT & CO...	Art Purchases	270-0000-74800	10,322.00
Fund 270 - ART IN PUBLIC PLACES FUND Total:					10,322.00
Fund: 401 - CAPITAL IMPROVEMENT PROGRAMS					
SPOHN RANCH INC	213024	X-PARK SETTLEMENT AGREEMENT PAY...	Construction	401-0000-60188	19,310.00
SPOHN RANCH INC	213025	X-PARK RETENTION PAYMENT	Retention Payable	401-0000-20600	150,062.25
T.Y. LIN INTERNATIONAL	213027	11/2023 - DUNE PALMS RD BRIDGE MA...	Construction	401-0000-60188	106,897.26
IN-SITE LANDSCAPE ARCHITE...	213005	MASTER PLANNING SERVICES FOR FRITZ...	Design	401-0000-60185	22,163.50
ST. FRANCIS ELECTRIC, LLC	213026	10/2023 - ONCALL TRAFFIC SIGNAL MAI...	Construction	401-0000-60188	20,240.46
NV5	213013	AVE 50 & AVE 52 PAVEMENT REHAB SU...	Technical	401-0000-60108	990.00
NV5	213013	FRED WARNING DR PAVEMENT REHAB ...	Technical	401-0000-60108	4,287.50
PLANIT REPROGRAPHICS SYS...	213016	CACTUS FLOWER CONSTRUCTION SET	Construction	401-0000-60188	265.31
SPOHN RANCH INC	213025	X-PARK DESIGN BUILD FINAL PAYMENT	Construction	401-0000-60188	10,250.60
LEONIDA BUILDERS, INC	213009	COVE TRAILS PARKING LOT IMPROVEM...	Retention Payable	401-0000-20600	-5,217.50
LEONIDA BUILDERS, INC	213009	COVE TRAILS PARKING LOT IMPROVEM...	Construction	401-0000-60188	104,350.00
Fund 401 - CAPITAL IMPROVEMENT PROGRAMS Total:					433,599.38
Fund: 501 - FACILITY & FLEET REPLACEMENT					
VINTAGE E & S INC	213034	RELOCATE CH EV CHARGERS	City Bldg Repl/Repair	501-0000-71103	1,800.61
Fund 501 - FACILITY & FLEET REPLACEMENT Total:					1,800.61
Fund: 502 - INFORMATION TECHNOLOGY					
CHARTER COMMUNICATIONS..	212993	12/15/23-01/14/24 - WC CABLE (8105)	Cable/Internet - Utilities	502-0000-61400	154.66
CHARTER COMMUNICATIONS..	212993	01/10-02/09/24 - CH INTERNET (2546)	Cable/Internet - Utilities	502-0000-61400	2,079.00
CHARTER COMMUNICATIONS..	212993	01/12-02/11/24 - CITY YARD CABLE (408...	Cable/Internet - Utilities	502-0000-61400	81.77
ACORN TECHNOLOGY SERVI...	212985	UPGRADE TO MICROSOFT OFFICE G5 LI...	Consultants	502-0000-60104	1,062.50
VIVID STAGING SOLUTIONS	213035	50% DEP A/V SVCS FOR 3/20/24 CC & B...	Technical	502-0000-60108	3,746.42

Demand Register

Packet: APPKT03706 - 02/02/2024 JB

Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
INNOVATIVE DOCUMENT SO...	213004	REMAINING BALANCE FOR INV #242387	Copiers	502-0000-60662	49.05
GRAPHTEK HOSTING, LLC	213001	DOMAIN RENEWAL FOR LAQUINTAPOLI...	Software Licenses	502-0000-60301	350.00
CDW GOVERNMENT INC	212992	CISCO MERAKI LICENSES	Software Licenses	502-0000-60301	3,521.44
FRONTIER COMMUNICATIO...	212999	01/12-02/11/24 - X-PARK INTERNET	Cable/Internet - Utilities	502-0000-61400	904.17
CDW GOVERNMENT INC	212992	LVO 360 MONTHS PSP APOS	Software Licenses	502-0000-60301	910.65
Fund 502 - INFORMATION TECHNOLOGY Total:					12,859.66
Fund: 601 - SILVERROCK RESORT					
GARDAWORLD	213000	12/2023 - SRR ARMORED SVC EXCESS IT...	Bank Fees	601-0000-60455	37.44
GARDAWORLD	213000	12/2023 - SRR ARMORED EXCHANGE SVC	Bank Fees	601-0000-60455	195.50
Fund 601 - SILVERROCK RESORT Total:					232.94
Grand Total:					718,724.41

Fund Summary

Fund	Expense Amount
101 - GENERAL FUND	230,313.03
201 - GAS TAX FUND	5,459.80
215 - LIGHTING & LANDSCAPING FUND	24,415.47
221 - AB 939 - CALRECYCLE FUND	-278.48
270 - ART IN PUBLIC PLACES FUND	10,322.00
401 - CAPITAL IMPROVEMENT PROGRAMS	433,599.38
501 - FACILITY & FLEET REPLACEMENT	1,800.61
502 - INFORMATION TECHNOLOGY	12,859.66
601 - SILVERROCK RESORT	232.94
Grand Total:	718,724.41

Account Summary

Account Number	Account Name	Expense Amount
101-0000-20303	TBID Due to VGPS	12.75
101-0000-20307	Due to Waste Managem...	62,687.94
101-0000-20330	Over Payments, AR Policy	133.62
101-0000-41401	TOT - Short Term Vac. R...	127.50
101-0000-41505	Franchise Taxes - Burrtec	-6,240.94
101-0000-42200	Leisure Enrichment	280.00
101-1001-60137	Community Special Even...	1,500.00
101-1002-60101	Contract Services - Admi...	3,500.00
101-1002-60400	Office Supplies	34.48
101-1006-60106	Auditors	11,800.00
101-1006-60320	Travel & Training	109.92
101-1007-60403	Citywide Supplies	562.47
101-1007-60536	TOT Resort Rebate Progr...	49,995.00
101-2001-60176	Sheriff - Other	362.00
101-2002-61304	Mobile/Cell Phones/Sate...	288.20
101-3002-60107	Instructors	42.00
101-3002-60420	Operating Supplies	232.73
101-3003-60149	Community Experiences	5,000.00
101-3003-60184	Fritz Burns Pool Progra...	13,672.57
101-3005-60431	Materials/Supplies	3,158.33
101-3005-60691	Maintenance/Services	8,953.13
101-3007-60450	Sponsorships/Advertising	5,750.00
101-3007-60461	Marketing & Tourism Pr...	14,465.75
101-3008-60691	Maintenance/Services	1,399.59
101-6004-60103	Professional Services	4,018.43
101-6004-60197	Animal Shelter Contract ...	25,317.56
101-6006-60125	Temporary Agency Servi...	1,575.00
101-7002-60183	Map/Plan Checking	875.00
101-7003-60103	Professional Services	20,700.00
201-7003-60427	Safety Gear	322.12
201-7003-60429	Traffic Control Signs	5,041.56
201-7003-61101	Electricity - Utilities	96.12
215-7004-60431	Materials/Supplies	11,202.75
215-7004-60691	Maintenance/Services	12,000.00
215-7004-61116	Electric - Utilities	550.09
215-7004-61117	Electric - Medians - Utilit...	662.63
221-0000-41506	Burrtec AB 939 Fee	-278.48
270-0000-74800	Art Purchases	10,322.00
401-0000-20600	Retention Payable	144,844.75
401-0000-60108	Technical	5,277.50
401-0000-60185	Design	22,163.50
401-0000-60188	Construction	261,313.63
501-0000-71103	City Bldg Repl/Repair	1,800.61
502-0000-60104	Consultants	1,062.50
502-0000-60108	Technical	3,746.42
502-0000-60301	Software Licenses	4,782.09

Account Summary

Account Number	Account Name	Expense Amount
502-0000-60662	Copiers	49.05
502-0000-61400	Cable/Internet - Utilities	3,219.60
601-0000-60455	Bank Fees	232.94
Grand Total:		718,724.41

Project Account Summary

Project Account Key	Project Account Name	Project Name	Expense Amount
None	**None**	**None**	240,256.19
111205CT	Construction Expense	Dune Palms Bridge Imp/BRLKS-54	106,897.26
151609CT	Construction Expense	La Quinta X Park	29,560.60
151609RP	Retention Payable	La Quinta X Park	150,062.25
201603CT	Construction Expense	La Quinta Landscape Renovation	265.31
201804E	Landscape & Lighting Median Islan...	Landscape & Lighting Median Isla	20,150.41
202102D	Design Expense	Fritz Burns Park Improvements	22,163.50
202201T	Technical Expense	Avenue 50 Pavement Rehab (Wa	990.00
202203T	Technical Expense	Fred Waring Drive Pavement Reh	4,287.50
202230CT	Construction Expense	Cove Trails Parking Lot Improver	104,350.00
202230RP	Retention Payable	Cove Trails Parking Lot Improver	-5,217.50
2324TMICT	Construction Expense	FY23/24 Traffic Maintenance Imp	20,240.46
CSA152E	CSA 152 Expenses	CSA 152 Project Tracking	20,700.00
STVRE	Short Term Vacation Rental Expen...	Short Term Vacation Rental Track	4,018.43
Grand Total:		718,724.41	

*Project codes are generally used to track Capital Improvement Program (CIP) projects, other large public works projects, developer deposits, or city-wide events. Normal operational expenditures are not project coded and, therefore, will report as "none" in this section.

Demand Register



City of La Quinta

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Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
Fund: 101 - GENERAL FUND					
PALMS TO PINES PRINTING	213078	CITY BUSINESS CARDS	Printing	101-3007-60410	981.63
WOODS, COLE	213103	1/21-1/26/24 - CACEO TRAINING REIMB...	Travel & Training	101-6004-60320	352.32
DFM ASSOCIATES	213059	2024 CALIFORNIA ELECTIONS CODE BO...	Operating Supplies	101-1005-60420	152.25
FUSON, JACOB	213062	ICC CERTIFICATION EXAM REIMB J.FUS...	Travel & Training	101-6003-60320	466.00
FUSON, JACOB	213062	BUDGETING GUIDE FOR LOCAL GOV BO...	Subscriptions & Publications	101-6003-60352	110.04
CHARTER COMMUNICATIONS..	213047	01/24-02/23/24 - FS #93 CABLE (2415)	Cable/Internet - Utilities	101-2002-61400	113.90
VINTAGE E & S INC	213100	12/06/23 - INSTALLED LED LIGHTS AT CH	Maintenance/Services	101-3008-60691	1,012.98
OCEAN SPRINGS TECH INC	213074	FB POOL PRESSURE GAUGES REPLACEM...	Fritz Burns Pool Maintenance	101-3005-60184	762.12
TRI-STATE MATERIALS INC	213095	BASE ROCK	Materials/Supplies	101-3005-60431	528.80
MY COI LLC	213073	7/1/24-2/28/25 APR-INSURANCE COMPL...	Prepaid Expense	101-0000-13600	6,666.68
MY COI LLC	213073	03/01-06/30/24 - INSURANCE COMPLIA...	Professional Services	101-1005-60103	3,333.32
ROTARY CLUB OF INDIAN WE...	213084	INDIAN WELLS ROTARY LIVING DESERT ...	Travel & Training	101-1001-60320	400.00
DECKARD TECHNOLOGIES, I...	213055	02/2024 - RENTALSCAPE	Professional Services	101-1005-60103	3,750.00
SIGNATURE TINT	213085	FB REFLECTIVE SIGNAGE	Materials/Supplies	101-3005-60431	775.80
TPX COMMUNICATIONS	213094	01/23-02/22/24 - EOC PHONE LINE SERV...	Telephone - Utilities	101-2002-61300	1,798.73
CALIFORNIA MUNICIPAL TRE...	213044	2024 CMTA CONFERENCE R.HALLICK	Travel & Training	101-1006-60320	475.00
TKE ENGINEERING, INC.	213093	10/2023 - ON-CALL TRAFFIC ENGINEERI...	Contract Traffic Engineer	101-7006-60144	14,079.92
TKE ENGINEERING, INC.	213093	11/2023 - ON-CALL TRAFFIC ENGINEERI...	Contract Traffic Engineer	101-7006-60144	8,602.50
OFFICE OF ECONOMIC DEVE...	213075	2024 CV BUSINESS CONFERENCE SPON...	Travel & Training	101-1002-60320	1,000.00
WEST COAST ARBORISTS, INC	213101	PLANT TREES AT SRR EVENT PARK	Materials/Supplies	101-3005-60431	4,290.00
VERITAS TECHNOLOGIES LLC	213098	12/2023 - DATA BACK UP FOR LASERFIC...	Professional Services	101-1005-60103	1,057.56
VINTAGE ASSOCIATES	213099	01/23-01/26/24 - STORM CLEANUP AT ...	Maintenance/Services	101-3005-60691	1,280.00
VINTAGE ASSOCIATES	213099	PLANTS	Materials/Supplies	101-3005-60431	1,118.70
VINTAGE ASSOCIATES	213099	01/31-02/02/24 - SRR PARK MAINTENA...	Maintenance/Services	101-3005-60691	1,120.00
VINTAGE ASSOCIATES	213099	1/29-1/30/24 INSTALL FLOWERPOTS CIV...	Maintenance/Services	101-3005-60691	640.00
UNIVERSAL CONSTRUCTION ...	213097	11/2023 - ON-CALL TRAFFIC ENGINEERI...	Maintenance/Services	101-3005-60691	19,365.65
PAX FITNESS REPAIR	213080	01/2024 - WC FITNESS EQUIPMENT MA...	Operating Supplies	101-3002-60420	500.00
SOUTHERN CALIFORNIA MUN..	213087	SCMAF MEMBERSHIP DUES	Membership Dues	101-3002-60351	145.00
RAMOS STEAM & PRESSURE...	213082	PRESSURE WASH LA QUINTA PARK	Maintenance/Services	101-3008-60691	800.00
STAPLES ADVANTAGE	213088	NOTEBOOKS (2)	Office Supplies	101-1005-60400	28.91
STAPLES ADVANTAGE	213088	DESK CHAIR	Office Supplies	101-3001-60400	173.99
STAPLES ADVANTAGE	213088	DOCUMENT HOLDER	Operating Supplies	101-1004-60420	29.12
STAPLES ADVANTAGE	213088	BATTERIES & PENS	Operating Supplies	101-7002-60420	47.72
STAPLES ADVANTAGE	213088	2024 WALL CALENDAR	Operating Supplies	101-7002-60420	17.72
SMITH PIPE & SUPPLY CO	213086	IRRIGATION PARTS	Materials/Supplies	101-3005-60431	372.73
DEPARTMENT OF JUSTICE	213056	12/2023 - BLOOD ALCOHOL ANALYSIS	Blood/Alcohol Testing	101-2001-60174	315.00
HIGH TECH IRRIGATION INC	213064	IRRIGATION PARTS	Materials/Supplies	101-3005-60431	177.51
MERCHANTS BUILDING MAI...	213072	1/11/24 - CH CARPET CLEANING	Janitorial	101-3008-60115	255.00
STERICYCLE, INC	213089	01/05/24 - POLICE SHRED SERVICES	LQ Police Volunteers	101-2001-60109	19.25
FEDEX	213061	01/23/24 - OVERNIGHT MAIL	Postage	101-1007-60470	14.99
THE LOCK SHOP, INC	213092	KEYS FOR PW YARD	Materials/Supplies	101-3008-60431	47.68
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Fritz Burns Park - Uti..	101-3005-61105	1,739.75
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Sports Complex - Uti..	101-3005-61106	6,490.13
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Velasco Park - Utiliti...	101-3005-61111	14.54
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Eisenhower Park - U...	101-3005-61113	20.33
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electricity - Utilities	101-3008-61101	164.92
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Monticello Park - Uti..	101-3005-61102	13.74
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Colonel Paige - Utilit..	101-3005-61108	12.34
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Community Park - U...	101-3005-61109	14,105.24
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Adams Park - Utiliti...	101-3005-61110	69.12
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Desert Pride - Utiliti...	101-3005-61114	13.54
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electricity - Utilities	101-3008-61101	780.07
COACHELLA VALLEY WATER D..	213052	WATER SERVICE	Water -Pioneer Park - Utilities	101-3005-61207	938.03

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Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
COACHELLA VALLEY WATER D..	213052	WATER SERVICE	PM 10 - Dust Control	101-7006-60146	805.19
COACHELLA VALLEY ASSOC O...	213051	10/2023 - ARTS AND MUSIC LINE SHARE...	Contributions to Other Agenc...	101-7006-60480	14,293.66
COACHELLA VALLEY ASSOC O...	213051	11/2023 - ARTS AND MUSIC LINE SHARE...	Contributions to Other Agenc...	101-7006-60480	3,876.30
COACHELLA VALLEY ASSOC O...	213051	12/2023 - ARTS AND MUSIC LINE SHARE...	Contributions to Other Agenc...	101-7006-60480	8,187.73
HOME DEPOT CREDIT SERVIC...	213065	SPONGES & TILE ADHESIVE	Materials/Supplies	101-3005-60431	20.02
HOME DEPOT CREDIT SERVIC...	213065	FRAMING NAILS	Materials/Supplies	101-3005-60431	371.88
HOME DEPOT CREDIT SERVIC...	213065	SMALL TOOLS	Materials/Supplies	101-3005-60431	274.84
HOME DEPOT CREDIT SERVIC...	213065	GLOVES, BATTRIES, STRAP TIES, EAR PL...	Materials/Supplies	101-3005-60431	127.12
HOME DEPOT CREDIT SERVIC...	213065	SPRAY PAINT	Materials/Supplies	101-3005-60431	14.09
HOME DEPOT CREDIT SERVIC...	213065	SCREWS	Materials/Supplies	101-3005-60431	65.18
HOME DEPOT CREDIT SERVIC...	213065	ADHESIVE & GLOVES	Materials/Supplies	101-3005-60431	31.47
HOME DEPOT CREDIT SERVIC...	213065	SOCKET MECHANICS TOOL SET	Tools/Equipment	101-3005-60432	87.99
HOME DEPOT CREDIT SERVIC...	213065	PAINT BRUSHES & UTILITY KNIFE	Materials/Supplies	101-3008-60431	55.10
HOME DEPOT CREDIT SERVIC...	213065	OUTDOOR CANOPY LIGHT	Materials/Supplies	101-3008-60431	103.28
HOME DEPOT CREDIT SERVIC...	213065	THINSET MORTAR BAGS	Materials/Supplies	101-3008-60431	156.14
HOME DEPOT CREDIT SERVIC...	213065	FLEET MAINTENANCE SUPPLIES	Materials/Supplies	101-3008-60431	31.32
HOME DEPOT CREDIT SERVIC...	213065	BUFFING & POLISHING ATTACHMENT & ...	Materials/Supplies	101-3008-60431	39.08
HOME DEPOT CREDIT SERVIC...	213065	EXTENSION CORD	Materials/Supplies	101-3008-60431	18.45
HOME DEPOT CREDIT SERVIC...	213065	GAS CAN	Materials/Supplies	101-3008-60431	65.18
HOME DEPOT CREDIT SERVIC...	213065	ELECTRICAL OUTLET COVER	Materials/Supplies	101-3008-60431	21.71
HOME DEPOT CREDIT SERVIC...	213065	DRILL BITS	Materials/Supplies	101-3008-60431	54.08
HOME DEPOT CREDIT SERVIC...	213065	LIGHT BULBS	Materials/Supplies	101-3008-60431	54.35
HOME DEPOT CREDIT SERVIC...	213065	OUTDOOR CANOPY LIGHTS	Materials/Supplies	101-3008-60431	355.38
HOME DEPOT CREDIT SERVIC...	213065	TARPS FOR STORM PREP	Materials/Supplies	101-3008-60431	235.90
HOME DEPOT CREDIT SERVIC...	213065	ALUMINUM WELDING WIRE	Materials/Supplies	101-3008-60431	107.27
HOME DEPOT CREDIT SERVIC...	213065	GLOVES & TOWELS	Materials/Supplies	101-3008-60431	36.82
HOME DEPOT CREDIT SERVIC...	213065	CLIPS	Materials/Supplies	101-3008-60431	19.48
HOME DEPOT CREDIT SERVIC...	213065	SPRAY PAINT & PAINT SUPPLIES	Materials/Supplies	101-3008-60431	119.55
HOME DEPOT CREDIT SERVIC...	213065	WET/DRY VACS	Tools/Equipment	101-3008-60432	528.53
HOME DEPOT CREDIT SERVIC...	213065	BIT SOCKET SET & RACHET	Tools/Equipment	101-3008-60432	53.19
HOME DEPOT CREDIT SERVIC...	213065	SLURRY FORMS	Operating Supplies	101-7003-60420	72.03
HOME DEPOT CREDIT SERVIC...	213065	SMALL TOOLS	Tools/Equipment	101-7003-60432	163.96
OLD TOWN LA QUINTA, LLC	213076	FY 23/24 ART ON MAIN STREET EVENT S...	Community Experiences	101-3003-60149	10,000.00
DISH NETWORK	213060	01/22-02/21/24 - EOC CABLE	Cable/Internet - Utilities	101-2002-61400	105.71
PACIFIC WEST AIR CONDITIO...	213077	12/06/23 - CH HVAC REPAIRS	HVAC	101-3008-60667	1,253.00
PACIFIC WEST AIR CONDITIO...	213077	12/20/23 - CH HVAC FUSE REPLACEMENT	HVAC	101-3008-60667	1,467.89
PACIFIC WEST AIR CONDITIO...	213077	01/17/24 - CH BOILER AUTO FILL VALVE ...	HVAC	101-3008-60667	2,002.94
SUNLINE TRANSIT AGENCY	213090	01/2024 - SUNLINE BUS PASSES	Due to SunLine	101-0000-20305	183.00
SUNLINE TRANSIT AGENCY	213090	01/2024 - SUNLINE BUS PASSES	Miscellaneous Revenue	101-0000-42301	-9.75
ASCAP	213041	06/01/23-05/31/24 - MUSIC LICENSE RE...	Community Experiences	101-3003-60149	468.82
KILEY & ASSOCIATES	213069	01/2024 - FEDERAL LOBBYIST SERVICES	Contract Services - Administr...	101-1002-60101	3,500.00
OCEAN SPRINGS TECH INC	213074	1/26/24 - INSTALL FB POOL KIT-PUMP IN...	Fritz Burns Pool Maintenance	101-3005-60184	3,170.72
TECHNOGYM USA CORP	213091	EXCITE LIVE- RUN LIVE 19 P 5000 LUNAR...	Machinery & Equipment	101-3002-80101	46,761.00
TECHNOGYM USA CORP	213091	DELIVERY AND INSTALLATION	Machinery & Equipment	101-3002-80101	16,791.99
TECHNOGYM USA CORP	213091	TAXES	Machinery & Equipment	101-3002-80101	7,715.72
TECHNOGYM USA CORP	213091	TECHNOGYM CARE SERVICE AGREEMEN...	Machinery & Equipment	101-3002-80101	73.73
TECHNOGYM USA CORP	213091	SKILLRUN 5000 LIVE 19	Machinery & Equipment	101-3002-80101	13,560.00
TECHNOGYM USA CORP	213091	LOWER BACK BENCH	Machinery & Equipment	101-3002-80101	1,014.00
TECHNOGYM USA CORP	213091	EXCITE LIVE -RECLINE LIVE 16 P 5000 LU...	Machinery & Equipment	101-3002-80101	4,868.50
TECHNOGYM USA CORP	213091	SKILLROW 7"	Machinery & Equipment	101-3002-80101	5,184.00
TECHNOGYM USA CORP	213091	LIVE PREMIUM CONTENT 60 MONTHS	Machinery & Equipment	101-3002-80101	2,400.00
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Sheriff Patrol	101-2001-60161	603,648.16
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Police Overtime	101-2001-60162	18,201.06
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Target Team	101-2001-60163	127,121.12
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Community Services Officer	101-2001-60164	59,827.20
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Gang Task Force	101-2001-60166	17,150.40
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Narcotics Task Force	101-2001-60167	16,078.50
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Motor Officer	101-2001-60169	123,537.72
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Dedicated Sergeants	101-2001-60170	42,417.00
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Dedicated Lieutenant	101-2001-60171	18,484.60

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Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Sheriff - Mileage	101-2001-60172	31,145.79
RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	Special Enforcement Funds	101-2001-60175	812.96
RIVERSIDE COUNTY SHERIFF ...	213083	09/25-10/24/23 - MOTOR FUEL CHARGES	Sheriff - Other	101-2001-60176	1,214.05
RIVERSIDE COUNTY SHERIFF ...	213083	10/25-11/24/23 - MOTOR FUEL CHARGES	Sheriff - Other	101-2001-60176	1,333.71
Fund 101 - GENERAL FUND Total:					1,313,470.08

Fund: 201 - GAS TAX FUND

UNDERGROUND SERVICE AL...	213096	2/1/24 - DIG ALERT SERVICES	Materials/Supplies	201-7003-60431	80.00
CRAFCO, INC	213053	ASPHALT PATCH	Asphalt	201-7003-60430	1,770.25
Fund 201 - GAS TAX FUND Total:					1,850.25

Fund: 202 - LIBRARY & MUSEUM FUND

CINTAS FIRST AID & SAFETY	213048	LIBRARY FIRST AID SERVICES	Operating Supplies	202-3004-60420	66.73
CINTAS FIRST AID & SAFETY	213048	MUSEUM FIRST AID SERVICE	Operating Supplies	202-3006-60420	30.00
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electricity - Utilities	202-3004-61101	2,955.34
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electricity - Utilities	202-3006-61101	1,067.98
Fund 202 - LIBRARY & MUSEUM FUND Total:					4,120.05

Fund: 212 - SLESA (COPS) FUND

RIVERSIDE COUNTY SHERIFF ...	213083	10/19-11/15/23 - BP #5 POLICE SERVICE	COPS Burglary/Theft Prevent...	212-0000-60179	6,559.56
Fund 212 - SLESA (COPS) FUND Total:					6,559.56

Fund: 215 - LIGHTING & LANDSCAPING FUND

LANDMARK GOLF MANAGE...	213070	01/2024 - SRR PERIMETER LANDSCAPE ...	SilverRock Way Landscape	215-7004-60143	5,278.00
SIGNATURE TINT	213085	NO TRESPASSING SIGNS FOR BEST BUY ...	Materials/Supplies	215-7004-60431	253.21
DESERT GROWERS NURSERY	213058	PLANTS	Materials/Supplies	215-7004-60431	326.25
SMITH PIPE & SUPPLY CO	213086	IRRIGATION PARTS	Materials/Supplies	215-7004-60431	773.11
SMITH PIPE & SUPPLY CO	213086	IRRIGATION PARTS	Materials/Supplies	215-7004-60431	580.57
HIGH TECH IRRIGATION INC	213064	IRRIGATION PARTS	Materials/Supplies	215-7004-60431	14.75
MACIAS NURSERY, INC.	213071	PLANTS	Materials/Supplies	215-7004-60431	1,196.25
MACIAS NURSERY, INC.	213071	PLANTS	Materials/Supplies	215-7004-60431	2,175.00
MACIAS NURSERY, INC.	213071	PLANTS	Materials/Supplies	215-7004-60431	3,915.00
PWLC II, INC	213081	PALM TREE REMOVALS	Tree Maintenance/Palm Trees	215-7004-60673	200.00
PWLC II, INC	213081	PALM TREE REMOVALS	Tree Maintenance/Palm Trees	215-7004-60673	1,600.00
PWLC II, INC	213081	PALM TREE REMOVALS	Tree Maintenance/Palm Trees	215-7004-60673	2,400.00
PWLC II, INC	213081	PALM TREE REMOVALS	Tree Maintenance/Palm Trees	215-7004-60673	1,100.00
HOME DEPOT CREDIT SERVIC...	213065	WEDGE ANCHORS	Materials/Supplies	215-7004-60431	107.16
HOME DEPOT CREDIT SERVIC...	213065	RETAINING WALL BLOCK	Materials/Supplies	215-7004-60431	325.05
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Utilities	215-7004-61116	4,013.73
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Medians - Utilities	215-7004-61117	1,395.73
COACHELLA VALLEY WATER D..	213052	WATER SERVICE	Water - Medians - Utilities	215-7004-61211	118.04
DESERT ELECTRIC SUPPLY	213057	LIGHTS & PARTS	Materials/Supplies	215-7004-60431	1,479.02
IMPERIAL IRRIGATION DIST	213067	ELECTRICITY SERVICE	Electric - Utilities	215-7004-61116	1,160.21
Fund 215 - LIGHTING & LANDSCAPING FUND Total:					28,411.08

Fund: 270 - ART IN PUBLIC PLACES FUND

CJR DESIGN	213049	CONSULTING/DESIGN APP SCULPTURE ...	Art Purchases	270-0000-74800	3,000.00
BEST SIGNS INC	213042	06/16/23 - LQ HARMONY SIGNAGE RE...	Operating Supplies	270-0000-60482	992.44
Fund 270 - ART IN PUBLIC PLACES FUND Total:					3,992.44

Fund: 401 - CAPITAL IMPROVEMENT PROGRAMS

GRANITE CONSTRUCTION C...	213063	AVE 50 & 52 PAVEMENT REHAB PROGR...	Retention Payable	401-0000-20600	127,846.89
Fund 401 - CAPITAL IMPROVEMENT PROGRAMS Total:					127,846.89

Fund: 501 - FACILITY & FLEET REPLACEMENT

CALI COLLISION CORP	213043	REPAIRS 2017 CHEVY SILVERADO VIN Z1...	Vehicle Repair & Maintenan...	501-0000-60676	4,961.63
AMERICAN TIRE DEPOT	213040	TIRES FOR 2023 CHEVY SILVERADO VIN ...	Vehicle Repair & Maintenan...	501-0000-60676	329.19
PARKHOUSE TIRE INC	213079	BACKHOE TIRE REPLACEMENT	Vehicle Repair & Maintenan...	501-0000-60676	762.24
CARQUEST	213045	TIRE INFLATOR	Parts, Accessories, and Upfits	501-0000-60675	94.93
CARQUEST	213045	GOLF CART BATTERIES (6)	Parts, Accessories, and Upfits	501-0000-60675	827.50
CARQUEST	213045	GOLF CART BATTERIES CORE RETURN	Parts, Accessories, and Upfits	501-0000-60675	-176.18
WEX BANK	213102	12/26/23-01/25/24 - FUEL	Fuel & Oil	501-0000-60674	7,544.06
Fund 501 - FACILITY & FLEET REPLACEMENT Total:					14,343.37

Fund: 502 - INFORMATION TECHNOLOGY

ACORN TECHNOLOGY SERVI...	213039	02/2024 - IT SERVICES	Consultants	502-0000-60104	29,204.00
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Demand Register

Packet: APPKT03716 - 02/09/2024 JB

Vendor Name	Payment Number	Description (Item)	Account Name	Account Number	Amount
ACORN TECHNOLOGY SERVI...	213039	02/2024 - TRAFFIC SERVER MAINTENAN...	Consultants	502-0000-60104	965.00
INTELESYSONE	213068	1/22-2/21/24 - PHONE SYSTEM MAINTEN...	Technical	502-0000-60108	3,000.00
INTELESYSONE	213068	02/22-03/21/24 - PHONE SYSTEM MAIN...	Technical	502-0000-60108	3,000.00
TPX COMMUNICATIONS	213094	01/23-02/22/24 - PHONE LINE SERVICE	Telephone - Utilities	502-0000-61300	3,797.91
CDW GOVERNMENT INC	213046	4/30/23-4/29/24 DRUVA INSYNC CLOUD...	Software Licenses	502-0000-60301	4,237.60
CDW GOVERNMENT INC	213046	HARDWARE TO REPLACE CITY WORKST...	Computers	502-0000-80103	3,967.31
Fund 502 - INFORMATION TECHNOLOGY Total:					48,171.82

Fund: 503 - PARK EQUIP & FACILITY FUND

DAVE BANG, INC.	213054	PARK REPLACEMENT PARTS	Parks	503-0000-71060	1,501.21
Fund 503 - PARK EQUIP & FACILITY FUND Total:					1,501.21

Fund: 504 - INSURANCE FUND

CINTAS FIRST AID & SAFETY	213048	WC FIRST AID SERVICE	Operating Supplies	504-1010-60420	116.95
CINTAS FIRST AID & SAFETY	213048	CH FIRST AID SERVICES	Operating Supplies	504-1010-60420	329.34
CINTAS FIRST AID & SAFETY	213048	PW FIRST AID SERVICES	Operating Supplies	504-1010-60420	52.24
CINTAS FIRST AID & SAFETY	213048	LANDSCAPE MAINT FIRST AID SERVICES	Operating Supplies	504-1010-60420	58.17
CINTAS FIRST AID & SAFETY	213048	WC FIRST AID SERVICE	Operating Supplies	504-1010-60420	56.68
Fund 504 - INSURANCE FUND Total:					613.38

Fund: 601 - SILVERROCK RESORT

CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	18,180.00
CLUB CAR LLC	213050	TEMPO LITHIUM	Vehicles	601-0000-71030	217,344.00
CLUB CAR LLC	213050	TEMPO LITHIUM	Vehicles	601-0000-71030	217,344.00
CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	18,180.00
CLUB CAR LLC	213050	TEMPO LITHIUM	Vehicles	601-0000-71030	217,344.00
CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	18,180.00
CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	9,847.51
CLUB CAR LLC	213050	TEMPO LITHIUM	Vehicles	601-0000-71030	117,728.00
CLUB CAR LLC	213050	TEMPO LITHIUM WITH LIGHTS	Vehicles	601-0000-71030	27,168.00
CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	2,272.51
CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	1,355.88
CLUB CAR LLC	213050	CARRYALL 700 ELECTRIC	Vehicles	601-0000-71030	16,451.50
CLUB CAR LLC	213050	TRANSPORTER XL ELECTRIC	Vehicles	601-0000-71030	30,930.00
CLUB CAR LLC	213050	TAXES	Vehicles	601-0000-71030	2,279.46
HOME DEPOT CREDIT SERVIC...	213065	LADDER	Repair & Maintenance	601-0000-60660	162.04
Fund 601 - SILVERROCK RESORT Total:					914,766.90

Grand Total: 2,465,647.03

Fund Summary

Fund	Expense Amount
101 - GENERAL FUND	1,313,470.08
201 - GAS TAX FUND	1,850.25
202 - LIBRARY & MUSEUM FUND	4,120.05
212 - SLESA (COPS) FUND	6,559.56
215 - LIGHTING & LANDSCAPING FUND	28,411.08
270 - ART IN PUBLIC PLACES FUND	3,992.44
401 - CAPITAL IMPROVEMENT PROGRAMS	127,846.89
501 - FACILITY & FLEET REPLACEMENT	14,343.37
502 - INFORMATION TECHNOLOGY	48,171.82
503 - PARK EQUIP & FACILITY FUND	1,501.21
504 - INSURANCE FUND	613.38
601 - SILVERROCK RESORT	914,766.90
Grand Total:	2,465,647.03

Account Summary

Account Number	Account Name	Expense Amount
101-0000-13600	Prepaid Expense	6,666.68
101-0000-20305	Due to SunLine	183.00
101-0000-42301	Miscellaneous Revenue	-9.75
101-1001-60320	Travel & Training	400.00
101-1002-60101	Contract Services - Admi...	3,500.00
101-1002-60320	Travel & Training	1,000.00
101-1004-60420	Operating Supplies	29.12
101-1005-60103	Professional Services	8,140.88
101-1005-60400	Office Supplies	28.91
101-1005-60420	Operating Supplies	152.25
101-1006-60320	Travel & Training	475.00
101-1007-60470	Postage	14.99
101-2001-60109	LQ Police Volunteers	19.25
101-2001-60161	Sheriff Patrol	603,648.16
101-2001-60162	Police Overtime	18,201.06
101-2001-60163	Target Team	127,121.12
101-2001-60164	Community Services Offi...	59,827.20
101-2001-60166	Gang Task Force	17,150.40
101-2001-60167	Narcotics Task Force	16,078.50
101-2001-60169	Motor Officer	123,537.72
101-2001-60170	Dedicated Sargeants	42,417.00
101-2001-60171	Dedicated Lieutenant	18,484.60
101-2001-60172	Sheriff - Mileage	31,145.79
101-2001-60174	Blood/Alcohol Testing	315.00
101-2001-60175	Special Enforcement Fu...	812.96
101-2001-60176	Sheriff - Other	2,547.76
101-2002-61300	Telephone - Utilities	1,798.73
101-2002-61400	Cable/Internet - Utilities	219.61
101-3001-60400	Office Supplies	173.99
101-3002-60351	Membership Dues	145.00
101-3002-60420	Operating Supplies	500.00
101-3002-80101	Machinery & Equipment	98,368.94
101-3003-60149	Community Experiences	10,468.82
101-3005-60184	Fritz Burns Pool Mainten...	3,932.84
101-3005-60431	Materials/Supplies	8,168.14
101-3005-60432	Tools/Equipment	87.99
101-3005-60691	Maintenance/Services	22,405.65
101-3005-61102	Electric - Monticello Park...	13.74
101-3005-61105	Electric - Fritz Burns Park...	1,739.75
101-3005-61106	Electric - Sports Complex...	6,490.13
101-3005-61108	Electric - Colonel Paige -...	12.34
101-3005-61109	Electric - Community Par...	14,105.24
101-3005-61110	Electric - Adams Park - Ut..	69.12

Account Summary

Account Number	Account Name	Expense Amount
101-3005-61111	Electric - Velasco Park - ...	14.54
101-3005-61113	Electric - Eisenhower Par...	20.33
101-3005-61114	Electric - Desert Pride - U...	13.54
101-3005-61207	Water -Pioneer Park - Uti..	938.03
101-3007-60410	Printing	981.63
101-3008-60115	Janitorial	255.00
101-3008-60431	Materials/Supplies	1,520.77
101-3008-60432	Tools/Equipment	581.72
101-3008-60667	HVAC	4,723.83
101-3008-60691	Maintenance/Services	1,812.98
101-3008-61101	Electricity - Utilities	944.99
101-6003-60320	Travel & Training	466.00
101-6003-60352	Subscriptions & Publicat...	110.04
101-6004-60320	Travel & Training	352.32
101-7002-60420	Operating Supplies	65.44
101-7003-60420	Operating Supplies	72.03
101-7003-60432	Tools/Equipment	163.96
101-7006-60144	Contract Traffic Engineer	22,682.42
101-7006-60146	PM 10 - Dust Control	805.19
101-7006-60480	Contributions to Other A...	26,357.69
201-7003-60430	Asphalt	1,770.25
201-7003-60431	Materials/Supplies	80.00
202-3004-60420	Operating Supplies	66.73
202-3004-61101	Electricity - Utilities	2,955.34
202-3006-60420	Operating Supplies	30.00
202-3006-61101	Electricity - Utilities	1,067.98
212-0000-60179	COPS Burglary/Theft Pre...	6,559.56
215-7004-60143	SilverRock Way Landscap...	5,278.00
215-7004-60431	Materials/Supplies	11,145.37
215-7004-60673	Tree Maintenance/Palm ...	5,300.00
215-7004-61116	Electric - Utilities	5,173.94
215-7004-61117	Electric - Medians - Utilit...	1,395.73
215-7004-61211	Water - Medians - Utiliti...	118.04
270-0000-60482	Operating Supplies	992.44
270-0000-74800	Art Purchases	3,000.00
401-0000-20600	Retention Payable	127,846.89
501-0000-60674	Fuel & Oil	7,544.06
501-0000-60675	Parts, Accessories, and ...	746.25
501-0000-60676	Vehicle Repair & Maint...	6,053.06
502-0000-60104	Consultants	30,169.00
502-0000-60108	Technical	6,000.00
502-0000-60301	Software Licenses	4,237.60
502-0000-61300	Telephone - Utilities	3,797.91
502-0000-80103	Computers	3,967.31
503-0000-71060	Parks	1,501.21
504-1010-60420	Operating Supplies	613.38
601-0000-60660	Repair & Maintenance	162.04
601-0000-71030	Vehicles	914,604.86
	Grand Total:	2,465,647.03

Project Account Summary

Project Account Key	Project Account Name	Project Name	Expense Amount
None	**None**	**None**	2,280,883.12
201804E	Landscape & Lighting Median Islan...	Landscape & Lighting Median Isla	7,443.68
202008CB	Contribution Expense	Avenue 48 Art and Music Line Prc	26,357.69
202201RP	Retention Payable	Avenue 50 Pavement Rehab (Wa	127,846.89
STVRE	Short Term Vacation Rental Expen...	Short Term Vacation Rental Track	3,750.00

Project Account Summary

Project Account Key	Project Account Name	Project Name	Expense Amount
XPARKE	X Park Expenses	<u>X Park</u>	19,365.65
	Grand Total:	2,465,647.03	

*Project codes are generally used to track Capital Improvement Program (CIP) projects, other large public works projects, developer deposits, or city-wide events. Normal operational expenditures are not project coded and, therefore, will report as "none" in this section.

City of La Quinta

Bank Transactions 1/29/2024-2/9/2024

Wire Transaction

Listed below are the wire transfers from 1/29/2024-2/9/2024.

Wire Transfers:

01/29/2024 - WIRE TRANSFER - BANK OF NEW YORK MELLON	\$4,000,000.00
02/02/2024 - WIRE TRANSFER - LANDMARK	\$8,099.29
02/02/2024 - WIRE TRANSFER - CALPERS	\$5,758.34
02/02/2024 - WIRE TRANSFER - CALPERS	\$15,833.95
02/02/2024 - WIRE TRANSFER - CALPERS	\$30,825.22
02/02/2024 - WIRE TRANSFER - LQCEA	\$513.00
02/02/2024 - WIRE TRANSFER - MISSION SQUARE	\$1,100.00
02/02/2024 - WIRE TRANSFER - MISSION SQUARE	\$4,125.00
02/02/2024 - WIRE TRANSFER - MISSION SQUARE	\$11,528.19
02/05/2024 - WIRE TRANSFER - LANDMARK	\$122,285.32
02/06/2024 - WIRE TRANSFER - STERLING	\$480.00
02/06/2024 - WIRE TRANSFER - STERLING	\$1,858.30
02/07/2024 - WIRE TRANSFER - CALPERS	\$148,240.38
02/07/2024 - WIRE TRANSFER - LANDMARK	\$221,796.28
TOTAL WIRE TRANSFERS OUT	<u>\$4,572,443.27</u>

[CLICK HERE to Return to Agenda](#)

City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: ADOPT RESOLUTION ADOPTING A SPONSORSHIP POLICY

RECOMMENDATION

Adopt a resolution adopting a Sponsorship Policy.

EXECUTIVE SUMMARY

- The City currently does not have a Sponsorship Policy to provide set guidelines when considering sponsorship opportunities.
- Adopting a Sponsorship Policy, included as Exhibit A to the proposed resolution, provides transparency and establishes uniform criteria for staff when vetting sponsorship requests for City events, programming, projects, and facilities.

FISCAL IMPACT – None.

BACKGROUND/ANALYSIS

Staff occasionally receives requests from third party organizations interested in sponsoring City events, programming, projects, and facilities. The City does not have a Sponsorship Policy in place, and staff recommends adopting the proposed policy to establish uniform guidelines for vetting sponsorship opportunities, which details the criteria and eligibility requirements for potential sponsors. The Sponsorship Policy will be implemented via a Sponsorship Agreement, included as Attachment 1.

ALTERNATIVES

Council may choose to not adopt the Resolution or modify the proposed Sponsorship Policy.

Prepared by: Michael Calderon, Community Services Management Analyst

Approved by: Christina Calderon, Community Services Deputy Director

Attachment: 1. Sponsorship Agreement

RESOLUTION NO. 2024 – XXX

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF
LA QUINTA, CALIFORNIA, ADOPTING A SPONSORSHIP
POLICY**

WHEREAS, sponsorship policies provide guidelines to City employees for the consideration and acceptance of sponsorship opportunities; and

WHEREAS, the City Council finds it appropriate to establish a sponsorship policy to create uniform guidelines for vetting sponsorship opportunities pertaining to City events and programming, City projects, and City sites; and

WHEREAS, the sponsorship policy details the criteria and eligibility requirements for potential sponsors; and

WHEREAS, said policy shall be adopted by resolution; and

WHEREAS, the ability to accept, deny, or modify sponsorships are acts taken solely for the common benefit of the City and its citizenry.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of La Quinta, California, as follows:

SECTION 1. The Sponsorship Policy attached hereto as Exhibit A, and incorporated herein by reference, shall govern the consideration and vetting of sponsorship opportunities in a matter that is for the common benefit of the City.

SECTION 2. This Policy, as applicable shall constitute the procedures and rules governing the consideration of sponsorship requests.

SECTION 3. Severability. If any provision of this Resolution or the application therefore to any person or circumstance is held invalid, such invalidity shall not affect other provisions or applications of this Resolution which can be given effect without the invalid provision or application, and to this end of the provisions of this Resolution are severable. The City Council hereby declares that it would have adopted this Resolution irrespective of the invalidity of any particular portion thereof.

SECTION 4. This Resolution shall become effective upon its adoption.

PASSED, APPROVED, and ADOPTED at a regular meeting of the La Quinta City Council held on this 20th day of February 2024, by the following vote:

AYES:

NOES:

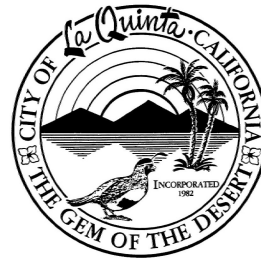
ABSENT:

ABSTAIN:

LINDA EVANS, Mayor
City of La Quinta, California

ATTEST:

MONIKA RADEVA, City Clerk
City of La Quinta, California



APPROVED AS TO FORM:

WILLIAM H. IHRKE, City Attorney
City of La Quinta, California

CITY OF LA QUINTA SPONSORSHIP POLICY

1. POLICY

- 1.1 The City of La Quinta (City) considers Sponsors that assist the City in:
 - a. Meeting community needs;
 - b. Protecting public interests in the short and long term;
 - c. Supporting community programs and events;
 - d. Improving City facilities and/or parks;
 - e. Providing opportunities that are open and accessible to all citizens of La Quinta; and
 - f. Meeting City strategic plans, mission, core values, and priorities.
- 1.2 Sponsorships may be financial (providing funds to the City) or in-kind (providing products or services to the City), in exchange for tangible and/or intangible benefits to the sponsor.
- 1.3 Financial and in-kind sponsorships are appropriate for three broad types of City activities and amenities:
 - a. Events and Programs Sponsorship – City-organized or events/programs occurring on City property (e.g., sponsor for X-Park event, Concert Series, or Wellness Center programs).
 - b. Projects – Specific City-sanctioned project (e.g., donation for a new splash pad).
 - c. Site sponsorship – Specific City facility, park, amenity, or feature (e.g., funding or equipment for a Wellness Center computer lab).
- 1.4 Advertisement may be available through a Sponsorship Agreement, or through limited advertising opportunities in certain City publications.
- 1.5 Sponsorship association with the City may not be utilized to the advantage of the sponsor in any competitive bidding, contract, or other City related activity. The City shall strive to ensure all entities and individuals that meet defined criteria have equal opportunities to provide sponsorships.
- 1.6 Acceptance of a sponsorship by the City does not constitute nor imply endorsement of any individual, entity, or product by the City of La Quinta.
- 1.7 Any revenue generated through event or program sponsorships should contribute directly to offsetting event or program costs to the City.

- 1.8 The Sponsoring agency or individual must not grant a personal benefit, directly, or indirectly, to any particular individual City officer or employee.
- 1.9 No materials, communications, or advertisements including, but not limited to, print, signage, video, internet, broadcast, or display items developed to promote or communicate the sponsorship, may use the City’s name, seal, or logo without express prior written approval of the City.
- 1.10 Sponsorships must not discriminate against any person on the basis of race, color, creed, religion, sex, including gender identity and expression, sexual orientation, age, marital status, ancestry, national origin, disability, or any other classification protected under applicable state or federal laws.
- 1.11 Sponsorship recognition, signage, branding, publicity, and advertising in conjunction with the Sponsorship Agreement must not contain the following:
 - a. Obscenity;
 - b. Pornography;
 - c. Incitement to imminent lawless action;
 - d. Speech presenting a grave and imminent threat;
 - e. Fighting words;
 - f. Fraudulent material;
 - g. True threats;
 - h. Defamatory, libelous, or slanderous material;
 - i. Solicitations to commit, or speech integral to, criminal conduct;
 - j. The promotion of drugs, cannabis, alcohol, tobacco, gambling, or adult entertainment;
 - k. Political campaign speech, or speech that supports or opposes or appears to support or oppose a ballot measure or initiative, or refers to any person in or campaigning for public office; or
 - l. Religious speech that advocates or opposes a religion or religious belief in violation of applicable federal or state laws.
- 1.12 The City retains its rights and discretion to exercise full control over the placement, content, appearance, and wording of sponsorship recognitions, affiliations, signage, and messages.

2. DEFINITIONS

- 2.1 **Sponsor:** any entity or individual that meets eligibility requirements under this policy and is subject to a specific sponsorship agreement with the City.

- 2.2 **Sponsorship:** financial or in-kind gift to the City from an entity or individual for a specific program, event, project, or site in exchange for tangible and intangible benefits to the sponsor, as designated by agreement. Sponsorship does not include grants, unsolicited donations, or any exchange for funds, goods or services not designated by a specific sponsorship agreement.
- 2.3 **Advertising:** signage or content created by the entity or individual to promote a product or service (placed in a purchased space as designated by agreement). Paid advertising space may be available in certain City printed materials and publications.
- 2.4 **Temporary advertising:** temporary display of corporate logos, branding or advertising copy at a City event or on related materials associated with an event or program, as designated by agreement.
- 2.5 **Events:** Large-scale activities for the benefit of the public, organized by the City and/or held on City property, usually a single annual event or annual series.
- 2.6 **Programs:** Groups of services or activities developed and implemented by City programming staff at City sites.
- 2.7 **Projects:** one-time City efforts, often with a product or defined end-result.
- 2.8 **Sites:** specific places, varying in scale from individual features or areas to an entire park or facility.
- 2.9 **Marketing benefits:** opportunities provided to the sponsor for temporary visibility of branding, products, name and logo on City property and/or materials.
- 2.10 **City:** The City of La Quinta, California

3. ELIGIBILITY

- 3.1 The City reserves the right to decline any request for Sponsorship if acceptance is determined not to be in the best interest of, or would create a conflict of interest for, the City, or would otherwise be in violation of any applicable federal, state, or local law. This includes events and programs that conflict with or are duplicative of events and programs already offered by the City.
- 3.2 Individuals, organizations and businesses generally ineligible for sponsorships include:
 - a. Individuals or entities whose revenue is substantially derived from the sale of alcohol, illegal drugs or illegal drug paraphernalia, firearms or weapons, tobacco products, cannabis, gambling, or sexually explicit materials;

- b. Individuals or entities that promote adult-oriented businesses;
 - c. Individuals or entities with the intent to act on behalf of, in support of, or in opposition to any political candidate or ballot measure or advocate a political position; and
 - d. Individuals or entities that would be prohibited from receiving a financial or in-kind gift from the City pursuant to any applicable federal, state, or local law or regulation, court order, or administrative decision.
- 3.3 Agreements with charitable, religious, and other public welfare organizations and entities shall be considered, provided that such agreements with any charitable, religious, or other public welfare organization comply with applicable federal and state laws and regulations, including the City's obligation to comply with the constitutional requirement not to endorse any religion, convey or attempt to convey a message that a particular religion is favored or preferred, or promote one religion or religious theory against another.
- 3.4 Nothing in this policy is intended to convert City events into public forums of general speech and/or public expression, except as otherwise provided by law. To the extent any City event, site, project, or program is designed for a specific purpose or specific public message, such event, site, project or program shall remain a non-public forum subject to the City's exclusive use and control. Nothing in this policy is intended to prevent or limit the City from engaging in "government speech," with which the City must be able to promote programs and espouse policies in the public interest.

4. SOLICITATION OF SPONSORS AND ADVERTISERS

- 4.1 City Staff, designated by the City Manager, may seek sponsorship opportunities.
- 4.2 Site and project sponsorship opportunities will vary, and agreements are subject to approvals pursuant to City law and policy.
- 4.3 Event and program sponsorship opportunities, including sponsorship levels and fees, may be tailored to each specific event and program.
- 4.4 The City may hire a third party to solicit sponsors.
- 4.5 The Mayor, City Council members, and appointed Board and Commission members are prohibited by law from soliciting sponsorships and shall not engage in any conduct to solicit sponsorships.

4.6 The City may seek advertisers of products or services. Availability may be limited, and placement will be considered based on:

- a. Suitability of advertiser for the location selected.
- b. Location of advertiser, with priority given to La Quinta-based organizations and businesses.
- c. Standardized notification to the public, applicable to all sponsors, for the promotion of the selected sponsors (e.g., “This City event is sponsored by _____, located at _____”).

5. SPONSORSHIP AGREEMENTS – CONTENT

5.1 The City will enter into Sponsorship Agreements with potential sponsors. These Agreements shall be approved as to content by the City Manager and approved as to form by the City Attorney, and shall include, at the minimum, the following:

- a. A detailed description of the sponsor's and City's obligations;
- b. A detailed description of benefits based on program sponsorship level;
- c. Terms and conditions, such as a temporary fully revocable license, for a sponsor to use the City's logo on sponsor's promotional materials;
- d. Conditions for termination of the Agreement; and
- e. Insurance requirement in accordance with City policy.

6. SPONSORSHIP AGREEMENTS – REVIEW AND APPROVAL

6.1 Naming Rights and Sponsorship Agreements of \$50,000 or above will first be reviewed by City Staff with recommendations being forwarded to City Council for approval.

6.2 Agreements with proposed funding of less than \$50,000 require City Manager (or designee) approval.

6.3 The following criteria shall be considered when reviewing potential sponsorships and benefits to the sponsor:

- a. Compatibility of prospective sponsor's products, services, and marketing goals with the proposed City site, event, program, or project;
- b. Awareness of the City's current priorities, programs, and core services, as defined in the City's budget or other planning documents;

- c. Compatibility and compliance of sponsorship (including marketing/advertising materials) with design standards or visual integrity of City facilities and/or events as defined in the City's Logo Policy, Zoning Code, Municipal Code, Naming Policy, and the City's Brand Guidelines;
- d. Balance of tangible and intangible benefits between the sponsor and the City.



— GEM of the DESERT —

CITY OF LA QUINTA SPONSORSHIP AGREEMENT

1. **PARTIES AND DATE.** This Agreement is made and entered into this _____ day of _____, 20____, (“Effective Date”) by and between the **City of La Quinta**, a Municipal Corporation and Charter City organized under the Constitution and laws of the State of California with its principal place of business at 78495 Calle Tampico, La Quinta, CA (“City”) and _____, a _____ with its principal place of business at _____ (“Sponsor”). City and Sponsor are sometimes individually referred to as “Party” and collectively as “Parties” in this Agreement.
2. **TERMS AND CONDITIONS.** This Agreement shall be interpreted consistent with the City of La Quinta’s Sponsorship Policy. Parties shall comply with the terms and conditions in the attached Exhibit “A”.
3. **SPONSOR’S OBLIGATION.** A detailed description of Sponsor’s obligations to City is set forth in the attached Exhibit “B”.
4. **CITY’S OBLIGATION.** A detailed description of City’s obligations to Sponsor is set forth in the attached Exhibit “C”.
5. **TERM.** The term of this Agreement shall be from _____ to _____, unless earlier terminated as set forth in the attached Terms and Conditions. This Agreement may not extend beyond a period of five (5) years, unless under the City’s Fiscal Policies and Procedures this Agreement is exempt from the five (5) year limitation.
6. **FORCE MAJEURE.** The time period specified for performance of the obligations contained within this Agreement shall be extended because of any delays due to unforeseeable causes beyond the control and without the fault or negligence of Sponsor including, but not restricted to, acts of God or of the public enemy, fires, earthquakes, floods, epidemic, quarantine restrictions, riots, strikes, freight embargoes, acts of any governmental agency other than City, and unusually severe weather, if Sponsor shall within ten (10) days of the commencement of such delay notify City in writing of the causes of the delay. City shall ascertain the facts and the extent of delay, and extend the time for performing the Services for the period of the forced delay when and if in their judgment such delay is justified, and City’s determination shall be final and conclusive upon the parties to this Agreement. Extensions to time periods for performance of services, which are determined by City to be justified pursuant to this Section, shall not entitle Sponsor to additional compensation unless City expressly agrees to an increase in writing.
7. **INSURANCE.** In accordance with Section 3 of Exhibit “A”, Sponsor shall, at its expense, procure and maintain for the duration of the Agreement such insurance policies as checked below and provide proof of such insurance policies to City. **Sponsor shall obtain policy endorsements on Commercial General Liability Insurance that name Additional Insureds as follows: The City of La Quinta, its officers, officials, employees and agents.**

Commercial General Liability Insurance:

\$1,000,000 per occurrence/\$2,000,000 aggregate **OR**

\$2,000,000 per occurrence/\$4,000,000 aggregate.

Automobile Liability:

\$1,000,000 combined single limit for bodily injury and property damage.

Workers' Compensation:

Statutory Limits / Employer's Liability \$1,000,000 per accident or disease.

Professional Liability (Errors and Omissions):

Errors and Omissions liability insurance with a limit of not less than \$1,000,000 per claim.

IN WITNESS WHEREOF, each of the Parties has caused this Agreement to be executed on the day and year first above written.

CITY OF LA QUINTA, a Municipal Corporation
And Charter City

SPONSOR NAME

By: _____
STAFF NAME
STAFF TITLE

By: _____
OWNER/OFFICER NAME
OWNER/OFFICER TITLE

By: _____
City Manager

By: _____
OWNER/OFFICER NAME
OWNER/OFFICER TITLE

EXHIBIT "A"
TERMS AND CONDITIONS

1. Compliance with Law. Sponsor shall comply with all applicable laws and regulations of the federal, state and local government. Sponsor shall assist City, as requested, in obtaining and maintaining all permits required of Sponsor by Federal, State and local regulatory agencies. Sponsor is responsible for all costs of clean up and/or removal of hazardous and toxic substances spilled as a result of his or her Work.
2. Standard of Care. Sponsor shall perform the Work in accordance with generally accepted professional practices and principles and in a manner consistent with the level of care and skill ordinarily exercised by members of the profession practicing under similar conditions.
3. Insurance. Sponsor shall take out and maintain, during the performance of all work under this Agreement: A. Commercial General Liability Insurance in the amounts specified in Section 7 of the Agreement for bodily injury, personal injury and property damage, at least as broad as Insurance Services Office Commercial General Liability coverage (Occurrence Form CG 0001), and if no amount is selected in Section 6 of the Agreement, the amounts shall be \$1,000,000 per occurrence/\$2,000,000 aggregate; B. Automobile Liability Insurance for bodily injury and property damage including coverage for owned, non-owned and hired vehicles, of at least \$1,000,000 per accident for bodily injury and property damage, at least as broad as Insurance Services Office Form Number CA 0001 (ed. 6/92) covering automobile liability, Code 1 (any auto); C. Workers' Compensation in compliance with applicable statutory requirements and Employer's Liability Coverage of at least \$1,000,000 per accident or disease. Sponsor shall also submit to City a waiver of subrogation endorsement in favor of city, and D. Professional Liability (Errors and Omissions) coverage, if checked in section 6 of the Agreement, with a limit not less than \$1,000,000 per claim and which shall be endorsed to include contractual liability. Insurance carriers shall be authorized by the Department of Insurance, State of California, to do business in California and maintain an agent for process within the state. Such insurance carrier shall have not less than an "A"; "Class VII" according to the latest Best Key Rating unless otherwise approved by City.
4. Indemnification. Sponsor shall indemnify and hold harmless City, its Council, members of the Council, agents and employees of City, against any and all claims, liabilities, expenses or damages, including responsible attorneys' fees, for injury or death of any person, or damage to property, or interference with use of property, or any claim of Sponsor or subcontractor for wages or benefits which arise in connection with the performance of this Agreement, except to the extent caused or resulting from the active negligence or willful misconduct of City, its Council, members of the Council, agents and employees of City. The foregoing indemnity includes, but is not limited to, the cost of prosecuting or defending such action with legal counsel acceptable to City and City's attorneys' fees incurred in such an action.
5. Laws and Venue. This Agreement shall be interpreted in accordance with the laws of the State of California. If any action is brought to interpret or enforce any term of this Agreement, the action shall be brought in a state or federal court situated in the County of Riverside, State of California.
6. Termination. City may terminate its obligations under this Agreement by giving 10 calendar days written notice to Sponsor. City shall not be liable for any costs other than the charges or portions thereof which are specified herein. Sponsor may terminate its obligations under this Agreement upon 30 calendar days' written notice to City only in the event of City's failure to perform in accordance with the terms of this Agreement through no fault of Sponsor.

7. Agreement Terms. Nothing herein shall be construed to give any rights or benefits to anyone other than City and Sponsor. The unenforceability, invalidity or illegality of any provision(s) of this Agreement shall not render the other provisions unenforceable, invalid or illegal. Notice may be given or delivered by depositing the same in any United States Post Office, certified mail, return receipt requested, postage prepaid, addressed to the parties to the addresses set forth in the Agreement. Sponsor shall not assign, sublet, or transfer this Agreement or any rights under or interest in this Agreement without the written consent of City, which may be withheld for any reason. Sponsor is retained as an independent contractor and is not an employee of City. No employee or agent of Sponsor shall become an employee of City. The individuals signing this Agreement represent that they have the authority to sign on behalf of the parties and bind the parties to this Agreement. This is an integrated Agreement representing the entire understanding of the parties as to those matters contained herein, and supersedes and cancels any prior oral or written understanding or representations with respect to matters covered hereunder. This Agreement may not be modified or altered except in writing signed by both parties hereto.

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EXHIBIT "B"
SPONSOR'S OBLIGATIONS

**EXHIBIT "C"
CITY'S OBLIGATIONS**

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City of La Quinta

BUSINESS SESSION ITEM NO. 2

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: APPROVE AGREEMENT FOR CONTRACT SERVICES WITH MARK THOMAS & COMPANY TO PROVIDE PROFESSIONAL ENGINEERING SERVICES FOR AVENUE 50 BRIDGE PROJECT NO. 2019-02, LOCATED ON AVENUE 50 OVER THE LA QUINTA EVACUATION CHANNEL.

RECOMMENDATION

Contingent upon Caltrans acceptance of the contract financial documents, approve agreement for contract services with Mark Thomas & Company to provide professional engineering services for Avenue 50 Bridge Project No. 2019-02; and authorize the City Manager to finalize and execute the agreement.

EXECUTIVE SUMMARY

- Avenue 50 Bridge Project (Project) (Attachment 1) will replace the existing low-water crossing spanning the La Quinta Evacuation Channel (LQEC) at Avenue 50 with an all-weather access bridge.
- Following a Request for Proposals (RFP), staff selected Mark Thomas & Company (Mark Thomas) to provide professional engineering services for the Project. The total cost for the agreement is \$2,332,865 (Attachment 2).
- Approval of the Agreement for Contract Services (Agreement) will initiate the engineering phase of the bridge improvement project including preparation of environmental documentation, bridge and roadway engineering, and right-of-way acquisition services.

FISCAL IMPACT

Funding for the Project was budgeted in the 2019/20 Capital Improvement Plan. Funds are available for the environmental, right of way, and engineering design phases for the Agreement. The Project includes cost sharing between Federal Highway Bridge Program (HBP) funds, Coachella Valley Association of Governments (CVAG) funding, and local funds.

The estimated overall cost of the Project is \$16,349,000. These costs are separated into the following phases:

Phase	Estimated Cost New Bridge	Federal Funding (Caltrans-HBP)	Non-Participating Plus Local Share
Engineering/Design	\$3,393,568	\$1,520,000	\$1,873,568
Right of Way	\$1,505,000	\$1,056,000	\$449,000
Construction/Construction Engineering	\$11,450,432	\$8,640,000	\$2,810,432
Total Costs:	\$16,349,000	\$11,216,000	\$5,133,000

These costs include both participating (eligible for reimbursement), and non-participating costs. Currently, the following cost shares are anticipated:

Caltrans-HBP Funding Share:	\$11,216,000
City of La Quinta Funding Share:	\$1,283,250
CVAG Funding Share:	\$3,849,750
Total Cost:	\$16,349,000

BACKGROUND/ANALYSIS

The Project will replace the existing low water crossing with an all-weather access bridge on Avenue 50 at the LQEC. During severe winter and summer storms, the existing Avenue 50 low water crossing is frequently inundated and damaged due to flows within the storm water channel. In the interest of public health and safety, and in response to community concerns regarding frequent closures of the low water crossing, the City has determined that replacing it with a new bridge is the best way to ensure all weather access is maintained for the community.

The proposed improvements will provide Coachella Valley motorists and pedestrians a safe path of travel across the LQEC at Avenue 50 regardless of adverse weather. The Project will also provide reliable access for emergency vehicles and improve traffic circulation on Avenue 50 and the surrounding Project areas.

The City issued an RFP and received seven (7) proposals on August 23, 2023. The Consultant Selection Committee interviewed four (4) firms and recommend Mark Thomas, in the amount not to exceed \$2,332,865. The total contract sum of the Agreement includes optional tasks that may be deducted from the scope of work without additional cost incurred if they are determined to be unnecessary. In order to expedite the preliminary engineering phase, staff recommends approving this Agreement contingent upon Caltrans acceptance. Since this project includes federal HBP funds, Caltrans Audit and Investigations must review and approve this Agreement; staff anticipates that Caltrans will complete their review in 30 days.

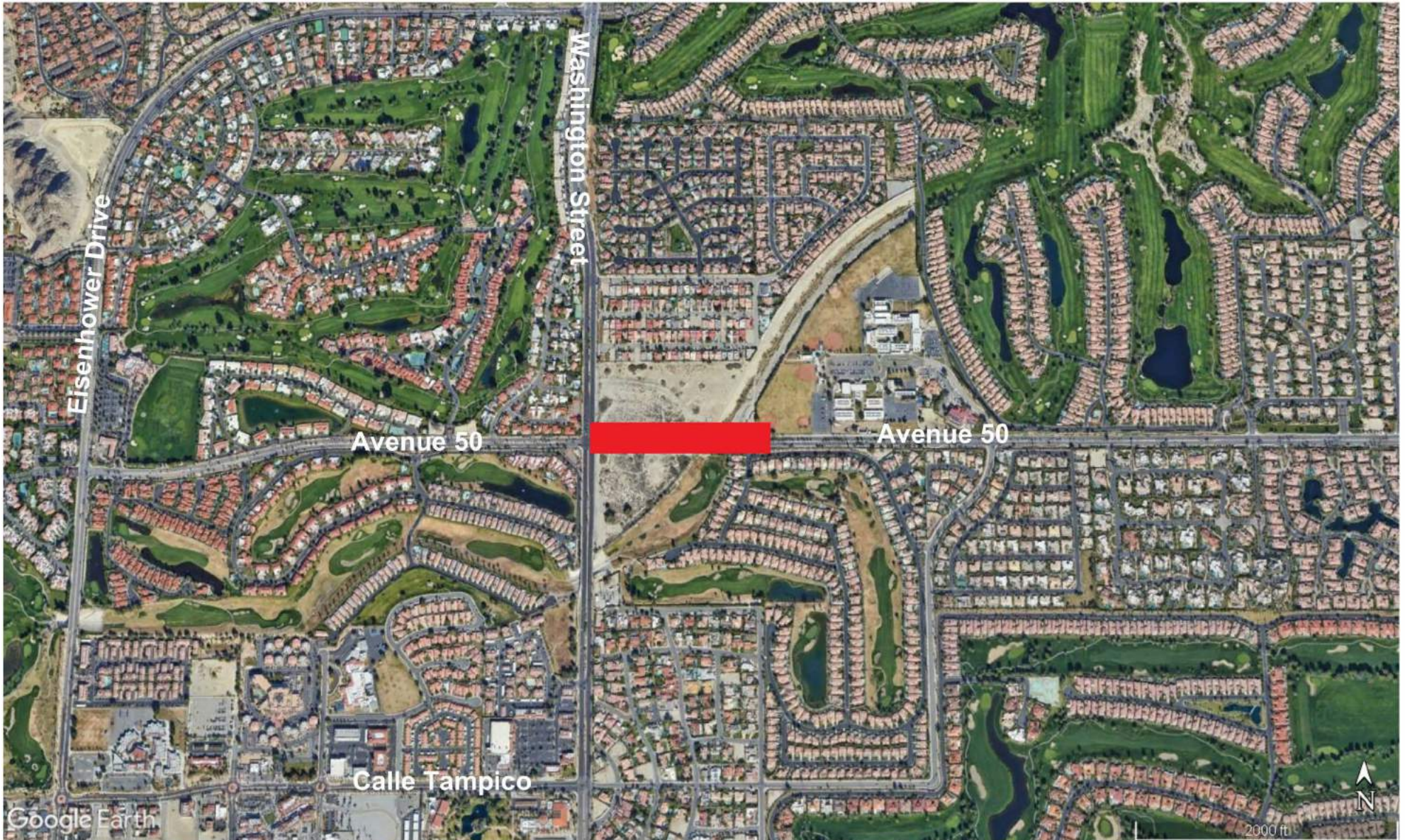
ALTERNATIVES

Staff does not recommend an alternative.

Prepared by: Julie Mignogna, Management Analyst
 Approved by: Bryan McKinney, Public Works Director/City Engineer

Attachments: 1. Project Vicinity Map
 2. Agreement for Contract Services with Mark Thomas & Company

2019-02 Avenue 50 Bridge Project Vicinity Map



 Project Location

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AGREEMENT FOR CONTRACT SERVICES

THIS AGREEMENT FOR CONTRACT SERVICES (the “Agreement”) is made and entered into by and between the CITY OF LA QUINTA, (“City”), a California municipal corporation, and Mark Thomas & Company, a Corporation with a place of business at 4200 Concoors Street, Suite 330, Ontario, CA 91764 (“Contracting Party”). The parties hereto agree as follows:

1. SERVICES OF CONTRACTING PARTY.

1.1 Scope of Services. In compliance with all terms and conditions of this Agreement, Contracting Party shall provide those Professional Engineering Services related to preparing the plans, specifications, and engineer’s estimate for the Avenue 50 Bridge Project (Federal Project No. NBIL (547), (City Project No. 2019-02), as specified in the “Scope of Services” attached hereto as “Exhibit A” and incorporated herein by this reference (the “Services”). If Exhibit A includes “Phases” or “Optional Tasks”, Contracting Party hereby understands that this was done in accordance with Section 13.13 of this Agreement and all phases beyond the first phase and/or the optional tasks may be deducted from the scope by the Contract Officer along with their corresponding Contract Sum without additional compensation. Contracting Party represents and warrants that Contracting Party is a provider of first-class work and/or services and Contracting Party is experienced in performing the Services contemplated herein and, in light of such status and experience, Contracting Party covenants that it shall follow industry standards in performing the Services required hereunder, and that all materials, if any, will be of good quality, fit for the purpose intended. For purposes of this Agreement, the phrase “industry standards” shall mean those standards of practice recognized by one or more first-class firms performing similar services under similar circumstances.

1.2 Compliance with Law. All Services rendered hereunder shall be provided in accordance with all ordinances, resolutions, statutes, rules, regulations, and laws of the City and any Federal, State, or local governmental agency of competent jurisdiction.

1.3 Wage and Hour Compliance. Contracting Party shall comply with applicable Federal, State, and local wage and hour laws.

1.4 Licenses, Permits, Fees and Assessments. Except as otherwise specified herein, Contracting Party shall obtain at its sole cost and expense such licenses, permits, and approvals as may be required by law for the performance of the Services required by this Agreement, including a City of La Quinta business license. Contracting Party and its employees, agents, and subcontractors shall, at their sole cost and expense, keep in effect at all times during the term of this Agreement any licenses, permits, and approvals that are legally required for the performance of the Services required by this Agreement. Contracting Party shall have the sole obligation to pay for any fees, assessments, and taxes, plus applicable penalties and interest, which may be imposed by law and arise from or are necessary for the performance of the Services required by this Agreement, and shall indemnify, defend (with counsel selected by City), and hold City, its elected

officials, officers, employees, and agents, free and harmless against any such fees, assessments, taxes, penalties, or interest levied, assessed, or imposed against City hereunder. Contracting Party shall be responsible for all subcontractors' compliance with this Section.

1.5 Familiarity with Work. By executing this Agreement, Contracting Party warrants that (a) it has thoroughly investigated and considered the Services to be performed, (b) it has investigated the site where the Services are to be performed, if any, and fully acquainted itself with the conditions there existing, (c) it has carefully considered how the Services should be performed, and (d) it fully understands the facilities, difficulties, and restrictions attending performance of the Services under this Agreement. Should Contracting Party discover any latent or unknown conditions materially differing from those inherent in the Services or as represented by City, Contracting Party shall immediately inform City of such fact and shall not proceed except at Contracting Party's risk until written instructions are received from the Contract Officer, or assigned designee (as defined in Section 4.2 hereof).

1.6 Standard of Care. Contracting Party acknowledges and understands that the Services contracted for under this Agreement require specialized skills and abilities and that, consistent with this understanding, Contracting Party's work will be held to an industry standard of quality and workmanship. Consistent with Section 1.5 hereinabove, Contracting Party represents to City that it holds the necessary skills and abilities to satisfy the industry standard of quality as set forth in this Agreement. Contracting Party shall adopt reasonable methods during the life of this Agreement to furnish continuous protection to the Services performed by Contracting Party, and the equipment, materials, papers, and other components thereof to prevent losses or damages, and shall be responsible for all such damages, to persons or property, until acceptance of the Services by City, except such losses or damages as may be caused by City's own negligence. The performance of Services by Contracting Party shall not relieve Contracting Party from any obligation to correct any incomplete, inaccurate, or defective work at no further cost to City, when such inaccuracies are due to the negligence of Contracting Party.

1.7 Additional Services. In accordance with the terms and conditions of this Agreement, Contracting Party shall perform services in addition to those specified in the Scope of Services ("Additional Services") only when directed to do so by the Contract Officer, or assigned designee, provided that Contracting Party shall not be required to perform any Additional Services without compensation. Contracting Party shall not perform any Additional Services until receiving prior written authorization (in the form of a written change order if Contracting Party is a contractor performing the Services) from the Contract Officer, or assigned designee, incorporating therein any adjustment in (i) the Contract Sum, and/or (ii) the time to perform this Agreement, which said adjustments are subject to the written approval of Contracting Party. It is expressly understood by Contracting Party that the provisions of this Section shall not apply to the Services specifically set forth in the Scope of Services or reasonably contemplated therein. It is specifically understood and agreed that oral requests and/or approvals of Additional Services shall be barred and are unenforceable. Failure of Contracting Party to secure the Contract Officer's, or assigned designee's written authorization for

Additional Services shall constitute a waiver of any and all right to adjustment of the Contract Sum or time to perform this Agreement, whether by way of compensation, restitution, quantum meruit, or the like, for Additional Services provided without the appropriate authorization from the Contract Officer, or assigned designee. Compensation for properly authorized Additional Services shall be made in accordance with Section 2.4 of this Agreement.

1.8 Special Requirements. Additional terms and conditions of this Agreement, if any, which are made a part hereof are set forth in "Exhibit D" (the "Special Requirements"), which is incorporated herein by this reference and expressly made a part hereof. In the event of a conflict between the provisions of the Special Requirements and any other provisions of this Agreement, the provisions of the Special Requirements shall govern.

2. COMPENSATION.

2.1 Contract Sum. For the Services rendered pursuant to this Agreement, Contracting Party shall be compensated based on Lump Sum in accordance with "Exhibit B" (the "Schedule of Compensation"). The total amount payable by City shall not exceed Two Million Three Hundred Thirty-two Thousand Eight Hundred Sixty-Four Dollars and Sixty-Six Cents (\$2,332,864.66) (the "Contract Sum"), except as provided in Section 1.7. The total lump sum price paid to Contracting Party will include compensation for all work and deliverables, including travel and equipment described in "Exhibit A". The Contract Sum shall include the attendance of Contracting Party at all project meetings reasonably deemed necessary by City; Contracting Party shall not be entitled to any additional compensation for attending said meetings. Compensation may include reimbursement for actual and necessary expenditures for reproduction costs, transportation expense, telephone expense, and similar costs and expenses when and if specified in the Schedule of Compensation. No additional compensation will be paid to Contracting Party unless there is a change in the scope of the work or the scope of the project. In the instance of a change in the scope of work or scope of the project, adjustment to the total lump sum compensation will be made in accordance with Section 1.7.

2.2 Method of Billing & Payment. Progress payments will be made monthly in arrears based on the percentage of work completed by Contracting Party. If Contracting Party fails to submit the required deliverable items according to the schedule set forth in Section 3.2, City shall have the right to delay payment or terminate this Agreement. Any month in which Contracting Party wishes to receive payment, Contracting Party shall submit to City no later than the tenth (10th) working day of such month, in the form approved by City's Finance Director, an invoice for Services rendered prior to the date of the invoice. Invoices shall be submitted no later than thirty (30) calendar days after the performance of work for which Contracting Party is billing. Invoices shall detail the work performed on each milestone and each project as applicable. Invoices shall follow the format stipulated in the Schedule of Compensation and shall reference this Agreement number (or City project number for which this Agreement applies) and project title. Invoices shall contain a certification by a principal member of Contracting Party specifying that the payment requested is for Services performed in accordance with the terms of this

Agreement. Upon approval in writing by the Contract Officer, or assigned designee, and subject to retention pursuant to Section 8.3, City will pay Contracting Party for all items stated thereon which are approved by City pursuant to this Agreement no later than thirty (30) days after invoices are received by the City's Finance Department. No payment will be made prior to approval of any work, nor for any work performed prior to approval of this Agreement. The final invoice must contain the final cost and all credits due City including any equipment purchased under the provisions of Section 13.8. Except as provided for Section 10.3, the final invoice should be submitted within sixty (60) calendar days after completion of Contracting Party's work.

2.3 Prompt Payment: The City shall make all project progress payment within 30 days after receipt of an undisputed and properly submitted payment request from Contracting Party on a professional service contract. If the City fails to pay promptly, the City shall pay interest to the Contracting Party, which accrues at the rate of 10 percent per annum on the principal amount of a money judgment remaining unsatisfied and pre-rated as necessary. Upon receipt of the payment request, the City shall act in accordance with both of the following:

- 1) The City shall review each payment request as soon as feasible after receipt to verify it is a proper payment request.
- 2) The City must return any payment request deemed improper by the City to the Contracting Party as soon as feasible, but not later than seven (7) days, after receipt. A request returned pursuant to this paragraph shall include documentation setting forth in writing the reasons why it is an improper payment request.

For projects awarded on or after September 1, 2023: the Contracting Party must now submit Exhibit 9-P to the City administering the Agreement by the 15th of the month following the month of any payment(s). If the Contracting Party does not make any payments to subconsultants, supplier(s), and/or manufacturers Contracting Party must report "no payments were made to subs this month" and write this visibly and legibly on Exhibit 9-P.

The City must verify all Exhibit 9-P information, monitor compliance with prompt payment requirements for DBE and non-DBE firms, and address any shortfalls to the DBE commitment and prompt payment issues until the end of the project. The City must email a copy of Exhibit 9-P to DBE.Forms@dot.ca.gov before the end of the month after receiving the Exhibit 9-P from the Contracting Party.

2.4 Compensation for Additional Services. Additional Services approved in advance by the Contract Officer, or assigned designee, pursuant to Section 1.7 of this Agreement shall be paid for in an amount agreed to in writing by both City and Contracting Party in advance of the Additional Services being rendered by Contracting Party. Any compensation for Additional Services amounting to five percent (5%) or less of the Contract Sum may be approved by the Contract Officer, or assigned designee. Any greater amount of compensation for Additional Services must be approved by the La Quinta City Council, the City Manager, or Department Director, depending upon City laws,

regulations, rules and procedures concerning public contracting. Under no circumstances shall Contracting Party receive compensation for any Additional Services unless prior written approval for the Additional Services is obtained from the Contract Officer, or assigned designee, pursuant to Section 1.7 of this Agreement.

3. PERFORMANCE SCHEDULE.

3.1 Time of Essence. Time is of the essence in the performance of this Agreement. If the Services are not completed in accordance with the Schedule of Performance, as set forth in Section 3.2 and "Exhibit C", it is understood that the City will suffer damage.

3.2 Schedule of Performance. All Services rendered pursuant to this Agreement shall be performed diligently and within the time period established in "Exhibit C" (the "Schedule of Performance"). Extensions to the time period specified in the Schedule of Performance may be approved in writing by the Contract Officer, or assigned designee. Contracting Party shall not commence performance of work or services until this Agreement has been approved by City and notification to proceed has been issued by City's Contract Officer.

3.3 Force Majeure. The time period specified in the Schedule of Performance for performance of the Services rendered pursuant to this Agreement shall be extended because of any delays due to unforeseeable causes beyond the control and without the fault or negligence of Contracting Party, including, but not restricted to, acts of God or of the public enemy, fires, earthquakes, floods, epidemic, quarantine restrictions, riots, strikes, freight embargoes, acts of any governmental agency other than City, and unusually severe weather, if Contracting Party shall within ten (10) days of the commencement of such delay notify the Contract Officer, or assigned designee, in writing of the causes of the delay. The Contract Officer, or assigned designee, shall ascertain the facts and the extent of delay, and extend the time for performing the Services for the period of the forced delay when and if in the Contract Officer's judgment such delay is justified, and the Contract Officer's determination, or assigned designee, shall be final and conclusive upon the parties to this Agreement. Extensions to time period in the Schedule of Performance which are determined by the Contract Officer, or assigned designee, to be justified pursuant to this Section shall not entitle the Contracting Party to additional compensation in excess of the Contract Sum.

3.4 Term. Unless earlier terminated in accordance with the provisions in Section 8.0 of this Agreement, the term of this agreement shall commence on February 20, 2024, contingent upon approval by City, and Contracting Party shall commence work after notification to proceed by City's Contract Officer. The Agreement shall terminate on June 30, 2028, unless extended by an Amendment to this Agreement. Contracting Party is advised that any recommendation for Agreement award is not binding on City until the Agreement is fully executed and approved by City.

4. COORDINATION OF WORK.

4.1 Representative of Contracting Party. The following principals of Contracting Party (“Principals”) are hereby designated as being the principals and representatives of Contracting Party authorized to act in its behalf with respect to the Services specified herein and make all decisions in connection therewith:

- (a) [NAME] Paul Mittica, PE
Tel No.: (626) 862-1583
E-mail: pmittica@markthomas.com

It is expressly understood that the experience, knowledge, capability, and reputation of the foregoing Principals were a substantial inducement for City to enter into this Agreement. Therefore, the foregoing Principals shall be responsible during the term of this Agreement for directing all activities of Contracting Party and devoting sufficient time to personally supervise the Services hereunder. For purposes of this Agreement, the foregoing Principals may not be changed by Contracting Party and no other personnel may be assigned to perform the Services required hereunder without the express written approval of City.

4.2 Contract Officer. The “Contract Officer” shall be Bryan McKinney P.E., Public Works Director/City Engineer or other such assigned designee as may be designated in writing by the City Manager of City. It shall be Contracting Party’s responsibility to assure that the Contract Officer, or assigned designee, is kept informed of the progress of the performance of the Services and Contracting Party shall refer any decisions, which must be made by City, to the Contract Officer, or assigned designee. Unless otherwise specified herein, any approval of City required hereunder shall mean the approval of the Contract Officer, or assigned designee. The Contract Officer, or assigned designee, shall have authority to sign all documents on behalf of City required hereunder to carry out the terms of this Agreement.

4.3 Prohibition Against Subcontracting or Assignment. The experience, knowledge, capability, and reputation of Contracting Party, its principals, and its employees were a substantial inducement for City to enter into this Agreement. Except as set forth in this Agreement, Contracting Party shall not contract or subcontract with any other entity to perform in whole or in part the Services required hereunder without the express written approval of City. In addition, neither this Agreement nor any interest herein may be transferred, assigned, conveyed, hypothecated, or encumbered, voluntarily or by operation of law, without the prior written approval of City. Transfers restricted hereunder shall include the transfer to any person or group of persons acting in concert of more than twenty five percent (25%) of the present ownership and/or control of Contracting Party, taking all transfers into account on a cumulative basis. Any attempted or purported assignment or contracting or subcontracting by Contracting Party without City’s express written approval shall be null, void, and of no effect. No approved transfer shall release Contracting Party of any liability hereunder without the express consent of City.

4.4 Independent Contractor. Neither City nor any of its employees shall have any control over the manner, mode, or means by which Contracting Party, its agents, or its employees, perform the Services required herein, except as otherwise set forth herein. City shall have no voice in the selection, discharge, supervision, or control of Contracting Party's employees, servants, representatives, or agents, or in fixing their number or hours of service. Contracting Party shall perform all Services required herein as an independent contractor of City and shall remain at all times as to City a wholly independent contractor with only such obligations as are consistent with that role. Contracting Party shall not at any time or in any manner represent that it or any of its agents or employees are agents or employees of City. City shall not in any way or for any purpose become or be deemed to be a partner of Contracting Party in its business or otherwise or a joint venture or a member of any joint enterprise with Contracting Party. Contracting Party shall have no power to incur any debt, obligation, or liability on behalf of City. Contracting Party shall not at any time or in any manner represent that it or any of its agents or employees are agents or employees of City. Except for the Contract Sum paid to Contracting Party as provided in this Agreement, City shall not pay salaries, wages, or other compensation to Contracting Party for performing the Services hereunder for City. City shall not be liable for compensation or indemnification to Contracting Party for injury or sickness arising out of performing the Services hereunder. Notwithstanding any other City, state, or federal policy, rule, regulation, law, or ordinance to the contrary, Contracting Party and any of its employees, agents, and subcontractors providing services under this Agreement shall not qualify for or become entitled to any compensation, benefit, or any incident of employment by City, including but not limited to eligibility to enroll in the California Public Employees Retirement System ("PERS") as an employee of City and entitlement to any contribution to be paid by City for employer contributions and/or employee contributions for PERS benefits. Contracting Party agrees to pay all required taxes on amounts paid to Contracting Party under this Agreement, and to indemnify and hold City harmless from any and all taxes, assessments, penalties, and interest asserted against City by reason of the independent contractor relationship created by this Agreement. Contracting Party shall fully comply with the workers' compensation laws regarding Contracting Party and Contracting Party's employees. Contracting Party further agrees to indemnify and hold City harmless from any failure of Contracting Party to comply with applicable workers' compensation laws. City shall have the right to offset against the amount of any payment due to Contracting Party under this Agreement any amount due to City from Contracting Party as a result of Contracting Party's failure to promptly pay to City any reimbursement or indemnification arising under this Section.

4.5 Identity of Persons Performing Work. Contracting Party represents that it employs or will employ at its own expense all personnel required for the satisfactory performance of any and all of the Services set forth herein. Contracting Party represents that the Services required herein will be performed by Contracting Party or under its direct supervision, and that all personnel engaged in such work shall be fully qualified and shall be authorized and permitted under applicable State and local law to perform such tasks and services.

4.6 City Cooperation. City shall provide Contracting Party with any plans, publications, reports, statistics, records, or other data or information pertinent to the

Services to be performed hereunder which are reasonably available to Contracting Party only from or through action by City.

5. INSURANCE.

5.1 Insurance. Prior to the beginning of any Services under this Agreement and throughout the duration of the term of this Agreement, Contracting Party shall procure and maintain, at its sole cost and expense, and submit concurrently with its execution of this Agreement, policies of insurance as set forth in "Exhibit E" (the "Insurance Requirements") which is incorporated herein by this reference and expressly made a part hereof.

5.2 Proof of Insurance. Contracting Party shall provide Certificate of Insurance to Agency along with all required endorsements. Certificate of Insurance and endorsements must be approved by Agency's Risk Manager prior to commencement of performance.

6. INDEMNIFICATION.

6.1 Indemnification. To the fullest extent permitted by law, Contracting Party shall indemnify, protect, defend (with counsel selected by City), and hold harmless City and any and all of its officers, employees, agents, and volunteers as set forth in "Exhibit E" ("Indemnification") which is incorporated herein by this reference and expressly made a part hereof.

7. RECORDS AND REPORTS.

7.1 Reports. Contracting Party shall periodically prepare and submit to the Contract Officer, or assigned designee, such reports concerning Contracting Party's performance of the Services required by this Agreement as the Contract Officer, or assigned designee, shall require. Contracting Party's Project Manager shall meet with City as necessary to discuss progress on the Agreement. Contracting Party hereby acknowledges that City is greatly concerned about the cost of the Services to be performed pursuant to this Agreement. For this reason, Contracting Party agrees that if Contracting Party becomes aware of any facts, circumstances, techniques, or events that may or will materially increase or decrease the cost of the Services contemplated herein or, if Contracting Party is providing design services, the cost of the project being designed, Contracting Party shall promptly notify the Contract Officer, or assigned designee, of said fact, circumstance, technique, or event and the estimated increased or decreased cost related thereto and, if Contracting Party is providing design services, the estimated increased or decreased cost estimate for the project being designed.

7.2 Records. Contracting Party shall keep, and require any subcontractors to keep, such ledgers, books of accounts, invoices, vouchers, canceled checks, reports (including but not limited to payroll reports), studies, Independent CPA Audited Indirect Cost Rate workpapers, or other documents relating to the disbursements charged to City and the Services performed hereunder (the "Books and Records"), as shall be necessary to perform the Services required by this Agreement and enable the Contract Officer, or

assigned designee, to evaluate the performance of such Services. Any and all such Books and Records, including those of the Contracting Party's Independent CPA, shall be maintained in accordance with generally accepted accounting principles and shall be complete and detailed. The Contract Officer, Caltrans Auditor, FHWA or any duly authorized representative of the Federal government having jurisdiction under Federal laws or regulations (including the basis of Federal Funding in whole or in part), or assigned designee, shall have full and free access to such Books and Records at all times during normal business hours of City, including the right to inspect, copy, audit, and make records and transcripts from such Books and Records. Such Books and Records shall be maintained for a period of three (3) years following completion of the Services hereunder, and City shall have access to such Books and Records in the event any audit, examination, workpaper review, excerpt, or transaction is required. In the event of dissolution of Contracting Party's business, custody of the Books and Records may be given to City, and access shall be provided by Contracting Party's successor in interest. Under California Government Code Section 8546.7, if the amount of public funds expended under this Agreement exceeds Ten Thousand Dollars (\$10,000.00), this Agreement shall be subject to the examination and audit of the State Auditor, at the request of City or as part of any audit of City, for a period of three (3) years after final payment under this Agreement.

7.3 Ownership of Documents. All drawings, specifications, maps, designs, photographs, studies, surveys, data, notes, computer files, reports, records, documents, and other materials plans, drawings, estimates, test data, survey results, models, renderings, and other documents or works of authorship fixed in any tangible medium of expression, including but not limited to, physical drawings, digital renderings, or data stored digitally, magnetically, or in any other medium prepared or caused to be prepared by Contracting Party, its employees, subcontractors, and agents in the performance of this Agreement (the "Documents and Materials") shall be the property of City and shall be delivered to City upon request of the Contract Officer, or assigned designee, or upon the expiration or termination of this Agreement, and Contracting Party shall have no claim for further employment or additional compensation as a result of the exercise by City of its full rights of ownership use, reuse, or assignment of the Documents and Materials hereunder. Any use, reuse or assignment of such completed Documents and Materials for other projects and/or use of uncompleted documents without specific written authorization by Contracting Party will be at City's sole risk and without liability to Contracting Party, and Contracting Party's guarantee and warranties shall not extend to such use, revise, or assignment. Contracting Party may retain copies of such Documents and Materials for its own use. Contracting Party shall have an unrestricted right to use the concepts embodied therein. All subcontractors shall provide for assignment to City of any Documents and Materials prepared by them, and in the event Contracting Party fails to secure such assignment, Contracting Party shall indemnify City for all damages resulting therefrom.

7.4 In the event City or any person, firm, or corporation authorized by City reuses said Documents and Materials without written verification or adaptation by Contracting Party for the specific purpose intended and causes to be made or makes any changes or alterations in said Documents and Materials, City hereby releases,

discharges, and exonerates Contracting Party from liability resulting from said change. The provisions of this clause shall survive the termination or expiration of this Agreement and shall thereafter remain in full force and effect.

7.5 In the event of Termination under Section 8.0, immediately upon termination, City shall be entitled to, and Contracting Party shall deliver to City, reports, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and other such materials as may have been prepared or accumulated to date by Contracting Party in performing this Agreement which is not Contracting Party's privileged information, as defined by law, or Contracting Party's personnel information, along with all other property belonging exclusively to City which is in Contracting Party's possession.

7.6 Licensing of Intellectual Property. This Agreement creates a non-exclusive and perpetual license for City to copy, use, modify, reuse, or sublicense any and all copyrights, designs, rights of reproduction, and other intellectual property embodied in the Documents and Materials. Contracting Party shall require all subcontractors, if any, to agree in writing that City is granted a non-exclusive and perpetual license for the Documents and Materials the subcontractor prepares under this Agreement. Contracting Party represents and warrants that Contracting Party has the legal right to license any and all of the Documents and Materials. Contracting Party makes no such representation and warranty in regard to the Documents and Materials which were prepared by design professionals other than Contracting Party or provided to Contracting Party by City. City shall not be limited in any way in its use of the Documents and Materials at any time, provided that any such use not within the purposes intended by this Agreement shall be at City's sole risk. Publication of the information derived from work performed or data obtained in connection with services rendered under this Agreement must be approved in writing by City. Additionally, it is agreed that the Parties intend this to be an Agreement for services and each considers the products and results of the services to be rendered by Contracting Party hereunder to be work made for hire. Contracting Party acknowledges and agrees that the work (and all rights therein, including, without limitation, copyright) belongs to and shall be the sole and exclusive property of City without restriction or limitation upon its use or dissemination by City.

7.7 Release of Documents. The Documents and Materials shall not be released publicly without the prior written approval of the Contract Officer, or assigned designee, or as required by law. Contracting Party shall not disclose to any other entity or person any information regarding the activities of City, except as required by law or as authorized by City.

7.8 Confidential or Personal Identifying Information. Contracting Party covenants that all City data, data lists, trade secrets, documents with personal identifying information, documents that are not public records, draft documents, discussion notes, or other information, if any, developed or received by Contracting Party or provided for performance of this Agreement are deemed confidential and shall not be disclosed by Contracting Party to any person or entity without prior written authorization by City or unless required by law. City shall grant authorization for disclosure if required by any

lawful administrative or legal proceeding, court order, or similar directive with the force of law. Permission to disclose information on one occasion, or public hearing held by City relating to the Agreement, shall not authorize Contracting Party to further disclose such information, or disseminate the same on any other occasion. Contracting Party shall not comment publicly to the press or any other media regarding the Agreement or City's actions on the same, except to City's staff, Contracting Party's own personnel involved in the performance of this Agreement, at public hearings, or in response to questions from a Legislative committee. Contracting Party shall not issue any news release or public relations item of any nature, whatsoever, regarding work performed or to be performed under this Agreement without prior review of the contents thereof by City, and receipt of City's written permission. All City data, data lists, trade secrets, documents with personal identifying information, documents that are not public records, draft documents, discussions, or other information shall be returned to City upon the termination or expiration of this Agreement. All information related to the construction estimate is confidential and shall not be disclosed by Contracting party to any entity, other than City, Caltrans, and/or FHWA. All of the materials prepared or assembled by Contracting Party pursuant to performance of this Agreement are confidential and Contracting Party agrees that they shall not be made available to any individual or organization without the prior written approval of City or except by court order. If Contracting Party or any of its officers, employees, or subcontractors does voluntarily provide information in violation of this Contract, City has the right to reimbursement and indemnity from Contracting Party for any damages caused by Contracting Party releasing the information, including, but not limited to, City's attorney's fees and disbursements, including without limitation experts' fees and disbursements. Contracting Party's covenant under this section shall survive the termination or expiration of this Agreement.

8. ENFORCEMENT OF AGREEMENT.

8.1 California Law. This Agreement shall be interpreted, construed, and governed both as to validity and to performance of the parties in accordance with the laws of the State of California. Legal actions concerning any dispute, claim, or matter arising out of or in relation to this Agreement shall be instituted in the Superior Court of the County of Riverside, State of California, or any other appropriate court in such county, and Contracting Party covenants and agrees to submit to the personal jurisdiction of such court in the event of such action.

8.2 Disputes. In the event of any dispute arising under this Agreement, the injured party shall notify the injuring party in writing of its contentions by submitting a claim therefore. Prior to either party commencing any legal action under this Agreement, the parties agree to try in good faith, to settle any dispute amicably between them. If a dispute has not been settled after forty-five (45) days of good-faith negotiations and as may be otherwise provided herein, then either party may commence legal action against the other. The injured party shall continue performing its obligations hereunder so long as the injuring party commences to cure such default within ten (10) days of service of such notice and completes the cure of such default within forty-five (45) days after service of the notice, or such longer period as may be permitted by the Contract Officer, or assigned designee; provided that if the default is an immediate danger to the health,

safety, or general welfare, City may take such immediate action as City deems warranted. Compliance with the provisions of this Section shall be a condition precedent to termination of this Agreement for cause and to any legal action, and such compliance shall not be a waiver of any party's right to take legal action in the event that the dispute is not cured, provided that nothing herein shall limit City's right to terminate this Agreement without cause pursuant to this Section 8.0. During the period of time that Contracting Party is in default, City shall hold all invoices and shall, when the default is cured, proceed with payment on the invoices. In the alternative, City may, in its sole discretion, elect to pay some or all of the outstanding invoices during any period of default. Any dispute, other than audit, concerning a question of fact arising under this Agreement that is not disposed of by agreement shall be decided by a committee consisting of City's Contract Officer and City Manager (or authorized designee), who may consider written or verbal information submitted by Contracting Party. Not later than thirty (30) calendar days after completion of all deliverables necessary to complete the plans, specifications and estimate, Contracting Party may request review by City Governing Board of unresolved claims or disputes, other than audit. The request for review shall be submitted in writing. Neither the pendency of a dispute, nor its consideration by the committee will excuse Contracting Party from full and timely performance in accordance with the terms of this Agreement. Audit Disputes shall be considered as provided for Section 10.

8.3 Retention of Funds. City may withhold from any monies payable to Contracting Party sufficient funds to compensate City for any losses, costs, liabilities, or damages it reasonably believes were suffered by City due to the default of Contracting Party in the performance of the Services required by this Agreement.

8.4 Waiver. No delay or omission in the exercise of any right or remedy of a non-defaulting party on any default shall impair such right or remedy or be construed as a waiver. City's consent or approval of any act by Contracting Party requiring City's consent or approval shall not be deemed to waive or render unnecessary City's consent to or approval of any subsequent act of Contracting Party. Any waiver by either party of any default must be in writing and shall not be a waiver of any other default concerning the same or any other provision of this Agreement.

8.5 Rights and Remedies are Cumulative. Except with respect to rights and remedies expressly declared to be exclusive in this Agreement, the rights and remedies of the parties are cumulative and the exercise by either party of one or more of such rights or remedies shall not preclude the exercise by it, at the same or different times, of any other rights or remedies for the same default or any other default by the other party.

8.6 Legal Action. In addition to any other rights or remedies, either party may take legal action, at law or at equity, to cure, correct, or remedy any default, to recover damages for any default, to compel specific performance of this Agreement, to obtain declaratory or injunctive relief, or to obtain any other remedy consistent with the purposes of this Agreement.

8.7 Termination Prior To Expiration of Term. This Section shall govern any termination of this Agreement, except as specifically provided in the following Section for

termination for cause. City reserves the right to terminate this Agreement at any time, with or without cause, upon thirty (30) days' written notice to Contracting Party. Upon receipt of any notice of termination, Contracting Party shall immediately cease all Services hereunder except such as may be specifically approved by the Contract Officer, or assigned designee. Upon termination, City shall be entitled to all work, including but not limited to, reports, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and in accordance with Section 7.5. Contracting Party shall be entitled to compensation for all Services rendered prior to receipt of the notice of termination and for any Services authorized by the Contract Officer, or assigned designee, thereafter in accordance with the Schedule of Compensation or such as may be approved by the Contract Officer, or assigned designee, except amounts held as a retention pursuant to this Agreement. Notwithstanding any provisions of this Agreement, Contracting Party shall not be relieved of liability to City for damages sustained by City by virtue of any breach of this Agreement by Contracting Party, and City may withhold any payments due to Contracting Party until such time as the exact amount of damages, if any, due City from Contracting Party is determined.

8.8 Termination for Default of Contracting Party. If termination is due to the failure of Contracting Party to fulfill its obligations under this Agreement, Contracting Party shall vacate any City-owned property which Contracting Party is permitted to occupy hereunder and City may, after compliance with the provisions of Section 8.2, take over the Services and prosecute the same to completion by contract or otherwise, and Contracting Party shall be liable to the extent that the total cost for completion of the Services required hereunder exceeds the compensation herein stipulated (provided that City shall use reasonable efforts to mitigate such damages), and City may withhold any payments to Contracting Party for the purpose of setoff or partial payment of the amounts owed City.

8.9 Attorneys' Fees. If either party to this Agreement is required to initiate or defend or made a party to any action or proceeding in any way connected with this Agreement, the prevailing party in such action or proceeding, in addition to any other relief which may be granted, whether legal or equitable, shall be entitled to reasonable attorneys' fees; provided, however, that the attorneys' fees awarded pursuant to this Section shall not exceed the hourly rate paid by City for legal services multiplied by the reasonable number of hours spent by the prevailing party in the conduct of the litigation. Attorneys' fees shall include attorneys' fees on any appeal, and in addition a party entitled to attorneys' fees shall be entitled to all other reasonable costs for investigating such action, taking depositions and discovery, and all other necessary costs the court allows which are incurred in such litigation. All such fees shall be deemed to have accrued on commencement of such action and shall be enforceable whether or not such action is prosecuted to judgment. The court may set such fees in the same action or in a separate action brought for that purpose.

8.10 Suspension of Work. City may temporarily suspend this Agreement, at no additional cost to City, provided that Contracting Party is given written notice (delivered by certified mail, return receipt requested) of temporary suspension. If City gives such

notice of temporary suspension, Contracting Party shall immediately suspend its activities under this Agreement. A temporary suspension may be issued concurrent with the notice of termination provided for in this Section 8.0.

9. CITY OFFICERS AND EMPLOYEES; NON-DISCRIMINATION.

9.1 Non-liability of City Officers and Employees. No officer, official, employee, agent, representative, or volunteer of City shall be personally liable to Contracting Party, or any successor in interest, in the event or any default or breach by City or for any amount which may become due to Contracting Party or to its successor, or for breach of any obligation of the terms of this Agreement.

9.2 Conflict of Interest. Contracting Party covenants that neither it, nor any officer or principal of it, has or shall acquire any interest, directly or indirectly, which would conflict in any manner with the interests of City or which would in any way hinder Contracting Party's performance of the Services under this Agreement. Contracting Party further covenants that in the performance of this Agreement, no person having any such interest shall be employed by it as an officer, employee, agent, or subcontractor without the express written consent of the Contract Officer, or assigned designee. Contracting Party agrees to at all times avoid conflicts of interest or the appearance of any conflicts of interest with the interests of City in the performance of this Agreement. During the term of this Agreement, the Contracting Party shall disclose any financial, business, or other relationship with City that may have an impact upon the outcome of this Agreement or any ensuing City construction project. The Contracting Party shall also list current clients who may have a financial interest in the outcome of this Agreement or any ensuing City construction project which will follow.

No officer or employee of City shall have any financial interest, direct or indirect, in this Agreement nor shall any such officer or employee participate in any decision relating to this Agreement which effects his financial interest or the financial interest of any corporation, partnership or association in which he is, directly or indirectly, interested, in violation of any State statute or regulation. Contracting Party warrants that it has not paid or given and will not pay or give any third party any money or other consideration for obtaining this Agreement.

Contracting Party certifies that it has disclosed to City any actual, apparent, or potential conflicts of interest that may exist relative to the services to be provided pursuant to this Agreement. Contracting Party agrees to advise City of any actual, apparent or potential conflicts of interest that may develop subsequent to the date of execution of this Agreement. Contracting Party further agrees to complete any statements of economic interest if required by either City ordinance or State law.

Contracting Party hereby certifies that the Contracting Party or subconsultant and any firm affiliated with the Contracting Party or subconsultant that bids on any construction contract or on any Agreement to provide construction inspection for any construction project resulting from this Agreement, has established necessary controls to ensure a

conflict of interest does not exist. An affiliated firm is one, which is subject to the control of the same persons, through joint ownership or otherwise.

9.3 Covenants against Discrimination. The Contracting Party's signature affixed herein and dated shall constitute a certification under penalty of perjury under the laws of the State of California that the Contracting Party has, unless exempt, complied with the nondiscrimination program requirements of Gov. Code §12990 and 2 CCR § 8103.

During the performance of this Agreement, Contracting Party and its subconsultants shall not deny the Agreement's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contracting Party and subconsultants shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment.

Contracting Party and subconsultants shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 et seq.), the applicable regulations promulgated there under (2 CCR §11000 et seq.), the provisions of Gov. Code §§11135-11139.5, and the regulations or standards adopted by City to implement such article. The applicable regulations of the Fair Employment and Housing Commission implementing Gov. Code §12990 (a-f), set forth 2 CCR §§8100-8504, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contracting Party shall permit access by representatives of the Department of Fair Employment and Housing and the City upon reasonable notice at any time during the normal business hours, but in no case less than twenty-four (24) hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or City shall require to ascertain compliance with this clause.

Contracting Party and its subconsultants shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contracting Party shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under this Agreement.

The Contracting Party, with regard to the work performed under this Agreement, shall act in accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. §2000d et seq.). Title VI provides that the recipients of federal assistance will implement and maintain a policy of nondiscrimination in which no person in the United States shall, on the basis of race, color, national origin, religion, sex, age, disability, be excluded from participation in,

denied the benefits of or subject to discrimination under any program or activity by the recipients of federal assistance or their assignees and successors in interest.

The Contracting Party shall comply with regulations relative to non-discrimination in federally-assisted programs of the U.S. Department of Transportation (49 CFR Part 21 - Effectuation of Title VI of the Civil Rights Act of 1964). Specifically, the Contracting Party shall not participate either directly or indirectly in the discrimination prohibited by 49 CFR §21.5, including employment practices and the selection and retention of Subconsultants.

The Contracting Party, subrecipient or subconsultant will never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by 49 CFR 26 on the basis of race, color, sex, or national origin. In administering the City components of the DBE Program Plan, Contracting Party, subrecipient or subconsultant will not, directly, or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE Program Plan with respect to individuals of a particular race, color, sex, or national origin.

Title VI Assurances – Appendix A

During the performance of this Agreement, the contractor, for itself, its assignees and successors in interest (hereinafter collectively referred to as Consultant) agrees as follows:

- a. Compliance with Regulations: Consultant shall comply with the regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation, Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this agreement.
- b. Nondiscrimination: Consultant, with regard to the work performed by it during the agreement, shall not discriminate on the grounds of race, color, sex, national origin, religion, age, or disability in the selection and retention of sub-applicants, including procurements of materials and leases of equipment. Consultant shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, including employment practices when the agreement covers a program set forth in Appendix B of the Regulations.
- c. Solicitations for Sub-agreements, Including Procurements of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by Consultant for work to be performed under a Sub- agreement, including procurements of materials or leases of equipment, each potential sub-applicant or supplier shall be notified by Consultant of the Consultant's obligations under this Agreement and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.

- d. Information and Reports: Consultant shall provide all information and reports required by the Regulations, or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the recipient or FHWA to be pertinent to ascertain compliance with such Regulations or directives. Where any information required of Consultant is in the exclusive possession of another who fails or refuses to furnish this information, Consultant shall so certify to the recipient or FHWA as appropriate, and shall set forth what efforts Consultant has made to obtain the information.
- e. Sanctions for Noncompliance: In the event of Consultant's noncompliance with the nondiscrimination provisions of this agreement, the recipient shall impose such agreement sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - i. withholding of payments to Consultant under the Agreement within a reasonable period of time, not to exceed 90 days; and/or
 - ii. cancellation, termination, or suspension of the Agreement, in whole or in part.
- f. Incorporation of Provisions: Consultant shall include the provisions of paragraphs (1) through (6) in every sub-agreement, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto.

Consultant shall take such action with respect to any sub-agreement or procurement as the recipient or FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance, provided, however, that, in the event Consultant becomes involved in, or is threatened with, litigation with a sub-applicant or supplier as a result of such direction, Consultant may request the recipient enter into such litigation to protect the interests of the State, and, in addition, Consultant may request the United States to enter into such litigation to protect the interests of the United States.

Title VI Assurances – Appendix E

During the performance of this contract, the Contracting Party, for itself, its assignees, and successors in interest agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

Pertinent Nondiscrimination Authorities:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or

whose property has been acquired because of Federal or Federal-aid programs and projects);

- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 U.S.C. § 4 71, Section 4 7123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38; Page 2 of 2
- The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

10. AUDIT REVIEW PROCEDURES.

10.1 Audit Disputes. Any dispute concerning a question of fact arising under an interim or post audit of this Agreement that is not disposed of by agreement provided for in Section 8.0, shall be reviewed by City's Chief Financial Officer. Not later than thirty (30) calendar days after issuance of the final audit report, Contracting Party may request a review by City's Chief Financial Officer of unresolved audit issues. The request for review will be submitted in writing. Neither the pendency of a dispute nor its consideration by City will excuse Contracting Party from full and timely performance, in accordance with the terms of this Agreement.

10.2 Audit Review Procedures. Contracting Party and subconsultant Agreements, including Schedule of Compensation, cost proposals and Indirect Cost Rates (ICR), may be subject to audits or reviews such as, but not limited to, an Agreement audit, an incurred cost audit, an ICR Audit, or a CPA ICR audit work paper review. If selected for audit or review, the Agreement, cost proposal and ICR and related work papers, if applicable, will be reviewed to verify compliance with 48 CFR Part 31 and other related laws and regulations. In the instances of a CPA ICR audit work paper review it is Contracting Party's responsibility to ensure federal, City, or local government officials are allowed full access to the CPA's work papers including making copies as necessary. The Agreement, cost proposal, and ICR shall be adjusted by Contracting Party and approved by City Contract Officer to conform to the audit or review recommendations. Contracting Party agrees that individual terms of costs identified in the audit report shall be incorporated into the Agreement by this reference if directed by City at its sole discretion. Refusal by Contracting Party to incorporate audit or review recommendations, or to ensure that the federal, City or local governments have access to CPA work papers, will be considered a breach of Agreement terms and cause for termination under Section 8.0 of the Agreement and disallowance of prior reimbursed costs.

10.3 Caltrans Audits and Investigations Work Paper Review. Contracting Party's Schedule of Compensation may be subject to a CPA ICR Audit Work Paper Review and/or audit by Independent Office of Audits and Investigation (IOAI). IOAI, at its sole discretion, may review and/or audit and approve the CPA ICR documentation. The Schedule of Compensation shall be adjusted by the Contracting Party and approved by the City Contract Officer to conform to the Work Paper Review recommendations included in the management letter or audit recommendations included in the audit report. Refusal by the Contracting Party to incorporate the Work Paper Review recommendations included in the management letter or audit recommendations included in the audit report will be considered a breach of the Agreement terms and cause for termination under Section 8.0 of the Agreement and disallowance of prior reimbursed costs.

During IOAI's review of the ICR audit work papers created by the Contracting Party's independent CPA, IOAI will work with the CPA and/or Contracting Party toward a

resolution of issues that arise during the review. Each party agrees to use its best efforts to resolve any audit disputes in a timely manner. If IOAI identifies significant issues during the review and is unable to issue a cognizant approval letter, City will reimburse the Contracting Party at an accepted ICR until a FAR (Federal Acquisition Regulation) compliant ICR {e.g. 48 CFR Part 31; GAGAS (Generally Accepted Auditing Standards); CAS (Cost Accounting Standards), if applicable; in accordance with procedures and guidelines of the American Association of State Highways and Transportation Officials (AASHTO) Audit Guide; and other applicable procedures and guidelines} is received and approved by IOAI.

Accepted rates will be as follows:

- A. If the proposed rate is less than one hundred fifty percent (150%) - the accepted rate reimbursed will be ninety percent (90%) of the proposed rate.
- B. If the proposed rate is between one hundred fifty percent (150%) and two hundred percent (200%) - the accepted rate will be eighty-five percent (85%) of the proposed rate.
- C. If the proposed rate is greater than two hundred percent (200%) - the accepted rate will be seventy-five percent (75%) of the proposed rate.

If IOAI is unable to issue a cognizant letter per this Section 10.3, IOAI may require Contracting Party to submit a revised independent CPA-audited ICR and audit report within three (3) months of the effective date of the management letter. IOAI will then have up to six (6) months to review the Contracting Party's and/or the independent CPA's revisions.

If the Contracting Party fails to comply with the provisions of this Section 10.3, or if IOAI is still unable to issue a cognizant approval letter after the revised independent CPA audited ICR is submitted, overhead cost reimbursement will be limited to the accepted ICR that was established upon initial rejection of the ICR and set forth this Section 10.3 for all rendered services. In this event, this accepted ICR will become the actual and final ICR for reimbursement purposes under this Agreement.

Contracting Party may submit to City final invoice only when all of the following items have occurred: (1) IOAI accepts or adjusts the original or revised independent CPA audited ICR; (2) all work under this Agreement has been completed to the satisfaction of City; and, (3) IOAI has issued its final ICR review letter. The Contracting Party must submit its final invoice to City no later than sixty (60) calendar days after occurrence of the last of these items. The accepted ICR will apply to this Agreement and all other agreements executed between City and the Contracting Party, either as a prime or subconsultant, with the same fiscal period ICR.

11. STATE PREVAILING WAGE RATES

11.1 State Prevailing Wage Rates. No Consultant or Subconsultant may be awarded an Agreement containing public work elements unless registered with the

Department of Industrial Relations (DIR) pursuant to Labor Code §1725.5. Registration with DIR must be maintained throughout the entire term of this Agreement, including any subsequent amendments. The Contracting Party shall comply with all of the applicable provisions of the California Labor Code requiring the payment of prevailing wages. The General Prevailing Wage Rate Determinations applicable to work under this Agreement are available and on file with the Department of Transportation's Regional/District Labor Compliance Officer (<https://dot.ca.gov/programs/construction/labor-compliance>). These wage rates are made a specific part of this Agreement by reference pursuant to Labor Code §1773.2 and will be applicable to work performed at a construction project site. Prevailing wages will be applicable to all inspection work performed at City construction sites, at City facilities and at off-site locations that are set up by the construction contractor or one of its subcontractors solely and specifically to serve City projects. Prevailing wage requirements do not apply to inspection work performed at the facilities of vendors and commercial materials suppliers that provide goods and services to the general public. General Prevailing Wage Rate Determinations applicable to this project may also be obtained from the Department of Industrial Relations Internet site at <http://www.dir.ca.gov>.

11.2 Payroll Records. Contracting Party and each Subconsultant shall keep accurate certified payroll records and supporting documents as mandated by Labor Code §1776 and as defined in 8 CCR §16000 showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by the Contracting Party or Subconsultant in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:

- A. The information contained in the payroll record is true and correct.
- B. The employer has complied with the requirements of Labor Code §1771, §1811, and §1815 for any work performed by his or her employees on the public works project.

The payroll records enumerated under this Section 11.2 shall be certified as correct by the Contracting Party under penalty of perjury. The payroll records and all supporting documents shall be made available for inspection and copying by City representative's at all reasonable hours at the principal office of the Contracting Party. The Contracting Party shall provide copies of certified payrolls or permit inspection of its records as follows:

- A. A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or the employee's authorized representative on request.
- B. A certified copy of all payroll records enumerated in this Section 11.2 shall be made available for inspection or furnished upon request to a representative of City, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards of the Department of Industrial Relations. Certified payrolls submitted to City, the Division of Labor Standards Enforcement and

the Division of Apprenticeship Standards shall not be altered or obliterated by the Contracting Party.

- C. The public shall not be given access to certified payroll records by the Contracting Party. The Contracting Party is required to forward any requests for certified payrolls to the City Contract Officer by both email and regular mail on the business day following receipt of the request.

Contracting Party and all subconsultants shall submit a certified copy of the records enumerated in this Section 11.2, to the entity that requested the records within ten (10) calendar days after receipt of a written request.

Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by City shall be marked or obliterated in such a manner as to prevent disclosure of each individual's name, address, and social security number. The name and address of the Contracting Party or Subconsultant performing the work shall not be marked or obliterated.

The Contracting Party shall inform City of the location of the records enumerated under this Section 11.2, including the street address, city and county, and shall, within five (5) working days, provide a notice of a change of location and address.

The Contracting Party or Subconsultant shall have ten (10) calendar days in which to comply subsequent to receipt of written notice requesting the records enumerated in this Section 11.2. In the event the Contracting Party or Subconsultant fails to comply within the ten (10) day period, he or she shall, as a penalty to City, forfeit one hundred dollars (\$100) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Such penalties shall be withheld by City from payments then due. Contracting Party is not subject to a penalty assessment pursuant to this section due to the failure of a Subconsultant to comply with this section.

11.3 Responsibility. When prevailing wage rates apply, the Contracting Party is responsible for verifying compliance with certified payroll requirements. Invoice payment will not be made until the invoice is approved by the City Contract Officer.

11.4 Penalty. The Contracting Party and any of its Subconsultants shall comply with Labor Code §1774 and §1775. Pursuant to Labor Code §1775, the Contracting Party and any Subconsultant shall forfeit to the City a penalty of not more than two hundred dollars (\$200) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates as determined by the Director of DIR for the work or craft in which the worker is employed for any public work done under the Agreement by the Contracting Party or by its Subconsultant in violation of the requirements of the Labor Code and in particular, Labor Code §§1770 to 1780, inclusive.

The amount of this forfeiture shall be determined by the Labor Commissioner and shall be based on consideration of mistake, inadvertence, or neglect of the Contracting Party or Subconsultant in failing to pay the correct rate of prevailing wages, or the previous record of the Contracting Party or Subconsultant in meeting their respective prevailing

wage obligations, or the willful failure by the Contracting Party or Subconsultant to pay the correct rates of prevailing wages. A mistake, inadvertence, or neglect in failing to pay the correct rates of prevailing wages is not excusable if the Contracting Party or Subconsultant had knowledge of the obligations under the Labor Code. The Contracting Party is responsible for paying the appropriate rate, including any escalations that take place during the term of the Agreement.

In addition to the penalty and pursuant to Labor Code §1775, the difference between the prevailing wage rates and the amount paid to each worker for each calendar day or portion thereof for which each worker was paid less than the prevailing wage rate shall be paid to each worker by the Contracting Party or Subconsultant.

If a worker employed by a Subconsultant on a public works project is not paid the general prevailing per diem wages by the Subconsultant, the Contracting Party is not liable for the penalties described above unless the Contracting Party had knowledge of that failure of the Subconsultant to pay the specified prevailing rate of wages to those workers or unless the Contracting Party fails to comply with all of the following requirements:

- A. The Agreement executed between the Contracting Party and the Subconsultant for the performance of work on public works projects shall include a copy of the requirements in Labor Code §§ 1771, 1775, 1776, 1777.5, 1813, and 1815.
- B. The Contracting Party shall monitor the payment of the specified general prevailing rate of per diem wages by the Subconsultant to the employees by periodic review of the certified payroll records of the Subconsultant.
- C. Upon becoming aware of the Subconsultant's failure to pay the specified prevailing rate of wages to the Subconsultant's workers, the Contracting Party shall diligently take corrective action to halt or rectify the failure, including but not limited to, retaining sufficient funds due the Subconsultant for work performed on the public works project.
- D. Prior to making final payment to the Subconsultant for work performed on the public works project, the Contracting Party shall obtain an affidavit signed under penalty of perjury from the Subconsultant that the Subconsultant had paid the specified general prevailing rate of per diem wages to the Subconsultant's employees on the public works project and any amounts due pursuant to Labor Code §1813.

Pursuant to Labor Code §1775, City shall notify the Contracting Party on a public works project within fifteen (15) calendar days of receipt of a complaint that a Subconsultant has failed to pay workers the general prevailing rate of per diem wages.

If City determines that employees of a Subconsultant were not paid the general prevailing rate of per diem wages and if City did not retain sufficient money under the Agreement to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the Contracting Party shall withhold an amount of moneys due the

Subconsultant sufficient to pay those employees the general prevailing rate of per diem wages if requested by City.

11.5 Hours of Labor. Eight (8) hours labor constitutes a legal day's work. The Contracting Party shall forfeit, as a penalty to the City, twenty-five dollars (\$25) for each worker employed in the execution of the Agreement by the Contracting Party or any of its Subconsultants for each calendar day during which such worker is required or permitted to work more than eight (8) hours in any one calendar day and forty (40) hours in any one calendar week in violation of the provisions of the Labor Code, and in particular §§1810 to 1815 thereof, inclusive, except that work performed by employees in excess of eight (8) hours per day, and forty (40) hours during any one week, shall be permitted upon compensation for all hours worked in excess of eight (8) hours per day and forty (40) hours in any week, at not less than one and one-half (1.5) times the basic rate of pay, as provided in §1815.

11.6 Employment of Apprentices. Where either the prime Agreement or the sub-agreement exceeds thirty thousand dollars (\$30,000), the Contracting Party and any subconsultants under him or her shall comply with all applicable requirements of Labor Code §§ 1777.5, 1777.6 and 1777.7 in the employment of apprentices.

The Contracting Party and its subconsultants are required to comply with all Labor Code requirements regarding the employment of apprentices, including mandatory ratios of journey level to apprentice workers. Prior to commencement of work, Contracting Party and its subconsultants are advised to contact the DIR Division of Apprenticeship Standards website at <https://www.dir.ca.gov/das/>, for additional information regarding the employment of apprentices and for the specific journey-to- apprentice ratios for the Agreement work. The Contracting Party is responsible for all subconsultants' compliance with these requirements. Penalties are specified in Labor Code §1777.7.

12. DISADVANTAGED BUSINESS ENTERPRISES (DBE) PARTICIPATION.

The Contracting Party, subrecipient (City), or subconsultant shall take necessary and reasonable steps to ensure that DBE's have opportunities to participate in the Agreement (49 CFR 26). To ensure equal participation of DBE's provided in 49 CFR 26.5, The City shows a contract goal for DBE's. Contracting Party shall make work available to DBEs and select work parts consistent with available DBE subconsultants and suppliers.

The Contracting Party shall meet the DBE goal shown elsewhere in these special provisions or demonstrate that they made adequate good faith efforts to meet this goal. It is Contracting Party's responsibility to verify at date of proposal opening that the DBE firm is certified as a DBE by using the California Unified Certification Program (CUCP) database and possesses the most specific available North American Industry Classification System (NAICS) codes or work code applicable to the type of work the firm will perform on the contract. Additionally, the Contracting Party is responsible to document the verification record by printing out the California Unified Certification Program (CUCP) data for each DBE firm. A list of DBEs certified by the CUCP can be found at <https://dot.ca.gov/programs/civil-rights/dbe-search>.

All DBE participation will count toward the California Department of Transportation's federally mandated statewide overall DBE goal. Credit for materials or supplies the Contracting Party purchases from DBE's counts toward the goal in the following manner:

- 100 percent counts if the materials or supplies are obtained from a DBE manufacturer.
- 60 percent counts if the materials or supplies are purchased from a DBE regular dealer.
- Only fees, commissions, and charges for assistance in the procurement and delivery of materials or supplies count if obtained from a DBE that is neither a manufacturer nor regular dealer. 49 CFR 26.55 defines "manufacturer" and regular dealer."

This Agreement is subject to 49 CFR Part 26 entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs". Consultants who enter into a federally-funded agreement will assist the City in a good faith effort to achieve California's statewide overall DBE goal.

12.1 DBE Goal. The **Goal for DBE participation for this Agreement is 21%**. Participation by DBE Contracting Party or subconsultants shall be in accordance with information contained in Exhibit 10-O2: Consultant Contract DBE Commitment attached hereto and incorporated as part of the Agreement. If a DBE subconsultant is unable to perform, the Contracting Party must make a good faith effort to replace him/her with another DBE subconsultant, if the goal is not otherwise met.

12.2 Meeting the Goal. The Contracting Party can meet the DBE participation goal by either documenting commitments to DBEs to meet the Agreement goal, or by documenting adequate good faith efforts to meet the Agreement goal. An adequate good faith effort means that the Contracting Party must show that it took all necessary and reasonable steps to achieve a DBE goal that, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to meet the DBE goal. If the Contracting Party has not met the DBE goal, complete and submit Exhibit 15-H: DBE Information – Good Faith Efforts to document efforts to meet the goal. Refer to 49 CFR Part 26 for guidance regarding evaluation of good faith efforts to meet the DBE goal.

12.3 Contract Assurance. Under 49 DBR 26.13(b):

The Contracting Party, subrecipient or subconsultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Contracting Party shall carry out applicable requirements of 49 CFR 26 in the award and administration of federal-aid contracts.

Failure by the Contracting Party to carry out these requirements is a material breach of this contract, which may result in the termination of this contract under Section 8.0 or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated Damages; and/or
- (4) Disqualifying the Contracting Party from future proposing as non-responsible.

12.4 Termination and Replacement of DBE Subconsultants. The Contracting Party shall utilize the specific DBEs listed to perform the work and supply the materials for which each is listed unless the Contracting Party or DBE subconsultant obtains the City's written consent. The Contracting Party shall not terminate or replace a listed DBE for convenience and perform the work with their own forces or obtain materials from other sources without authorization from the City. Unless the City's consent is provided, the Contracting party shall not be entitled to any payment for work or material unless it is performed or supplied by the listed DBE on the Exhibit 10-02 Consultant Contract DBE Commitment form, included in the bid.

Termination of DBE Subconsultants

After execution of the Agreement, termination of a DBE may be allowed for the following, but not limited to, justifiable reasons with prior written authorization from the City:

1. Listed DBE fails or refuses to execute a written contract based on plans and specifications for the project.
2. The City stipulated that a bond is a condition of executing the subcontract and the listed DBE fails to meet the City's bond requirements.
3. Work requires a consultant's license and listed DBE does not have a valid license under Contractors License Law.
4. Listed DBE fails or refuses to perform the work or furnish the listed materials (failing or refusing to perform is not an allowable reason to remove a DBE if the failure or refusal is a result of bad faith or discrimination).
5. Listed DBE's work is unsatisfactory and not in compliance with the contract.
6. Listed DBE is ineligible to work on the project because of suspension or debarment.
7. Listed DBE becomes bankrupt or insolvent or exhibits credit unworthiness.
8. Listed DBE voluntarily withdraws with written notice from the Contract.
9. Listed DBE is ineligible to receive credit for the type of work required.
10. Listed DBE owner dies or becomes disabled resulting in the inability to perform the work on the Contract.

11. The City determines other documented good cause.

Contracting Party must use the following procedures to request the termination of a DBE or portion of a DBE's work:

1. Send a written notice to the DBE of the Contracting Party's intent to use other forces or material sources and include one or more justifiable reasons listed above. Simultaneously send a copy of this written notice to the City. The written notice to the DBE must request they provide any response within five (5) business days to both the Contracting Party and the City by either acknowledging their agreement or documenting their reasoning as to why the use of other forces or sources of materials should not occur.
2. If the DBE does not respond within five (5) business days, Contracting Party may move forward with the request as if the DBE had agreed to Contracting Party's written notice.
3. Submit Contracting Party's DBE termination request by written letter to the City and include:
 - One or more above listed justifiable reasons along with supporting documentation.
 - Contracting Party's written notice to the DBE regarding the request, including proof of transmission and tracking documentation of Consultant's written notice.
 - The DBE's response to Contracting Party's written notice, if received. If a written response was not provided, provide a statement to that effect.

The City shall respond in writing to Contracting Party's DBE Termination request within five (5) business days.

Replacement of DBE Subconsultant

After receiving the City's written authorization of DBE termination request, Contracting Party must obtain the City's written agreement for DBE replacement. Contracting Party must find or demonstrate GFEs to find qualified DBE replacement firms to perform the work to the extent needed to meet the DBE commitment.

The following procedures shall be followed to request authorization to replace a DBE Firm:

1. Submit a request to replace a DBE with other forces or material sources in writing to the City which must include:
 - a. Description of remaining uncommitted work item made available for replacement DBE solicitation and participation.

- b. The proposed DBE replacement firm's business information, the work they have agreed to perform, and the following:
 - Description of scope of work and cost proposal
 - Proposed subcontract agreement and written confirmation of agreement to perform on the Contract
 - Revised Exhibit 10-O2: Consultant Contract DBE Commitment
2. If Contracting Party has not identified a DBE replacement firm, submits documentation of Contracting Party's GFE's to use DBE replacement firms within seven (7) days of City's authorization to terminate the DBE. Contracting Party may request the City's approval to extend this submittal period to a total of 14 days. Submit documentation of actions taken to find a DBE replacement firm, such as:
 - Search results of certified DBEs available to perform the original DBE work identified and or other work Contracting Party had intended to self-perform, to the extent needed to meet DBE commitment
 - Solicitations of DBEs for performance of work identified
 - Correspondence with interested DBEs that may have included contract details and requirements
 - Negotiation efforts with DBEs that reflect why an agreement was not reached
 - If a DBE's quote was rejected, provide reasoning for the rejection, such as why the DBE was unqualified for the work, or why the price quote was unreasonable or excessive
 - Copies of each DBE's and non-DBE's price quotes for work identified, as the City may contact the firms to verify solicitation efforts and determine if the DBE quotes are substantially higher
 - Additional documentation that supports Contracting Party's GFE

The City shall respond in writing to Contracting Party's DBE replacement request within five (5) business days.

12.5 Commitment and Utilization. The City's DBE program must include a monitoring and enforcement mechanism to ensure that DBE commitments reconcile to the DBE utilization.

The City shall request the Contracting Party to:

1. Notify the City's contract officer or designated representative of any changes to its anticipated DBE participation

2. Provide this notification before starting the affected work
3. Maintain records including:
 - Name and business address of each 1st tier subconsultant
 - Name and business address of each DBE subconsultant, DBE vendor, and DBE trucking company, regardless of tier
 - Date of payment and total amount paid to each business (*see Exhibit 9-F Monthly Disadvantaged Business Enterprise Payment*)

If the Contracting Party is a DBE Consultant, they shall include the date of work performed by their own forces and the corresponding value of the work.

If a DBE is decertified before completing its work, the DBE must notify the Contracting Party in writing of the decertification date. If a business becomes a certified DBE before completing its work, the business must notify the Contracting Party in writing of the certification date. The Contracting Party shall submit the notifications to the City. On work completion, the Contracting Party shall complete a Disadvantaged Business Enterprises (DBE) Certification Status Change, Exhibit 17-O, form and submit the form to the City within 30 days of contract acceptance.

Upon work completion, the Contracting Party shall complete Exhibit 17-F Final Report – Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors and submit it to the City within 90 days of contract acceptance. The City will withhold \$10,000 until the form is submitted. The City will release the withhold upon submission of the completed form.

In the City's reports of DBE participation to Caltrans, the City must display both commitments and attainments.

12.6 Commercially Useful Function. DBE's must perform a commercially useful function (CUF) under 49 CFR 26.55 when performing work or supplying materials listed on the DBE Commitment form. The DBE value of work will only count toward the DBE commitment if the DBE performs a CUF. A DBE performs a CUF when it is responsible for execution of the work of the Agreement and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a CUF, the DBE must also be responsible, with respect to materials and supplies used on the Agreement, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable), and paying for the material itself.

Contracting Party must perform CUF evaluation for each DBE working on a federal-aid contract, with or without a DBE goal. Contracting Party shall perform a CUF evaluation at the beginning of DBE's work and continue to monitor the performance of CUF for the duration of the project.

Contracting Party must provide written notification to the City at least 15 days in advance of each DBE's initial performance of work or supplying materials for the Contract. The notification must include the DBE's name, work the DBE will perform on the contract, and the location, date, and time of where their work will take place.

Within 10 days of a DBE initially performing work or supplying materials on the Contract, Contracting Party shall submit to the City the initial evaluation and validation of DBE performance of a CUF using the LAPM 9-J: Disadvantaged Business Enterprise Commercially Useful Function Evaluation. Include the following information with the submittal:

- Subcontract agreement with the DBE
- Purchase orders
- Bills of lading
- Invoices
- Proof of payment

Contracting Party must monitor all DBE's performance of CUF by conducting quarterly evaluations and validations throughout their duration of work on the Agreement using the LAPM 9-J: DBE Commercially Useful Function Evaluation. Contracting Party must submit to the City these quarterly evaluations and validations by the 5th of the month for the previous three months of work.

Contracting Party must notify the City immediately if they believe the DBE may not be performing a CUF.

The City will verify DBEs performance of CUF by reviewing the initial and quarterly submissions of LAPM 9-J: DBE Commercially Useful Function Evaluation, submitted supporting information, field observations, and through any additional City evaluations. The City must evaluate DBEs and their CUF performance throughout the duration of a Contract. The City will provide written notice to the Contracting Party and the DBE at least two (2) business days prior to any evaluation. The Contracting Party and the DBE must participate in the evaluation. Upon completing the evaluation, the City must share the evaluation results with the Contracting Party and the DBE. An evaluation could include items that must be remedied upon receipt. If the City determines the DBE is not performing a CUF, the Contracting Party must suspend performance of the noncompliant work.

Contracting Party and DBEs must submit additional CUF related records and documents within five (5) business days of City's request such as:

- Proof of ownership or lease and rental agreements for equipment
- Tax records
- Employee rosters
- Certified payroll records
- Inventory rosters

Failure to submit required DBE Commercially Useful Function Evaluation forms or requested records and documents can result in withholding of payment for the value of work completed by the DBE.

If Contracting Party and/or the City determine that a listed DBE is not performing a CUF in performance of their DBE committed work, Contracting Party must immediately suspend performance of the noncompliant portion of the work. City may deny payment for the noncompliant portion of the work. City will ask Contracting Party to submit a corrective action plan (CAP) to the City within five (5) days of the noncompliant CUF determination. The CAP must identify how the Contracting Party will correct the noncompliance findings for the remaining portion of the DBE's work. City has five (5) days to review the CAP in conjunction with the Contracting Party's review. The Contracting Party must implement the CAP within five (5) days of the City's approval. The City will then authorize the prior noncompliant portion of work for the DBE's committed work.

If corrective actions cannot be accomplished to ensure the DBE performs a commercially useful function on the Contract, Contracting Party may have good cause to request termination of the DBE.

12.7 A DBE does not perform a CUF if its role is limited to that of an extra participant in a transaction, Agreement, or project through which funds are passed in order to obtain the appearance of DBE participation. In determining whether a DBE is such an extra participant, examine similar transactions, particularly those in which DBEs do not participate.

12.8 If a DBE does not perform or exercise responsibility for at least thirty percent (30%) of the total cost of its Agreement with its own work force, or the DBE subcontracts a greater portion of the work of the Agreement than would be expected on the basis of normal industry practice for the type of work involved, it will be presumed that it is not performing a CUF.

12.9 Records. The Contracting Party shall maintain records of materials purchased or supplied from all subcontracts entered into with certified DBEs. The records shall show the name and business address of each DBE or vendor and the total dollar amount actually paid each DBE or vendor, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all firms. DBE Contracting Party's shall also show the date of work performed by their own forces along with the corresponding dollar value of the work.

12.10 Decertification of DBE. If a DBE subconsultant is decertified during the life of the Agreement, the decertified subconsultant shall notify the Contracting Party in writing with the date of decertification. If a subconsultant becomes a certified DBE during the life of the Agreement, the subconsultant shall notify the Contracting Party in writing with the date of certification. Any changes should be reported to City's Contract Officer within thirty (30) calendar days.

12.11 Progress Payments. For projects awarded after March 1, 2020, but before September 1, 2023: No later than the 10th of the month following the month of any payment(s), the Contracting Party must submit an invoice for payment along with Exhibit 9-F: Monthly Disadvantaged Business Enterprise (DBE) Payment to the Caltrans Business Support Unit at Business.Support.Unit@dot.ca.gov. Provide a copy to the City.

For projects awarded on or after September 1, 2023: Exhibit 9-F is no longer required. Instead, by the 15th of the month following the month of any payment(s), the Contracting Party must now submit Exhibit 9-P to the City. If the Contracting Party does not make any payments to subconsultants, supplier(s), and/or manufacturers Contracting Party must report “no payments were made to subs this month” and write this visibly and legibly on Exhibit 9-P.

12.12 Subcontracting. Any subcontract entered into as a result of this agreement shall contain all of the provisions of this section.

13. MISCELLANEOUS PROVISIONS.

13.1 Notice. Any notice, demand, request, consent, approval, or communication either party desires or is required to give the other party or any other person shall be in writing and either served personally or sent by prepaid, first-class mail to the address set forth below. Either party may change its address by notifying the other party of the change of address in writing. Notice shall be deemed communicated forty-eight (48) hours from the time of mailing if mailed as provided in this Section.

To City:

CITY OF LA QUINTA
Attention: Bryan McKinney, PE
78495 Calle Tampico
La Quinta, California 92253

To Contracting Party:

MARK THOMAS
Paul Mittica, PE
4200 Concours Street, Suite 330
Ontario, CA 91764

13.2 Contracting Party’s Reports or Meetings. The Contracting Party shall submit progress reports at least once a month. The report should be sufficiently detailed for the City’ Contract Officer to determine, if the Contracting Party is performing to expectations, or is on schedule; to provide communication of interim findings, and to sufficiently address any difficulties or special problems encountered, so remedies can be developed.

13.3 Interpretation. The terms of this Agreement shall be construed in accordance with the meaning of the language used and shall not be construed for or against either party by reason of the authorship of this Agreement or any other rule of construction which might otherwise apply.

13.4 Section Headings and Subheadings. The section headings and subheadings contained in this Agreement are included for convenience only and shall not limit or otherwise affect the terms of this Agreement.

13.5 Cost Principals. The Contracting Party agrees that 48 CFR Part 31, Contract Cost Principles and Procedures, shall be used to determine the allowability of individual terms of cost. The Contracting Party also agrees to comply with Federal procedures in accordance with 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Any costs for which payment has been made to the Contracting Party that are determined by subsequent audit to be unallowable under 48 CFR Part 31 or 2 CFR Part 200 are subject to repayment by the Contracting Party to City. When Contracting Party or Subconsultant is a Non-Profit Organization or an Institution of Higher Education, the Cost Principles for Title 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards shall apply.

13.6 Subcontracting. Nothing contained in this Agreement or otherwise, shall create any contractual relation between the City and any Subconsultants, and no sub agreement shall relieve the Contracting Party of its responsibilities and obligations hereunder. The Contracting Party agrees to be as fully responsible to the City for the acts and omissions of its Subconsultants and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contracting Party. The Contracting Party's obligation to pay its Subconsultants is an independent obligation from the City's obligation to make payments to the Contracting Party. The Contracting Party shall perform the work contemplated with resources available within its own organization and no portion of the work shall be subcontracted without written authorization by the City Contract Officer, except that which is expressly identified in the Schedule of Compensation. Any sub-agreement entered into as a result of this Agreement, shall contain all the provisions stipulated in this entire Agreement to be applicable to Subconsultants unless otherwise noted. Contracting Party shall pay its Subconsultants within Fifteen (15) calendar days from receipt of each payment made to the Contracting Party by the City. Any substitution of Subconsultants must be approved in writing by the City Contract Officer in advance of assigning work to a substitute Subconsultant.

13.7 Prompt Progress Payment. The Contracting Party or subconsultant shall pay to any subconsultant, no later than fifteen (15) days after receipt of each progress payment, unless otherwise agreed to in writing, the respective amounts allowed the Contracting Party on account of the work performed by the subconsultants, to the extent of each subconsultant's interest therein. In the event that there is a good faith dispute over all or any portion of the amount due on a progress payment from the Contracting Party or subconsultant to a subconsultant, the Contracting Party or subconsultant may withhold no more than 150 percent of the disputed amount. Any violation of this requirement shall constitute a cause for disciplinary action and shall subject the licensee to a penalty, payable to the subconsultant, of 2 percent of the amount due per month for every month that payment is not made. In any action for the collection of funds wrongfully withheld, the prevailing party shall be entitled to his or her attorney's fees and costs. The sanctions authorized under this requirement shall be separate from, and in addition to, all other remedies, either civil, administrative, or criminal. This clause applies to both DBE and non-DBE subconsultants.

13.8 Prompt Payment of Withheld Funds to Subconsultants. No retainage will be held by the City from progress payments due to the Contracting Party. The Contracting Party and subconsultants are prohibited from holding retainage from subconsultants. Any delay or postponement of payment may take place only for good cause and with the City's prior written approval. Any violation of these provisions shall subject the violating Contracting Party or subconsultant to the penalties, sanctions, and other remedies specified in Section 3321 of the California Civil Code. This requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the Contracting Party or subconsultant in the event of a dispute involving late payment or nonpayment by the Contracting Party, deficient subconsultant performance and/or noncompliance by a subconsultant. This clause applies to both DBE and non-DBE subconsultants.

13.9 Equipment Purchase. Prior authorization in writing by City Contract Officer shall be required before Contracting Party enters into any unbudgeted purchase order, or subcontract exceeding five thousand dollars (\$5,000) for supplies, equipment, or services. Contracting Party shall provide an evaluation of the necessity or desirability of incurring such costs. For purchase of any item, service, or consulting work not covered in Schedule of Compensation and exceeding five thousand dollars (\$5,000), with prior authorization by City Contract Officer, three competitive quotations must be submitted with the request, or the absence of proposal must be adequately justified. Any equipment purchased with funds provided under the terms of this Agreement is subject to the following:

Contracting Party shall maintain an inventory of all nonexpendable property. Nonexpendable property is defined as having a useful life of at least two years and an acquisition cost of five thousand dollars (\$5,000) or more. If the purchased equipment needs replacement and is sold or traded in, City shall receive a proper refund or credit at the conclusion of the Agreement, or if the Agreement is terminated as provided for in Section 8.0, Contracting Party may either keep the equipment and credit City in an amount equal to its fair market value, or sell such equipment at the best price obtainable at a public or private sale, in accordance with established City procedures; and credit City in an amount equal to the sales price. If Contracting Party elects to keep the equipment, fair market value shall be determined at Contracting Party's expense, on the basis of a competent independent appraisal of such equipment. Appraisals shall be obtained from an appraiser mutually agreeable to by City and Contracting Party, if it is determined to sell the equipment, the terms and conditions of such sale must be approved in advance by City.

Regulation 2 CFR Part 200 requires a credit to State or Federal funds when participating equipment with a fair market value greater than five thousand dollars (\$5,000) is credited to the project.

13.10 Rebates, Kickbacks or Other Unlawful Consideration. The Contracting Party warrants that this Agreement was not obtained or secured through rebates, kickbacks or other unlawful consideration either promised or paid to any City employee. For breach or violation of this warranty, City shall have the right, in its discretion, to

terminate this Agreement without liability, to pay only for the value of the work actually performed, or to deduct from this Agreement price or otherwise recover the full amount of such rebate, kickback or other unlawful consideration.

13.11 Prohibition of Expending City, State, or Federal Funds for Lobbying. The Contracting Party certifies, to the best of his or her knowledge and belief, that no State, Federal, or City appropriated funds have been paid or will be paid, by or on behalf of the Contracting Party, to any person for influencing or attempting to influence an officer or employee of any local, State, or Federal agency, a Member of the State Legislature or United States Congress, an officer or employee of the Legislature or Congress, or any employee of a Member of the Legislature or Congress in connection with the awarding or making of this Agreement, or with the extension, continuation, renewal, amendment, or modification of this Agreement. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Agreement, the Contracting Party shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than ten thousand dollars (\$10,000) and not more than one hundred thousand dollars (\$100,000) for each such failure. The Contracting Party also agrees by signing this document that he or she shall require that the language of this certification be included in all lower tier sub-agreements, which exceed one hundred thousand dollars (\$100,000), and that all such subrecipients shall certify and disclose accordingly.

13.12 Debarment and Suspension Certification. The Contracting Party's signature affixed herein shall constitute a certification under penalty of perjury under the laws of the State of California, that the Contracting Party or any person associated therewith in the capacity of owner, partner, director, officer or manager is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency; has not been suspended, debarred, voluntarily excluded, or determined ineligible by any federal agency within the past three (3) years; does not have a proposed debarment pending; and has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years. Any exceptions to this certification must be disclosed to City. Exceptions will not necessarily result in denial of recommendation for award but will be considered in determining responsibility. Disclosures must indicate the party to whom the exceptions apply, the initiating agency, and the dates of agency action. Exceptions to the Federal Government Excluded Parties List System maintained by the U.S. General Services Administration are to be determined by FHWA.

13.13 Funding Requirements. It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of funds or

appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays that would occur if the Agreement were executed after that determination was made. This Agreement is valid and enforceable only if sufficient funds are made available to City for the purpose of this Agreement. In addition, this Agreement is subject to any additional restrictions, limitations, conditions, or any statute enacted by the Congress, State Legislature, or City governing board that may affect the provisions, terms, or funding of this Agreement in any manner. It is mutually agreed that if sufficient funds are not appropriated, this Agreement may be amended to reflect any reduction in funds. City has the option to terminate the Agreement pursuant to Section 8.0 or by mutual agreement to amend the Agreement to reflect any reduction of funds.

13.14 Contingent Fee. Contracting Party warrants, by execution of this Agreement that no person or selling agency has been employed, or retained, to solicit or secure this Agreement upon an agreement or understanding, for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees, or bona fide established commercial or selling agencies maintained by Contracting Party for the purpose of securing business. For breach or violation of this warranty, City has the right to annul this Agreement without liability; pay only for the value of the work actually performed, or in its discretion to deduct from the Agreement price or consideration, or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee.

13.15 Inspection of Work. Contracting Party and any subconsultant shall permit City, the State, and the FHWA if federal participating funds are used in this Agreement; to review and inspect the project activities and files at all reasonable times during the performance period of this Agreement.

13.16 Safety. Contracting Party shall comply with OSHA regulations applicable to Contracting Party regarding necessary safety equipment or procedures. Contracting Party shall comply with safety instructions issued by City Safety Officer and other City representatives. Contracting Party personnel shall wear hard hats and safety vests at all times while working on the construction project site. Pursuant to the authority contained in Vehicle Code §591, City has determined that such areas are within the limits of the project and are open to public traffic. Contracting Party shall comply with all of the requirements set forth in Divisions 11, 12, 13, 14, and 15 of the Vehicle Code. Contracting Party shall take all reasonably necessary precautions for safe operation of its vehicles and the protection of the traveling public from injury and damage from such vehicles. Contracting Party must have a Division of Occupational Safety and Health (CAL-OSHA) permit(s), as outlined in Labor Code §6500 and §6705, prior to the initiation of any practices, work, method, operation, or process related to the construction or excavation of trenches which are five (5) feet or deeper. Any subcontract entered into as a result of this contract shall contain all of the provisions of this Section.

13.17 Claims Filed by City's Construction Contractor. If claims are filed by City's construction contractor relating to work performed by Contracting Party's personnel, and additional information or assistance from Contracting Party's personnel is required in order to evaluate or defend against such claims; Contracting Party agrees to make its

personnel available for consultation with City's construction contract administration and legal staff and for testimony, if necessary, at depositions and at trial or arbitration proceedings. Contracting Party's personnel that City considers essential to assist in defending against construction contractor claims will be made available on reasonable notice from City. Consultation or testimony will be reimbursed at the same rates, including travel costs that are being paid for Contracting Party's personnel services under this Agreement. Services of Contracting Party's personnel in connection with City's construction contractor claims will be performed pursuant to a written contract amendment, if necessary, extending the termination date of this Agreement in order to resolve the construction claims.

13.18 National Labor Relations Board Certification. In accordance with Public Contract Code §10296, Contracting Party hereby states under penalty of perjury that no more than one final unappealable finding of contempt of court by a federal court has been issued against Contracting Party, within the immediately preceding two-year period, because of Contracting Party's failure to comply with an order of a federal court that orders Contracting Party to comply with an order of the National Labor Relations Board.

13.19 Counterparts. This Agreement may be executed in counterparts, each of which shall be deemed to be an original, and such counterparts shall constitute one and the same instrument.

13.20 Integrated Agreement. This Agreement including the exhibits hereto is the entire, complete, and exclusive expression of the understanding of the parties. It is understood that there are no oral agreements between the parties hereto affecting this Agreement and this Agreement supersedes and cancels any and all previous negotiations, arrangements, agreements, and understandings, if any, between the parties, and none shall be used to interpret this Agreement.

13.21 Amendment. No amendment to or modification of this Agreement shall be valid unless made in writing and approved by Contracting Party and by the City Council of City. The parties agree that this requirement for written modifications cannot be waived and that any attempted waiver shall be void. Contracting Party shall only commence work covered by an amendment after the amendment is executed and notification to proceed has been provided by City Contract Officer.

13.22 Severability. In the event that any one or more of the articles, phrases, sentences, clauses, paragraphs, or sections contained in this Agreement shall be declared invalid or unenforceable, such invalidity or unenforceability shall not affect any of the remaining articles, phrases, sentences, clauses, paragraphs, or sections of this Agreement which are hereby declared as severable and shall be interpreted to carry out the intent of the parties hereunder unless the invalid provision is so material that its invalidity deprives either party of the basic benefit of their bargain or renders this Agreement meaningless.

13.23 Unfair Business Practices Claims. In entering into this Agreement, Contracting Party offers and agrees to assign to City all rights, title, and interest in and to

all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. § 15) or under the Cartwright Act (Chapter 2, (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials related to this Agreement. This assignment shall be made and become effective at the time City renders final payment to Contracting Party without further acknowledgment of the parties.

13.24 No Third-Party Beneficiaries. With the exception of the specific provisions set forth in this Agreement, there are no intended third-party beneficiaries under this Agreement and no such other third parties shall have any rights or obligations hereunder.

13.25 Authority. The persons executing this Agreement on behalf of each of the parties hereto represent and warrant that (i) such party is duly organized and existing, (ii) they are duly authorized to execute and deliver this Agreement on behalf of said party, (iii) by so executing this Agreement, such party is formally bound to the provisions of this Agreement, and (iv) that entering into this Agreement does not violate any provision of any other Agreement to which said party is bound. This Agreement shall be binding upon the heirs, executors, administrators, successors, and assigns of the parties.

[SIGNATURES ON FOLLOWING PAGE]

IN WITNESS WHEREOF, the parties have executed this Agreement as of the dates stated below.

CITY OF LA QUINTA,
a California Municipal Corporation

CONTRACTING PARTY:

By: _____

Name: _____

Title: _____

JON MCMILLEN, City Manager
City of La Quinta, California

Dated: _____

ATTEST:

By: _____

Name: _____

Title: _____

MONIKA RADEVA, City Clerk
La Quinta, California

APPROVED AS TO FORM:

WILLIAM H. IHRKE, City Attorney
City of La Quinta, California

Exhibit A

[See Attached]

EXHIBIT A SCOPE OF WORK

TASK 1. PROJECT MANAGEMENT/ ADMINISTRATION (PHASE I / PHASE II & III)

TASK 1.1. PROJECT MANAGEMENT/ ADMINISTRATION (PHASE I)

Task 1.1.1. Project Management (Phase I)

Coordination and Communications: Establish and implement a project document/correspondence management and distribution system to assure that information flows between all parties of the Project as intended.

1. Communicate regularly with the City and project development team by telephone, email, written correspondence, and face-to-face meetings on a monthly basis throughout the term of the contract.
2. Maintain a project contact list with names and contact information for all project development team members.
3. Prepare, maintain, and update an Action Item Log for review during monthly Project Development Team (PDT) meetings.
4. Prepare a project chronology listing all key decisions made over the life of the project and update for review during monthly PDT meetings.
5. Prepare a Submittal/Deliverable log and update for review during PDT meetings.

Scheduling: Prepare a detailed project baseline schedule using a work breakdown structure (WBS) consistent with this Scope of Work. Update and distribute one week in advance of each PDT Meeting. The schedule will include the following information:

1. Task dependencies as predecessors and successors
2. Anticipated task durations with beginning and end dates
3. Critical path with milestones and percent completes for each task

Budgeting: Monitor the budget for design services using a work breakdown structure consistent with this Scope of Work on a monthly basis.

Project Administration:

1. Set up project accounting system consistent with the City's invoicing and tracking requirements.
2. Prepare subconsultant agreements.
3. Monitor subconsultant progress and review/approve invoices.
4. Prepare monthly progress reports and invoices in accordance with City guidelines. Monthly reports providing actual physical progress will be provided with every invoice.

DELIVERABLES

» Monthly Progress Reports and Invoice Packages

Task 1.1.2. Project Team Meetings (Phase I)

Organize, schedule, and chair meetings and conference calls as necessary to provide progress updates, coordinate between technical disciplines, and facilitate overall project communication. The engineering design and environmental team

leaders shall attend the PDT meetings as appropriate. Prepare meeting agendas and minutes within one week of the meeting for all meetings.

1. Kickoff Meeting (assume 1)
2. Virtual PDT Meetings (assume 8)
3. Virtual Meetings with Caltrans, as needed (assume 4)
4. Public Information Meetings for NEPA and CEQA compliance (assume 1)

DELIVERABLES

» Meeting notices, agendas, special exhibits, and minutes including all items listed in Task 1.1.1.

Task 1.1.3. City Council Presentations (Phase I) - OPTIONAL

Mark Thomas will develop project presentation materials for up to one (1) presentation to be given at City Council meetings. Mark Thomas, project manager and project director, will attend the presentation. Project presentation materials will include a PowerPoint presentation and exhibits compiled from existing project information.

DELIVERABLES

» City Council Presentation (assume 1)

Task 1.1.4. Quality Control and Quality Assurance (QC/QA) (Phase I)

Establish and implement a quality control process and plan to verify that all deliverables are complete and accurate, the plan shall include but not limited to, ensuring that design calculations are independently checked and that documents are checked, corrected, and backchecked for accuracy and completeness. Subconsultant report submittals will be reviewed to confirm that appropriate background information, study methodology, interpretation of data, and format and content are completed in accordance with current standards. The QC/QA Plan will be submitted for City review and approval.

DELIVERABLES

» QC/QA Plan

TASK 1.2. PROJECT MANAGEMENT/ ADMINISTRATION (PHASE II & III)

Task 1.2.1. Project Management (Phase II & III)

See Scope for Task 1.1.1.

DELIVERABLES

» Monthly Progress Reports and Invoice Packages

Task 1.2.2. Project Team Meetings (Phase II & III)

See Scope for Task 1.1.2.

1. Kickoff Meeting (assume 1)
2. PDT Meetings: Monthly Meeting (assume 12)
3. Meetings with Caltrans, as needed (assume 4)

DELIVERABLES

» Meeting notices, agendas, special exhibits, and minutes

Task 1.2.3 City Council Presentations (Phase II & III) – OPTIONAL

See Scope for Task 1.1.3.

DELIVERABLES

» Commission and/or City Council Presentations (assume 2)

TASK 2. SURVEY (PHASE I)

TASK 2.1. ENCROACHMENT PERMITS AND PERMIT(S) TO ENTER

Mark Thomas will coordinate with the City and CVWD to obtain all required encroachment permits and permit(s) needed for Phase I field activities. As directed by City, Mark Thomas shall prepare and submit all permit applications. All permit filing fees are to be paid by City. This task excludes encroachments required for the project potholing (noted in separate task), construction, and final permit rights with CVWD and/or environmental regulatory agency permits.

TASK 2.2. SURVEY RESEARCH

Mark Thomas will perform research and request records including recorded and filed maps and deeds; required to identify, locate, and layout general locations of rights-of-way, easements and property lines impacting the project. A field investigation will be performed to assess existing site conditions.

TASK 2.3. SITE RECONNAISSANCE

Mark Thomas will conduct a site reconnaissance with the City and Caltrans as required by the Caltrans Local Assistance Procedures Manual Chapter 7, including review of the affected streets, existing improvements, and surrounding areas.

TASK 2.4. RECORD DRAWING REVIEW

Obtain and review existing record drawings to become familiar with on-site infrastructure and structures.

TASK 2.5. SURVEYING

Set control and perform a topographic survey along the alignment of the new improvements. Contract with subconsultant to provide aerial topo mapping of project area plus 50-foot buffer at a scale of 1" = 40' with 1' contour interval. Provide digital color orthophotos of the project. Include in the topographic survey:

- » Provide topographic survey using City provided datum (CCS83 Zone 6 (2017.5 epoch)) from street right-of-way to right-of-way limits and to curb returns at intersecting streets along alignment and elevations at the grading conform limits at proposed right of way needs areas. A mobile LiDAR scanner is assumed to be utilized to collect design-level topography for the project extents.
- » Provide one (1)-foot contours based on City of La Quinta vertical datum (NGVD 29).
- » Provide spot elevations at critical locations along the alignment to verify vertical control, provide inverts of wet utilities as accessible from the surface (such as sewer and storm manholes and storm drain inlets), and determine curb & gutter elevations necessary to design pavement and drainage improvements.
- » Survey inverts of surface accessible storm drain utilities crossing (culverts) crossing the project area.
- » Show surface features and visible indications of existing utilities based on NAD 83 horizontal control to include curbs and gutters, sidewalks, existing structures, and other elements relevant to prepare a comprehensive topographic map suitable for roadway design and construction.
- » Provide the survey control and benchmark information to be used for construction
- » Perform a site topographic survey to the extent necessary for design and construction.
- » 1 day of traffic control to measure inverts

TASK 2.6. MONUMENTS & RIGHT OF WAY

Provide assessor level GIS data including owner names and Assessor Parcel Number (APN) for properties adjacent to the project route and georeferenced this data to project control. This effort will be based only upon publicly available information from County. Tie monuments in the area of CVWD crossing and Golf Course to resolve right-of-way and boundary lines impacted by the potential acquisition. It is possible during this task that information will be uncovered requiring the filing of a Record of Survey (ROS) under Business and Professionals Code. If this information is discovered,

Mark Thomas will discuss with the client to reach agreement on separate scope and fee before moving forward with additional work. Up to two (2) preliminary title reports will be provided by Mark Thomas.

TASK 2.7. LOCATE POTHOLE REFERENCE MARKS

Mobilize for one day to survey surface elevation and location of reference marks created by potholing effort. It is assumed no traffic control will be necessary (reference marks set out of traffic area) and that all reference sheets will be provided before mobilization.

DELIVERABLES

- » Encroachment Permit(s)
- » Permit to Enter Letters / Tracking Matrix
- » Aerial topography and imaging
- » Supplemental design survey and utility dips
- » Existing centerline and right of way boundaries along construction impact areas, as needed
- » Basis-of-bearing and benchmark information
- » Survey Base mapping including LandNET / pre-construction right of way boundary mapping
- » Two (2) Preliminary Title Reports

TASK 3 UTILITY COORDINATION (PHASE I / PHASE II)

TASK 3.1. UTILITY COORDINATION (PHASE I)

Task 3.1.1. Utility 'A' Letters – Mapping Requests (Phase I)

Mark Thomas shall perform a utility search along Avenue 50 for available as-built plans for the project area. Mark Thomas shall research records for both public and franchise utilities and shall plot the location of all existing facilities.

Mark Thomas shall include preparation of a database of utility records indicating the type of utility, owner, drawing number, and other vital information. The identified utility companies shall be sent a letter on City's letterhead requesting information regarding existing and proposed utilities.

Task 3.1.2. Existing Utility Mapping (Phase I)

Using the information obtained, Mark Thomas shall prepare a utility base map which shall be the basis of the Utility Information Sheet. Mark Thomas shall prepare a Utility Information Sheet. The names of all utilities and points of contact shall be developed. A description of the location and existing facilities for the project shall be prepared.

Task 3.1.3. Utility Prior Rights Identification (Phase I)

Mark Thomas shall coordinate with utility staff and review record research/title report to understand superior rights to preliminarily determine liability. Mark Thomas will assist the City with liability claims and potential reimbursement/agreement planning for the project.

DELIVERABLES

- » Utility 'A' Letters
- » Utility Mapping
- » Utility Prior Rights Identification

TASK 3.2. UTILITY COORDINATION (PHASE II)

Task 3.2.1. Utility Potholing (8) (Phase II)

If necessary, Mark Thomas will coordinate with City and other agencies to arrange for potholing to confirm existing underground facilities. It is assumed that no more than eight (8) pothole locations shall be needed. Mark Thomas will prepare potholing exhibits to adequately locate underground utilities, shall enter a contract with a licensed contractor for the potholing of utilities, and shall verify appropriate permits are obtained from all appropriate jurisdictions prior to the start of work.

Mark Thomas shall evaluate the potholing data and shall include the information on the utility plans in table format, with numbered or letter references to the location of the potholes.

Task 3.2.2. Utility Coordination/Conflict Mapping (Phase II)

Mark Thomas shall designate dedicated staff who shall be responsible for all coordination work related to utilities for the Project, including but not limited to relocations of existing trunk and mainline facilities, installation of new trunk and mainline facilities, relocation of existing electric and water services, and installation of new electric and water services. Mark Thomas will provide services here within this task to assist and provide backup to the City to gain approval of the right of way certification and E-76 from Caltrans Local assistance; including the utility tracking matrix, record of information, utility relocation design(s)/estimate(s), final claim letter(s), construction schedule, pertinent correspondence letters and final agreements (if needed). Utility agreements are provided within a separate scope per Task 9.2.

Mark Thomas shall coordinate with utility owners' staff with respect to all utility related matters. Mark Thomas shall provide copies of all correspondence with utility companies and other utility related information to the City. Correspondence, as described herein, shall be prepared by Mark Thomas for City signature, as appropriate, and as directed by the City.

Mark Thomas shall identify utility companies affected by the project and delineate utilities within the project's sphere of influence on the plans. Mark Thomas shall prepare preliminary plans, which shall include all existing utilities (above ground and below ground) identified by location, size, type, and owner, as appropriate. Mark Thomas shall check horizontal and vertical clearances for utilities and coordinate design with the various utility companies to address conflicts. The plans will be the basis for determining and communicating conflicts with utility owners.

Mark Thomas shall send preliminary design plans through City staff to utility companies within the project limits with requests for review and comments on the plans relevant to their respective facilities, and with requests for other project specific information.

Mark Thomas shall monitor responses to utility notices received and make recommendations for mitigating conflicts. Mark Thomas shall provide written responses to utility companies with regard to stated concerns and conduct design coordination meetings with utility companies as needed. Unresolved issues shall be brought to the attention of the City as early as practical. Utility conflict issues shall be resolved prior to the completion of the final design plans as follows:

- » Mark Thomas, through City staff, shall request and obtain a written acknowledgement of any conflicts from the respective utility owners.
- » Mark Thomas will make reasonable efforts to accommodate utility company requests for minor design changes to accommodate their facilities. Mark Thomas understands that the utility companies are generally operating within the City right-of-way but may have prior rights to that of the City in some cases.
- » Mark Thomas shall coordinate the inclusion of special provisions in the City's bid documents for adjustments and relocations of utility facilities as alternate bid items, if requested by the owning utility.

DELIVERABLES

- » Utility Potholing, up to eight (8) potholes
- » Utility 'B' Letters
- » Utility Conflict Mapping
- » Utility Coordination Matrix
- » Utility Record of Information package
- » Utility relocation design and estimate (provided by Utility Owner unless explicitly specified in this scope)
- » Utility Claim Letter (provided by utility owner) and Response (provided by Mark Thomas)
- » Utility relocation construction schedule (provided by Utility Owner unless explicitly specified in this scope)

TASK 4. GEOTECHNICAL ANALYSIS (PHASE I)

TASK 4.1. PRELIMINARY FOUNDATION REPORT

EMI will prepare a Preliminary Foundation Report (PFR) for the bridges to provide preliminary geotechnical information to assist structural designers in the Type Selection process including responding to Caltrans comments on the PFR. This PFR will be prepared using the available subsurface data and the format will be in accordance with the current Caltrans Guidelines. In addition, EMI will obtain a representative surficial soil specimen for scour study. Specimen will be collected

using a shovel at a depth of about 1 to 2 feet below the channel bottom. Sieve analysis will be performed and results will be emailed to Mark Thomas.

DELIVERABLES

» Preliminary Foundation Report

TASK 5. HYDRAULIC ANALYSIS (PHASE I)

The Mark Thomas team shall assist the City with coordinating the responsibility of the channel analysis with CVWD.

TASK 5.1. REGIONAL FLOW RATE ANALYSIS - OPTIONAL

Due to the complexity of the upstream drainage system, and the relatively recent modification by CVWD on design storm standards, the 100-Year flow rate for the La Qunita Evacuation Channel is unknown. CVWD has stated that the flow rate is believed to be between 2,000 cfs and 4,000 cfs. To determine the design flow rate more accurately, Webb Associates will perform the following tasks to establish the 100-Year flow rate of the existing La Quinta Evacuation Channel:

- » **Watershed Delineation** – WEBB will delineate the watershed tributary to the channel upstream of the proposed Avenue 50 Bridge using HUC-12 Watershed Shapefiles and FEMA LIDAR Topography for the Coachella Valley.
- » **HEC-HMS Hydrological Analysis** – WEBB will further divide the tributary watershed into smaller subareas and prepare a HEC-HMS model using CVWD Methodology. The synthetic unit hydrographs generated by the HEC-HMS Model will be input into the 2-D HEC-RAS Model.
- » **Regional Storm Drain System Research** – part of the complexity of the drainage system tributary to the proposed Avenue 50 Bridge is the existing network of underground storm drains and channels. WEBB will obtain all available As-Built plans for the drainage system to input into the hydraulic model, including drainage plans and studies from the private developments.
- » **2-D HEC-RAS Model Routing** – WEBB will prepare a 2-D HEC-RAS Model to route the inflow hydrographs through the existing drainage system to downstream of Avenue 50. This model will establish the flow rate that will be used for the hydraulic analysis of the proposed bridge.
- » **Sensitivity Analysis** – performing a sensitivity analysis is an important step in the development of models. To perform this analysis WEBB will vary the various model parameters to determine how sensitive model results are to each particular parameter. Where model results are highly sensitive on a parameter additional justification and/or refinement will be provided as to why a particular parameter was selected.
- » **Technical Memorandum** – WEBB will prepare a Technical Memorandum that documents the development and results of the hydrological and hydraulic models prepared as part of this Task.

This work will be done in direct coordination with CVWD and assumed to be accomplished with two (2) meetings, one (1) submittal with two (2) plan review cycles.

TASK 5.2. BRIDGE ALTERNATIVES HYDRAULIC ANALYSIS

Webb Associates will analyze and prepare the following to determine the hydraulic calculations and analysis required for the bridge type selection requirements and accompany the draft/final CVWD permit application with plans for approval:

- » **Preliminary Bridge Hydraulic Analysis** – WEBB will prepare a one-dimensional hydraulic analysis of existing conditions and up to three preliminary bridge alternatives using HEC-RAS and the design flow rate established in previous task.
- » **Preliminary Scour Analysis** – WEBB will prepare preliminary scour calculations for existing and proposed bridge alternatives using CVWD's methodology. Scour depths are established using a combination of General Scour using the Blench Regime and Local Scour.
- » **Prepare Preliminary Bridge Design Hydraulic/Scour Report** – WEBB will prepare a Preliminary Bridge Design Hydraulic/Scour Report to document the results of the hydraulic analysis performed as part of this task.
- » **Coordination with CVWD** – WEBB will coordinate with CVWD Staff to obtain approval of the model(s) and report.

The overall footprint and mitigation for access control and required channel improvements will be discussed and determined with CVWD's staff as part of this task.

This work will be done in direct coordination with CVWD and assumed to be accomplished with one (1) meeting, one (1) submittal with two (2) plan review cycles.

TASK 5.3. PRELIMINARY ROADWAY DRAINAGE REPORT / LOCAL DRAINAGE ANALYSIS (AVENUE 50 AND WASHINGTON STREET)

Webb Associates will prepare the Hydrology and Hydraulic Report for the City of La Quinta. The reports will include both offsite and onsite hydrologic calculations to recommend any drainage improvements needed as a result of the proposed roadway/bridge. Calculations and concise recommendations will conform to the Riverside County Hydrology and Sedimentation Manual, and City's Storm Drain Design Manual, if applicable. The onsite hydraulic computations will be based on City and County standards.

Webb Associates will analyze and prepare the following to determine the local drainage calculations required for the drainage requirements and accompany the draft CVWD permit application with plans for approval:

- » **Review Prior Studies** – WEBB will obtain and review studies that have previously been prepared to analyze the drainage of the Avenue 50 and Washington Street intersection and other drainage in the area.
- » **Supplemental Hydrology** – WEBB will prepare additional hydrology calculations as needed for the proposed project including conceptual plan exhibits.
- » **Cost Estimate** – WEBB will prepare a preliminary cost estimate for the proposed drainage improvement in the Avenue 50 intersection.
- » **Technical Memorandum** – WEBB will prepare a Technical Memorandum to document the Roadway Drainage and Local Drainage Analysis.

DELIVERABLES

- » Regional Flow Rate Technical Memorandum / Calculations
- » Preliminary Bridge Design Hydraulic / Scour Report / Calculations
- » Preliminary Drainage Report / Calculations / Conceptual Plan Exhibit

TASK 6. ENVIRONMENTAL APPROVAL (PHASE I)

TASK 6.1. PROJECT INITIATION & PRELIMINARY ENVIRONMENTAL STUDY

GPA will review existing documentation, coordinate with the engineering team, and conduct all necessary research to prepare a detailed project description, including project components, purpose and need, environmental study limits (ESL), and timing of construction. The project description will be appropriate for use in all technical studies and environmental documentation and will include maps of the regional area and project area. The FTIP would be revised prior to completion of the Preliminary Environmental Study (PES).

GPA will prepare the Draft PES Form. The PES Form will be completed pursuant to Caltrans' SER and LAPM; it will include a reasoned explanation for all checklist answers, and all attachments necessary to support conclusions in the PES. GPA will submit the Draft PES to the City for review and approval. GPA will finalize the revisions, submit the PES to Caltrans, and coordinate as needed for review and approval of the document.

DELIVERABLES

- » Preliminary Environmental Study (PES)

TASK 6.2. TECHNICAL STUDIES

The following technical studies will be required to support the CEQA/NEPA analysis; the technical studies will be prepared by GPA unless otherwise indicated below. If Caltrans determines that the higher levels of technical study for air quality and noise would be required, optional tasks have been included in the SOW to cover that additional effort.

Task 6.2.1. Air Quality & Greenhouse Gas Technical Memorandum

As a subconsultant to GPA, AMBIENT Air Quality & Noise Consulting (AMBIENT) will prepare a technical Air Quality & Greenhouse Gas (GHG) Technical Memorandum to evaluate short-term construction-related air quality and GHG impacts associated with the proposed project. The technical memorandum will include a summary description of the existing environment, based on existing environmental documentation. Short-term emissions of criteria air pollutants and GHGs will be quantified and summarized in the report. Construction emissions will be quantified based, in part, on project-specific construction information to be provided. The significance of air quality and GHG impacts will be assessed in comparison to

applicable standards and recommended thresholds. Mitigation measures will be identified for significant and potentially significant impacts. This air quality scope assumes that the proposed project would not result in the construction of additional capacity nor qualify as being inconsistent with the SCAG's Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS), it is anticipated that an assessment of long-term air quality impacts would not be required. The FTIP listing may need to be revised based on the findings.

Deliverables: One electronic copy of the Draft and Final Air Quality & GHG Technical Memorandum

Task 6.2.2. Biological Resources: Natural Environment Study

GPA will conduct background research in relevant databases to identify the potential for federally and state listed species or other special-status species to be within the project area. A Biological Study Area (BSA) will be developed that covers direct and indirect impact areas for the project. GPA will survey the BSA for plant and wildlife species, their signs, and/or potential habitat. GPA will inventory botanical and wildlife resources observed in the BSA and will identify and record existing vegetation communities in this area.

GPA will summarize the results of the research and surveys in a Natural Environment Study (NES) report. The NES will include a discussion of the existing biological resources in the BSA, potential project impacts on these resources, and proposed measures to avoid, minimize, and or mitigate these impacts. The NES will provide conclusions regarding project impacts on federally and/or state threatened or endangered species and will outline any future protected species consultations that may be required with resource agencies to obtain project approvals. GPA will submit the draft NES to the City for review and approval prior to submittal to Caltrans.

Deliverables: One electronic copy of the Draft and Final NES

Task 6.2.3. Biological Resources: Aquatic Resources Delineation

GPA will delineate jurisdictional wetlands and waters of the United States (U.S.), as defined by the U.S. Army Corps of Engineers (USACE), State Water Resources Control Board, and California Department of Fish and Wildlife (CDFW). To identify the boundaries of the waters of the U.S., the ordinary high-water mark (OHWM) will be identified by GPA for the Evacuation Channel within the BSA. The presence or absence of wetlands in the BSA will be verified through an analysis of hydrologic conditions, hydrophytic vegetation, and hydric soils.

GPA will summarize existing site conditions on waters of the U.S. and waters of the state in an Aquatic Resources Delineation (ARD) report. The report will summarize the location of wetlands and other waters under jurisdiction of the USACE, Regional Water Quality Control Board (RWQCB), and CDFW within and immediately adjacent to the project area. GPA will submit the draft report and will coordinate with the City as needed for review and approval prior to submittal to Caltrans.

Deliverables: One electronic copy of the Draft and Final ARD

Task 6.2.4. Cultural Resources: Area of Potential Effects Map

GPA will electronically prepare an Area of Potential Effects (APE) Map. The APE boundary for the proposed undertaking will be delineated in consultation with Caltrans and in accordance with Attachment 3 of the Section 106 Programmatic Agreement and appropriate sections of the SER. It will be broad enough to account for potential effects to adjacent resources while maintaining a reasonable level of effort given the scope of the undertaking. GPA will collaborate internally with specialists during the APE delineation process to ensure that any potential for effects to both built environment and archaeological resources are considered. APE approval is the first step in the Section 106 process through Caltrans; once the APE has been signed, the following cultural resource tasks can commence.

Deliverables: One electronic copy of the Draft and Final APE Map

Task 6.2.5. Cultural Resources: Section 106 Native American Outreach & AB 52 Native American Outreach Support - OPTIONAL

As a subconsultant to GPA, Duke Cultural Resources Management (DUKE CRM) will provide support in required coordination as part of the Assembly Bill (AB) 52 (City as CEQA lead agency) and National Historic Preservation Act (NHPA) (Caltrans as lead agency) process. Under each lead agency, DUKE CRM will prepare letters on City and Caltrans letterhead. Upon approval from the agencies, DUKE CRM will send the letters to Native American groups via U.S. Certified Mail. DUKE CRM will make follow up emails/phone calls to Native American groups. From this point, consultation will take place between the agencies and each Native American group. Limited follow-up/consultation support is anticipated, as all consultation is required to take place between the lead agency and the tribe(s).

Deliverables: One electronic copy of the Draft and Final letter to interested parties; follow-up; consultation matrix

Task 6.2.6. Cultural Resources: Paleontological Identification Report

The County of Riverside has classified the project location as high sensitivity for paleontology at or near the surface. Therefore, as a subconsultant to GPA, DUKE CRM proposes to prepare a Caltrans format combined Paleontological Identification Report (PIR)/Paleontological Evaluation Report (PER). This will involve a paleontological records search at the San Bernardino County Museum and the Western Science Center and archival research related to geology and paleontology. A paleontological field survey will also be conducted of the project area and focused on geologic exposures. The report will include a project description, setting, methods, results, paleontological sensitivity, potential impacts, and recommendations sections.

Deliverables: One electronic copy of the Draft and Final PIR/PER

Task 6.2.7. Cultural Resources: Archaeological Survey Report

As a subconsultant to GPA, DUKE CRM will conduct research at the Eastern Information Center, Native American Heritage Commission, and on-line historic aerial maps, historic topographic maps of the area, and additional on-line research, as necessary. Following the research and after the APE Map is approved by Caltrans, DUKE CRM will conduct a systematic pedestrian field survey of the APE. If there are any archaeological resources within the project boundaries, additional budget will be required to document and evaluate any resources on State of California Department Parks and Recreation (DPR) Site Forms. DUKE CRM will prepare a Caltrans format Archaeological Survey Report (ASR), submit for City review, and process through Caltrans following City approval.

Deliverables: One electronic copy of the Draft and Final ASR

Task 6.2.8. Cultural Resources: Historic Properties Survey Report

The Historic Properties Survey Report (HPSR) is the umbrella document that Caltrans uses for compliance with Section 106. This document includes a summary of the records search, Native American consultation, and Section 106 findings. GPA will prepare the HPSR in accordance with all Caltrans requirements; the ASR (with Native American outreach results) and APE Map will be attached to it as supporting documentation.

Deliverables: One electronic copy of the Draft and Final HPSR

Task 6.2.9. Cultural Resources: Section 106 Outreach (Interested Parties)- OPTIONAL

GPA will assist the City and Caltrans in conducting outreach to potentially interested parties in accordance with established Section 106 procedures. GPA will prepare a draft of the letter for the City and Caltrans to review. Interested parties letters will be sent on Caltrans letterhead and signed by Caltrans Professionally Qualified Staff. GPA will send digital copies of the letters to recipients and follow up via phone or email at least three weeks after digital letters are sent.

Deliverables: One electronic copy of the Draft and Final letter to interested parties; follow-up email

Task 6.2.10. Hazardous Materials: Phase I Initial Site Assessment with Site Investigation Results

As a sub-consultant to GPA, Crawford & Associates, Inc. (Crawford) will prepare a Phase I Initial Site Assessment (ISA) to identify evidence of Recognized Environmental Conditions (RECs) at the bridge site, and evaluate if conditions on adjacent properties have, or have the potential to, impact the project area. The ISA will be prepared to ASTM E1527- 21 (modified) and Caltrans standards. Due to the age of the roadways and previous agriculture use nearby, shallow soil samples will be collected and tested for aerially deposited lead (ADL) and organochlorine pesticides (OCPs). In addition, traffic striping will be tested for select metals and the existing crossing concrete will be tested for asbestos. The results of the ISA and analytical testing, conclusions, and pertinent recommendations will be presented in a Phase I ISA report.

Crawford will begin by reviewing and summarizing geologic conditions underlying the project site based on readily available geologic mapping from the U.S. Geological Survey and the California Geological Survey, including an assessment of the potential for naturally occurring asbestos; and a summary of hydrogeologic conditions (including depth to groundwater and regional groundwater flow, if readily available) based on information from websites maintained by the State of California. Then Crawford will contract with Environmental Risk Information Service (ERIS) to provide historical aerial photographs, USGS topographic maps, street directories, and fire insurance maps for the project corridor and adjacent properties. Crawford will summarize the general historical conditions of the project area and historical uses of adjacent properties. Using ERIS, Crawford will review all publicly available federal, state, local, and tribal environmental agency databases for information pertaining to the project area and facilities/properties within ASTM standard search radii applicable to each database.

Once all background research has been completed, Crawford will conduct a site reconnaissance of the project area and adjacent properties to observe current conditions. The reconnaissance will include observations of potential sources of environmental impact to the project area, including use or storage of hazardous materials and wastes; presence of underground or aboveground storage tanks; presence of drums, wells, or electrical equipment; condition of vegetation; presence of noxious odors, stockpiled soil, piled garbage, medical waste, tires and batteries, or sewage/waste disposal; and general geologic, hydrogeologic, and topographic conditions.

Where records are missing or incomplete, or where regulatory clarification is needed, Crawford will contact Riverside County Department of Environmental Health or other regulatory personnel to ascertain current environmental conditions. Where warranted, Crawford will attempt to interview current and past owners of adjacent property if contact information is provided.

Following these reviews, Crawford will evaluate the risk of potential hazardous materials within and adjacent to the project area. The Phase I ISA will be prepared summarizing the findings of review and site reconnaissance. The report will address identified potential contamination and hazardous material impacts to provide recommendations for investigation and analysis of any potential impacts, as appropriate. The draft ISA will be submitted for City review; Crawford will incorporate responses to comments into the document and prepare a final draft for submittal to Caltrans.

Washington Street and Avenue 50 are visible in imagery from Google Earth dated 1985. This suggests that these streets were used for vehicle traffic during the late states of leaded gasoline usage in California; ADL is of potential concern. This imagery also suggests that some of the properties in the project site vicinity were used for agriculture that might have included use of OCPs. White and yellow traffic striping is visible in Google Earth imagery. Crawford will collect soil samples from up to seven locations to analyze for the presence of these compounds. All 21 soil samples will be analyzed for total lead (Environmental Protection Agency [EPA] 6010), six samples will be analyzed for OCPs (EPA 8141), and two samples will be analyzed for pH (EPA 9040). Six soil samples will be further analyzed for soluble lead (WET and TCLP methods). One sample of white traffic striping will be analyzed for total lead, and one sample of yellow traffic striping will be analyzed for total lead and total cadmium. One traffic striping sample will be analyzed for soluble lead (WET and TCLP methods). Two samples of the low water crossing concrete will be analyzed for asbestos. A summary of the methods and results of this sampling will be included in the Phase I ISA.

Deliverables: One electronic copy of the Draft and Final Phase I ISA

Task 6.2.11. Noise & Groundborne Vibration Technical Memorandum

As a subconsultant to GPA, AMBIENT will prepare a technical memorandum to evaluate short-term construction noise and groundborne vibration impacts associated with the project. The technical memorandum will include a description of the existing noise environment, based on existing environmental documentation and a review of site reconnaissance data. Predicted construction-generated noise and groundborne vibration levels will be quantified based, in part, on project-specific construction information to be provided. The significance of noise and groundborne vibration impacts will be assessed in comparison to applicable standards and recommended thresholds. Mitigation measures will be identified for significant and potentially significant impacts, if any. Analysis of long-term traffic noise and vibration impacts is not anticipated to be required and is not included.

Deliverables: One electronic copy of the Draft and Final Technical Memorandum

Task 6.2.12: Abbreviated Visual Impact Assessment

As part of the development of this scope of work, GPA completed the Caltrans Questionnaire to Determine Visual Impact Assessment (VIA) Level. The results of the questionnaire identified an Abbreviated VIA as the appropriate level of document for the project. GPA will prepare an Abbreviated VIA in accordance with Caltrans requirements and the Caltrans VIA Annotated Outline, to analyze the changes in the visual setting that may result from the project. The Abbreviated VIA will include a description of the existing visual setting, key viewsheds/viewpoints, and project features. The report will analyze visual impacts resulting from the project and, if necessary, identify measures to reduce these impacts.

Deliverables: One electronic copy of the Draft and Final Abbreviated VIA

Task 6.2.13: Water Quality Assessment Report

GPA will prepare a Water Quality Assessment Report (WQAR) for the project. Background information for the project vicinity, including other studies completed, will be reviewed. GPA will identify and describe existing waterways and watersheds, identify specific water quality concerns in the area, evaluate the potential for project impacts, and identify appropriate measures, if required or not exempt, to minimize these impacts. Construction-related impacts and long-term operational impacts on water quality will be qualitatively evaluated, and both direct and cumulative impacts related to the

project will be described. The WQAR will address regulatory compliance and identify applicable best management practices included in the project design. If mitigation is required for the project, mitigation measures will be outlined in the WQAR.

Deliverables: One electronic copy of the Draft and Final WQAR

Task 6.2.14: Noise Study Report OPTIONAL

The increased height of the bridge compared to the existing low-water crossing may trigger the need for a Noise Study Report (NSR) and barrier assessments for the nearby residential land uses. This is a higher level of document than what is identified under Task 6.2.11. If required, the cost to complete Task 6.2.11. would be utilized as well as the additional budget identified under this optional task.

As a sub-consultant to GPA, AMBIENT will prepare the NSR in accordance with Federal Highway Administration (FHWA) and Caltrans requirements to assess noise impacts associated with the single build alternative. The NSR will include a description of the existing noise environment, based on existing environmental documentation and a review of site reconnaissance data. AMBIENT will conduct ambient noise monitoring at various locations in the vicinity of the project site to document existing environmental conditions and traffic noise levels. Up to twenty short-term (i.e., 10-15 minute) and three long-term (i.e., 24-hour) noise measurement surveys will be conducted, to the extent deemed necessary. Ambient traffic noise levels will be monitored during noise monitoring periods for purposes of calibrating the traffic noise model. Relevant background information, including noise fundamentals, descriptors, and applicable federal, state, and local regulatory framework, will be described.

To assess potential construction noise impacts, sensitive receptors and their relative exposure to the project area (considering topographic barriers and distance) will be identified. Noise levels of specific construction equipment will be summarized in included in the report. Predicted construction-generated noise levels at nearby receptors will be calculated using the FHWA Roadway Construction Noise Model.

Traffic noise modeling will be conducted in accordance with FHWA/Caltrans-recommended methodologies and guidance. Accordingly, predicted traffic noise levels will be modeled using the FHWA Traffic Noise Model, version 2.5. The TNM model will be calibrated based on the monitoring data obtained during the site reconnaissance surveys. Predicted average-hourly traffic noise levels (in Leq) will be calculated at nearby existing receptors for comparison to FHWA/Caltrans-recommended noise abatement criteria. Traffic noise modeling will be conducted for existing, no-build, and build conditions.

Groundborne vibration levels typically associated with construction activities and long-term operations will be discussed, based on information to be derived from Caltrans-recommended guidance documents. To the extent necessary, groundborne vibration levels associated with major construction activities (e.g., pile driving) will be quantitatively assessed. Long-term exposure to groundborne vibration are anticipated to be minor and will be qualitatively assessed.

The significance of noise-related impacts will be determined in comparison to applicable FHWA/Caltrans noise abatement criteria. Noise-abatement measures (i.e., noise barriers) will be identified and evaluated, to the extent necessary. This discussion will also address Caltrans-recommended control practices, as well as applicable local requirements for the control of construction-generated noise. A Noise Abatement Decision Report may be required at the outcome of completing the NSR Mark Thomas will review and submit additional scope for those services.

Deliverables: One electronic copy of the Draft and Final NSR

Task 6.2.15: Air Quality Report OPTIONAL

If it is determined by Caltrans that the project would be considered capacity increasing, the roadway widening would trigger the need for an Air Quality Report (AQR). This is a higher level of technical analysis than what is identified under Task 6.2.1. If required, the cost to complete Task 6.2.1. would be utilized as well as the additional budget identified under this optional task.

As a sub-consultant to GPA, AMBIENT will prepare the AQR in accordance with Federal Highway Administration and Caltrans requirements to assess air quality and GHG impacts associated with the project. Existing air quality and GHG conditions will be discussed, including applicable regulatory framework, standards, and attainment status. Short-term construction and long-term operational emissions of criteria air pollutants and GHG emissions will be quantified. Construction emissions will be quantified based on project-specific construction information and schedules utilizing the CalEEMod computer program. Long-term operational emissions of criteria air pollutants and GHGs will be quantified using emission factors to be derived from the CTEmfac model and data to be derived from the traffic analysis prepared for this project. The project's conformity with regional and project-level air quality attainment efforts will be discussed.

Localized increases in mobile-source emissions attributable to this project, including carbon monoxide (CO), particulate matter (PM), and air toxics are anticipated to be minor and, therefore, will be qualitatively assessed utilizing FHWA/Caltrans-

recommended screening protocol. This scope of work assumes that the proposed project would not be considered a project of air quality concern (POAQC) with regard to localized PM air quality conformity. Mobile-source air toxics will be qualitatively discussed in accordance with FHWA/Caltrans guidance. Dispersion modeling of localized pollutant concentrations associated with short-term construction and/or long-term operation of the project and the preparation of health risk assessments are not anticipated to be required and are not included. Measures for the control of construction and long-term operational emissions will be discussed, to the extent necessary. This discussion will address Caltrans-recommended control practices, as well as applicable air district rules and regulations. The AQR will be submitted to the City for review and approval prior to submittal to Caltrans for review and approval.

Deliverables: One electronic copy of the Draft and Final AQR

Task 6.2.16: Air Quality Conformity Assessment OPTIONAL

If it is determined by Caltrans that the project would be considered capacity increasing, the roadway widening would trigger the need for an Air Quality Conformity Assessment (AQCA). As a sub-consultant to GPA, AMBIENT will prepare an AQCA based on information contained in the AQR for the single build alternative. AMBIENT will prepare forms and supporting documentation required for submittal of the project for interagency PM-hotspot conformity review. The AQCA will be prepared using the Caltrans-recommended AQCA template and in accordance with Caltrans-recommended guidance and methodologies.

Deliverables: One electronic copy of the Draft and Final AQCA

TASK 6.3. VMT SCREENING ASSESSMENT

Fehr and Peers will review the project description and conduct a VMT screening assessment based on the City of La Quinta Vehicle Miles Traveled (VMT) Analysis Policy (June 2020). The project will be reviewed to determine if it can be screened from VMT impact analysis.

It is anticipated that the project will screen from VMT based on Project Type Screening. Per the City's guidelines, if a transportation project is consistent with SCAG's Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS), it can be screened from VMT assessment.

Fehr & Peers will conduct a VMT screening and submit the VMT Screening memorandum to the Project team and respond to one round of consolidated comments. Fehr & Peers will submit the updated draft to the City for review. We will respond to one round of consolidated comments and submit a final version to the City.

DELIVERABLES

» VMT Screening Memorandum

TASK 6.4. INITIAL STUDY/MITIGATED NEGATIVE DECLARATION

GPA will complete the preparation of all CEQA documentation and support the City in meeting its Lead Agency responsibilities for public notification and approval of the CEQA document. Following completion of all technical analysis, GPA will reference the result of these studies, as well as other background research, to prepare the Administrative Draft IS, consistent with CEQA Guidelines Appendix G and in a format acceptable to the City. The document will include all required sections for an IS. GPA will incorporate any review comments to prepare the Draft IS for public circulation; GPA will coordinate with the City to circulate the document for the required 30-day public review period. The document will be posted with the State Clearinghouse (SCH) under the most current electronic submittal requirements. GPA will also prepare a Notice of Completion and Notice of Intent, if applicable. Once the Draft IS has been circulated GPA will prepare responses to public comments received and prepare the Mitigation Monitoring and Reporting Program. GPA will prepare a Final MND, incorporate any review comments, and prepare the Notice of Determination (NOD). The NOD will be filed within five days of the City's acceptance of the final document. It is assumed one (1) public meeting would be held by the City during circulation of the environmental document; the GPA PM will attend one (1) City Council meeting related to the environmental document in order to respond to questions and/or comments related to the environmental analysis.

For the NEPA documentation, Caltrans will prepare the Categorical Exclusion based on the completed environmental technical studies.

DELIVERABLES

- » Administrative Draft/Draft and Final CEQA IS/MND
- » Draft and Final NEPA CE Support to Caltrans

TASK 6.4.1. Administrative Draft Environmental Assessment – OPTIONAL

Following completion of all appropriate technical analysis, GPA will reference the results of these studies, as well as other background research, to prepare the environmental document. The Administrative Draft IS/EA will be consistent with CEQA Guidelines Appendix G, the Caltrans Annotated Outline for EAs, and accessibility requirements. The document will include all required sections for an IS/EA and will address on-site and off-site impacts of the project. Once complete, GPA will submit the Administrative Draft IS/EA to the City for review and will coordinate, as needed, for review and approval of the document. The IS/EA will be delivered to the City staff for internal review and comment prior to release of the Draft IS/EA to Caltrans for review.

DELIVERABLES

- » One (1) electronic copy of the Administrative Draft IS/EA

TASK 6.4.2. Draft Environmental Assessment – OPTIONAL

Once the Administrative Draft IS/EA has been approved by the City, GPA will prepare the Draft IS/EA (with MND) and coordinate with the City to transmit it to Caltrans for review. GPA will coordinate with Caltrans staff to ensure that the sections are formatted for ease of use and to meet the criteria for the IS/EA templates. Upon receipt of Caltrans comments, GPA will revise the Draft IS/EA, and re-submit it to Caltrans for a second review. Assuming no further comments from Caltrans, GPA will coordinate with the City to circulate the Draft IS/EA to the public for the 30-day public review period, as described under the IS/MND task above.

DELIVERABLES

- » One (1) electronic copy of the Draft IS/EA (with MND)

TASK 6.4.3. Draft Environmental Assessment – OPTIONAL

Following circulation of the Draft IS/EA (with MND), GPA will coordinate with the City and Caltrans to prepare responses to any public comments received and incorporate the responses and any required revisions into the document. It is assumed that no more than ten public comments will be received. Additionally, GPA will prepare the Caltrans-required Environmental Commitments Record (ECR) which can also serve as the City's Mitigation Monitoring Reporting Plan (MMRP). GPA will then prepare a Final IS/EA and submit it to the City for review. GPA will coordinate as needed to make further revisions and obtain approval to finalize the document. After production of the Final IS/EA, GPA will forward the Final IS/EA to Caltrans for review. Any additional comments from Caltrans will be incorporated; GPA will coordinate with Caltrans to obtain a Finding of No Significant Impact (FONSI) for the project. Additionally, upon completion of the Final IS/EA, GPA will coordinate with the City to file a NOD, as described under the IS/MND task above.

DELIVERABLES

- » One (1) electronic copy and up to three (3) hard copies of the Final IS/EA (with MND & FONSI)

TASK 7. GEOMETRIC APPROVAL DRAWINGS (PHASE I) – 35% DESIGN

TASK 7.1. PRELIMINARY ENGINEERING

Mark Thomas shall develop the horizontal and vertical layout of the new bridge structure on Avenue 50 based on the survey mapping and existing utility record drawings. The geometric design shall include the original ground, traveled way, shoulders, cut/fill slopes, known utilities, and existing/proposed right-of-way. The proposed roadway cross-section shall be consistent with the City of La Quinta cross-section for the segment of Avenue 50 within the project area with exception to the reduced median width across the bridge.

TASK 7.2. TRANSPORTATION STUDY - OPTIONAL

To assist with developing intersection pavement marking layout, storage lengths, and signal timing updates, Fehr and Peers will utilize RIVCOM to develop peak hour forecasts at the intersection Washington Street & Avenue 50 and daily traffic forecasts on the Avenue 50 from Washington Street to Park Ave.

We will collect traffic volume data during fair weather, while school is in session, and during a typical (non-holiday) Tuesday, Wednesday, or Thursday. Intersection peak period data will be collected during typical commute periods (7:00- 9:00 AM, 4:00-6:00 PM). Bicycle and pedestrian peak hour counts will be collected. The daily traffic counts (up to two 24-hour roadway counts) with vehicle classification (truck percentage) will be performed on the study roadway. If traffic data is readily available from surrounding planned development projects and with City approval, Fehr and Peers may utilize existing volume information to eliminate counts.

Forecasts will be prepared by applying the Difference Method, which adds traffic growth from RIVCOM base to future year models to existing counts, consistent with National Cooperative Highway Research Program (NCHRP) Report 765. The study is not required to meet CEQA/NEPA requirements and, at the option of the City, be utilized to validate intersection geometrics and signal modification design. This scope assumes the project 4-lane, westbound approach to the intersection will drop the second lane into a right turn only to accommodate the newly painted Avenue 50 'Road Diet' project west of Washington Street.

TASK 7.3. BRIDGE TYPE SELECTION REPORT & MEETING

Mark Thomas will prepare a Structure Type Selection Report per Caltrans Structures Local Assistance (SLA) Type Selection Guidelines for Local Bridge Projects - Attachment B and attend a Type Selection Meeting with Caltrans SLA. The Report will assist the City in determining the best suited structure type for the project and is required as part of the Caltrans SLA approval process. Assuming one (1) alternative studied from the bridge alternatives hydraulic analysis would be eliminated, Mark Thomas shall develop up to two (2) different alternatives to be presented in the report, which will include a General Plan, Foundation Plan, and General Plan Estimates for each structure type. The General Plan Estimate will include both roadway and bridge costs for each structure alternative. The report will discuss the advantages and disadvantages of the alternatives and will address geotechnical, hydraulic, aesthetic, constructability, and environmental issues, as well as costs. The report will be submitted to the City for review. Mark Thomas will address any comments that the City may have and will then submit the report to Caltrans SLA for review and concurrence of the recommendations. Mark Thomas will attend a Type Selection Meeting with Caltrans SLA to discuss the Type Selection Report and recommendations as required by the SLA approval process. The task shall be completed prior to the commencement of the environmental technical studies and field reviews.

TASK 7.4. GEOMETRIC APPROVAL DRAWINGS

Mark Thomas shall prepare geometric approval drawings showing preliminary layout and preliminary estimates. The plan must contain a view depicting geometric layout of the traffic lanes. Curve and taper information must be shown, but sign and striping type detail are not required. All utility conflicts shall be noted so advance clearing of utilities may begin during Phase I. The preliminary geometric exhibit will contain a proposed roadway alignment, roadway plan and profile on a 1" = 40' scale. The exhibit will also show limits of construction, project dimensions, and general identification of work as well as the centerline and edge of pavement design.

Pedestrian Lighting Calculations and Concept Design Fehr and Peers will prepare a photometric assessment for the bridge walkways illuminated by the preferred pedestrian inset light AGI32 lighting software. Fehr and Peers shall compare the results to IES RP-8 and provide any recommendations for the design and light fixture if the levels are insufficient to meet RP-8.

DELIVERABLES

- » Traffic Counts
- » Transportation Study
- » 35% Concept Design Plan
- » Bridge Type Selection Report
- » Pedestrian Lighting Calculations and Concept Design

TASK 8. FINAL PLANS, SPECIFICATIONS, AND ESTIMATE (PHASE II)

The scope of services for the Final PS&E of the Avenue 50 Bridge crossing assumes the following conditions exist:

- » Final bridge and roadway would be a four-lane facility.
- » Design speed for Avenue 50 would match existing posted speed on Avenue 50 or be as directed by the City prior to the commencement of final design.

TASK 8.1. FINAL GEOTECHNICAL ANALYSIS (PHASE II)

Task 8.1.1. Geotechnical Investigations

Caltrans is enforcing the AASHTO recommendations of a soil boring at each bridge support locations where substructure width is less than 100 feet; minimum of two (2) borings are required per location where substructure width is greater than 100 feet. Data obtained from some boreholes will be used for multiple design elements. Based on this information, EMI's geotechnical field investigations plan is presented in Table 1. Based on our discussion with Mark Thomas, a pavement core will be obtained at each end of the project to determine the existing pavement sections.

Table 1. Proposed Soil Boring Information

DESIGN ELEMENT	NUMBER OF BORINGS	APPROXIMATE DEPTH (FEET)
Bridge Abutments	2	80
Bridge Piers	2	100
Roadway	2	20
Pavement Cores	2	N/A

EMI will prepare a boring location plan, and this plan will be used to secure the well permits and City encroachment permits. No permit fees required with the City and CVWD or City pays permit application fees, if required.

The standard WATCHBOOK manual traffic control plans are sufficient for planned initial boring locations. It is assumed that stamped site-specific traffic control plans are not required, however; if there are any limitations (utilities or hard drilling conditions) that require the borings to move and requires stamped site-specific traffic control plans, expense for the stamped traffic control plans will require a change in this scope. The design team has concluded that a dry well system will most likely not be a suitable and long-term solution for the intersection of Avenue 50 and Washington Street; therefore, infiltration test have been excluded from this scope.

Task 8.1.2. Laboratory Testing

Anticipated laboratory tests include: in-situ density and moisture content, grain size, Atterberg Limits, direct shear, UU triaxial, consolidation, R-value, and soil corrosion tests. The tests provide the data required for hydraulic analysis for scour.

Task 8.1.3. Geotechnical Engineering Analysis and Report

Following analyses will be performed for the project: prepare design ARS curve, assessment of soil liquefaction potential, seismic settlement, and lateral spreading, foundation analysis for bridge, assessment of global slope stability, and a review of City Standard pavement structural section.

EMI will prepare a Foundation Report (that includes Geotechnical Engineering recommendations). The Foundation Report will include recommendations for bridge, slopes, and pavement structural sections. EMI will address any comments resulting from the City review and prepare a final Foundation Report.

DELIVERABLES

- » Draft / Final Foundation Report

TASK 8.2. REGULATORY AGENCY PERMITS

The Evacuation Channel connects to Whitewater River, which flows to the Salton Sea, and is expected to fall under the jurisdiction of the USACE, RWQCB, and CDFW. After 65% submittal, GPA will prepare the Pre-Construction Notification for submittal to the USACE, water quality certification application for submittal to the RWQCB, and the Streambed Alteration Notification for submittal to CDFW. GPA will coordinate with the City and regulatory agencies as needed to obtain the necessary permits. Coordination includes calculations of impacted jurisdictional areas including temporary and permanent impacts derived from engineering drawings. The following scope assumes less than 0.5 acre would be permanently impacted under each jurisdiction.

» **Section 404 of the Clean Water Act Nationwide Permit**

The Evacuation Channel connects to Whitewater River which flows to the Salton Sea and is expected to fall under the jurisdiction of the USACE as waters of the U.S. Because the project would result in temporary and permanent impacts on the Evacuation Channel, a Section 404 Permit will be required.

GPA will prepare the PCN package for submittal to the USACE. The application will include a brief description of the project, an assessment of impacts on waters of the U.S., minimization measures and best management practices to minimize impacts to waters of the U.S., a proposed mitigation plan, and other pertinent project information, as required by the USACE. GPA will coordinate with the City and USACE as needed to obtain the 404 authorization.

» **Section 401 of the Clean Water Act Water Quality Certification**

Section 401 of the Clean Water Act requires applicants acquiring permits from the USACE under Section 404 obtain a Water Quality Certification from the RWQCB for the state in which the discharge originates. GPA will prepare an application for a Section 401 Water Quality Certification for submittal to the RWQCB. The application will include a brief description of the project, an assessment of impacts to waters of the state, measures, and best management practices to minimize impacts, a proposed mitigation plan, and other pertinent project information, as required by the RWQCB. GPA will coordinate with the City and RWQCB as needed to obtain the Water Quality Certification.

» **Section 1602 of the California Fish and Game Code Lake or Streambed Alteration Agreement**

Section 1602 of the California Fish and Game Code requires submittal of a Lake or Streambed Alteration Notification to the CDFW for any activity that may substantially divert or obstruct the natural flow or substantially change the bed, channel, or bank of any river, stream, or lake. Streams (and rivers) are defined by the presence of a channel bed and banks and at least an intermittent flow of water; therefore, the Evacuation Channel is expected to fall under the jurisdiction of the CDFW.

GPA will prepare a Lake or Streambed Alteration Notification package for submittal to the CDFW. The notification will include a brief description of the project, an assessment of impacts to CDFW jurisdictional areas, measures, and best management practices to minimize impacts, a proposed mitigation plan, and other pertinent project information, as required by the CDFW. GPA will coordinate with the City and CDFW as needed to obtain the Streambed Alteration Agreement from CDFW. If a Habitat Mitigation and Monitoring Plan or other mitigation is required, a separate scope and fee will be prepared to conduct that work.

DELIVERABLES

- » 401 Water Quality Certification from the Regional Water Quality Control Board
- » 404 Nationwide Permit Verification from the United States Army Corps of Engineers
- » 1602 Streambed Alteration Agreement from the California Department of Fish and Wildlife
- » General coordination with permitting agencies to obtain required regulatory agency permits

TASK 8.3. 65% ROADWAY PLANS AND ESTIMATE

Upon the acceptance of the preliminary roadway geometrics, Mark Thomas will prepare the 65% roadway plans consisting of Typical Sections and Notes sheets, Construction Detail Sheets, Plan and Profile Sheets in 1" = 40' scale with construction details no larger than 1' = 10", drainage plans and details, retaining walls plans where required, pedestrian/bicycle routing plan, and detour plan. The Roadway Plan and Profile shall show the existing topography, limits of work, construction notes, right-of-way, utilities, and other general design elements. Centerline, edge of pavement and vertical design shall be provided on the profile.

Fehr and Peers will prepare signing and striping plans for the roadway improvements along Avenue 50 and Washington Avenue. The final signing and striping plans shall be prepared for final plotting on Arch D-sized sheets at 1" = 40', as appropriate.

All design and report documents will utilize Imperial Units, with geometrics conforming City, CVWD, and/or County Standards. When noted, the anticipated Caltrans Standard Plans 2024/2025 Edition, and Caltrans 2024/2025 Standard Specification with all published updates for each document as of date of the notice to proceed for this scope of work shall be used. Should the Caltrans Standards update(s) result in significant technical changes to design during the milestone submittals or prior to Ready-to-List, the updates shall result in change in this scope. Mark Thomas will prepare cost estimates with preliminary construction quantities for the Roadway PS&E.

TASK 8.3.1. 65% Sanitary Sewer Relocation Plan and Domestic Water Line Relocation Plan

Upon the acceptance of the preliminary roadway geometrics, Mark Thomas will prepare the 65% plans consisting of sanitary sewer relocation plan, profile, and details, and domestic water relocation plan, profile, and details, and Sheets in 1" = 40' scale with construction details no larger than 1' = 10".

DELIVERABLES

- » Title Sheet and Location Map (1)
- » Typical Section and Notes (3)
- » Plans and Profile (3)
- » Demolition Plan (2)
- » Contour Grading (2)
- » Utility Plan (2) includes existing utilities and final construction notes based on final agreement(s)/protect-in-place and/or relocation(s) by others, reference to additional relocation will be noted as needed
- » Drainage Plans and Details – Bridge (2)
- » Drainage Plans and Details – Intersection (1)
- » Channel Improvement Details (4)
- » Pedestrian and Bicycle Routing Details (1)
- » Construction Details (4)
- » Signing and Striping Plan (1)
- » Signing and Striping Plan for Pedestrian and Bicycle Routing (1)
- » Detour Plan (1)
- » Details Sheets (6)
- » Bridge Pedestrian Lighting Plan (1)
- » Sanitary Sewer Relocation Plans and Details (4)
- » Domestic Water Line Relocation Plans and Details (4)
- » Engineer 's Opinion of Probable Construction Costs

TASK 8.3.2. Traffic Signal Modification Design - OPTIONAL

Fehr & Peers will prepare one (1) signal modification design consisting of two (2) sheets traffic signal design plans to accommodate adjustments to existing signal equipment and detection, if necessary, based on the ultimate lane configuration and geometrics. The traffic signal design will include existing features to remain, existing features to be removed, new equipment to be installed, and the conductor and equipment schedules. The traffic signal plan will be prepared at 1"=20' scale and will be designed in conformance with California Manual on Uniform Traffic Control Devices (CAMUTCD) and City requirements. Two (2) traffic signal design sheet will be prepared, stamped and signed by a CA Licensed Civil Engineer.

DELIVERABLES

- » One (1) Traffic Signal Modification Plan – 2 (two) sheets (1:20 scale)

TASK 8.3.3. Traffic Signal Interconnect - OPTIONAL

If necessary, based on the impacts to the traffic signal modification, Fehr & Peers is available to prepare a signal interconnect plan. The plan will include existing equipment based on as-builts, verified by our field visit. Separate pull boxes will be proposed as part of the design along with conduit connected directly to the controller cabinets. The fiber optic plans (up to 2 sheets) will be submitted and revised along with the traffic signal plans for the same review cycle.

DELIVERABLES

- » Two (2) Traffic Signal Interconnect Plans (1:40 scale)

TASK 8.4. 65% UNCHECKED DETAIL - STRUCTURE PLANS AND ESTIMATE

Mark Thomas will prepare structural calculations, bridge plans, and a construction cost estimate for the selected bridge alternative. For the purposes of this scope of work, it is assumed that a three span, cast-in-place post tensioned concrete slab will be the preferred structure alternative. The structure design will be performed in accordance with AASHTO LRFD Bridge Design Specifications, 8th edition with California Amendments and Caltrans Seismic Design Criteria 2.0. In general, it is anticipated that the following structure plan sheets will be prepared. The construction cost estimate comprised of quantities and unit prices. Unit prices will be developed using current bid results from similar projects, Caltrans database information and Caltrans' latest Construction Cost Manual. All estimates will be done in Caltrans BEES format using Microsoft Excel.

Should CVWD require a separate set of bridge plans provided on their border, the plan sheets noted may adequately cover the level of effort required to obtain CVWD approval and meet permit standards. If CVWD requests additional sheets and/or details above the intended number of sheets noted within this scope, Mark Thomas shall submit a request for scope augmentation.

DELIVERABLES

- » General Plan (1)
- » Index to Plans (1)
- » Foundation Plan (1)
- » Abutment Plan (2)
- » Abutment Details (2)
- » Pier Layout (2)
- » Pier Details (1)
- » Typical Sections (1)
- » Utility Details (2)
- » Aesthetic Details (3)
- » Log of Test Borings (2)

TASK 8.5. IDENTIFY ROW REQUIREMENTS

Mark Thomas will provide initial right of way requirement exhibits for the City to review and discuss proposed construction and grading limits with property owners. The exhibit, shall be delivered during the 65% plan preparation phase, will include existing aerial imaging, existing right of way and project design limits and features including utilities and other constraints.

DELIVERABLES

- » Right of Way Requirements Map

TASK 8.6. 90% ROADWAY PS&E

Mark Thomas and design subconsultants will address up to one (1) round of comments for the 65% Engineering including the plans and probable construction cost estimate. The technical specifications to be included with the contract documents will be prepared at the 90% PS&E and edited in MS Word.

TASK 8.6.1. 90% Sanitary Sewer Relocation Plan and Domestic Water Line Relocation Plan

Mark Thomas will address up to one (1) round of comments for the 65% Engineering for the sanitary and water relocation including the plans and probable construction cost estimate. The technical specifications to be included with the contract documents will be prepared at the 90% PS&E and edited in MS Word.

TASK 8.6.2. Contract Specifications and Special Provisions Environmental Support - OPTIONAL

GPA will assist with ensuring that design-related avoidance and minimization measures are successfully integrated into 90 percent design drawings and contract specifications. Specifically, GPA will ensure all relevant CEQA/NEPA obligations and permitting requirements have been adequately incorporated. GPA will make direct additions, supplemental comments and/or create specialized, non-standard environmental specifications in tracked changes.

DELIVERABLES

- » Review and comments on the 90% design package including Roadway, Sanitary Sewer and Domestic Water including:
- » Plan Update
- » Probable Construction Cost Estimate
- » Technical Specifications

TASK 8.7. BRIDGE DESIGN INDEPENDENT CHECK

The 65% unchecked bridge plans shall be independently checked by a separate licensed bridge engineer, who has not been involved in the project. A separate set of structural design check calculations including quantity calculations shall be prepared in accordance with Caltrans bridge design practice. The independent checker shall review the plans for completeness, consistency, correctness of references. The bridge designer shall revise the design and plans to mitigate checker's review comments. The checker shall perform back-check to ensure that the comments have been adequately addressed. All comments and responses shall be documented in the project files.

TASK 8.8. 90% (FINAL) STRUCTURES PS&E

Response to all agencies' review comments for the 65% submittal shall be prepared and included in this submittal. Mark Thomas shall prepare Caltrans Standard Special Provisions for structural work at this submittal. The plans shall be updated per independent checker's comments.

The 65% structures estimate will be updated based on the independent checkers comments. A separate set of quantity calculations, known as the check quantity calculations, will be prepared. The updated quantity calculations and check quantity calculations will be compared and any differences between the two will be resolved. The differences between the two sets of quantity calculations will not exceed the percentage tolerances defined in the estimating section of the Caltrans Bridge Design Aids. Additionally, Mark Thomas will provide a 4-scale drawing of the bridge deck contours.

DELIVERABLES

- » Bridge Design and Quantity Independent Check Calculations
- » Comment / Response to Independent Check
- » Engineer 's Opinion of Probable Construction Cost
- » One (1) electronic Construction Plan set in PDF format
- » One (1) electronic set of specifications in MS Word
- » Bridge Design Calculations
- » Response to 65% Comments from the City
- » 4-Scale bridge drawing of the bridge deck contours

TASK 8.9. 100% PS&E

Mark Thomas and design subconsultants will address up to one (1) round of comments for the 90% Engineering including the plans, probable construction cost estimate and an electronic set of technical specifications.

TASK 8.9.1. 100% Sanitary Sewer Relocation Plan and Domestic Water Line Relocation Plan

Mark Thomas will address up to one (1) round of comments the 90% Engineering for the sanitary and water relocation including the plans, probable construction cost estimate and an electronic set of technical specifications.

DELIVERABLES

- » Engineer 's Opinion of Probable Construction Cost
- » One (1) electronic plan set in PDF format of the 100% design package including Roadway, Sanitary Sewer and Domestic Water and Bridge
- » One (1) electronic set of specifications in MS Word
- » Response to 90% Comments from the City
- » 4-Scale bridge drawing of the bridge deck contours

TASK 8.10. FINAL PS&E

Final Engineering shall include detailed engineering calculations, designs, construction plans, specifications and technical special provisions and engineer's construction cost estimates for the project that will enable the City to advertise and award the construction contract for the project.

TASK 8.10.1. Final Sanitary Sewer Relocation Plan and Domestic Water Line Relocation Plan

Final Engineering for the sanitary and water relocation shall include detailed engineering calculations, designs, construction plans, specifications and technical special provisions and engineer's construction cost estimates for the project that will enable the City to advertise and award the construction contract for the project.

TASK 8.11 FINAL DRAINAGE REPORT

Webb Associates will address comments for the Preliminary Drainage Report and PS&E submittals and include final plans with pipe network outputs information and figures to validate the drainage design.

DELIVERABLES

- » Engineer 's Opinion of Probable Construction Cost
- » One (1) signed/sealed electronic plans (PDF)
- » 4-Scale bridge drawing of the bridge deck contours
- » One (1) signed/sealed electronic specs (MS Word)
- » One (1) copy of appendices & bid form (MS Word/PDF)
- » Final Drainage Report including supporting plans/calculations
- » Response to 100% Comments from the City
- » Final domestic water and sanitary sewer sheets in full size Mylar sheets to CVWD
- » Final Resident's Engineer Pending File
- » Final PS&E, right of way and E-76 support
- » Electronic files of all submitted documents.
- » Mark Thomas will provide a digital copy of the final signed/sealed project plans in AutoCAD 2022 .dwg or higher format and PDF to the City; providing by email or Sharepoint Drive is acceptable.

Exclusions:

- » This task excludes the preparation of a SWPPP.

TASK 9. RIGHT OF WAY (PHASE III)

TASK 9.1. PLATS & LEGAL DESCRIPTIONS

Mark Thomas will provide two (2) plats and legal descriptions to support acquisition and / or agreement from CVWD including access into the channel during construction and/or the one (1) other owner. It is assumed the proposed linework will not change once descriptions are started and documents will undergo one review at City and one at CVWD before approval.

TASK 9.2. UTILITY AGREEMENT(S)

Mark Thomas to prepare utility agreements as necessary in accordance with Caltrans Chapter 14 of the LAPM. Mark Thomas shall conduct utility coordination meetings, as needed, regarding adjustments and relocations, to resolve

conflict issues, and with respect to performing work for utility companies by City contractors. For utility conflicts that require relocating, Mark Thomas shall prepare documentation through City staff to submit the official notice(s) / order(s) to the utility companies to relocate conflicting facilities. Through City staff, Mark Thomas shall make recommendations for special provision language with regard to utility issues, recommendations for construction windows of time for utility relocation activities, recommendations for inclusion of utility bid items, etc. All utility files will be organized and maintained per Caltrans policies and procedures.

TASK 9.3. FINAL ROW REQUIREMENTS

Mark Thomas will update the initial right of way requirement exhibits for the City to review and discuss proposed construction and grading limits with property owners based on the final design plans.

TASK 9.4. ROW APPRAISALS

» The appraisal will be prepared by individuals licensed with the State of California, Office of Real Estate Appraisers, as a Certified General Real Estate Appraiser. Our appraisers both retain the requisite qualifications and experience necessary to competently complete appraisals in a competent and professional manner, in accordance to applicable laws and policies.

» Prepare the Notice of Decision to Appraiser letter for each property, advising the property owner of the proposed project, introducing the appraiser, enclosing an Acquisition Brochure describing the City's acquisition process, and contract information to answer questions and concerns.

» The appraisal report will comply with laws that are applicable to the specific appraisal assignment and the Uniform Standards of Professional Appraisal Practice (USPAP).

» Afford the property owner or the owner's designated representative the opportunity to accompany the appraiser on the inspection of the property.

» Perform an inspection of the subject property. The inspection should be appropriate for the appraisal problem, and the Scope of Work should address:

- The extent of the inspection and description of the neighborhood and proposed project area,
- The extent of the subject property inspection, including interior and exterior areas,
- The level of detail of the description of the physical characteristics of the property being appraised (and, in the case of a partial acquisition, the remaining property).

» In the appraisal report, identify the highest and best use. If highest and best use is in question or different from the existing use, provide an appropriate analysis identifying the market-based highest and best use.

» Present and analyze relevant market information.

» In developing and reporting the appraisal, disregard any decrease or increase in the fair market value of the real property caused by the project for which the property is to be acquired, or by the likelihood that the property would be acquired for the project.

» Report the appraiser's analysis, opinions, and conclusions in the appraisal report.

Appraisal Review

Review appraisals will be provided for each appraisal, and in accordance with State and Federal law and City policy as required. The review appraiser will, as appropriate:

» Identify the reviewer's client and intended users, the intended use of the reviewer's opinions and conclusions, and the purpose of the assignment.

- » Identify the following:
 - Subject of the appraisal review assignment.
 - Effective date of the review.
 - Property and ownership interest appraised (if any) in the work under review.
 - Date of the work under review and the effective date of the opinion or conclusion in the work under review.
 - Appraiser(s) who completed the work under review, unless the identity was withheld.
- » Identify the scope of work to be performed.
- » Develop an opinion as to the completeness of the material under review, given the scope of work applicable in the assignment.
- » Develop an opinion as to the apparent adequacy and relevance of the data and the propriety of any adjustments to the data, given the scope of work applicable in the assignment.
- » Develop an opinion as to the appropriateness of the appraisal methods and techniques used, given the scope of work applicable in the assignment, and develop the reasons for any disagreement.
- » Develop an opinion as to whether the analyses, opinions, and conclusions are appropriate and reasonable, given the scope of work applicable in the assignment, and develop the reasons for any disagreement.
- » Review appraisals will be forwarded to the City for establishment of just compensation prior to the preparation of offers to acquire the proposed land rights for the project.

TASK 9.5. ROW ACQUISITION AND NEGOTIATION

Monument will provide right-of-way delivery services required for the City to purchase right-of-way required to construct the Project. The tasks will be performed in accordance with applicable Federal, State and local regulations, Caltrans Policies and Procedures and City's right-of-way Policies and Procedures.

Monument will provide the following services under the direction of the City staff:

- » Provide the Acquisition and Negotiations Services to acquire the property interests required for the Project in a timely, efficient manner and at a reasonable cost. Work shall be performed in accordance with Caltrans and the City's Policies and Procedures and applicable Federal, State and local regulations.
- » Coordinate and manage the acquisition process with the City, legal counsel, design team, property owners, and tenants along with the title company, appraisers and other consultants to insure effective cross-discipline communications.
- » Review right-of-way plans, appraisal reports, title reports, appraisal maps and legal descriptions and all other pertinent documents.
- » Prepare acquisition offer packages consisting of the City's written purchase offer, appraisal summary statement, acquisition brochure, acquisition agreement, conveying instruments (Grant Deed, Permanent and/or Temporary Easements, etc.), Certificate of Acceptance, recommendation of Amount of Just Compensation, plat maps and legal descriptions, and Title VI Information.
- » Monument's acquisition agent will meet personally with each property owner to present the City's purchase offer, explain the project design requirement and inform him or her of the City's right-of-way acquisition process.

- » Negotiate personally in good faith with each property owner, his/her agent or representative and discuss appraisal and valuation of the property interests, gather information from the property for consideration and address any questions or concerns that may arise during the acquisition process.
- » Establish and maintain an acquisition file for each property owner or property interest acquired and maintain a file checklist pursuant to the City's specifications.
- » As may be required to secure Right of Entry Agreements; licenses or permits from property owners for purposes of performing hazardous waste, archeological and other inspections.
- » Promptly transmit executed documents (acquisition agreements, executed deeds, rental agreements, statements of information, offset statements, and the like) to the City for acceptance and processing. A report summarizing the pertinent information relative to the transaction will be included.
- » Prepare and submit a Letter of Recommendation to the City for any proposed administrative settlements with property owners. The letter will include a chronology of the negotiation efforts, provide supporting evidence and documentation and an explanation of the benefits and rationale behind the recommendation.
- » Escrow Coordination - Coordinate opening of escrows with direction from the project manager, assist the escrow company in obtaining additional documentation as necessary to provide clear title to the City, supervise and review the closing of escrows, and review closing statements for completeness and accuracy. We will serve as liaison between the title company, escrow holder, and the City. Upon closing of escrow, tax cancellation letters will be prepared for City signature, as necessary, for fee interest acquisitions.
- » Recommend condemnation action when negotiations have reached an impasse. The required justification will be submitted in writing to the City. Our primary goal will be to reach an acceptance of the offer with each property owner. We will work with the City in recommending solutions to achieve acceptance of the offer.
- » Eminent Domain Support – If requested, coordinate with City's condemnation counsel, as required, to support the condemnation activities until the Resolution of Necessity is adopted and possession is granted by the courts. Litigation support after the hearing for the Resolution of Necessity, such as depositions, mediation appearances and expert testimony, can be provided on a time-and-materials basis.
- » Perform any other normal procedures and processes to implement the acquisition assignment and provide any other supporting information and/or correspondence required by the City.
 - Provide bilingual acquisition agents, if necessary.
 - Prepare all applicable forms, secure property owner's approval and signature and submit the forms to the City for review and acceptance.
 - Upon completion of the acquisition process for each property or property interest, or at project completion, Monument will provide the City with the original acquisition file as well as electronic copy of files for future audit purposes.

TASK 9.6. FINAL ROW CERTIFICATION

Monument will prepare and submit to Caltrans, and FHWA, if necessary, a right-of-way certification form and other required documents in accordance with the Caltrans Right of Way Manual and FHWA requirements.

DELIVERABLES

- » Two (2) signed and stamped P&LD in pdf format
- » One (1) signed and stamped Plat in pdf format for TCE

- » Utility Agreement(s) Support
- » Final Right of Way Requirements Map
- » Right of way support services for two (2) fee acquisitions and one (1) Temporary Construction Easement (TCE)
- » Right of way Certification Form and required documents

Assumptions:

- » Given the preliminary scope and footprint of the project, this task assumes two (2) fee acquisitions and one (1) TCE for the right of way services for property appraisals, preparation of offers, and negotiation with property owners, and final property acquisition agreements. Should the project require the need for fee take or temporary construction easement with additional owners, Mark Thomas will review and submit additional scope for those services. Right of way support services are included under Right of Way.

TASK 10. CONSTRUCTION SUPPORT (PHASE IV)

Design support services will be provided for construction. Budgetary amounts have been established for each of the following tasks based on a time and materials basis.

TASK 10.1. BID SUPPORT

When requested by the City, Mark Thomas shall remain available to attend a pre-bid meeting to be scheduled in advance of the bid opening date. Bid support will be provided on a time and materials basis as requested by the City. Mark Thomas assumes no more than 92 hours for bidding support will be needed. Should the City request that Mark Thomas prepare a bid addendum plans and documents outside a Mark Thomas error/omission, Mark Thomas will require an addendum to contract to account for the additional work.

TASK 10.2. PROJECT MANAGEMENT

Mark Thomas will manage the project team. This also includes general project management, preparing invoices and monthly progress reports, and maintaining project files. Mark Thomas will support this task over the 16-month core construction period for a total of 80 hours. Mark Thomas will attend the pre-construction meeting.

This task excludes attending weekly construction meetings and other coordination meetings.

TASK 10.3. REQUEST FOR INFORMATION (RFI)

During the construction phase, Mark Thomas will work with City to respond to Request for Information (RFIs). Mark Thomas will provide clarification and interpretation of the plans and specifications. Mark Thomas will distribute and facilitate review RFI submittals among the design team, as well as attending field meetings during construction to review the issue in question. A budget has been provided with an allowance for 60 RFIs with an average effort of 4 staff hours per RFI for a total of 240 hours.

DELIVERABLES

- » Responses to Contractor RFIs

TASK 10.4. SUBMITTAL REVIEWS

Mark Thomas will distribute and facilitate review of shop drawings and product submittals among the design team. A budget has been provided with an allowance for 42 shop drawings or submittals with an average effort of 8 staff hours per for a total of 320 hours.

DELIVERABLES

- » Review and responses to shop drawings and submittals

TASK 10.5. CONTRACT CHANGE ORDERS (CCO'S)

During the construction phase, Mark Thomas will work with City to review Contractor requested Change Orders as changes become necessary. Our Team will review the Contactor's request, provide guidance and input to its validity, and review potential impacts on the design and other ancillary project components and develop independent cost

estimates as verification. We have provided a budget allowance of 80 hours to support this effort. This excludes the revision of plans and specifications.

TASK 10.6. RECORD DRAWINGS

Following construction, Mark Thomas will prepare record drawings for City records. The record drawings will be completed in AutoCAD 2022 and shall be based upon a marked-up set of plans to be provided by the contractor and City construction manager. Mark Thomas will provide one electronic copy.

DELIVERABLES

- » One (1) electronic copy of the Final As-Built plan set

ADDENDUM TO AGREEMENT
Re: Scope of Services

If the Scope of Services include construction, alteration, demolition, installation, repair, or maintenance affecting real property or structures or improvements of any kind appurtenant to real property, the following apply:

1. Prevailing Wage Compliance. If Contracting Party is a contractor performing public works and maintenance projects, as described in this Section 1.3, Contracting Party shall comply with applicable Federal, State, and local laws. Contracting Party is aware of the requirements of California Labor Code Sections 1720, *et seq.*, and 1770, *et seq.*, as well as California Code of Regulations, Title 8, Sections 16000, *et seq.*, (collectively, the "Prevailing Wage Laws"), and La Quinta Municipal Code Section 3.12.040, which require the payment of prevailing wage rates and the performance of other requirements on "Public works" and "Maintenance" projects. If the Services are being performed as part of an applicable "Public works" or "Maintenance" project, as defined by the Prevailing Wage Laws, and if construction work over twenty-five thousand dollars (\$25,000.00) and/or alterations, demolition, repair or maintenance work over fifteen thousand dollars (\$15,000.00) is entered into or extended on or after January 1, 2015 by this Agreement,, Contracting Party agrees to fully comply with such Prevailing Wage Laws including, but not limited to, requirements related to the maintenance of payroll records and the employment of apprentices. Pursuant to California Labor Code Section 1725.5, no contractor or subcontractor may be awarded a contract for public work on a "Public works" project unless registered with the California Department of Industrial Relations ("DIR") at the time the contract is awarded. If the Services are being performed as part of an applicable "Public works" or "Maintenance" project, as defined by the Prevailing Wage Laws, this project is subject to compliance monitoring and enforcement by the DIR. Contracting Party will maintain and will require all subcontractors to maintain valid and current DIR Public Works contractor registration during the term of this Agreement. Contracting Party shall notify City in writing immediately, and in no case more than twenty-four (24) hours, after receiving any information that Contracting Party's or any of its subcontractor's DIR registration status has been suspended, revoked, expired, or otherwise changed. It is understood that it is the responsibility of Contracting Party to determine the correct salary scale. Contracting Party shall make copies of the prevailing rates of per diem wages for each craft, classification, or type of worker needed to execute the Services available to interested parties upon request and shall post copies at Contracting Party's principal place of business and at the project site, if any. The statutory penalties for failure to pay prevailing wage or to comply with State wage and hour laws will be enforced. Contracting Party must forfeit to City TWENTY-FIVE DOLLARS (\$25.00) per day for each worker who works in excess of the minimum working hours when Contracting Party does not pay overtime. In accordance with the provisions of Labor Code Sections 1810 *et seq.*, eight (8) hours is the legal working day. Contracting Party also shall comply with State law requirements to maintain payroll records and shall provide for certified records and inspection of records as required by California Labor Code Section 1770 *et seq.*, including Section 1776. In addition to the other indemnities provided under this Agreement, Contracting Party shall defend (with counsel selected by City), indemnify, and hold City,

its elected officials, officers, employees, and agents free and harmless from any claim or liability arising out of any failure or alleged failure to comply with the Prevailing Wage Laws. It is agreed by the parties that, in connection with performance of the Services, including, without limitation, any and all "Public works" (as defined by the Prevailing Wage Laws), Contracting Party shall bear all risks of payment or non-payment of prevailing wages under California law and/or the implementation of Labor Code Section 1781, as the same may be amended from time to time, and/or any other similar law. Contracting Party acknowledges and agrees that it shall be independently responsible for reviewing the applicable laws and regulations and effectuating compliance with such laws. Contracting Party shall require the same of all subcontractors.

2. Retention. Payments shall be made in accordance with the provisions of Section 2.0 of the Agreement. In accordance with said Sections, City shall pay Contracting Party a sum based upon ninety-five percent (95%) of the Contract Sum apportionment of the labor and materials incorporated into the Services under this Agreement during the month covered by said invoice. The remaining five percent (5%) thereof shall be retained as performance security to be paid to Contracting Party within sixty (60) days after final acceptance of the Services by the City Council of City, after Contracting Party has furnished City with a full release of all undisputed payments under this Agreement, if required by City. In the event there are any claims specifically excluded by Contracting Party from the operation of the release, City may retain proceeds (per Public Contract Code § 7107) of up to one hundred fifty percent (150%) of the amount in dispute. City's failure to deduct or withhold shall not affect Contracting Party's obligations under the Agreement.

3. Utility Relocation. City is responsible for removal, relocation, or protection of existing main or trunk-line utilities to the extent such utilities were not identified in the invitation for bids or specifications. City shall reimburse Contracting Party for any costs incurred in locating, repairing damage not caused by Contracting Party, and removing or relocating such unidentified utility facilities. Contracting Party shall not be assessed liquidated damages for delay arising from the removal or relocation of such unidentified utility facilities.

4. Trenches or Excavations. Pursuant to California Public Contract Code Section 7104, in the event the work included in this Agreement requires excavations more than four (4) feet in depth, the following shall apply:

(a) Contracting Party shall promptly, and before the following conditions are disturbed, notify City, in writing, of any: (1) material that Contracting Party believes may be material that is hazardous waste, as defined in Section 25117 of the Health and Safety Code, that is required to be removed to a Class I, Class II, or Class III disposal site in accordance with provisions of existing law; (2) subsurface or latent physical conditions at the site different from those indicated by information about the site made available to bidders prior to the deadline for submitting bids; or (3) unknown physical conditions at the site of any unusual nature, different materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in the Agreement.

(b) City shall promptly investigate the conditions, and if it finds that the conditions do materially so differ, or do involve hazardous waste, and cause a decrease or increase in Contracting Party's cost of, or the time required for, performance of any part of the work shall issue a change order per Section 1.8 of the Agreement.

(c) in the event that a dispute arises between City and Contracting Party whether the conditions materially differ, or involve hazardous waste, or cause a decrease or increase in Contracting Party's cost of, or time required for, performance of any part of the work, Contracting Party shall not be excused from any scheduled completion date provided for by this Agreement, but shall proceed with all work to be performed under this Agreement. Contracting Party shall retain any and all rights provided either by contract or by law which pertain to the resolution of disputes and protests between the contracting Parties.

5. Safety. Contracting Party shall execute and maintain its work so as to avoid injury or damage to any person or property. In carrying out the Services, Contracting Party shall at all times be in compliance with all applicable local, state, and federal laws, rules and regulations, and shall exercise all necessary precautions for the safety of employees appropriate to the nature of the work and the conditions under which the work is to be performed. Safety precautions as applicable shall include, but shall not be limited to: (A) adequate life protection and lifesaving equipment and procedures; (B) instructions in accident prevention for all employees and subcontractors, such as safe walkways, scaffolds, fall protection ladders, bridges, gang planks, confined space procedures, trenching and shoring, equipment and other safety devices, equipment and wearing apparel as are necessary or lawfully required to prevent accidents or injuries; and (C) adequate facilities for the proper inspection and maintenance of all safety measures.

6. Liquidated Damages. Since the determination of actual damages for any delay in performance of the Agreement would be extremely difficult or impractical to determine in the event of a breach of this Agreement, Contracting Party shall be liable for and shall pay to City the sum of One Thousand dollars (\$1,000.00) as liquidated damages for each working day of delay in the performance of any of the Services required hereunder, as specified in the Schedule of Performance. In addition, liquidated damages may be assessed for failure to comply with the emergency call out requirements, if any, described in the Scope of Services. City may withhold from any moneys payable on account of the Services performed by Contracting Party any accrued liquidated damages.

Exhibit B

Schedule of Compensation

With the exception of compensation for Additional Services, provided for in Section 2.4 of this Agreement, the maximum total compensation to be paid to Contracting Party under this Agreement is not to exceed Two Million Three Hundred Thirty-two Thousand Eight Hundred Sixty-Four Dollars and Sixty-Six Cents (\$2,332,864.66) ("Contract Sum"). The Contract Sum shall be paid to Contracting Party in installment payments made on a monthly basis and in an amount identified in Contracting Party's schedule of compensation attached hereto for the work tasks performed and properly invoiced by Contracting Party in conformance with Section 2.2 of this Agreement.



INSPECTOR GENERAL

Financial Document Review (FDR) Request Form

- To be completed by Local Public Agencies (LPA) - one per contract.
- For new proposed Architectural & Engineering (A&E) consultant LPA contracts of \$1 million or greater.
- For amendments, use only when there are additional subconsultants or changes in Indirect Cost Rate (ICR).

Email to:

conformance.review@dot.ca.gov

California State Department of Transportation
 Independent Office of Audits and Investigations
 Attention: Financial Document Review Manager

Date: 2/13/2024

Federal/State
 Project Number: BR-NBIL(547)

Check one: New Contract Amendment Other (describe) _____

A&E Contract Number: _____ Total Contract or Amended Amount of: \$2,332,864.66

Prime Consultant Full Legal Name: Mark Thomas & Company, Inc.

Project Description:

Engineering Services for Avenue 50 Bridge Project

All Primes and Safe Harbor Rate (SHR) Applicants must be listed below. In addition, complete below for all Sub-Consultant(s) with estimated contract costs of \$500,000 and above on this contract. Sub-consultant(s) with less than an estimated contract cost of \$500,000 do not need to be included unless they are SHR applicants: (Add pages if necessary.)

Consultant's Name:	Estimated Contract Cost	Category	Caltrans ICR Acceptance ID # (if available)
Mark Thomas & Company	\$1,509,897.47	1	L2024-1768
Albert A. Webb Associates	\$91,919.85	3	
Earth Mechanics	\$144,138.28	1	D2024-1241
Fehr & Peers	\$70,747.92	3	
GPA	\$448,089.29	3	
Monument ROW	\$68,071.85	3	

I verify we received financial documents from the prime and sub-consultants based on the requirements specified in this form's Checklist seen on following page.

Name (Print): _____ Title: _____

Signature: _____

Name of Local Agency and Department: _____

Address: 78495 Calle Tampico. La Quinta, CA 92253

Phone: (760) 777-7041 Email: _____

CHECKLIST

FDR Requirements for A&E Consultant Indirect Cost Rate

- Requirements for total contract amount equal to or greater than \$1,000,000.
- Prime and all sub-consultant(s) with estimated contract costs of \$500,000 and above must provide the documents marked below based on their applicable category.

Instructions

- LPAs are required to complete this form and include all applicable required documents upon submission.
- For financial document packages received between July 1 through December 31, 2023, the 2022 ICR must be submitted.
- ICR Acceptance ID #: This is an identification number issued by Caltrans upon review and acceptance of consultant's ICR(s) schedule for a specific fiscal year. The Caltrans ID# ICR FYE must agree with the period when this form and financial documents are submitted as described above. If any consultant already as an accepted ID # for the applicable period then include that number on the schedule on page 1. For those consultants no additional documentation needs to be submitted at this time. For consultants with acceptance ID#, please leave category blank.

Please Note: Items on this checklist may not be all inclusive. IOAI reserves the right to request additional documents as deemed necessary.

Type of Financial Documents and Information for ICR FYE Proposed	CATEGORY 1: Consultants with Cognizant Approval Letter for ICR FYE Proposed	CATEGORY 2: Consultants Requesting Safe Harbor Rate	CATEGORY 3: Consultants with CPA Audited ICR Reports	CATEGORY 4: Consultants with Participation Amount of \$500K or Greater and No CPA Report
Paycheck Protection Program (PPP) Loan Questionnaire	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Certification of Indirect Costs and Financial Management System Form	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
CPA Audited ICR Report and Schedule (Prime Consultant must have a CPA Audited ICR Schedule)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ICR Schedule with FAR References for Disallowed Costs (a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Cognizant Approval Letter for the ICR FYE proposed	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
AASHTO Internal Control Questionnaire Appendix B	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

(a) See Table 8-1 of the AASHTO Audit Guide for a listing of common unallowable costs.

Type of Financial Documents and Information for ICR FYE Proposed	CATEGORY 1: Consultants with Cognizant Approval Letter for ICR FYE Proposed	CATEGORY 2: Consultants Requesting Safe Harbor Rate	CATEGORY 3: Consultants with CPA Audited ICR Reports	CATEGORY 4: Consultants with Participation Amount of \$500K or Greater and No CPA Report
Post-Closing Trial Balance and Supplemental Reconciliation Schedule (to tie the proposed ICR Schedule to the Trial Balance)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Uncompensated Overtime Adjustments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Executive Compensation Analysis (ECA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Related Party Rent Analysis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Safe Harbor Rate: Consultant Certification of Eligibility of Contract Costs and Financial Management System	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

After the review of this form, some or all of the documents listed below may be requested:

Prior Year ICR Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Chart of Accounts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Income Statement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vacation/Sick Policy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bonus Policy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vehicle, Equipment, and Other Direct Costs Schedules	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Following documents can be retrieved from: <https://oig.dot.ca.gov/resources>

- Paycheck Protection Program (PPP) Loan Questionnaire
- Certification of Indirect Costs and Financial Management System
- AASHTO Internal Control Questionnaire Appendix B
- Safe Harbor Rate – Consultant Certification of Eligibility of Contract Costs and Financial Management System



Inspector General

California Department of Transportation

Paycheck Protection Program (PPP) Loan Questionnaire

Name of Firm: Mark Thomas & Company, Inc. +

Contact for Accounting Questions:

Name: Kimberly Post

Title: Chief Financial Officer

Phone Number: (916) 381-9100

Email: kpost@markthomas.com

Background

The Federal Highway Administration (FHWA) issued [Memorandum HCFB-30](#) dated March 24, 2021, which stated that when PPP loan proceeds are applied to a firm's cost (direct or indirect) within the scope of a federally funded contract and the PPP loan is forgiven, appropriate adjustments to consultant accounting records become necessary to comply with 48 Code of Federal Regulations (CFR) Part 31.

Caltrans issued Guidance for PPP loan forgiveness dated April 15, 2022. [Caltrans PPP Loan Forgiveness Guidance](#). The Guidance stated, in part, an adjustment (to the indirect cost rate) will provide an equitable allocation of the credit across different contracts and customer types for architectural and engineering (A&E) services under Federal-aid or Federal lands highway program funded (federally funded) contracts. All firms will be required to complete and submit a PPP Certification Form.

Locally administered contracts with federally funded funds must adhere to FHWA's requirements. Each local contract federally funded must have each consultant complete this questionnaire unless the firm is requesting the Safe Harbor Rate. The Independent Office of Audits and Investigation will use the completed questionnaire to determine compliance with the applicable requirements.

Questions

1. Did your firm receive a PPP Loan? Yes No

If **no**, skip to the bottom of the questionnaire to sign and date the form. If you did receive PPP Loan(s) continue.

Total Amount Received:

First Round: \$ 4,042,600.00 Date Received: 5/14/20 Covered Period: From: 5/8/20 To: 10/23/20

Second Round: _____ Date Received: _____ Covered Period: From: _____ To: _____

2. Have any of the funds received been forgiven?

a. If yes:

Amount Forgiven: \$4,042,600.00 Date Forgiven: 9/18/21

Continue to Question 3.

b. If no, does the firm plan to request forgiveness in the future?

Anticipated Date of Request: _____

Skip to the bottom of the questionnaire to sign and date the form.

3. Did the firm account for the loan forgiven funds in its annual audit of the indirect cost rate? If yes, was the FAR related direct labor cost reallocated to the indirect cost schedule as a credit? If yes, skip to the bottom of the questionnaire to sign and date the form and submit the audited indirect cost rate. If no to either, continue to Question 4.

4. What were the loan forgiveness proceeds used for during the covered period(s)?

Indirect Labor Costs - Allowable: _____
 Indirect Labor Costs - Unallowable: _____
 Direct Labor (federally funded contracts): _____
 Direct Labor (non-federally funded contracts): \$4,042,600.00
 Business Mortgage Interest Payments: _____
 Business Rent or Lease Payments: _____
 Utility Payments: _____
 Other Expenses: (Describe) _____

Total: \$4,042,600.00

Instructions: The total amount should equal the loan forgiveness amount. When classifying labor costs, you should consider the following guidance.

Forgiven PPP loan funds spent on direct labor or other direct costs for commercial or government clients that were not reimbursed through contracts subject to 48 CFR Part 31 or spent on otherwise unallowable indirect costs may be excluded from the credit to the indirect cost pool.

FHWA's Memorandum dated March 24, 2021, states if adjustments to an A&E consultant's indirect cost rate have no bearing on the award of the contract type (e.g., firm fixed price or lump sum contracts), adjustment to that contract would not be required.

5. Was the federally funded related direct labor cost reallocated to the indirect cost schedule as a credit?

Yes No

If no, please explain why?

No, there was no federally funded related direct labor cost that was reallocated to the indirect cost schedule.

I certify that to the best of my knowledge and belief this questionnaire is a complete and accurate representation of the above-named organization's treatment of PPP loans.

Name (Print): Kimberly Post

Title: Chief Financial Officer

Signature: 

Date: 2/13/24

Note: Mark-ups are Not Allowed

Prime Consultant

Subconsultant

2nd Tier Subconsultant

Consultant: **Mark Thomas & Company, Inc.**

Project No. **La Quinta Ave 50 - BR-NBIL(547)** Contract No. _____

Date **2/13/2024**

DIRECT LABOR

Classification/Title	Name	Range	Hours	Actual Hourly Rate	Total
Principal		\$138 - \$165		\$ 154.50	\$ -
Sr. Engineering Manager	Pat Somerville*	\$112 - \$148	38	\$ 144.23	\$ 5,480.74
Sr. Engineering Manager		\$112 - \$148		\$ 137.82	\$ -
Engineering Manager		\$105 - \$126		\$ 115.69	\$ -
Design Manager		\$101 - \$126		\$ 115.69	\$ -
Sr. Project Manager	Paul Mittica*	\$75 - \$107	436	\$ 86.84	\$ 37,862.24
Sr. Project Manager		\$75 - \$107		\$ 96.11	\$ -
Sr. Technical Lead		\$75 - \$107	116	\$ 96.11	\$ 11,148.75
Project Manager		\$62 - \$88		\$ 77.61	\$ -
Project Manager	Victor Sherby*	\$62 - \$88	558	\$ 73.72	\$ 41,135.76
Technical Lead		\$62 - \$88	146	\$ 77.61	\$ 11,331.54
Sr. Project Engineer		\$56 - \$78		\$ 67.46	\$ -
Sr. Technical Engineer		\$56 - \$78		\$ 67.46	\$ -
Project Engineer		\$50 - \$70		\$ 59.48	\$ -
Project Engineer	Kimberly Barling*	\$50 - \$70	1480	\$ 58.38	\$ 86,402.40
Civil Engineering Designer		\$40 - \$67		\$ 56.94	\$ -
Design Engineer II		\$38 - \$62	1368	\$ 51.50	\$ 70,452.55
Design Engineer I		\$30 - \$52	2980	\$ 41.71	\$ 124,290.06
Sr. Planner		\$38 - \$62		\$ 51.50	\$ -
Planner II		\$31 - \$53		\$ 42.80	\$ -
Planner I		\$28 - \$45		\$ 34.09	\$ -
Sr. Technician		\$40 - \$63	928	\$ 52.59	\$ 48,802.07
Technician		\$23 - \$46		\$ 35.18	\$ -
Intern		\$17 - \$34		\$ 23.94	\$ -
Survey Division Manager		\$85 - \$126	12	\$ 115.33	\$ 1,383.98
Survey Manager II		\$74 - \$96		\$ 87.77	\$ -
Survey Manager I		\$69 - \$89		\$ 78.70	\$ -
Project Surveyor III		\$65 - \$91		\$ 80.51	\$ -
Project Surveyor II		\$60 - \$80	76	\$ 69.63	\$ 5,292.21
Project Surveyor I		\$52 - \$75		\$ 64.19	\$ -
Asst Surveyor III		\$45 - \$65		\$ 54.76	\$ -
Asst Surveyor II		\$41 - \$60	96	\$ 49.32	\$ 4,735.14
Asst Surveyor I		\$35 - \$54		\$ 43.88	\$ -
Survey Specialist III		\$58 - \$87		\$ 76.53	\$ -
Survey Specialist II		\$45 - \$69		\$ 58.75	\$ -
Survey Specialist I		\$35 - \$56		\$ 45.70	\$ -
Lead Survey Technician		\$46 - \$67		\$ 56.94	\$ -
Survey Technician III		\$37 - \$60		\$ 49.32	\$ -
Survey Technician II		\$34 - \$56	66	\$ 45.70	\$ 3,016.04
Survey Technician I		\$19 - \$51		\$ 40.26	\$ -
Chief of Party (OE3)*		\$55 - \$73		\$ 62.02	\$ -
Instrumentperson (OE3)*		\$51 - \$67		\$ 56.58	\$ -
Chainperson (OE3)*		\$48 - \$65		\$ 54.76	\$ -
Apprentice (OE3)*		\$28 - \$50		\$ 39.53	\$ -
2-Person Crew (OE3)*		\$103 - \$129		\$ 118.96	\$ -

3-Person Crew (OE3)*	\$133 - \$169		\$	158.85	\$ -
Utility Locator (PW North)*	\$44 - \$60		\$	49.32	\$ -
2-Person Utility Locate (PW North)*	\$90 - \$108		\$	97.20	\$ -
Chief of Party (OE12)*	\$64 - \$84	12	\$	73.26	\$ 879.13
Instrumentperson (OE12)*	\$58 - \$77		\$	66.01	\$ -
Chainperson (OE12)*	\$58 - \$75		\$	64.19	\$ -
Apprentice (OE12)*	\$24 - \$50		\$	39.53	\$ -
2-Person Crew (OE12)*	\$122 - \$148	48	\$	137.46	\$ 6,597.85
3-Person Crew (OE12)*	\$146 - \$186		\$	175.54	\$ -
Utility Locator (PW South)*	\$62 - \$78		\$	67.82	\$ -
2-Person Utility Locate (PW South)*	\$125 - \$148		\$	137.46	\$ -
LAUD Division Manager	\$85 - \$104		\$	93.93	\$ -
Sr. LAUD Project Manager	\$77 - \$99	102	\$	88.13	\$ 8,989.36
LAUD Project Manager	\$65 - \$87		\$	76.53	\$ -
Sr. Landscape Architect	\$41 - \$70		\$	59.12	\$ -
Landscape Architect	\$38 - \$64		\$	53.68	\$ -
Landscape Designer II	\$33 - \$53	180	\$	42.43	\$ 7,638.02
Landscape Designer I	\$27 - \$45		\$	34.09	\$ -
Landscape Intern	\$17 - \$34		\$	23.94	\$ -
District Manager-Engineer	\$110 - \$133		\$	122.22	\$ -
Deputy District Manager	\$97 - \$120		\$	109.89	\$ -
Operations Manager	\$78 - \$106		\$	95.38	\$ -
Sr. Sanitary Project Engineer	\$68 - \$95		\$	84.87	\$ -
Sanitary Project Engineer	\$57 - \$85		\$	74.71	\$ -
Associate Sanitary Engineer	\$54 - \$75		\$	64.19	\$ -
Assistant Sanitary Engineer	\$48 - \$67		\$	56.22	\$ -
Sr. Inspector*	\$42 - \$60		\$	49.32	\$ -
Inspector*	\$34 - \$52		\$	41.35	\$ -
Inspector - Apprentice*	\$21 - \$40		\$	29.38	\$ -
Area Manager - CM	\$105 - \$145		\$	134.19	\$ -
Division Manager - CM	\$105 - \$134		\$	123.31	\$ -
Sr. Resident Engineer	\$90 - \$118		\$	107.35	\$ -
Sr. Project Manager - CM	\$80 - \$112		\$	101.91	\$ -
Project Manager - CM	\$72 - \$103		\$	92.85	\$ -
Resident Engineer	\$72 - \$103		\$	92.12	\$ -
Project Controls/Scheduler	\$53 - \$88		\$	77.25	\$ -
Inspector - CM*	\$43 - \$88		\$	77.61	\$ -
Asst. Resident Engineer*	\$55 - \$89		\$	78.70	\$ -
Office Engineer	\$38 - \$66		\$	55.85	\$ -
Office Technician	\$22 - \$40		\$	29.38	\$ -
Expert Witness	\$170 - \$185		\$	179.16	\$ -
Strategic Consulting	\$170 - \$185		\$	179.16	\$ -
Funding Manager	\$88 - \$114		\$	103.36	\$ -
Sr. Funding Specialist	\$52 - \$78		\$	67.10	\$ -
Funding Specialist	\$38 - \$67	8	\$	56.94	\$ 455.52
Project Accountant Manager	\$55 - \$78		\$	67.10	\$ -
Sr. Project Accountant	\$41 - \$63		\$	52.95	\$ -
Project Accountant	\$36 - \$57	92	\$	46.79	\$ 4,304.27
Sr. Project Coordinator	\$43 - \$63	64	\$	52.59	\$ 3,365.66
Project Coordinator	\$33 - \$52		\$	41.71	\$ -
Sr. Project Assistant	\$34 - \$52		\$	41.35	\$ -
Project Assistant	\$24 - \$42		\$	31.92	\$ -
Sr. Technical Writer	\$35 - \$59		\$	48.96	\$ -
Technical Writer	\$21 - \$42		\$	31.92	\$ -

Sr. Graphic Manager		\$50 - \$73		\$	62.74	\$	-
Sr. Graphic Designer		\$40 - \$64		\$	53.68	\$	-
Graphic Designer		\$35 - \$56		\$	45.70	\$	-

LABOR COSTS

a) Subtotal Direct Labor Costs		\$	483,563.30
b) Anticipated Salary Increases (see page 2 for calculation)		\$	44,741.69
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]		\$	528,304.99

INDIRECT COSTS

d) Fringe Benefits (Rate: <u>95.61%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$	505,112.40
f) Overhead & G&A (Rate: <u>55.05%</u>)	g) Overhead [(c) x (f)]	\$	290,831.90
h) General & Admin (Rate: <u> </u>)	i) Gen & Admin [(c) x (h)]	\$	-
j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]		\$	795,944.30
FIXED FEE	k) TOTAL FIXED FEE [(c) + (j)] x fixed fee:	10%	\$ 132,424.93

I) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit	Unit Cost	Total
Mileage (IRS Rate)	9150	MILES	\$ 0.66	\$ 5,993.25
Structure/Quantity Calculations	200	SHEETS	\$ 0.05	\$ 10.00
Overnight Mail/Mail	8	EA	\$ 15.00	\$ 120.00
Utility Prior Rights Identification	1	LS	\$ 3,000.00	\$ 3,000.00
Preliminary Title Reports	2	EA	\$ 1,050.00	\$ 2,100.00
Potholing	8	EA	\$ 2,400.00	\$ 19,200.00
Pier Diem/Hotel	2	DAY	\$ 400.00	\$ 800.00
Traffic Control	2	LS	\$ 4,000.00	\$ 8,000.00
Mobile Scanner	1	DAY	\$ 7,500.00	\$ 7,500.00
Aerial Mapping	1	LS	\$ 6,500.00	\$ 6,500.00
I) TOTAL OTHER DIRECT COSTS			\$	53,223.25

m) SUBCONSULTANTS' COSTS (Add additional pages if necessary)

Subconsultant 1: Albert A. Webb Associates	\$	91,919.85
Subconsultant 2: Earth Mechanics	\$	144,138.28
Subconsultant 3: Fehr & Peers	\$	70,747.92
Subconsultant 4: GPA	\$	448,089.29
Subconsultant 5: Monument ROW	\$	68,071.85
m) TOTAL SUBCONSULTANTS' COSTS		\$ 822,967.19

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(I) + (m)]	\$	876,190.44
TOTAL COST [(c) + (j) + (k) + (n)]	\$	2,332,864.66

NOTES:

- Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary increases calculation (page 2) must accompany.

CLICK HERE to Return to Agenda
CALCULATIONS FOR ANTICIPATED SALARY INCREASES

Consultant **Mark Thomas & Company**

Project No. La Quinta Ave 50 - BR-NBIL(547) Contract No. _____

Date 2/13/2024

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration
\$ 483,563.30	8806	=	\$ 54.91	Year 1 Avg Hourly Rate

2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate	+	Proposed Escalation	=		
Year 1	\$ 54.91	+	5%	=	\$ 57.66	Year 2 Avg Hourly Rate
Year 2	\$ 57.66	+	5%	=	\$ 60.54	Year 3 Avg Hourly Rate
Year 3	\$ 60.54	+	5%	=	\$ 63.57	Year 4 Avg Hourly Rate
Year 4	\$ 63.57	+	5%	=	\$ 66.75	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year	*	Total Hours per Cost Proposal	=	Total Hours per Year	
Year 1	0.00%	*	8806.0	=	0.0	Estimated Hours Year 1
Year 2	40.00%	*	8806.0	=	3522.4	Estimated Hours Year 2
Year 3	40.00%	*	8806.0	=	3522.4	Estimated Hours Year 3
Year 4	20.00%	*	8806.0	=	1761.2	Estimated Hours Year 4
Total	100%		Total	=	8806.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)	*	Estimated hours (calculated above)	=	Cost per Year	
Year 1	\$ 54.91	*	0	=	\$ -	Estimated Hours Year 1
Year 2	\$ 57.66	*	3522	=	\$ 203,096.58	Estimated Hours Year 2
Year 3	\$ 60.54	*	3522	=	\$ 213,251.41	Estimated Hours Year 3
Year 4	\$ 63.57	*	1761	=	\$ 111,956.99	Estimated Hours Year 4
Total Direct Labor Cost with Escalation				=	\$ 528,304.99	
Direct Labor Subtotal before Escalation				=	\$ 483,563.30	
Estimated total of Direct Labor Salary Increase				=	\$ 44,741.69	Transfer to Page 1

NOTES:

1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology).
3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
4. Calculations for anticipated salary escalation must be provided.

Certification of Direct Costs:

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Zach Siviglia Title *: President

Signature:  Date of Certification: 2/13/2024

Email: zsiviglia@markthomas.com Phone number: (949) 477-9000

Address: 2121 Alton Parkway, Suite 210, Irvine, CA 92606

* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:



Inspector General

California Department of Transportation

Certification of Indirect Costs and Financial Management System

(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required)

Consultant's Full Legal Name: Mark Thomas & Company, Inc.

Important: Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

Indirect Cost Rate (ICR):

Combined Rate: 150.66 Or

Home Office Rate: _____ and Field Office Rate (if applicable): _____

Facilities Capital Cost of Money (if applicable): _____

Fiscal Period:* 01/01/2022 - 12/31/2022

* Fiscal period is annual one year applicable accounting period that the ICR was developed (not the contract period). The ICR is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an ICR(s) for the fiscal period as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the ICR(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31).
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31.
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on the Financial Document Review Request form.

Financial Management System:

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in [Title 23 United States Code \(U.S.C.\) Section 112\(b\)\(2\); 48 CFR Part 31.201-2\(d\); 23 CFR, Chapter 1, Part 172.11\(a\)\(2\)](#); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost accounts;

MARK THOMAS & COMPANY, INC.

Statement of Direct Labor, Fringe Benefits, and General Overhead
For the Year Ended December 31, 2022

Description	Financial Stmt Expense	Unallowable Costs	Reference	Total Proposed	% of Direct Labor
Direct Labor	<u>\$ 21,722,197</u>	<u>\$ -</u>		<u>\$ 21,722,197</u>	
INDIRECT COSTS					
Fringe Benefits:					
Employee group insurance	\$ 3,867,275	\$ -		\$ 3,867,275	
Incentive compensation	6,652,091	-		6,652,091	
Payroll taxes	2,646,376	(42,987)	(1)	2,603,389	
PTO (vacation, sick and holiday)	3,585,724	-		3,585,724	
Retirement plan contributions	3,078,143	-		3,078,143	
Union benefits	810,267	-		810,267	
Workers compensation	171,819	-		171,819	
Other employee benefits	73,684	(73,684)	(2)	-	
Total Fringe Benefits	<u>20,885,379</u>	<u>(116,671)</u>		<u>20,768,708</u>	<u>95.61%</u>
General Overhead:					
Indirect labor	8,275,741	(2,758,936)	(3)(4)	5,516,805	
Auto	273,460	-		273,460	
Bad debt	(323,683)	323,683	(5)	-	
Bank charges	13,545	-		13,545	
Bid and proposal	42,998	-		42,998	
Computer equipment and supplies	1,143,452	-		1,143,452	
Conferences, seminars and training	162,178	(1,794)	(2)	160,384	
Contributions	117,959	(117,959)	(6)	-	
Depreciation and amortization	882,129	-		882,129	
Dues and licenses	114,186	-		114,186	
Fines and penalties	1,365	(1,365)	(7)	-	
(Gain) loss on disposal of fixed assets	27,664	-		27,664	
Insurance	721,236	-		721,236	
Insurance (key person)	3,288	(3,288)	(8)	-	
Interest	(17,393)	17,393	(9)	-	
Litigation and claims	18,022	-		18,022	
Meals and entertainment	326,480	(203,288)	(2)(6)(10)	123,192	
Miscellaneous	(145,398)	(4,758)	(11)	(150,156)	
Office repairs and maintenance	74,704	-		74,704	
Office supplies	177,998	(99,457)	(12)	78,541	
Postage, shipping and delivery	57,927	-		57,927	
Printing and reproduction	28,140	-		28,140	
Production supplies	86,014	-		86,014	
Professional fees	605,432	(3,437)	(5)	601,995	
Public relations and advertising costs	87,296	(87,296)	(2)(12)	-	
Recruitment	72,532	(818)	(13)	71,714	
Rent	1,449,545	-		1,449,545	
Taxes	145,765	(176,464)	(14)(15)	(30,699)	
Telephone	278,394	-		278,394	
Travel	715,448	(6,923)	(16)	708,525	
Utilities	11,253	-		11,253	
Warranty	15,906	-		15,906	
Direct cost recovery	(429,912)	-		(429,912)	
Total General Overhead	<u>15,013,671</u>	<u>(3,124,707)</u>		<u>11,888,964</u>	<u>54.73%</u>
Total Indirect Costs	<u>\$ 35,899,050</u>	<u>\$ (3,241,378)</u>		<u>\$ 32,657,672</u>	<u>150.34%</u>
Facilities Capital Cost of Money				<u>\$ 70,566</u>	<u>0.32%</u>

Consultant:	ICR:	Fee:	Esc (%)	Caltrans Acceptance ID #:
Mark Thomas & Company, Inc.	150.66%	10%	3%	L2024-1768

Note: Mark-ups are Not Allowed

Prime Consultant Subconsultant 2nd Tier Subconsultant

Consultant: **Albert A. Webb Associates**

Project No. BR-NBIL(547) Contract La Quinta- Ave 50 Evac Channel; 2019-02

Date 2/7/2024

DIRECT LABOR

Classification/Title	Name	Range	Hours	Actual/Average Hourly Rate	Total
Principal II/Practice Leader	Joseph Caldwell*	\$85 - \$96	93	\$ 90.27	\$ 8,395.14
Principal I/Senior Engineer	Ranjit Singh	\$74 - \$86	99	\$ 79.33	\$ 7,853.67
Associate II/Associate Engineer	Ash Ai	\$40 - \$60	260	\$ 49.12	\$ 12,771.20
Project Coordinator	Tiana Mundell	\$20 - \$30	64	\$ 25.50	\$ 1,632.00
				\$ -	\$ -
				\$ -	\$ -
				\$ -	\$ -

LABOR COSTS

a) Subtotal Direct Labor Costs	\$ 30,652.01
b) Anticipated Salary Increases (see page 2 for calculation)	\$ -
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]	\$ 30,652.01

INDIRECT COSTS

d) Fringe Benefits (Rate: <u>56.48%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$ 17,312.25
f) Overhead & G&A (Rate: <u>116.14%</u>)	g) Overhead [(c) x (f)]	\$ 35,599.24
h) General & Admin (Rate: _____)	i) Gen & Admin [(c) x (h)]	\$ -
	j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]	\$ 52,911.50
FIXED FEE	k) TOTAL FIXED FEE [(c) + (j)] x fixed fee: <u>10%</u>	\$ 8,356.35

I) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit	Unit Cost	Total
Mileage - IRS Rate		Miles	\$ -	\$ -
Printing		Cost	\$ -	\$ -
Transportation		Cost	\$ -	\$ -
Conference Calls		Cost	\$ -	\$ -
Title Reports		Cost	\$ -	\$ -
Permit Fees		Cost	\$ -	\$ -

I) TOTAL OTHER DIRECT COSTS \$ -

m) SUBCONSULTANTS' COSTS (Add additional pages if necessary)

Subconsultant 1: _____

m) TOTAL SUBCONSULTANTS' COSTS \$ -

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(I) + (m)] \$ -

TOTAL COST [(c) + (j) + (k) + (n)] \$ 91,919.85

NOTES:

1. Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
3. Anticipated salary increases calculation (page 2) must accompany.

CLICK HERE to Return to Agenda
CALCULATIONS FOR ANTICIPATED SALARY INCREASES

Consultant **Albert A. Webb Associates**

Project No. **BR-NBIL(547)** Contract **La Quinta- Ave 50 Evac Channel; 2019-02**

Date **2/7/2024**

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration
\$ 30,652.01	516		\$ 59.40	Year 1 Avg Hourly Rate

2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate		Proposed Escalation			
Year 1	\$ 59.40	+	0%	=	\$ 59.40	Year 2 Avg Hourly Rate
Year 2	\$ 59.40	+	0%	=	\$ 59.40	Year 3 Avg Hourly Rate
Year 3	\$ 59.40	+	0%	=	\$ 59.40	Year 4 Avg Hourly Rate
Year 4	\$ 59.40	+	0%	=	\$ 59.40	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	40.00%	*	516.0	=	206.4	Estimated Hours Year 1
Year 2	30.00%	*	516.0	=	154.8	Estimated Hours Year 2
Year 3	30.00%	*	516.0	=	154.8	Estimated Hours Year 3
Year 4	0.00%	*	516.0	=	0.0	Estimated Hours Year 4
Year 5	0.00%	*	516.0	=	0.0	Estimated Hours Year 5
Total	100%		Total	=	516.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year	
Year 1	\$ 59.40	*	206	=	\$ 12,260.80	Estimated Hours Year 1
Year 2	\$ 59.40	*	155	=	\$ 9,195.60	Estimated Hours Year 2
Year 3	\$ 59.40	*	155	=	\$ 9,195.60	Estimated Hours Year 3
Year 4	\$ 59.40	*	0	=	\$ -	Estimated Hours Year 4
Year 5	\$ 54.12	*	0	=	\$ -	Estimated Hours Year 5
	Total Direct Labor Cost with Escalation			=	\$ 30,652.01	
	Direct Labor Subtotal before Escalation			=	\$ 30,652.01	
	Estimated total of Direct Labor Salary Increase			=	\$ -	Transfer to Page 1

NOTES:

1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology).
3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
4. Calculations for anticipated salary escalation must be provided.

Certification of Direct Costs:

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Kevin Ferguson

Title *: Chief Development Officer

Signature: Kevin W.M. Ferguson
Digitally signed by Kevin W.M. Ferguson
Date: 2024.02.12 14:36:58 -08'00'

Date of Certification: 2/7/2024

Email: Kevin.Ferguson@webbassociates.com

Phone number: (951) 830-1830

Address: 3788 McCray Street, Riverside, CA 92506

* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Civil engineering services for Avenue 50 bridge.



Inspector General

California Department of Transportation

Certification of Indirect Costs and Financial Management System

(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required)

Consultant's Full Legal Name: Albert A. Webb Associates

Important: Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

Indirect Cost Rate (ICR):

Combined Rate: 172.62 Or

Home Office Rate: _____ and Field Office Rate (if applicable): _____

Facilities Capital Cost of Money (if applicable): _____

Fiscal Period:* 01/01/22 - 12/31/22

* Fiscal period is annual one year applicable accounting period that the ICR was developed (not the contract period). The ICR is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an ICR(s) for the fiscal period as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the ICR(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31).
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31.
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on the Financial Document Review Request form.

Financial Management System:

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in [Title 23 United States Code \(U.S.C.\) Section 112\(b\)\(2\); 48 CFR Part 31.201-2\(d\); 23 CFR, Chapter 1, Part 172.11\(a\)\(2\)](#); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

Cost Reimbursements on Contracts:

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - [23 CFR Part 172.11\(c\)\(4\)](#)
- False Claims Act - [Title 31 U.S.C. Sections 3729-3733](#)
- Statements or entries generally - [Title 18 U.S.C. Section 1001](#)
- Major Fraud Act - [Title 18 U.S.C. Section 1031](#)

All A&E Contract Information:

- Total participation amount 761,974.00 on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is 1
- Years of consultant’s experience with 48 CFR Part 31 is 7
- Identify the type of audits listed below that the consultant has had performed (if applicable):

Cognizant ICR Audit <input type="checkbox"/>	Local Govt ICR Audit <input type="checkbox"/>	Caltrans ICR Audit <input type="checkbox"/>
CPA ICR Audit <input type="checkbox"/>	Federal Govt ICR Audit <input type="checkbox"/>	

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the ICR Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with [Title 23 U.S.C. Section 112\(b\)\(2\)](#), [48 CFR Part 31](#), [23 CFR Part 172](#), and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name:** Kevin Ferguson Title:** Chief Development Officer

Signature: Kevin W.M. Ferguson Digitally signed by Kevin W.M. Ferguson
Date: 2023.08.07 12:06:13 -0700 Date: 0 0 2023

Phone:** (951) 830-1830 Email:** Kevin.Ferguson@webbassociates.com

**An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

Note: Both prime and subconsultants as parties of a contract must complete their own forms. Caltrans will not process local agency’s invoices until a complete form is accepted and approved by the Independent Office of Audits and Investigations.

Note: Mark-ups are Not Allowed

Prime Consultant Subconsultant 2nd Tier Subconsultant

Consultant: **Earth Mechanics, Inc.**

Project No. BR-NBIL(547) Contract La Quinta- Ave 50 Evac Channel; 2019-02 Date 2/8/2024

DIRECT LABOR

Classification/Title	Name	Range	Hours	Actual/Average Hourly Rate	Total
Principal/Project Manager		\$101 - \$124		\$ -	\$ -
Principal/Project Manager	Alahesh Thurairajah*	\$101 - \$124	100	\$ 101.60	\$ 10,160.00
Principal Engineer/Geologist		\$77 - \$124	40	\$ 92.00	\$ 3,680.00
Senior Engineer/Geologist		\$63- \$92	190	\$ 69.70	\$ 13,243.00
Senior Project Engineer/Geologist		\$54 - \$84		\$ 68.60	\$ -
Project Engineer/Geologist		\$51 - \$70	72	\$ 53.50	\$ 3,852.00
Senior Staff Engineer/Geologist		\$47 - \$62		\$ 51.30	\$ -
Staff Engineer/Geologist		\$33 - \$55	32	\$ 45.10	\$ 1,443.20
Senior Technician		\$49 - \$64	40	\$ 52.50	\$ 2,100.00
Technician		\$20 - \$34	18	\$ 27.20	\$ 489.60
Project Analyst		\$30 - \$63		\$ -	\$ -

LABOR COSTS

a) Subtotal Direct Labor Costs	\$ 34,967.80
b) Anticipated Salary Increases (see page 2 for calculation)	\$ 1,628.58
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]	\$ 36,596.38

INDIRECT COSTS

d) Fringe Benefits (Rate: <u>58.42%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$ 21,379.60
f) Overhead & G&A (Rate: <u>112.26%</u>)	g) Overhead [(c) x (f)]	\$ 41,083.09
h) General & Admin (Rate: <u> </u>)	i) Gen & Admin [(c) x (h)]	\$ -
	j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]	\$ 62,462.70

FIXED FEE	k) TOTAL FIXED FEE [(c) + (j)] x fixed fee: 10%	\$ 9,905.91
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I) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit	Unit Cost	Total
Drill Rig Rental	4	Day	\$ 5,200.00	\$ 18,200.00
Traffic Control	4	Day	\$ 1,750.00	\$ 7,000.00
EMI Coring	1	Day	\$ 400.00	\$ 400.00
Soil Cutting Contaminants Testing	2	Test	\$ 350.00	\$ 700.00
Soil Cuttings (drums) Disposal	10	Drum	\$ 300.00	\$ 3,000.00
Mileage	2860	Mile	\$ 0.655	\$ 1,873.30
Concrete Patch	4	Day	\$ 1,000.00	\$ 4,000.00

I) TOTAL OTHER DIRECT COSTS \$ 35,173.30

m) SUBCONSULTANTS' COSTS (Add additional pages if necessary)

Subconsultant 1: _____

m) TOTAL SUBCONSULTANTS' COSTS \$ -

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l) + (m)] \$ 35,173.30

TOTAL COST [(c) + (j) + (k) + (n)] \$ 144,138.28

NOTES:

- Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary increases calculation (page 2) must accompany.

CLICK HERE to Return to Agenda
CALCULATIONS FOR ANTICIPATED SALARY INCREASES

Consultant **Earth Mechanics, Inc.**

Project No. **BR-NBIL(547)** Contract **La Quinta- Ave 50 Evac Channel; 2019-02**

Date **2/8/2024**

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration
\$ 34,967.80	492	=	\$ 71.07	Year 1 Avg Hourly Rate

2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate		Proposed Escalation			
Year 1	\$ 71.07	+	5%	=	\$ 74.63	Year 2 Avg Hourly Rate
Year 2	\$ 74.63	+	5%	=	\$ 78.36	Year 3 Avg Hourly Rate
Year 3	\$ 78.36	+	5%	=	\$ 82.28	Year 4 Avg Hourly Rate
Year 4	\$ 82.28	+	5%	=	\$ 86.39	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	20.00%	*	492.0	=	98.4	Estimated Hours Year 1
Year 2	35.00%	*	492.0	=	172.2	Estimated Hours Year 2
Year 3	40.00%	*	492.0	=	196.8	Estimated Hours Year 3
Year 4	0.00%	*	492.0	=	0.0	Estimated Hours Year 4
Year 5	5.00%	*	492.0	=	24.6	Estimated Hours Year 5
Total	100%		Total	=	492.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year	
Year 1	\$ 71.07	*	98	=	\$ 6,993.56	Estimated Hours Year 1
Year 2	\$ 74.63	*	172	=	\$ 12,850.67	Estimated Hours Year 2
Year 3	\$ 78.36	*	197	=	\$ 15,420.80	Estimated Hours Year 3
Year 4	\$ 82.28	*	0	=	\$ -	Estimated Hours Year 4
Year 5	\$ 54.12	*	25	=	\$ 1,331.35	Estimated Hours Year 5
	Total Direct Labor Cost with Escalation			=	\$ 36,596.38	
	Direct Labor Subtotal before Escalation			=	\$ 34,967.80	
	Estimated total of Direct Labor Salary Increase			=	\$ 1,628.58	Transfer to Page 1

NOTES:

1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology).
3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
4. Calculations for anticipated salary escalation must be provided.

Certification of Direct Costs:

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Alahesh Thurairajah, PE, GE, BC.GE

Title *: Principal

Signature: 

Date of Certification: 2/8/2024

Email: A.Thurairajah@earthmech.com

Phone number: (951) 333-3648

Address: 765 North Main Street, Suite 136, Corona, CA 92880

* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

541330 - Geotechnical Engineering
541380 - Laboratory Testing



Inspector General

California Department of Transportation

Certification of Indirect Costs and Financial Management System

(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required)

Consultant's Full Legal Name: Earth Mechanics, Inc.

Important: Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

Indirect Cost Rate (ICR):

Combined Rate: 170.68 Or

Home Office Rate: _____ and Field Office Rate (if applicable): _____

Facilities Capital Cost of Money (if applicable): _____

Fiscal Period:* 02/01/2022 - 01/31/2023

* Fiscal period is annual one year applicable accounting period that the ICR was developed (not the contract period). The ICR is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an ICR(s) for the fiscal period as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the ICR(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31).
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31.
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on the Financial Document Review Request form.

Financial Management System:

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in [Title 23 United States Code \(U.S.C.\) Section 112\(b\)\(2\); 48 CFR Part 31.201-2\(d\); 23 CFR, Chapter 1, Part 172.11\(a\)\(2\)](#); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

Cost Reimbursements on Contracts:

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - [23 CFR Part 172.11\(c\)\(4\)](#)
- False Claims Act - [Title 31 U.S.C. Sections 3729-3733](#)
- Statements or entries generally - [Title 18 U.S.C. Section 1001](#)
- Major Fraud Act - [Title 18 U.S.C. Section 1031](#)

All A&E Contract Information:


- Total participation amount 9,000,000.00 on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is 2
- Years of consultant’s experience with 48 CFR Part 31 is 34
- Identify the type of audits listed below that the consultant has had performed (if applicable):

Cognizant ICR Audit Local Govt ICR Audit Caltrans ICR Audit

CPA ICR Audit Federal Govt ICR Audit

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the ICR Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with [Title 23 U.S.C. Section 112\(b\)\(2\)](#), [48 CFR Part 31](#), [23 CFR Part 172](#), and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name:** Alahesh Thurairajah, PE, GE, BC, GE Title:** Principal

Signature:  Date: 01/30/2024

Phone:** (951) 333-3648 Email:** a.thurairajah@earthmech.com

**An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

Note: Both prime and subconsultants as parties of a contract must complete their own forms. Caltrans will not process local agency’s invoices until a complete form is accepted and approved by the Independent Office of Audits and Investigations.

From: Castillo, Steven@DOT <Steven.Castillo@dot.ca.gov>
Sent: Wednesday, January 24, 2024 3:50 PM
To: Lakis, Jarred A@DOT <jarred.lakis@dot.ca.gov>
Cc: Bennyhoff, Emelda@DOT <emelda.bennyhoff@dot.ca.gov>; Hill, Michael@DOT <Michael.Hill@dot.ca.gov>; Salvacion, Marie@DOT <Marie.Salvacion@dot.ca.gov>; Whitfield, Rodney (FHWA) <Rodney.Whitfield@dot.gov>; grace.regidor@dot.gov
Subject: IOAI Final Recommendations for California Department of Transportation (Caltrans) Contract 59A1270, (P1410-0493)

Hello,

The Independent Office of Audits and Investigations (IOAI) has completed the financial document review (FDR) for contract 59A1270 (**Agreement**).

IOAI did not audit, examine, or test the financial management system, and we cannot express an opinion on its adequacy, or express an opinion on any costs or credits arising from the costs proposed on this Agreement. IOAI performed desk review procedures to confirm or verify the financial information. All Consultants and contracts are still subject to a full audit/review after contract execution per the contract language.

Based on the review, the following are our recommendations:

Earth Mechanics, Inc.

- Revised the indirect cost rate based on the most recent fiscal year.

FOR FINANCIAL DOCUMENT PACKAGES RECEIVED AFTER JUNE 30, 2023, CONSULTANTS' 2022 INDIRECT COST RATES (ICR)(S) ARE REQUIRED.

Caltrans Acceptance

<i>Firm Name</i>	<i>CT ID#</i>	<i>ICR Fiscal Year</i>	<i>Accepted ICR</i>
Earth Mechanics, Inc. (Consultant did not receive PPP loan. Credit n/a.)	D2024-1241	2/01/22-1/31/23	170.68%

The Caltrans' acceptance ID is also posted to IOAI's website [Consultant Acceptance IDs for Indirect Costs](#) at <https://ig.dot.ca.gov> under the Resources tab.

* The accepted safe harbor rate (SHR) expires at the end of the calendar year the rate is accepted in. Consultants with an accepted SHR may also use the rate for all subsequent contracts submitted to IOAI through the end of the year. The SHR may be used for the life of the contract if the contract stipulates the indirect cost rate (ICR) is fixed for the life of the contract.

(1) The Accepted Home (H) ICR are for home office employees and Field (F) ICR are for field employees, apply accordingly.

(2) Based on our risk assessment, IOAI waived the review of the consultant. (Note: If a rate is provided, it is based on information IOAI had on file for the consultant.)

Note: The ICR acceptance shown above is based on information available at the time of IOAI's desk review. Should new information become available, such as the need for a separate ICR, or an ICR audit on a contract \$3.5M or greater, then Caltrans may adjust accepted ICR(s) Federal Acquisition Regulation (FAR) [FAR 31.31.203(f) and the American Association of State Highway and Transportation Officials (AASHTO) Audit Guide Section 5.6.]

Please keep a copy of this e-mail for your files. If you have questions, please contact Steve Castillo, Senior Management Auditor at (916) 767-9526 or Michael Hill, Staff Services Manager I at (916) 767-7469.

Thank you,



Michael Hill
Staff Services Manager I
Michael.hill@dot.ca.gov : oig.dot.ca.gov
Ph: 916.767.7469
Independent Office of Audits and Investigations

CONFIDENTIALITY NOTICE: This communication with its contents may have confidential and/or legally privileged information. It is only for the intended recipient(s). Unauthorized interception, review, use, or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

Steve Castillo
Senior Management Auditor

COST PROPOSAL 1 Page 1 of 3

ACTUAL COST-PLUS-FIXED FEE OR LUMP SUM (FIRM FIXED PRICE) CONTRACTS
(DESIGN, ENGINEERING AND ENVIRONMENTAL STUDIES)

Note: Mark-ups are Not Allowed Prime Consultant **Subconsultant X** 2nd Tier Subconsultant

Consultant Fehr & Peers
Project No. Avenue 50 Evacuation Channel Crossing Contract No. _____ Date Updated 8/7/2023

DIRECT LABOR

Classification/Title	Name	Hours	Actual Hourly Rate	Total
Principal	Jason Pack, P.E.	8	\$ 105.77	\$846.16
Principal	Claude Strayer, P.E.	80	\$ 73.56	\$5,884.80
Sr. Associate	Paul Herrmann, P.E.	20	\$ 65.38	\$1,307.60
Sr. Engineer		32	\$ 48.08	\$1,538.56
Sr. Engineer		192	\$ 45.67	\$8,768.64
Engineer/Planner		24	\$ 39.90	\$957.60
Project Coordinator		45	\$ 50.48	\$2,271.60
Graphics Support/GIS		4	\$ 37.50	\$150.00
		0	\$ -	\$0.00
		0	\$ -	\$0.00
		0	\$ -	\$0.00
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		0	\$ -	\$0.00
		0	\$ -	\$0.00
		0	\$ -	\$0.00
		0	\$ -	\$0.00

LABOR COSTS

a) Subtotal Direct Labor Costs	\$21,724.96
b) Anticipated Salary Increases (see page 2 for calculation)	\$543.12
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]	\$22,268.08

INDIRECT COSTS

d) Fringe Benefits (Rate: <u>62.39%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$13,893.06
f) Overhead (Rate: <u>121.13%</u>)	g) Overhead [(c) x (f)]	\$26,973.33
h) General and Administrative (Rate: _____)	i) Gen & Admin [(c) x (h)]	\$0.00
j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]		\$40,866.39
q) FIXED FEE 10.00%	k) TOTAL FIXED FEE [(c) + (j)] x (q)	\$6,313.45

l) CONSULTANT'S OTHER DIRECT COSTS (ODC) – ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit	Unit Cost	Total
Mileage - Current IRS Rate	0	miles	\$0.66	\$0.00
Traffic Counts	1	intersections	\$400.00	\$400.00
Traffic Counts	2	segments	\$200.00	\$400.00
RIVCOM Model Application	1	data purchase	\$500.00	\$500.00
				\$0.00
l) TOTAL OTHER DIRECT COSTS				\$1,300.00

m) SUBCONSULTANTS' COSTS (Add additional pages if necessary)

	\$ -
	\$ -
	\$ -
	\$ -
	\$ -
	\$ -
	\$ -
	\$ -
m) TOTAL SUBCONSULTANTS' COSTS	\$ -

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l)+(m)] \$1,300.00

TOTAL COST [(c) + (j) + (k) + (n)] **251** \$70,747.92

NOTES:

1. Key personnel **must** be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
3. Anticipated salary increases calculation (page 2) must accompany.

Local Assistance Procedures Manual

COST PROPOSAL 1 Page 2 of 3

ACTUAL COST-PLUS-FIXED FEE OR LUMP SUM (FIRM FIXED PRICE) CONTRACTS
(CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration
\$21,724.96	405	=	\$53.64	Year 1 Avg Hourly Rate

2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate		Proposed Escalation	=		
Year 1	\$53.64	+	5.0%	=	\$56.32	Year 2 Avg Hourly Rate
Year 2	\$56.32	+	3.0%	=	\$58.01	Year 3 Avg Hourly Rate
Year 3	\$58.01	+	3.0%	=	\$59.75	Year 4 Avg Hourly Rate
Year 4	\$59.75	+	3.0%	=	\$61.55	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal	=	Total Hours per Year	
Year 1	50.00%	*	405.0	=	202.5	Estimated Hours Year 1
Year 2	50.00%	*	405.0	=	202.5	Estimated Hours Year 2
Year 3	0.00%	*	405.0	=	0.0	Estimated Hours Year 3
Year 4	0.00%	*	405.0	=	0.0	Estimated Hours Year 4
Year 5	0.00%	*	405.0	=	0.0	Estimated Hours Year 5
Total	100%		Total	=	405.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)	=	Cost per Year	
Year 1	\$53.64	*	202.5	=	\$10,862.48	Estimated Hours Year 1
Year 2	\$56.32	*	202.5	=	\$11,405.60	Estimated Hours Year 2
Year 3	\$58.01	*	0.0	=	\$0.00	Estimated Hours Year 3
Year 4	\$59.75	*	0.0	=	\$0.00	Estimated Hours Year 4
Year 5	\$61.55	*	0.0	=	\$0.00	Estimated Hours Year 5
			Total Direct Labor Cost with Escalation	=	\$22,268.08	
			Direct Labor Subtotal before Escalation	=	\$21,724.96	
			Estimated total of Direct Labor Salary Increase	=	\$543.12	Transfer to Page 1

NOTES:

1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable.
(i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology)
3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
4. Calculations for anticipated salary escalation must be provided.

Cost Proposal 1 Page 3 of 3

Certification of Direct Costs:

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:


1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Lysa Wollard Title *: CFO

Signature:  Date of Certification (mm/dd/yyyy): 02.02.2024

Email: l.wollard@fehrandpeers.com Phone Number: 925.977.3216

Address: 100 Pringle Avenue, Suite 600, Walnut Creek, CA 94596

*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Traffic Engineering and transportation planning. Specifically, development of the following deliverables in support of the project: (1) VMT Assessment, (2) Transportation Study, (3) Lighting Calculation and Concept Design, (4) Signing & Striping Design, (5) Street Lighting Design, (6) Traffic Signal Design (Optional), (7) Traffic Signal Interconnect (Optional), and (8) Design Support During Construction.



Inspector General

California Department of Transportation

Certification of Indirect Costs and Financial Management System

(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required)

Consultant's Full Legal Name: Fehr & Peers

Important: Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

Indirect Cost Rate (ICR):

Combined Rate: 183.52 Or

Home Office Rate: _____ and Field Office Rate (if applicable): _____

Facilities Capital Cost of Money (if applicable): _____

Fiscal Period:* 01/01/2022 - 12/31/2022

* Fiscal period is annual one year applicable accounting period that the ICR was developed (not the contract period). The ICR is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an ICR(s) for the fiscal period as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the ICR(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31).
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31.
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on the Financial Document Review Request form.

Financial Management System:

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in [Title 23 United States Code \(U.S.C.\) Section 112\(b\)\(2\); 48 CFR Part 31.201-2\(d\); 23 CFR, Chapter 1, Part 172.11\(a\)\(2\)](#); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost accounts;

EXHIBIT 10-H1 COST PROPOSAL Page 1 of 3

COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS
(DESIGN, ENGINEERING AND ENVIRONMENTAL STUDIES)

Note: Mark-ups are Not Allowed Prime Consultant Subconsultant 2nd Tier Subconsultant

Consultant GPA Consulting

Project No. Avenue 50 Bridge, La Quinta

Contract No. _____

Date 2/13/2024

DIRECT LABOR

Classification/Title	Name	Hours	Actual Hourly Rate	Total
Principal Environmental Planner	Erinn Silva	78	\$86.54	\$6,750.12
Senior Associate Environmental Planner	Ryan Todaro	6	\$86.54	\$519.24
Senior Environmental Planner	Marya Samuelson	238	\$60.10	\$14,303.80
Environmental Planner	Savannah Marburger	330	\$32.69	\$10,787.70
Environmental Planner	Alyssa "Riley" Vera	90	\$32.69	\$2,942.10
Senior Associate Biologist	Marieka Schrader	44	\$74.52	\$3,278.88
Senior Associate Biologist	Jennifer Johnson	108	\$62.50	\$6,750.00
Senior Biologist	Manjunath Venkat	76	\$55.53	\$4,220.28
Associate Biologist	Victoria Masjuan	278	\$36.35	\$10,105.30
Associate Biologist	Lizbeth Pliego Guzman	296	\$31.50	\$9,324.00
Senior Associate Architectural Historian	Jenna Kachour	12	\$62.50	\$750.00
Senior Architectural Historian	Amanda Duane	34	\$51.44	\$1,748.96
Associate Architectural Historian	Audrey von Ahrens	2	\$42.31	\$84.62
Associate Architectural Historian	Emma Haggerty	4	\$42.09	\$168.36
Senior GIS Analyst	Martin Rose	102	\$54.47	\$5,555.94
Environmental Planner	Monica Carvalheira	314	\$28.85	\$9,058.90
Environmental Planner	Kayla Fon	20	\$28.85	\$577.00

LABOR COSTS

a) Subtotal Direct Labor Costs	\$86,925.20
b) Anticipated Salary Increases (see page 2 for calculation)	\$0.00
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]	\$86,925.20

INDIRECT COSTS

d) Fringe Benefits (Rate: <u>51.39%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$44,673.82
f) Overhead (Rate: <u>51.85%</u>)	g) Overhead [(c) x (f)]	\$45,073.15
h) General and Administrative (Rate: <u>74.14%</u>)	i) Gen & Admin [(c) x (h)]	\$64,450.17
j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]		\$154,197.13

FIXED FEE	k) TOTAL FIXED FEE [(c) + (j)] x fixed fee <u>10%</u>	\$24,112.23
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l) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit(s)	Unit Cost	Total
Mileage Costs	2140	mile	0.655	\$1,401.70
Copies/Reproduction	4	ea	\$15.00	\$60.00
l) TOTAL OTHER DIRECT COSTS				\$1,461.70

m) SUBCONSULTANT'S COSTS (Add additional pages if necessary)

Subconsultant 1:	Ambient	\$135,554.81
Subconsultant 2:	Duke CRM	\$25,007.09
Subconsultant 3:	Crawford & Associates	\$20,831.12
m) TOTAL SUBCONSULTANT'S COSTS		\$181,393.02

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l) + (m)]	\$182,854.72
TOTAL COST [(c) + (j) + (k) + (n)]	\$448,089.29

NOTES:

- Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary increases calculation (page 2) must accompany.

EXHIBIT 10-H1 COST PROPOSAL Page 2 of 3
COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS
 (CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal \$86,925.20	Total Hours per Cost Proposal 1698	=	Avg Hourly Rate 51.19269729	5 Year Contract Duration Year 1 Avg Hourly Rate
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2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate		Proposed Escalation			
Year 1	\$51.19	+	5.0%	=	\$53.75	Year 2 Avg Hourly Rate
Year 2	\$53.75	+	5.0%	=	\$56.44	Year 3 Avg Hourly Rate
Year 3	\$56.44	+	5.0%	=	\$59.26	Year 4 Avg Hourly Rate
Year 4	\$59.26	+	5.0%	=	\$62.23	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	100.00%	*	1698.0	=	1698.0	Estimated Hours Year 1
Year 2	0.00%	*	1698.0	=	0.0	Estimated Hours Year 2
Year 3	0.00%	*	1698.0	=	0.0	Estimated Hours Year 3
Year 4	0.00%	*	1698.0	=	0.0	Estimated Hours Year 4
Year 5	0.00%	*	1698.0	=	0.0	Estimated Hours Year 5
Total	100%		Total	=	1698.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year	
Year 1	\$51.19	*	1698	=	\$86925.2	Estimated Hours Year 1
Year 2	\$53.75	*	0	=	\$0.00	Estimated Hours Year 2
Year 3	\$56.44	*	0	=	\$0.00	Estimated Hours Year 3
Year 4	\$59.26	*	0	=	\$0.00	Estimated Hours Year 4
Year 5	\$62.23	*	0	=	\$0.00	Estimated Hours Year 5
	Total Direct Labor Cost with Escalation			=	\$86,925.20	
	Direct Labor Subtotal before Escalation			=	\$86,925.20	
	Estimated total of Direct Labor Salary			=	\$0.00	Transfer to Page 1

- NOTES:
1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
 2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology)
 3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
 4. Calculations for anticipated salary escalation must be provided.

EXHIBIT 10-H1 COST PROPOSAL Page 3 of 3

Certification of Direct Costs

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:


1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Richard Galvin Title *: Vice President

Signature :  Date of Certification (mm/dd/yyyy) 2/13/2024

Email: richard@gpaconsulting-us.com Phone Number: (310) 792-2690

Address: 840 Apollo Street, Suite 312, El Segundo, CA 90245

*An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Environmental Consulting Services.

EXHIBIT 10-H1 COST PROPOSAL Page 1 of 3
COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS
 (DESIGN, ENGINEERING AND ENVIRONMENTAL STUDIES)

Note: Mark-ups are Not Allowed Prime Consultant Subconsultant 2nd Tier Subconsultant
 Consultant AMBIENT Air Quality & Noise Const
 Project No. _____ Contract No. _____ Date 2/12/2024

DIRECT LABOR

Classification/Title	Name	Hours	Actual Hourly Rate	Total
PRINCIPAL	KURT LEGLEITER	285	\$90.00	\$25,650.00
SPECIALIST	JON PAMBAKIAN	950	\$37.39	\$35,523.50
ANALYST	DYLAN MICK	340	\$24.04	\$8,173.08
				\$0.00
				\$0.00
				\$0.00

LABOR COSTS

a) Subtotal Direct Labor Costs	\$69,346.58
b) Anticipated Salary Increases (see page 2 for calculation)	\$0.00
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]	\$69,346.58

INDIRECT COSTS

d) Fringe Benefits (Rate <u>54.51%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$37,800.82
f) Overhead (Rate: <u>0.00%</u>)	g) Overhead [(c) x (f)]	\$0.00
h) General and Administrative (Rate: <u>20.15%</u>)	i) Gen & Admin [(c) x (h)]	\$13,973.34
j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]		\$51,774.16
FIXED FEE	k) TOTAL FIXED FEE [(c) + (j)] x fixed fee <u>10%</u>	\$12,112.07

l) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit(s)	Unit Cost	Total
Mileage Costs	1200	mile	\$0.67	\$804.00
Meals & Incidentals	6	days	\$69.00	\$414.00
Lodging	6	nights	\$184.00	\$1,104.00
				\$0.00

*ODCs to be billed based on Federal per diem rates.

l) TOTAL OTHER DIRECT COSTS \$2,322.00

m) SUBCONSULTANT'S COSTS (Add additional pages if necessary)

Subconsultant 1: _____	\$0.00
m) TOTAL SUBCONSULTANT'S COSTS	\$0.00

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l) + (m)]	\$2,322.00
TOTAL COST [(c) + (j) + (k) + (n)]	\$135,554.81

NOTES:

- Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary increases calculation (page 2) must accompany.

EXHIBIT 10-H1 COST PROPOSAL Page 2 of 3
COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS
 (CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal \$69,346.58	Total Hours per Cost Proposal 1575	=	Avg Hourly Rate 44.02957536	5 Year Contract Duration Year 1 Avg Hourly Rate
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2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate		Proposed Escalation			
Year 1	\$44.03	+	5.0%	=	\$46.23	Year 2 Avg Hourly Rate
Year 2	\$46.23	+	5.0%	=	\$48.54	Year 3 Avg Hourly Rate
Year 3	\$48.54	+	5.0%	=	\$50.97	Year 4 Avg Hourly Rate
Year 4	\$50.97	+	5.0%	=	\$53.52	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	100.00%	*	1575.0	=	1575.0	Estimated Hours Year 1
Year 2	0.00%	*	1575.0	=	0.0	Estimated Hours Year 2
Year 3	0.00%	*	1575.0	=	0.0	Estimated Hours Year 3
Year 4	0.00%	*	1575.0	=	0.0	Estimated Hours Year 4
Year 5	0.00%	*	1575.0	=	0.0	Estimated Hours Year 5
Total	100%		Total	=	1575.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year		
Year 1	\$44.03	*	1575	=	69346.5812	Estimated Hours Year 1	
Year 2	\$46.23	*	0	=	\$0.00	Estimated Hours Year 2	
Year 3	\$48.54	*	0	=	\$0.00	Estimated Hours Year 3	
Year 4	\$50.97	*	0	=	\$0.00	Estimated Hours Year 4	
Year 5	\$53.52	*	0	=	\$0.00	Estimated Hours Year 5	
Total Direct Labor Cost with Escalation					=	\$69,346.58	
Direct Labor Subtotal before Escalation					=	\$69,346.58	
Estimated total of Direct Labor Salary					=	\$0.00	Transfer to Page 1

- NOTES:
1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
 2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology)
 3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
 4. Calculations for anticipated salary escalation must be provided.

EXHIBIT 10-H1 COST PROPOSAL Page 3 of 3

Certification of Direct Costs

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:


1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Kurt Legleiter Title *: Principal

Signature :  Date of Certification (mm/dd/yyyy) 1/12/2024

Email: kurt@ambient.consulting Phone Number: 805.226.2727

Address: 75 Higuera Street, Suite 105, San Luis Obispo, CA 93401

*An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Air Quality Study Report, Air Quality Conformity Assessment, Noise Study Report

EXHIBIT 10-H1 COST PROPOSAL Page 1 of 3
COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS
(DESIGN, ENGINEERING AND ENVIRONMENTAL STUDIES)

Note: Mark-ups are Not Allowed

Prime Consultant Subconsultant 2nd Tier Subconsultant

Consultant Crawford & Associates, Inc.

Project No. _____ Contract No. _____

Date 7/24/2023

Project Name Low Water Crossing Replacement Bridge - La Quinta

DIRECT LABOR

Classification/Title	Name	Hours	Actual Hourly Rate	Total
Principal *	Benjamin Crawford	6.0	\$70.30	\$ 421.80
Senior Project Manager *	Chris Trumbull	0.0	\$72.50	\$ -
Senior Project Manager *	Eric Nichols	4.0	\$59.09	\$ 236.36
Project Manager II	TBD	0.0	\$54.85	\$ -
Project Manager I	TBD	0.0	\$52.88	\$ -
Senior Geologist	TBD	47.0	\$46.43	\$ 2,182.21
Senior Engineer	TBD	0.0	\$46.43	\$ -
Project Engineer II	TBD	0.0	\$42.00	\$ -
Project Engineer I	TBD	34.0	\$37.50	\$ 1,275.00
Staff Engineer	TBD	1.0	\$32.88	\$ 32.88
Administrative Assistant	TBD	4.0	\$29.75	\$ 119.00

96

LABOR COSTS

a) Subtotal Direct Labor Costs \$ 4,267.25
 b) Anticipated Salary Increases (see page 2 for calculation) \$0.00
c) TOTAL DIRECT LABOR COSTS [(a) + (b)] \$ 4,267.25

INDIRECT COSTS

d) Fringe Benefits Rate: 93.29% e) Total Fringe Benefits [(c) x (d)] \$ 3,980.92
 f) Overhead Rate: 116.79% g) Overhead [(c) x (f)] \$ 4,983.72
 h) General & Administrative Rate: 20.00% i) Gen & Admin [(c) x (h)] \$ 853.45
Combined ICR %: 230.08%
j) TOTAL INDIRECT COSTS [(e) + (g) + (i)] \$ 9,818.09
k) TOTAL FIXED FEE [(c) + (j)] x fixed fee 10% \$ 1,408.53

FIXED FEE

l) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit	Unit Cost	Total
Mileage (IRS Rate)	150	Mile	\$ 0.655	\$ 98.25
ERIS (Aerials, Records, Topos)	1	At Cost	\$ 575.00	\$ 575.00
Per Diem	2	Day	\$ 182.00	\$ 364.00
Travel Expenses (Air fare, car, shipping)	1	At Cost	\$ 1,600.00	\$ 1,600.00
Hand Auger	1	Day	\$ 200.00	\$ 200.00
Laboratory Testing	1	Lump Sum	\$ 2,500.00	\$ 2,500.00
				\$ 5,337.25

m) SUBCONSULTANT'S COSTS (Add additional pages if necessary)

Subconsultant 1: \$ -
 Subconsultant 2: \$ -
m) TOTAL SUBCONSULTANT'S COSTS \$ -

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l) + (m)] \$ 5,337.25
TOTAL COST [(c) + (j) + (k) + (n)] \$ 20,831.12

NOTES:

- Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary calculation (page 2) must accompany.

EXHIBIT 10-H1 COST PROPOSAL Page 2 of 3
ACTUAL COST-PLUS-FIXED FEE OR LUMP SUM (FIRM FIXED PRICE) CONTRACTS
(CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal <u>\$4,267.25</u>	Total Hours per Cost Proposal <u>96</u>	=	Avg Hourly Rate <u>44.45</u>	5 Year Contract Duration Year 1 Avg Hourly Rate
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2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalation %)

	Avg Hourly Rate		Proposed Escalation			
Year 1	\$44.45	+	5.0%	=	\$46.67	Year 2 Avg Hourly Rate
Year 2	\$46.67	+	5.0%	=	\$49.01	Year 3 Avg Hourly Rate
Year 3	\$49.01	+	5.0%	=	\$51.46	Year 4 Avg Hourly Rate
Year 4	\$51.46	+	5.0%	=	\$54.03	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	100%	*	96	=	96.00	Estimated Hours Year
Year 2	0%	*	96	=	0.00	Estimated Hours Year
Year 3	0%	*	96	=	0.00	Estimated Hours Year
Year 4	0%	*	96	=	0.00	Estimated Hours Year
Year 5	0%	*	96	=	0.00	Estimated Hours Year
Total	<u>100%</u>		Total	=	96.00	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year	
Year 1	\$44.45	*	96	=	4267.25	Estimated Hours Year
Year 2	\$46.67	*	0	=	\$0.00	Estimated Hours Year
Year 3	\$49.01	*	0	=	\$0.00	Estimated Hours Year
Year 4	\$51.46	*	0	=	\$0.00	Estimated Hours Year
Year 5	\$54.03	*	0	=	\$0.00	Estimated Hours Year
	Total Direct Labor Cost with Escalation			=	\$4,267.25	
	Direct Labor Subtotal before Escalation			=	\$4,267.25	
	Estimated total of Direct Labor Salary Increase			=	<u>\$0.00</u>	Transfer to Page 1

- NOTES:
1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
 2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 years = \$25,000 is not an acceptable methodology)
 3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
 4. Calculations for anticipated salary escalation must be provided.

EXHIBIT 10-H1 COST PROPOSAL Page 3 of 3

Certification of Direct Costs:


I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency approved or Caltrans accepted In direct Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Benjamin D. Crawford Title *: President

Signature:  Date of Certification: 7/24/2023

Email: ben.crawford@crawford-inc.com Phone Number: (916) 455-4225

Address: Crawford & Associates, Inc., 4701 Freeport Blvd., Sacramento, CA 95822

*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

ENVIRONMENTAL SERVICES

EXHIBIT 10-H1 COST PROPOSAL Page 1 of 3

COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS

(DESIGN, ENGINEERING AND ENVIRONMENTAL STUDIES)

Note: Mark-ups are Not Allowed

Prime Consultant

Subconsultant

2nd Tier Subconsultant

Consultant Duke Cultural Resources Managem

Project No. _____

Contract No. _____

Date _____

DIRECT LABOR

Classification/Title	Name	Hours	Actual Hourly Rate	Total
Principal Archaeologist	Curt Duke	13	\$80.00	\$1,040.00
Principal Investigator - Archaeologist/GIS	Brian Glenn	31.5	\$48.00	\$1,512.00
Principal Paleontologist	Brian Kussman	40	\$43.00	\$1,720.00
Paleontologist	Adrian Garibay	7	\$22.00	\$154.00
GIS Specialist	Michelle Dominguez	9.5	\$22.00	\$209.00
Archaeologist	Morgan Beigle	50	\$36.00	\$1,800.00
Archaeologist	Alexandria Bulato	15	\$31.00	\$465.00
Archaeologist	Lauren Biltonen	9	\$25.00	\$225.00
Administrative Assistant	Shawn Steinhardt	1.5	\$44.72	\$67.08
				\$0.00

LABOR COSTS

a) Subtotal Direct Labor Costs	\$7,192.08
b) Anticipated Salary Increases (see page 2 for calculation)	\$0.00
c) TOTAL DIRECT LABOR COSTS [(a) + (b)]	\$7,192.08

INDIRECT COSTS

d) Fringe Benefits (Rate: <u>40.50%</u>)	e) Total Fringe Benefits [(c) x (d)]	\$2,912.79
f) Overhead (Rate: <u>0.00%</u>)	g) Overhead [(c) x (f)]	\$0.00
h) General and Administrative (Rate: <u>124.52%</u>)	i) Gen & Admin [(c) x (h)]	\$8,955.58
j) TOTAL INDIRECT COSTS [(e) + (g) + (i)]		\$11,868.37
FIXED FEE	k) TOTAL FIXED FEE [(c) + (j)] x fixed fee <u>10%</u>	\$1,906.05

l) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)

Description of Item	Quantity	Unit(s)	Unit Cost	Total
Mileage Costs	348	mile	0.655	\$227.94
Cultural Records Search - Eastern Information Center (UCR)	1		\$750.00	\$750.00
Paleontological Record Search - Western Science Center	1		\$250.00	\$250.00
				\$0.00
l) TOTAL OTHER DIRECT COSTS				\$1,227.94

m) SUBCONSULTANT'S COSTS (Add additional pages if necessary)

Subconsultant 1:	\$0.00
m) TOTAL SUBCONSULTANT'S COSTS	\$0.00

n) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l) + (m)]	\$1,227.94
TOTAL COST [(c) + (j) + (k) + (n)]	\$22,194.44

NOTES:

- Key personnel must be marked with an asterisk (*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (**). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary increases calculation (page 2) must accompany.

EXHIBIT 10-H1 COST PROPOSAL Page 2 of 3
COST-PLUS-FIXED FEE OR LUMP SUM OR FIRM FIXED PRICE CONTRACTS
 (CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration
\$7,192.08	176.5	=	40.74832861	Year 1 Avg Hourly Rate

2. Calculate hourly rate for all years (Increase the Average Hourly Rate for a year by proposed escalatio

	Avg Hourly Rate		Proposed Escalation		
Year 1	\$40.75	+	5.0%	=	\$42.79
Year 2	\$42.79	+	5.0%	=	\$44.93
Year 3	\$44.93	+	5.0%	=	\$47.17
Year 4	\$47.17	+	5.0%	=	\$49.53
					Year 2 Avg Hourly Rate Year 3 Avg Hourly Rate Year 4 Avg Hourly Rate Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	100.00%	*	176.5	=	176.5	Estimated Hours Year 1
Year 2	0.00%	*	176.5	=	0.0	Estimated Hours Year 2
Year 3	0.00%	*	176.5	=	0.0	Estimated Hours Year 3
Year 4	0.00%	*	176.5	=	0.0	Estimated Hours Year 4
Year 5	0.00%	*	176.5	=	0.0	Estimated Hours Year 5
Total	100%		Total	=	176.5	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year	
Year 1	\$40.75	*	177	=	7192.08	Estimated Hours Year 1
Year 2	\$42.79	*	0	=	\$0.00	Estimated Hours Year 2
Year 3	\$44.93	*	0	=	\$0.00	Estimated Hours Year 3
Year 4	\$47.17	*	0	=	\$0.00	Estimated Hours Year 4
Year 5	\$49.53	*	0	=	\$0.00	Estimated Hours Year 5
	Total Direct Labor Cost with Escalation			=	\$7,192.08	
	Direct Labor Subtotal before Escalation			=	\$7,192.08	
	Estimated total of Direct Labor Salary Increase			=	\$0.00	Transfer to Page 1

NOTES:

1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology)
3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
4. Calculations for anticipated salary escalation must be provided.

EXHIBIT 10-H1 COST PROPOSAL Page 3 of 3

Certification of Direct Costs

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:


1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Subconsultant Certifying:

Name: Curt Duke Title *: President

Signature :  Date of Certification (mm/dd/yyyy) 11/16/2023

Email: curt@dukecrm.com Phone Number: 949-356-6660

Address: 18 Technology Drive, Suite 103, Irvine CA 92618

*An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Archaeological and paleontological resource services.

EXHIBIT 10-H1 COST PROPOSAL (Page 2 of 3)

ACTUAL COST-PLUS-FIXED FEE OR LUMP SUM (FIRM FIXED PRICE) CONTRACTS
(CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)

Direct Labor <u>Subtotal</u> per Cost Proposal	/	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration Year 1 Avg Hourly Rate
\$16,213.63		375.0		\$43.24	

2. Calculate hourly rate for all years (Increase the Average hourly rate for a year by proposed escalation %)

	Avg Hourly Rate	+	Proposed Escalation	=		
Year 1	\$43.24		4.0%		\$44.97	Year 2 Avg Hourly Rate
Year 2	\$44.97		4.0%		\$46.76	Year 3 Avg Hourly Rate
Year 3	\$46.76		4.0%		\$48.64	Year 4 Avg Hourly Rate
Year 4	\$48.64		4.0%		\$50.58	Year 5 Avg Hourly Rate

3. Calculate estimated hours per year (Multiply estimate % each year by total hours)

	Estimated % Completed Each Year	*	Total Hours per Cost Proposal	=	Total Hours per Year	
Year 1	20%		375.0		75.0	Estimated Hours Year 1
Year 2	40%		375.0		150.0	Estimated Hours Year 2
Year 3	40%		375.0		150.0	Estimated Hours Year 3
Year 4			375.0		0.0	Estimated Hours Year 4
Year 5			375.0		0.0	Estimated Hours Year 5
Total	100%		Total		375.0	

4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)

	Avg Hourly Rate (calculated above)	*	Estimated hours (calculated above)	=	Cost per Year	
Year 1	\$ 43.24		75.0		\$3,242.73	Estimated Hours Year 1
Year 2	\$ 44.97		150.0		\$6,744.87	Estimated Hours Year 2
Year 3	\$ 46.76		150.0		\$7,014.66	Estimated Hours Year 3
Year 4	\$ 48.64		0.0		\$0.00	Estimated Hours Year 4
Year 5	\$ 50.58		0.0		\$0.00	Estimated Hours Year 5
			Total Direct Labor Cost with Escalation	=	\$17,002.26	
			Direct Labor Subtotal before escalation	=	\$16,213.63	
			Estimated total of Direct Labor Salary Increase	=	\$788.63	Transfer to Page 1

NOTES:


1. This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
2. An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology)
3. This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
4. Calculations for anticipated salary escalation must be provided.

EXHIBIT 10-H1 COST PROPOSAL (Page 3 of 3)**Certification of Direct Costs**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 1 Generally Accepted Accounting Principles (GAAP)
- 2 Terms and conditions of the contract
- 3 Title 23 United States Code Section 112 - Letting of Contracts
- 4 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 5 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Services
- 6 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

Prime Consultant or Suconsultant Certifying:Name: Joey MendozaTitle*: Vice PresidentSignature: Date of Certification (mm/dd/yyyy): 2/13/2024Email: jmendoza@monumentrow.comPhone Number: 949.378.0687Address: 200 Spectrum Center, Suite 300, Irvine, CA 92618

*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under this proposed contract:

Right of Way and Utility Coordination Services



Inspector General

California Department of Transportation

Certification of Indirect Costs and Financial Management System

(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required)

Consultant's Full Legal Name: _____

Important: Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

Indirect Cost Rate (ICR):

Combined Rate: _____ Or

Home Office Rate: _____ and Field Office Rate (if applicable): _____

Facilities Capital Cost of Money (if applicable): _____

Fiscal Period:* _____

* Fiscal period is annual one year applicable accounting period that the ICR was developed (not the contract period). The ICR is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an ICR(s) for the fiscal period as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the ICR(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31).
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31.
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on the Financial Document Review Request form.

Financial Management System:

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in [Title 23 United States Code \(U.S.C.\) Section 112\(b\)\(2\); 48 CFR Part 31.201-2\(d\); 23 CFR, Chapter 1, Part 172.11\(a\)\(2\)](#); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

Cost Reimbursements on Contracts:

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - [23 CFR Part 172.11\(c\)\(4\)](#)
- False Claims Act - [Title 31 U.S.C. Sections 3729-3733](#)
- Statements or entries generally - [Title 18 U.S.C. Section 1001](#)
- Major Fraud Act - [Title 18 U.S.C. Section 1031](#)


All A&E Contract Information:

- Total participation amount _____ on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is _____
- Years of consultant’s experience with 48 CFR Part 31 is _____
- Identify the type of audits listed below that the consultant has had performed (if applicable):

Cognizant ICR Audit	Local Govt ICR Audit	Caltrans ICR Audit
CPA ICR Audit	Federal Govt ICR Audit	

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the ICR Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with [Title 23 U.S.C. Section 112\(b\)\(2\)](#), [48 CFR Part 31](#), [23 CFR Part 172](#), and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name:** _____ Title**:

Signature:  _____ Date:

Phone**:

Email**:

**An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

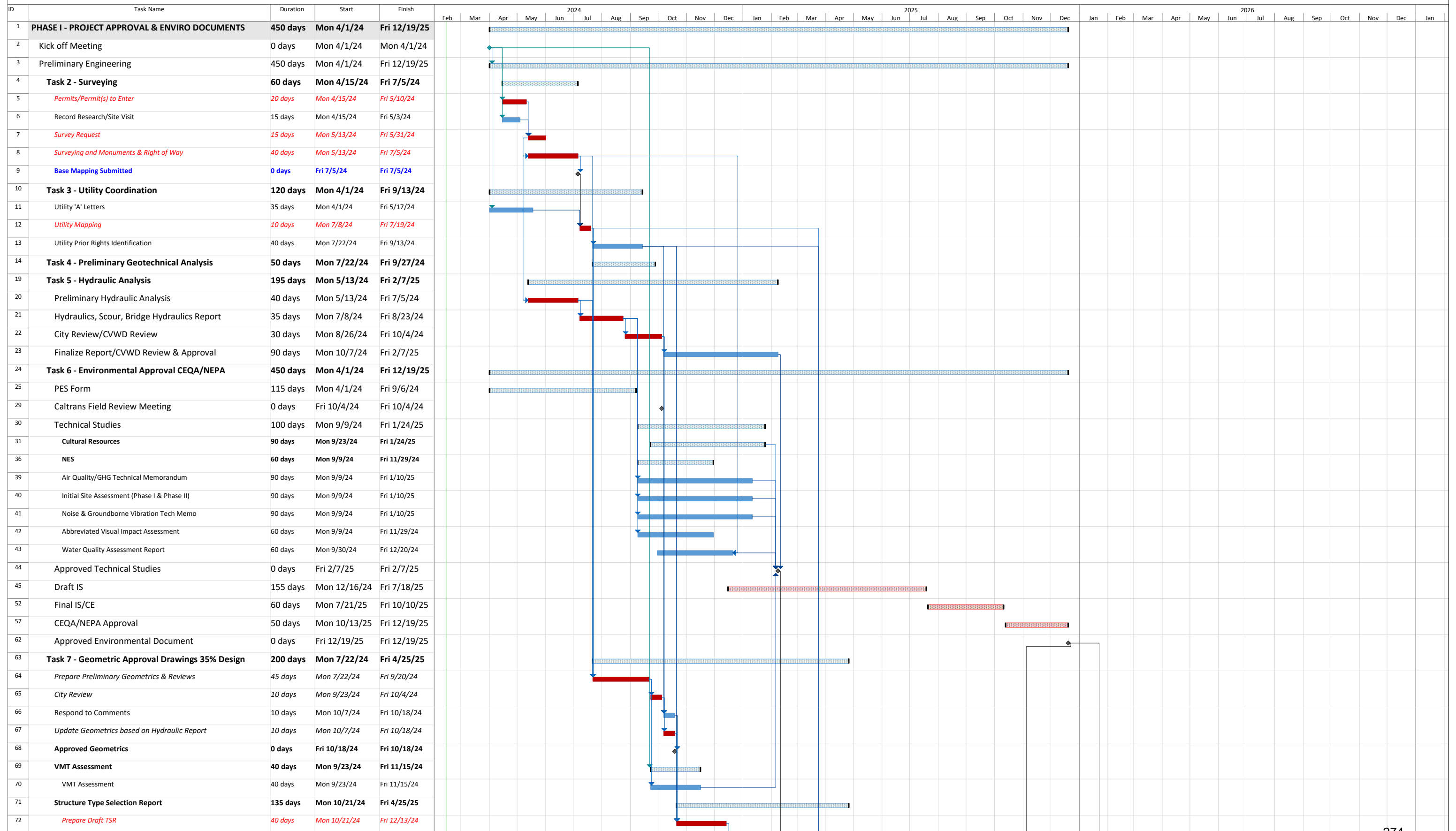
Note: Both prime and subconsultants as parties of a contract must complete their own forms. Caltrans will not process local agency’s invoices until a complete form is accepted and approved by the Independent Office of Audits and Investigations.

Exhibit C
Schedule of Performance

Contracting Party shall complete all services identified in the Scope of Services, Exhibit A of this Agreement, in accordance with the Project Schedule, attached hereto and incorporated herein by this reference.



Avenue 50 BRIDGE PROJECT
Environmental, Preliminary Engineering, PS&E and Right of Way Services





Avenue 50 BRIDGE PROJECT
Environmental, Preliminary Engineering, PS&E and Right of Way Services

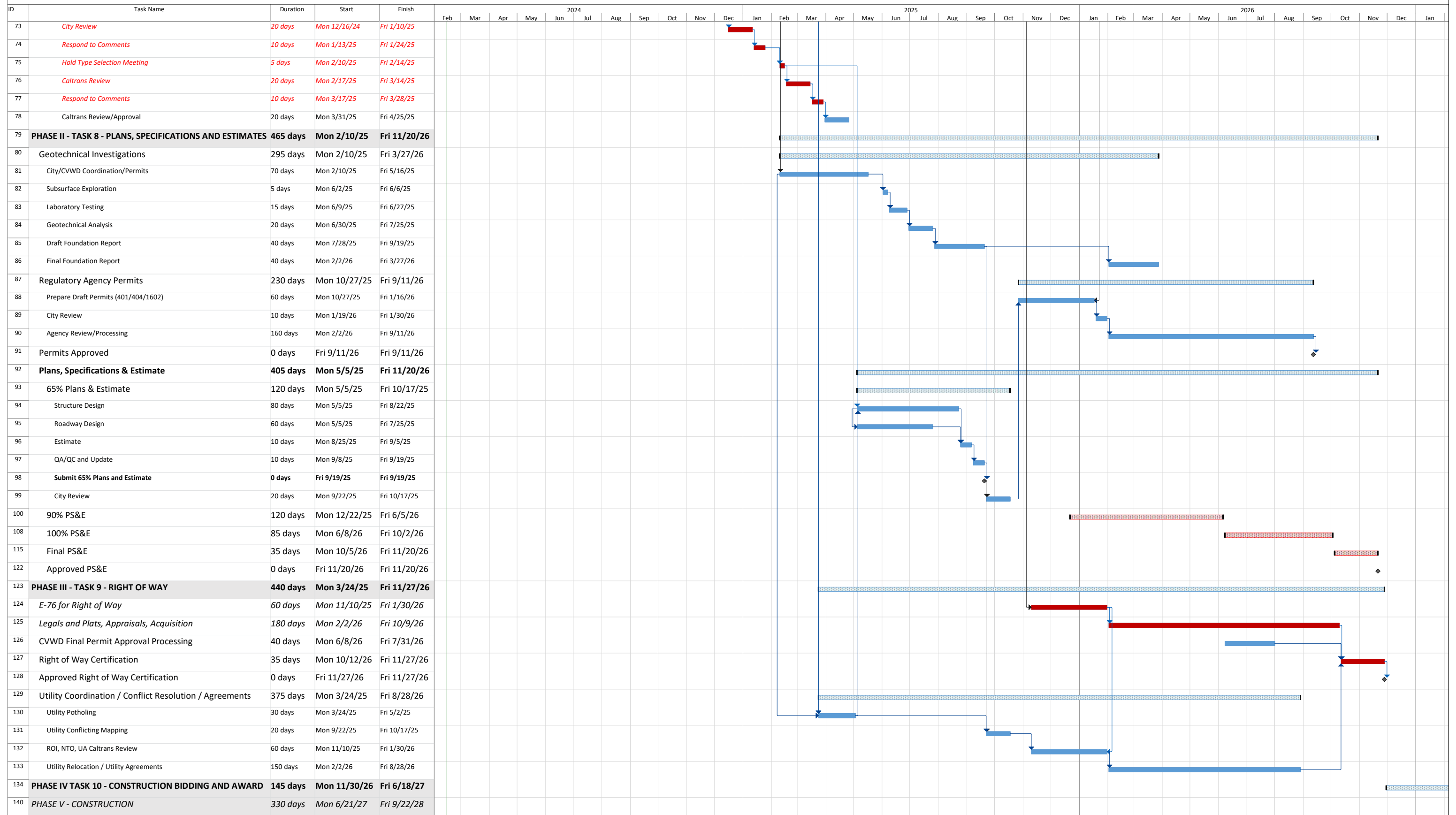


Exhibit D
Special Requirements

NONE

Exhibit E
Insurance Requirements

E.1 Insurance. Prior to the beginning of and throughout the duration of this Agreement, the following policies shall be maintained and kept in full force and effect providing insurance with minimum limits as indicated below and issued by insurers with A.M. Best ratings of no less than A-VI:

Commercial General Liability (at least as broad as ISO CG 0001)
\$1,000,000 (per occurrence)
\$2,000,000 (general aggregate)

Must include the following endorsements:

General Liability Additional Insured
General Liability Primary and Non-contributory

Commercial Auto Liability (at least as broad as ISO CA 0001)
\$1,000,000 (per accident)
Personal Auto Declaration Page if applicable

Errors and Omissions Liability
\$1,000,000 (per claim and aggregate)

Workers' Compensation
(per statutory requirements)

Must include the following endorsements:

Workers Compensation with Waiver of Subrogation
Workers Compensation Declaration of Sole Proprietor if applicable

Cyber Liability
\$1,000,000 (per occurrence)
\$2,000,000 (general aggregate)

Contracting Party shall procure and maintain, at its cost, and submit concurrently with its execution of this Agreement, Commercial General Liability insurance against all claims for injuries against persons or damages to property resulting from Contracting Party's acts or omissions rising out of or related to Contracting Party's performance under this Agreement. The insurance policy shall contain a severability of interest clause providing that the coverage shall be primary for losses arising out of Contracting Party's performance hereunder and neither City nor its insurers shall be required to contribute to any such loss. An endorsement evidencing the foregoing and naming the City and its officers and employees as additional insured (on the Commercial General Liability policy only) must be submitted concurrently with the execution of this Agreement and approved by City prior to commencement of the services hereunder.

Contracting Party shall carry automobile liability insurance of \$1,000,000 per accident against all claims for injuries against persons or damages to property arising out

of the use of any automobile by Contracting Party, its officers, any person directly or indirectly employed by Contracting Party, any subcontractor or agent, or anyone for whose acts any of them may be liable, arising directly or indirectly out of or related to Contracting Party's performance under this Agreement. If Contracting Party or Contracting Party's employees will use personal autos in any way on this project, Contracting Party shall provide evidence of personal auto liability coverage for each such person. The term "automobile" includes, but is not limited to, a land motor vehicle, trailer or semi-trailer designed for travel on public roads. The automobile insurance policy shall contain a severability of interest clause providing that coverage shall be primary for losses arising out of Contracting Party's performance hereunder and neither City nor its insurers shall be required to contribute to such loss.

Professional Liability or Errors and Omissions Insurance as appropriate shall be written on a policy form coverage specifically designed to protect against acts, errors or omissions of the Contracting Party and "Covered Professional Services" as designated in the policy must specifically include work performed under this agreement. The policy limit shall be no less than \$1,000,000 per claim and in the aggregate. The policy must "pay on behalf of" the insured and must include a provision establishing the insurer's duty to defend. The policy retroactive date shall be on or before the effective date of this agreement.

Contracting Party shall carry Workers' Compensation Insurance in accordance with State Worker's Compensation laws with employer's liability limits no less than \$1,000,000 per accident or disease.

Contracting Party shall procure and maintain Cyber Liability insurance with limits of \$1,000,000 per occurrence/loss which shall include the following coverage:

- a. Liability arising from the theft, dissemination and/or use of confidential or personally identifiable information; including credit monitoring and regulatory fines arising from such theft, dissemination or use of the confidential information.
- b. Network security liability arising from the unauthorized use of, access to, or tampering with computer systems.
- c. Liability arising from the failure of technology products (software) required under the contract for Consultant to properly perform the services intended.
- d. Electronic Media Liability arising from personal injury, plagiarism or misappropriation of ideas, domain name infringement or improper deep-linking or framing, and infringement or violation of intellectual property rights.
- e. Liability arising from the failure to render professional services.

If coverage is maintained on a claims-made basis, Contracting Party shall maintain such coverage for an additional period of three (3) years following termination of the contract.

Contracting Party shall provide written notice to City within ten (10) working days if: (1) any of the required insurance policies is terminated; (2) the limits of any of the required policies are reduced; or (3) the deductible or self-insured retention is increased. In the event any of said policies of insurance are cancelled, Contracting Party shall, prior to the cancellation date, submit new evidence of insurance in conformance with this Exhibit to the Contract Officer. The procuring of such insurance or the delivery of policies or certificates evidencing the same shall not be construed as a limitation of Contracting Party's obligation to indemnify City, its officers, employees, contractors, subcontractors, or agents.

E.2 Remedies. In addition to any other remedies City may have if Contracting Party fails to provide or maintain any insurance policies or policy endorsements to the extent and within the time herein required, City may, at its sole option:

- a. Obtain such insurance and deduct and retain the amount of the premiums for such insurance from any sums due under this Agreement.
- b. Order Contracting Party to stop work under this Agreement and/or withhold any payment(s) which become due to Contracting Party hereunder until Contracting Party demonstrates compliance with the requirements hereof.
- c. Terminate this Agreement.

Exercise of any of the above remedies, however, is an alternative to any other remedies City may have. The above remedies are not the exclusive remedies for Contracting Party's failure to maintain or secure appropriate policies or endorsements. Nothing herein contained shall be construed as limiting in any way the extent to which Contracting Party may be held responsible for payments of damages to persons or property resulting from Contracting Party's or its subcontractors' performance of work under this Agreement.

E.3 General Conditions Pertaining to Provisions of Insurance Coverage by Contracting Party. Contracting Party and City agree to the following with respect to insurance provided by Contracting Party:

1. Contracting Party agrees to have its insurer endorse the third party general liability coverage required herein to include as additional insureds City, its officials, employees, and agents, using standard ISO endorsement No. CG 2010 with an edition prior to 1992. Contracting Party also agrees to require all contractors, and subcontractors to do likewise.

2. No liability insurance coverage provided to comply with this Agreement shall prohibit Contracting Party, or Contracting Party's employees, or agents, from waiving the right of subrogation prior to a loss. Contracting Party agrees to waive subrogation rights

against City regardless of the applicability of any insurance proceeds, and to require all contractors and subcontractors to do likewise.

3. All insurance coverage and limits provided by Contracting Party and available or applicable to this Agreement are intended to apply to the full extent of the policies. Nothing contained in this Agreement or any other agreement relating to City or its operations limits the application of such insurance coverage.

4. None of the coverages required herein will be in compliance with these requirements if they include any limiting endorsement of any kind that has not been first submitted to City and approved of in writing.

5. No liability policy shall contain any provision or definition that would serve to eliminate so-called "third party action over" claims, including any exclusion for bodily injury to an employee of the insured or of any contractor or subcontractor.

6. All coverage types and limits required are subject to approval, modification and additional requirements by the City, as the need arises. Contracting Party shall not make any reductions in scope of coverage (e.g. elimination of contractual liability or reduction of discovery period) that may affect City's protection without City's prior written consent.

7. Proof of compliance with these insurance requirements, consisting of certificates of insurance evidencing all the coverages required and an additional insured endorsement to Contracting Party's general liability policy, shall be delivered to City at or prior to the execution of this Agreement. In the event such proof of any insurance is not delivered as required, or in the event such insurance is canceled at any time and no replacement coverage is provided, City has the right, but not the duty, to obtain any insurance it deems necessary to protect its interests under this or any other agreement and to pay the premium. Any premium so paid by City shall be charged to and promptly paid by Contracting Party or deducted from sums due Contracting Party, at City option.

8. It is acknowledged by the parties of this agreement that all insurance coverage required to be provided by Contracting Party or any subcontractor, is intended to apply first and on a primary, non-contributing basis in relation to any other insurance or self-insurance available to City.

9. Contracting Party agrees to ensure that subcontractors, and any other party involved with the project that is brought onto or involved in the project by Contracting Party, provide the same minimum insurance coverage required of Contracting Party. Contracting Party agrees to monitor and review all such coverage and assumes all responsibility for ensuring that such coverage is provided in conformity with the requirements of this section. Contracting Party agrees that upon request, all agreements with subcontractors and others engaged in the project will be submitted to City for review.

10. Contracting Party agrees not to self-insure or to use any self-insured retentions or deductibles on any portion of the insurance required herein (with the exception of professional liability coverage, if required) and further agrees that it will not

allow any contractor, subcontractor, Architect, Engineer or other entity or person in any way involved in the performance of work on the project contemplated by this agreement to self-insure its obligations to City. If Contracting Party's existing coverage includes a deductible or self-insured retention, the deductible or self-insured retention must be declared to the City. At that time the City shall review options with the Contracting Party, which may include reduction or elimination of the deductible or self-insured retention, substitution of other coverage, or other solutions.

11. The City reserves the right at any time during the term of this Agreement to change the amounts and types of insurance required by giving the Contracting Party ninety (90) days advance written notice of such change. If such change results in substantial additional cost to the Contracting Party, the City will negotiate additional compensation proportional to the increased benefit to City.

12. For purposes of applying insurance coverage only, this Agreement will be deemed to have been executed immediately upon any party hereto taking any steps that can be deemed to be in furtherance of or towards performance of this Agreement.

13. Contracting Party acknowledges and agrees that any actual or alleged failure on the part of City to inform Contracting Party of non-compliance with any insurance requirement in no way imposes any additional obligations on City nor does it waive any rights hereunder in this or any other regard.

14. Contracting Party will renew the required coverage annually as long as City, or its employees or agents face an exposure from operations of any type pursuant to this agreement. This obligation applies whether the agreement is canceled or terminated for any reason. Termination of this obligation is not effective until City executes a written statement to that effect.

15. Contracting Party shall provide proof that policies of insurance required herein expiring during the term of this Agreement have been renewed or replaced with other policies providing at least the same coverage. Proof that such coverage has been ordered shall be submitted prior to expiration. A coverage binder or letter from Contracting Party's insurance agent to this effect is acceptable. A certificate of insurance and an additional insured endorsement is required in these specifications applicable to the renewing or new coverage must be provided to City within five (5) days of the expiration of coverages.

16. The provisions of any workers' compensation or similar act will not limit the obligations of Contracting Party under this agreement. Contracting Party expressly agrees not to use any statutory immunity defenses under such laws with respect to City, its employees, officials, and agents.

17. Requirements of specific coverage features, or limits contained in this section are not intended as limitations on coverage, limits or other requirements nor as a waiver of any coverage normally provided by any given policy. Specific reference to a

given coverage feature is for purposes of clarification only as it pertains to a given issue and is not intended by any party or insured to be limiting or all-inclusive.

18. These insurance requirements are intended to be separate and distinct from any other provision in this Agreement and are intended by the parties here to be interpreted as such.

19. The requirements in this Exhibit supersede all other sections and provisions of this Agreement to the extent that any other section or provision conflicts with or impairs the provisions of this Exhibit.

20. Contracting Party agrees to be responsible for ensuring that no contract used by any party involved in any way with the project reserves the right to charge City or Contracting Party for the cost of additional insurance coverage required by this agreement. Any such provisions are to be deleted with reference to City. It is not the intent of City to reimburse any third party for the cost of complying with these requirements. There shall be no recourse against City for payment of premiums or other amounts with respect thereto.

21. Contracting Party agrees to provide immediate notice to City of any claim or loss against Contracting Party arising out of the work performed under this agreement. City assumes no obligation or liability by such notice, but has the right (but not the duty) to monitor the handling of any such claim or claims if they are likely to involve City.

Exhibit F
Indemnification

F.1 Indemnity for the Benefit of City.

a. Indemnification for Professional Liability. When the law establishes a professional standard of care for Contracting Party's Services, to the fullest extent permitted by law, Contracting Party shall indemnify, protect, defend (with counsel selected by City), and hold harmless City and any and all of its officials, employees, and agents ("Indemnified Parties") from and against any and all claims, losses, liabilities of every kind, nature, and description, damages, injury (including, without limitation, injury to or death of an employee of Contracting Party or of any subcontractor), costs and expenses of any kind, whether actual, alleged or threatened, including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses, and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation, to the extent same are caused in whole or in part by any negligent or wrongful act, error or omission of Contracting Party, its officers, agents, employees or subcontractors (or any entity or individual that Contracting Party shall bear the legal liability thereof) in the performance of professional services under this agreement. With respect to the design of public improvements, the Contracting Party shall not be liable for any injuries or property damage resulting from the reuse of the design at a location other than that specified in Exhibit A without the written consent of the Contracting Party.

b. Indemnification for Other Than Professional Liability. Other than in the performance of professional services and to the full extent permitted by law, Contracting Party shall indemnify, defend (with counsel selected by City), and hold harmless the Indemnified Parties from and against any liability (including liability for claims, suits, actions, arbitration proceedings, administrative proceedings, regulatory proceedings, losses, expenses or costs of any kind, whether actual, alleged or threatened, including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses, and fees of expert consultants or expert witnesses) incurred in connection therewith and costs of investigation, where the same arise out of, are a consequence of, or are in any way attributable to, in whole or in part, the performance of this Agreement by Contracting Party or by any individual or entity for which Contracting Party is legally liable, including but not limited to officers, agents, employees, or subcontractors of Contracting Party.

c. Indemnity Provisions for Contracts Related to Construction (Limitation on Indemnity). Without affecting the rights of City under any provision of this agreement, Contracting Party shall not be required to indemnify and hold harmless City for liability attributable to the active negligence of City, provided such active negligence is determined by agreement between the parties or by the findings of a court of competent jurisdiction. In instances where City is shown to have been actively negligent and where City's active negligence accounts for only a percentage of the liability involved, the obligation of Contracting Party will be for that entire portion or percentage of liability not attributable to the active negligence of City.

d. Indemnification Provision for Design Professionals.

1. Applicability of this Section F.1(d). Notwithstanding Section F.1(a) hereinabove, the following indemnification provision shall apply to a Contracting Party who constitutes a “design professional” as the term is defined in paragraph 3 below.

2. Scope of Indemnification. When the law establishes a professional standard of care for Contracting Party’s Services, to the fullest extent permitted by law, Contracting Party shall indemnify and hold harmless City and any and all of its officials, employees, and agents (“Indemnified Parties”) from and against any and all losses, liabilities of every kind, nature, and description, damages, injury (including, without limitation, injury to or death of an employee of Contracting Party or of any subcontractor), costs and expenses, including, without limitation, incidental and consequential damages, court costs, reimbursement of attorneys’ fees, litigation expenses, and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation, to the extent same are caused by any negligent or wrongful act, error or omission of Contracting Party, its officers, agents, employees or subcontractors (or any entity or individual that Contracting Party shall bear the legal liability thereof) in the performance of professional services under this agreement. With respect to the design of public improvements, the Contracting Party shall not be liable for any injuries or property damage resulting from the reuse of the design at a location other than that specified in Exhibit A without the written consent of the Contracting Party.

3. Design Professional Defined. As used in this Section F.1(d), the term “design professional” shall be limited to licensed architects, registered professional engineers, licensed professional land surveyors and landscape architects, all as defined under current law, and as may be amended from time to time by Civil Code § 2782.8.

F.2 Obligation to Secure Indemnification Provisions. Contracting Party agrees to obtain executed indemnity agreements with provisions identical to those set forth herein this Exhibit F, as applicable to the Contracting Party, from each and every subcontractor or any other person or entity involved by, for, with or on behalf of Contracting Party in the performance of this Agreement. In the event Contracting Party fails to obtain such indemnity obligations from others as required herein, Contracting Party agrees to be fully responsible according to the terms of this Exhibit. Failure of City to monitor compliance with these requirements imposes no additional obligations on City and will in no way act as a waiver of any rights hereunder. This obligation to indemnify and defend City as set forth in this Agreement are binding on the successors, assigns or heirs of Contracting Party and shall survive the termination of this Agreement.

City of La Quinta

CITY COUNCIL MEETING: February 20, 2024

STAFF REPORT

AGENDA TITLE: APPOINT THE PUBLIC WORKS DIRECTOR TO SERVE ON THE IMPERIAL IRRIGATION DISTRICT ENERGY CONSUMERS ADVISORY COMMITTEE FOR THE REMAINDER OF AN UNEXPIRED TERM ENDING DECEMBER 31, 2024

RECOMMENDATION

Appoint Public Works Director Bryan McKinney to serve as the City's representative on the Imperial Irrigation District Energy Consumers Advisory Committee for the remainder of an unexpired term ending December 31, 2024.

EXECUTIVE SUMMARY

- Imperial Irrigation District (IID) Energy Consumer Advisory Committee (ECAC) provides recommendations to the IID Board of Directors (Board) on matters that affect the Energy Department.
- The City has two-member representation on the IID ECAC; historically, Council has appointed one Councilmember and one resident.
- The City's resident representative resigned his position on February 5, 2024; and Council must make an appointment to fill the vacancy.

FISCAL IMPACT

The ECAC position is voluntary and does not receive a stipend. Expenses incurred by members in connection with their service, such as mileage, may be reimbursed only if pre-approved by IID in accordance with IID policy.

BACKGROUND/ANALYSIS

The ECAC was formed in 1994 to provide critical advice and recommendations to the Board regarding all aspects of the Energy Department and its operations, including fiscal and strategic planning, and policy matters.

The ECAC meets on the 3rd Monday of every month, excluding holidays, and is comprised of 20 members who reside within the IID service territory – two (2) Imperial Valley members are appointed by each director representing the five (5) divisions of the

IID Board; the remaining ten (10) members represent the Coachella Valley and are appointed by the jurisdictional entities they represent, then ratified by the IID Board.

La Quinta appoints two (2)-member representatives each for four (4)-year terms. La Quinta member representatives are comprised of: (1) Councilmember Deborah McGarrey's (term set to expire December 31, 2026); and (2) La Quinta resident member Lee Osborne (term set to expire December 31, 2024), who submitted his resignation to the IID Board on February 5, 2024.

Staff recommends Council appoint Public Works Director McKinney to fill the vacancy for the remainder of the unexpired term ending December 31, 2024.

ALTERNATIVES

Staff does not recommend an alternative.

Prepared by: Lori Loret, Senior Permit Technician
Approved by: Monika Radeva, City Clerk

City of La Quinta

CITY COUNCIL MEETING

DEPARTMENT REPORT

TO: Madam Mayor and Members of the City Council

FROM: Lori Loret, Senior Permit Technician
Monika Radeva, City Clerk

DATE: February 20, 2024

SUBJECT: SHORT-TERM VACATION RENTAL PROGRAM – 2024 CODE UPDATES AND 2023 QUARTER 4 (OCTOBER – DECEMBER) PROGRAM OVERVIEW OF CHARACTERISTICS, COMPLIANCE, AND ENFORCEMENT

The 2023 Quarter 4 (Q-4 – October – December) summary update, as of December 31, 2023, of the short-term vacation rental (STVR) program is included with this report as Exhibit A and provides an overview of the program’s characteristics, compliance and enforcement, and a comparison to 2022 and 2021 calendar year metrics.

The City imposed a moratorium on the issuance of new STVR permits on August 4, 2020, via Executive Order No. 10, and extended it in succeeding amendments. Section 3.25.055 of the La Quinta Municipal Code (Code) established a permanent Permit Ban (Ban) on the issuance of new STVR permits in residential areas effective May 20, 2021. There have been no new permits issued since August 4, 2020, except in a few select “Exempt Areas” zoned for tourist commercial, village commercial, and communities expressly developed to allow STVRs, as depicted on the map in Attachment 1. Permit-holders may renew existing permits, but permits cannot be transferred.

Effective January 4, 2024, several STVR code updates (Ordinance Nos. 607 and 608) went into effect as a result of Council’s deliberations held in November and December of 2023, which are briefly summarized below:

- A) Homeshare STVR permits – are now exempt from the Ban on the issuance of new STVR permits. As of December 31, 2023, there were a total of 17 Homeshare permits. As of January 31, 2024, a total of 17 new Homeshare permits have been issued, for a grand total of 34.
- B) Large Lot Qualified and Certified – large lots consisting of a single parcel of 25,000 sq. feet or more are now eligible to apply for an exemption from the Ban on the issuance of new STVR permits, if certain criteria are met (pursuant to Section 3.25.057 of the Code), to be considered by Council at a Public Hearing; and if approved, may apply to obtain a new STVR permit. Currently there are 3 qualifying

properties undergoing the pre-application review process, which are anticipated to be brought for Council consideration in the coming months:

- C) Homeowners’ Associations (HOAs) – Council considered and deliberated during several public meetings, held on April 20, 2021, March 21, 2023, May 16, 2023, and November 7, 2023; however, Council did not amend the Code to provide the option for a qualifying HOA to seek exemption from the Ban on the issuance of new STVR permits.

On November 21, 2023, Council directed staff to: (1) send written notices to HOAs that currently allow STVRs pursuant to their Covenants, Conditions, and Restrictions (CC&Rs) requesting that HOAs notify the City in writing should they be interested in an exemption from the Ban; and (2) identify the total number of parcels within each HOA along with the total number of STVR permits to determine if there has been any attrition.

On January 9, 2024, staff sent written notices, included as Attachment 4.1, to the 6 potentially qualifying HOAs listed in the chart below, and as of this writing, the City has received 4 responses, included as Attachment 4.2 – PGA West – Res II, Santa Rosa Cove, and Village at the Palms indicated they are not interested in pursuing any such exemption; and Monticello stated that the development does not have an HOA nor CC&Rs that govern the use of any of its properties, thus making it ineligible if such an exemption is adopted. The City is communicating with the Estates at La Quinta and PGA West – Res I, and providing additional information as requested, however, there has been no indication of any interest in this exemption.

HOA Name	Business Area	Active STVRs in HOA as of 3/31/2023	Active STVRs in HOA as of 12/31/2023	Total Improved Parcels
Estates at La Quinta	South LQ	1	1	14
Monticello	North LQ	11	11	206
PGA West - Res 1	South LQ	144	135	2715*
PGA West - Res 2	South LQ	66	61	2715*
Santa Rosa Cove	Mid LQ	46	42	334
Village at the Palms	South LQ	2	2	94

*Parcel counts for PGA West include all areas EXCEPT Signature which is Exempt

Exhibit A and Related Attachments:

1. STVR Zones – map
2. STVR properties by development
3. 24/7 STVR Hotline – flow chart
- 4.1 City letter mailed to HOAs regarding interest in possible exemption from the Ban, dated January 9, 2024
- 4.2 HOA responses received

STVR QUARTERLY REPORT

OCTOBER 1, 2023 - DECEMBER 31, 2023

THE STVR PROGRAM CONSISTS OF PERMITTING, LICENSING, TAX COLLECTION, AND COMPLIANCE MONITORING AND ENFORCEMENT

PERMITTING

The City Clerk's Office issues all new and renewed STVR permits and related business licenses, handles Transient Occupancy Tax (TOT) collections, and assists STVR property owners with keeping their property in compliance with the STVR program.

Number of STVR Permits:

December 2023

Total STVR Permits: 1,235

(Estate Permits: 64)

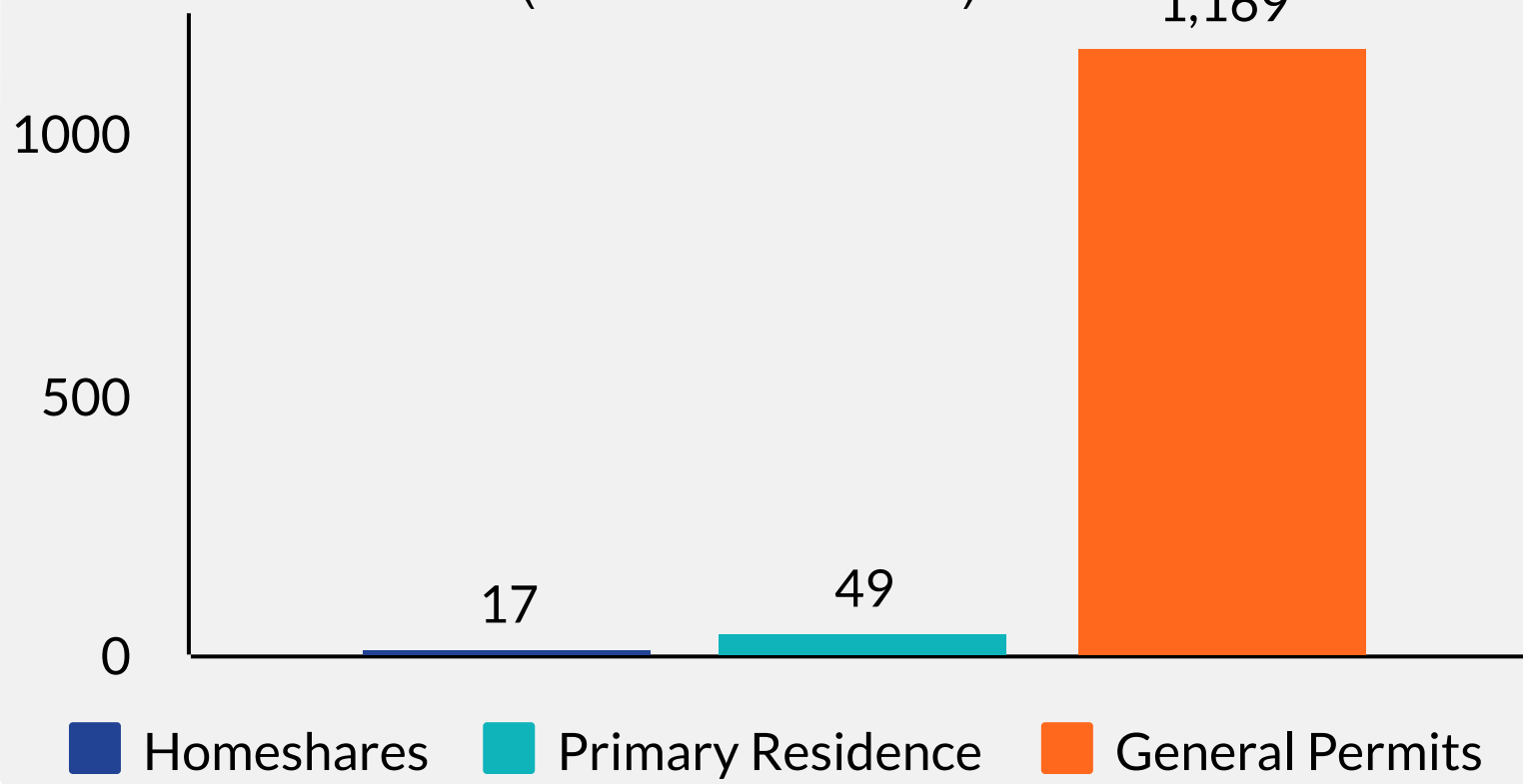


Figure 1

Effective May 20, 2021, a ban on the issuance of new STVR permits in Permit Ban Areas was established pursuant to Section 3.25.055 of the La Quinta Municipal Code. Permit holders may renew existing permits in Permit Ban Areas, but permits cannot be transferred. **New STVR permits can only be issued for properties within select STVR Zones, see Attachment 1.**

Active STVR Permits by Area - 2021, 2022 & 2023:

Enclosed at the end of this report is a list of Active STVR Permits by development (Attachment 2).

	JAN-21	JAN-22	JAN-23	MAR-23	JUN-23	SEP-23	DEC-23
North La Quinta	140	115	110	109	108	107	107
Mid La Quinta	148	122	92	91	89	88	87
The Cove	292	252	236	235	229	224	220
South La Quinta (PGA West)	470	354	317	315	308	303	299
Permit Ban Area Totals	1,050	843	755	750	734	722	713
STVR Exempt Areas	270	331	434	460	475	510	522
Citywide Totals	1,320	1,174	1,189	1,210	1,209	1,232	1,235

Table 1

COMPLIANCE

The Code Compliance Department handles all compliance related matters for the STVR program, which includes managing complaints, conducting investigations, and taking enforcement actions, such as issuing notices of violations, administrative citations, and STVR permit suspensions.

Complaints:

The STVR program features a 24/7 STVR Hotline available to residents to call and report complaints or potential issues regarding STVR properties. These calls are answered by City staff or the call center vendor as shown on Attachment 3, and each call is directed accordingly to a Code Enforcement Officer or the Local Contact on file for the STVR property. All calls can be reported anonymously.

24/7 STVR Hotline: (760) 777-7157

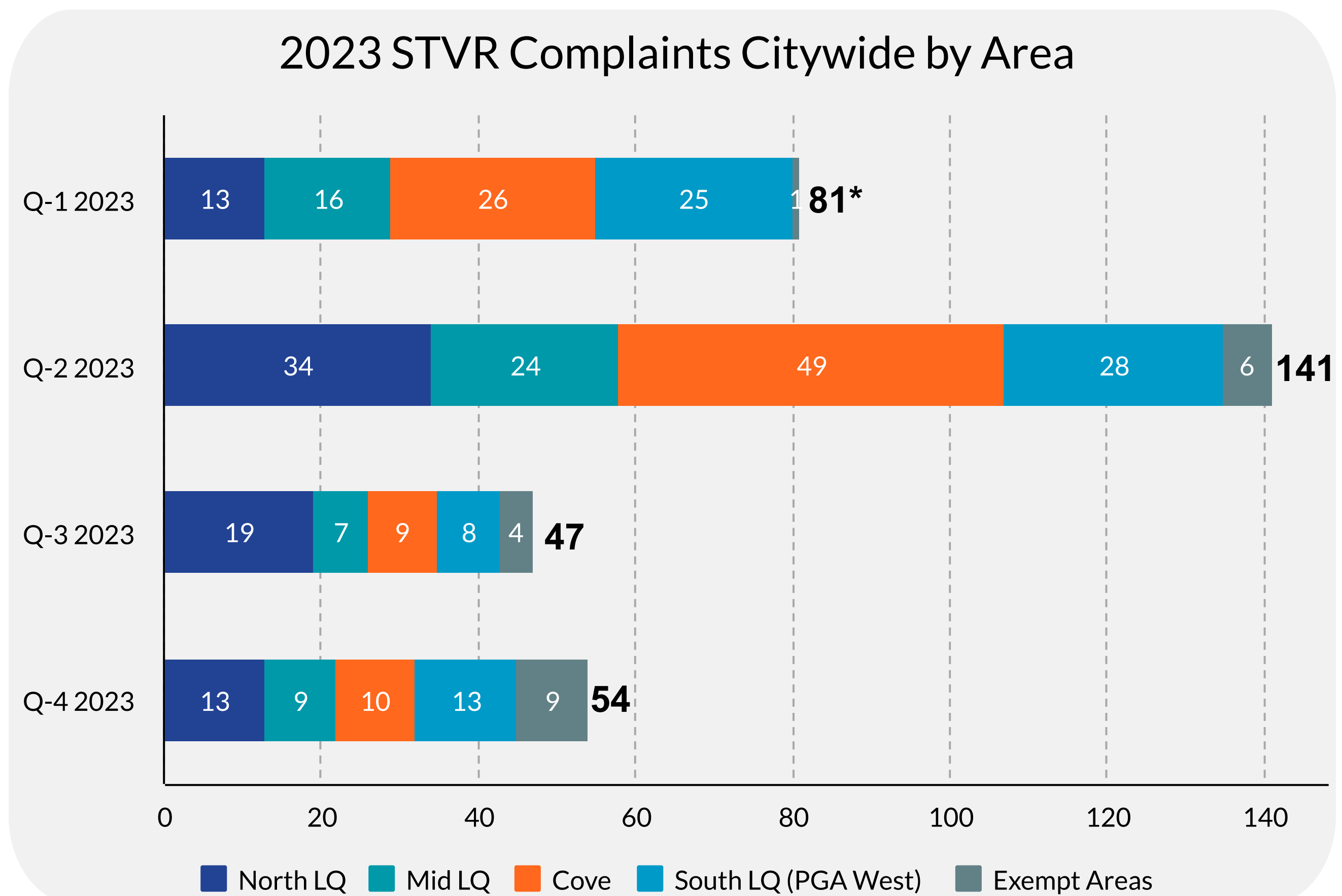


Figure 2

* This does not include 86 complaints received due to a technical glitch with one of the hosting platforms.

Figure 2 above shows the complaints received citywide for each Area in 2023.

2023 Complaints: Permitted vs. Unpermitted

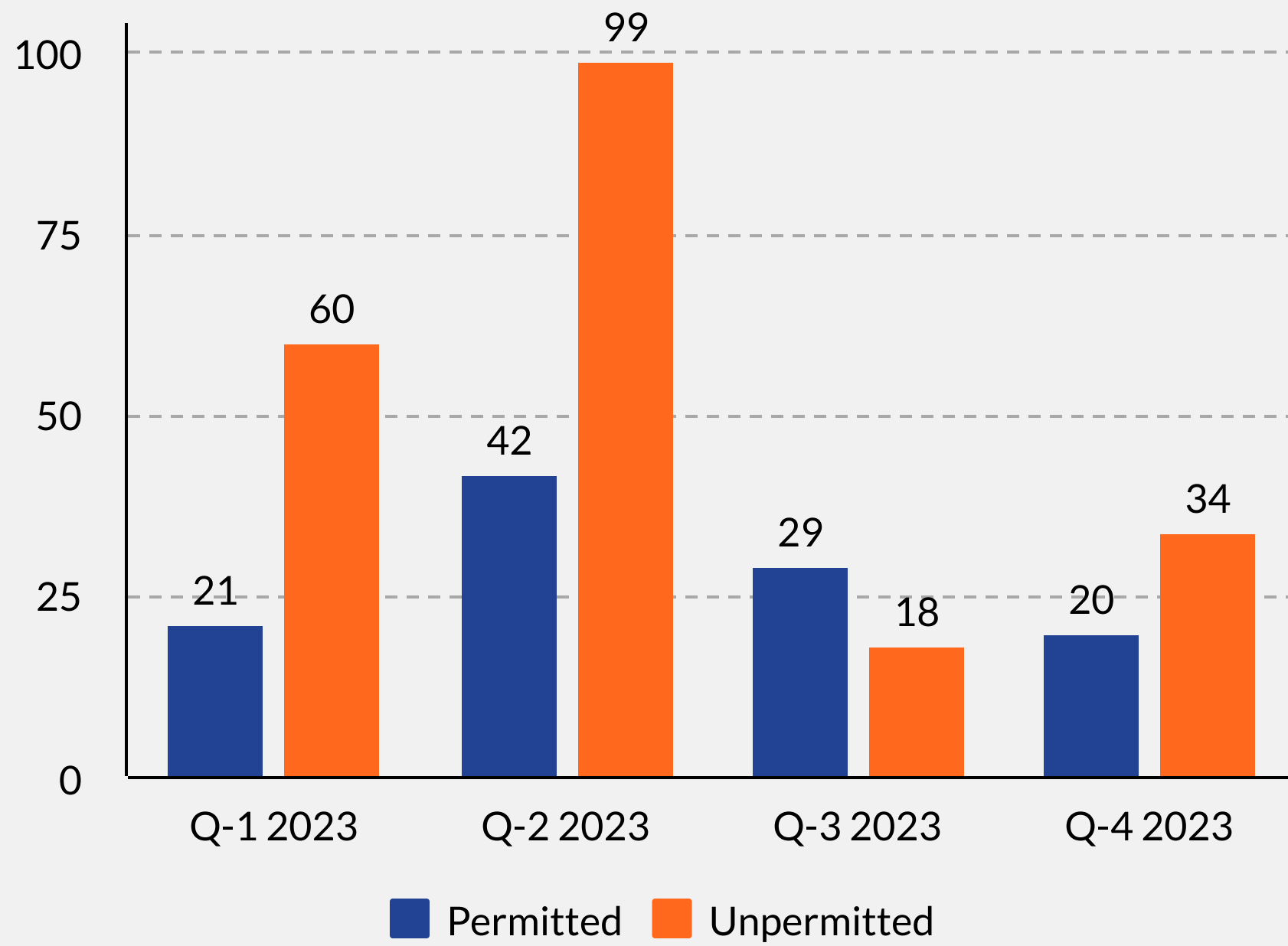


Figure 3 details the the complaint data for 2023 as it applies to permitted vs. unpermitted STVR properties.

Figure 3

Figure 3 above shows complaints for unpermitted properties continue to be the vast majority in 2023 as they were in 2022.

The year-over-year comparison for the number of complaints for 2023 are consistent with the lower number of complaints received in 2022.

Figure 4 shows the year-over-year quarterly comparison of citywide STVR complaints for 2021, 2022, and 2023.

YOY Quarterly Complaints: 2021 - 2023

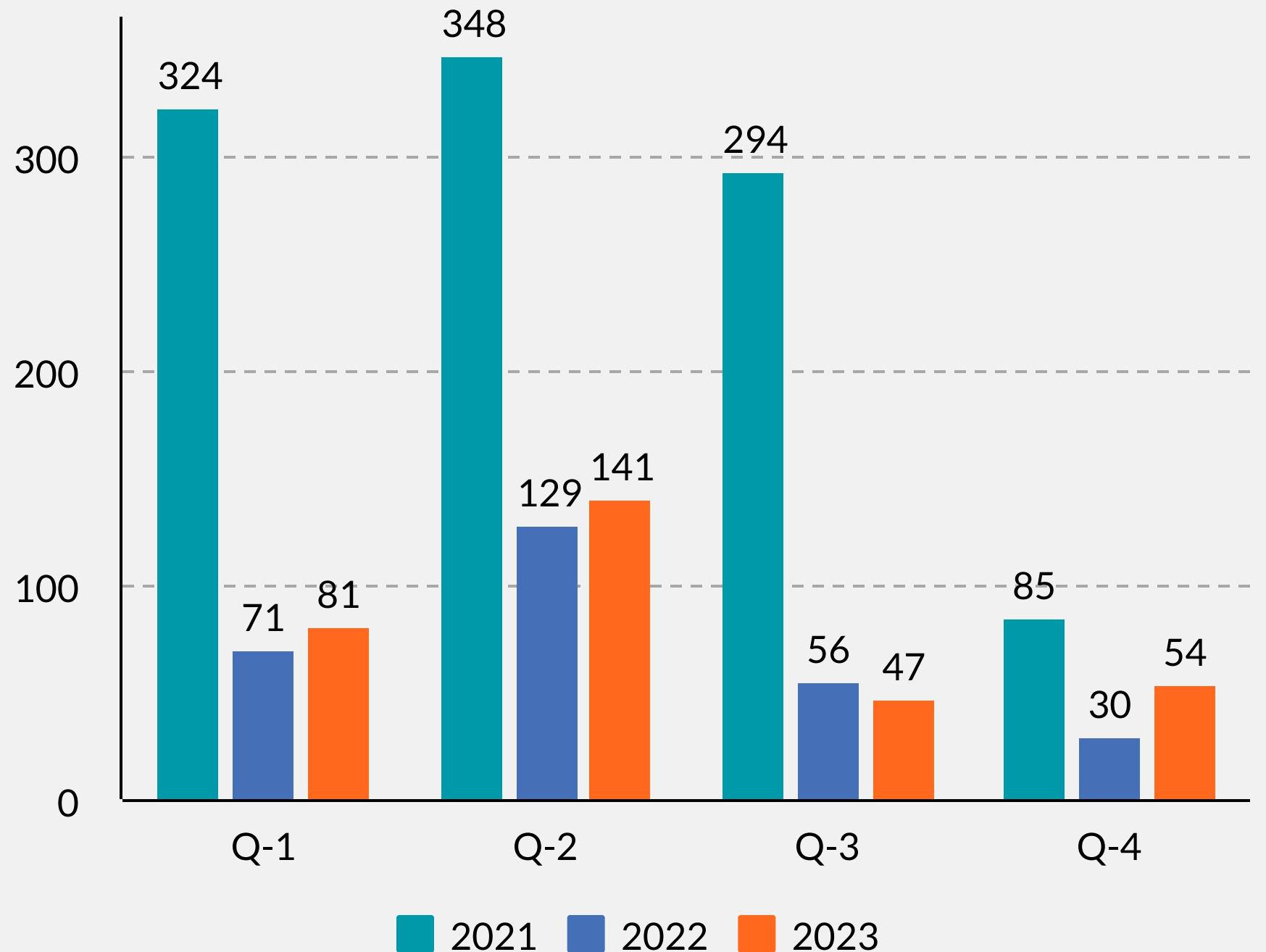


Figure 4

Citations:

2022 & 2023 STVR Citations:
Permitted vs. Unpermitted

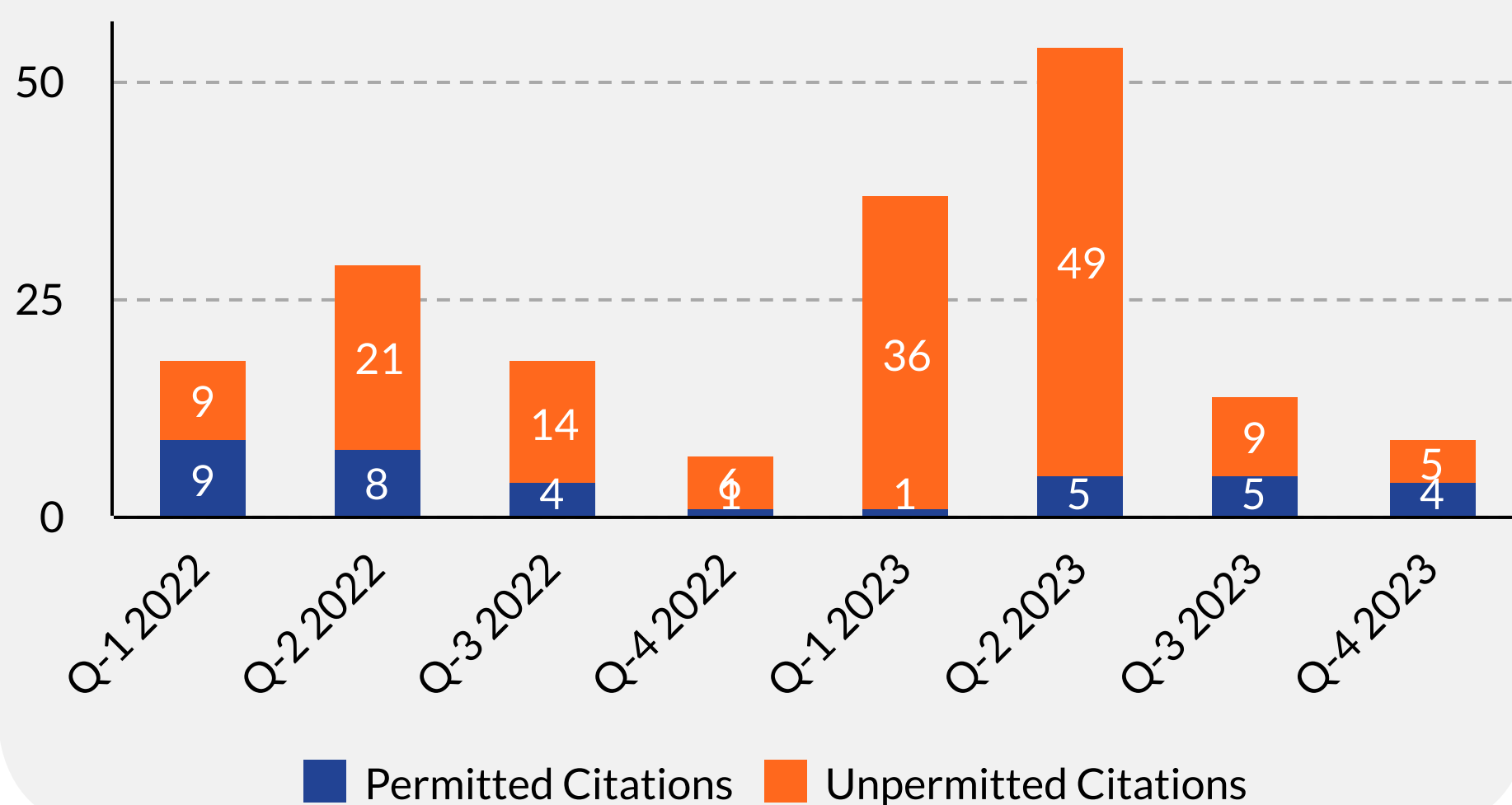


Figure 5 shows the vast majority of citations in 2022 and 2023 were issued to unpermitted properties:

- 87% in 2023
- 82% in 2022

Figure 5

Disturbance - the majority of disturbance compliance activities are due to noise, generally caused by large events or gatherings, children running/screaming/laughing, popping balloons, construction, slamming doors, etc. Other complaints include excessive parking, bright lights, trash, unsecured pool access, unmaintained yard, etc.

Administrative - the overwhelming majority of administrative compliance matters are related to operating/advertising without an STVR permit or business license, or failure to display the STVR permit number on listings. Others include incorrect or missing occupancy limit advertised on listings, failure to report/remit transient occupancy tax, etc.

Figure 6 shows the vast majority of citations were issued for administrative matters, primarily for operating and/or advertising without an STVR permit:

- 93% in 2023
- 75% in 2022

2022 & 2023 STVR Citations:
Administrative vs. Disturbance

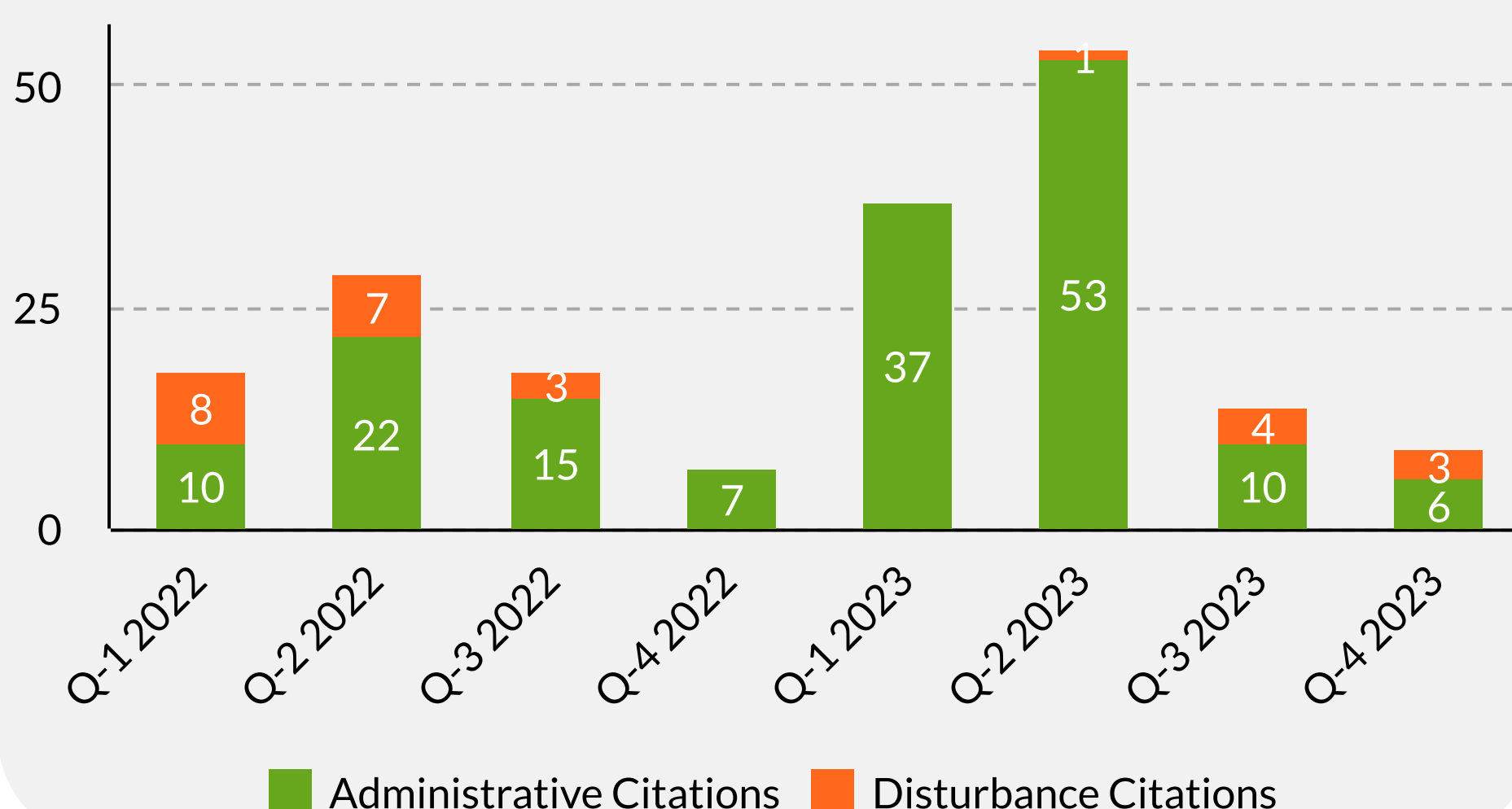


Figure 6

TAXES, FEES & STVR PROGRAM COSTS

The STVR program collects Transient Occupancy Tax (TOT), fees for citations and inspections, and all program operating costs such as STVR permit and business licensing fees.

- TOT is a 10% tax due to the City each month on all STVR stays of 30-days or less.
- Permit and licensing fees and fine collections covered STVR program operational costs in 2021, 2022 and 2023.
- TOT collections remained steady over the last 3 calendar years (2021 - 2023).

Table 2 below provides an overview of the annual STVR program revenues for calendar years 2020, 2021, 2022, and 2023.

STVR Revenue Summary: 2020, 2021, 2022 & 2023 <i>(per Calendar Year)</i>				
Revenue Type	2020	2021	2022	2023
Transient Occupancy Tax Revenue (TOT)	\$ 4,513,084.58	\$ 5,921,343.52	\$ 6,586,847.92	\$ 6,179,124.96
*Citation Fine Collections:	\$ 327,111.82	\$ 447,950.01	\$ 180,750.00	\$ 242,591.68
STVR Permit Fee	\$ 307,781.00	\$ 758,780.00	\$ 943,150	\$ 957,750.00
STVR Permit Inspection Fee	\$ 9,425.00	\$ 1,950.00	\$ 1,300.00	\$ 1,950.00
STVR Permit Business License Fee <i>(estimated)</i>	\$ 55,000.00	\$ 50,000.00	\$ 47,000.00	\$ 50,786.00
Total STVR Permits/Licensing Fees :	\$ 372,206.00	\$ 810,730.00	\$ 991,449.99	\$ 1,010,486.00
Total Annual STVR Revenues:	\$ 5,212,402.40	\$ 7,180,023.53	\$ 7,759,047.91	\$ 7,432,202.64

Table 2

*Citation Fine Collections are current as of February 9, 2024

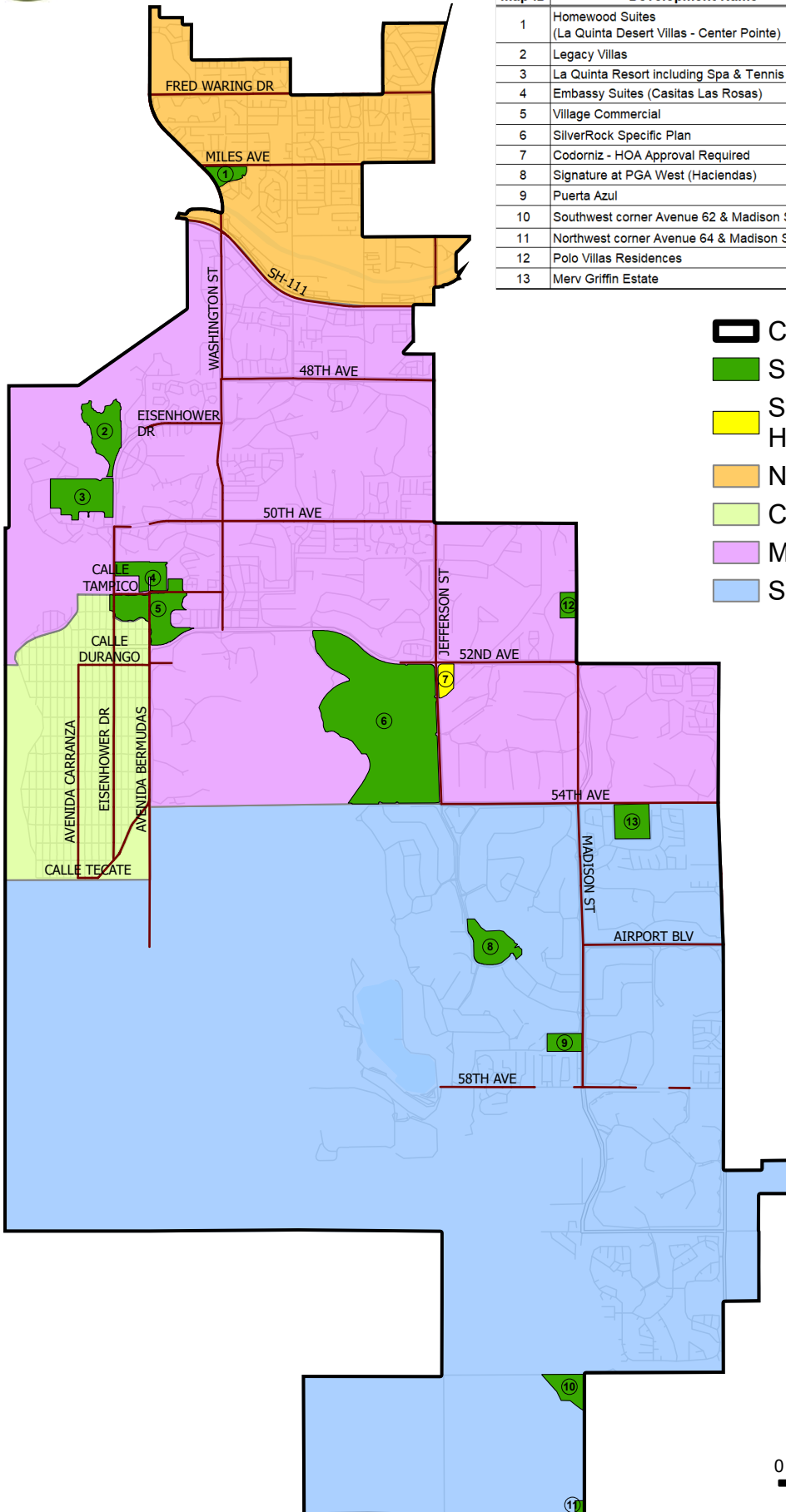


La Quinta
CALIFORNIA

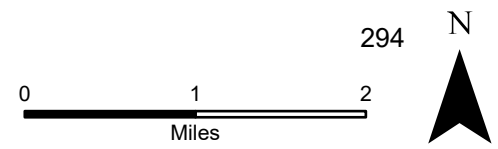
STVR ZONES

STVR Exempt Areas

Map ID	Development Name	Zoning
1	Homewood Suites (La Quinta Desert Villas - Center Pointe)	Tourist Commercial District
2	Legacy Villas	Tourist Commercial District & Development Agreement
3	La Quinta Resort including Spa & Tennis Villas	Tourist Commercial District & Adjacent to TC District
4	Embassy Suites (Casitas Las Rosas)	Village Commercial District
5	Village Commercial	Village Commercial District
6	SilverRock Specific Plan	Tourist Commercial District - Undeveloped
7	Codorniz - HOA Approval Required	Entitlement Approval
8	Signature at PGA West (Haciendas)	Tourist Commercial District
9	Puerta Azul	Entitlement Approval
10	Southwest corner Avenue 62 & Madison St	Tourist Commercial District - Undeveloped
11	Northwest corner Avenue 64 & Madison St	Tourist Commercial District - Undeveloped
12	Polo Villas Residences	Development Agreement
13	Merv Griffin Estate	Entitlement Approval



- City Boundary
- STVR Exempt Zone
- STVR Exempt Zone - HOA Approval Required
- North La Quinta
- Cove
- Mid La Quinta
- South La Quinta



Number of Permitted STVR Properties by Development 1,235 Active STVR Permits as of 12/31/2023*

North La Quinta		Cove	
Acacia	9	The Cove	220
Bella Vista	9	Cove Total STVR Permits	
Cactus Flower	9	220	
Del Rey	2	Mid La Quinta	
Desert Pride	11	Avenida La Fonda Neighborhood (Desert Club TR Unit 2)	2
Indian Springs Neighborhood	5	Calle Fortuna Neighborhood (Desert Club TR Unit 1)	3
La Quinta Del Oro (Not Gated)	8	Desert Club Estates (Desert Club TR Unit 5)	15
La Quinta Highlands	13	Enclave	1
Marbella	5	Haciendas at La Quinta	1
Monticello	11	Highland Palms Neighborhood	11
Quinterra	3	Montero Estates (No HOA)	2
Rancho Ocotillo	3	Renaissance	1
Sonrisa	5	Sagebrush Neighborhood (Desert Club Manor TR 1)	7
Topaz	10	Santa Rosa Cove	42
Vista Grande	2	Seasons at La Quinta (Residential)	2
Westward Ho/Westward Shadows	1	Mid La Quinta Total STVR Permits:	
Westward Isle	1	87	
North La Quinta Total STVR Permits:		Exempt	
107		Casitas Las Rosas	72
South La Quinta		Legacy Villas	214
Estates at La Quinta	1	Merv Griffin Estate	1
La Quinta Fairways	4	PGA West: Signature	144
PGA West: Fairways	96	Polo Villas	11
PGA West: Res I	135	Puerta Azul	39
PGA West: Res II	61	Spa Villas at LQ Resort	10
Village at the Palms	2	Tennis Villas	31
South La Quinta Total STVR Permits:		Exempt Total STVR Permits	
299		522	

*This information is current as of December 31, 2023 and is updated on a quarterly basis

24/7 STVR HOTLINE - (760) 777-7157

ALL CALLS MADE TO THE 24/7 STVR HOTLINE ARE ROUTED ACCORDINGLY DEPENDING ON THE DAY/TIME OF THE CALL AS SHOWN BELOW

HOTLINE CALLS BUSINESS-HOURS:

MONDAY - FRIDAY: 8:00 AM - 4:30 PM OR SATURDAY: 8:30 AM - 4:30 PM

CALL TO HOTLINE IS RECEIVED



CITY CODE COMPLIANCE ANSWERS THE CALL AND GATHERS COMPLAINT AND PROPERTY INFORMATION FROM CALLER*



CITY CODE OFFICER IS DISPATCHED TO PROPERTY. DEPENDING ON SITUATION, LOCAL CONTACT MAY BE CALLED**



HOTLINE CALLS EXTENDED-BUSINESS HOURS: FRIDAY - SUNDAY: 6:00 PM - 2:00 AM (MONDAY)

CALL TO HOTLINE IS RECEIVED



HOTLINE VENDOR ANSWERS CALL AND GATHERS COMPLAINT AND PROPERTY INFORMATION FROM CALLER



CITY CONTRACTED SECURITY PATROL OFFICER IS DISPATCHED TO PROPERTY. DEPENDING ON SITUATION, LOCAL CONTACT MAY BE CALLED**



HOTLINE CALLS AFTER-HOURS:

MONDAY - FRIDAY: 4:30 PM - 8:00 AM OR SUNDAY - MONDAY: 2:00 AM - 8:00 AM (MONDAY)

CALL TO HOTLINE IS RECEIVED



HOTLINE VENDOR ANSWERS CALL AND GATHERS COMPLAINT AND PROPERTY INFORMATION FROM CALLER



HOTLINE VENDOR CONTACTS LOCAL CONTACT ON FILE FOR STVR PROPERTY TO ADDRESS COMPLAINT OR SHERIFF'S DEPARTMENT IF NEEDED



*IF THE CODE OFFICER IS UNAVAILABLE OR UNABLE TO RETURN CALLS IMMEDIATELY, HOTLINE CALLS ARE FORWARDED TO THE HOTLINE VENDOR UNTIL THE CODE OFFICER BECOMES AVAILABLE

**SHERIFF MAY BE DISPATCHED AT ANY TIME DEPENDING ON THE CIRCUMSTANCES OF THE CALL



January 9, 2024

HOA Name Here

Attn: _____

Street Address

La Quinta, California 92253

RE: Homeowner's Association (HOA) Request for Exemption from the Current Ban on the Issuance of New Short-Term Vacation Rental (STVR) Permits

Dear _____,

This correspondence is being issued by the City of La Quinta (City) because the **Enter HOA Name Here** residential development allows STVRs as a permitted use pursuant to the Covenants, Conditions, and Restrictions (CC&Rs) of its governing HOA.

BACKGROUND INFORMATION

Effective May 20, 2021, Section 3.25.055 of the La Quinta Municipal Code (LQMC), **enclosed as Attachment 1**, imposed a permanent ban on the issuance of new STVR permits citywide (Ban), except for a few exempt areas in La Quinta, **noted in green on the STVR Zones map enclosed as Attachment 2**.

The Ban on the issuance of new STVR permits includes HOA communities, even if the HOA CC&Rs allow STVRs as a permitted use. Properties that have current STVR permits in good standing, including those in HOA communities, may continue to operate as STVRs, as long as the permits are renewed annually prior to their expiration date.

The La Quinta City Council (Council) considered and deliberated during several public meetings, held on April 20, 2021, March 21, 2023, May 16, 2023, November 7, 2023, and November 21, 2023, a possible exemption for HOAs that may submit a written request with the City to be exempted from the Ban on the issuance of new STVR permits. While such an exemption has not been adopted at this time, Council may consider amending the LQMC if there is interest from **a qualifying HOAs in pursuing such an exemption that has met all of the following criteria:**

- ✓ Use of residential dwellings as STVRs is authorized under the HOA CC&Rs – a copy of the recorded CC&Rs must be provided;
- ✓ The voting membership of the HOA approves by no less than the vote required under the CC&Rs for the residential development, or if no vote requirement is specified, a

simple majority vote (50% + 1 vote) to be exempted from the Ban on the issuance of new STVR permits, and such vote confirms that all residential dwellings within the HOA may apply for a new STVR permit;

- ✓ The HOA certifies that it has complied with any other voting requirements that must be followed pursuant to the CC&Rs or other operative documents governed by the HOA in connection with the voter-approval as stated above;
- ✓ The vote of the voting membership of the HOA was conducted within the previous 12 months from the date the HOA submits a request for exemption from the Ban with the City.
- ✓ The HOA expressly commits to prepare and implement a plan to ensure proper management, compliance monitoring, and enforcement of all STVR units within the development pursuant to the City's STVR Program. These responsibilities shall be outlined in detail and a document, prepared in a form approved by the City Attorney, shall be executed in writing between the HOA and the City.

If there is interest from qualifying HOAs in pursuing an exemption from the Ban on the issuance of new STVR permits currently in effect, and upon the City receiving such a request, in writing, that meets all of the criteria listed above, staff will table this item for Council consideration.

RESPONSE REQUESTED

Please issue a written response to the City by **February 9, 2024**, authored by the HOA on behalf of the voting membership of your residential development, to notify the City whether or not your HOA is interested in being exempted from the Ban.

If you have any questions, you may contact Senior Permit Technician Lori Lorette via email at LLorette@LaQuintaCA.gov or via phone at (760) 777 – 7162.

Sincerely,

Monika Radeva, City Clerk
City of La Quinta

Attachments: 1. Section 3.25.055 of the LQMC
 2. STVR Zones map

**MONTICELLO MAINTENANCE ORGANIZATION
C/O QUALITY MANAGEMENT OF THE DESERT, INC.**

42-215 Washington St, A-427

Palm Desert, CA 92211

(760)568-2222

Email: qualitymgmt2003@yahoo.com

January 17, 2024

Ms. Monika Radeva, City Clerk
City of La Quinta
78495 Calle Tampico
La Quinta CA 92253

RE: STVR

Dear Ms. Radeva:

We are in receipt of your letter dated January 9, 2024 regarding HOA's and STVR permits.

Please be advised that Monticello is not a Homeowners Association. Although Monticello is governed by an Agreement and Declaration of Covenants, Conditions and Restrictions, it has no Use Restrictions regarding leases or rentals, whether long or short-term. Additionally, there is not a Board of Directors, as it is not a Homeowners Association.

Please contact me at 760-568-2222 if you should have any questions or if I can be of any further assistance.

Best Regards,

QUALITY MANAGEMENT OF THE DESERT, INC.
FOR MONTICELLO MAINTENANCE ORGANIZATION



Martha A. Osborne
Manager



January 31, 2024

City of La Quinta
78495 Calle Tampico
La Quinta, CA 92253

RE: HOA Request for Exemption from the Current Ban on the Issuance of New Short-Term Vacation Rental Permits

Dear Monika Radeva and Lori Loretta,

Thank you for your letter dated January 9, 2024 requesting a response from PGA West Residential II Association asking whether or not the association is interested in being exempted from the Ban.

At this time, the Board of Directors have voted not to move forward with requesting an exemption from the Ban.

Sincerely,

Tiffany Kaldenbach

Tiffany Kaldenbach, CMCA, AMS, PCAM
General Manager
On behalf of the Board of Directors
PGA West Residential II Association

January 22, 2024

Monika Radeva, City Clerk
City of La Quinta
78495 Calle Tampico
La Quinta, CA 92253

Dear Ms Radeva,

We are in receipt of your January 9, 2024 letter regarding STVR Permits.

The Santa Rosa Cove Association has decided not to pursue an exemption to the City's STVR ordinance at this time.

Sincerely,


Richard J. Spinelli
SRCA Board of Directors President

SRC

SANTA ROSA COVE ASSOCIATION

49-991 Eisenhower
La Quinta, California
(760) 777-7621
Fax: (760) 564-8418

Mailing Address:
P.O. Box 12920
Palm Desert, CA 92255

From: [Lori Loret](#)
To: [Christina Enriquez](#)
Subject: RE: Village at the Palms
Date: Friday, February 9, 2024 10:15:00 AM
Attachments: [image001.png](#)

Thank you, Christina. I will make note of this request for Village at the Palms. Have a great day!

Lori Loret | Senior Permit Technician
City Clerk's Office
City of La Quinta
78495 Calle Tampico | La Quinta, CA 92253
Ph. 760.777.7162
www.laquintaca.gov
<https://laquinta.munirevs.com>

From: Christina Enriquez <cenriquez@drmineternet.com>
Sent: Friday, February 9, 2024 10:07 AM
To: Lori Loret <llorett@laquintaca.gov>
Subject: RE: Village at the Palms

You don't often get email from cenriquez@drmineternet.com. [Learn why this is important](#)

EXTERNAL: This message originated outside of the City of La Quinta. Please use proper judgement and caution when opening attachments, clicking links or responding to requests for information.

Please accept this email as the response on behalf of The Village at The Palms, Inc. The Village at The Palms does not wish to pursue an exemption from the Ban on the issuance of new STVR permits. TVATP would like to remain status quo. Let me know if you need anything else from me.

Regards,
Christina Enriquez
Community Association Manager
The Village at The Palms, Inc.
760 610 7719
760 423 3259 - cell

----- Original message -----

From: Lori Loret <llorett@laquintaca.gov>
Date: 2/9/24 10:01 AM (GMT-08:00)
To: Christina Enriquez <cenriquez@drmineternet.com>
Cc: Lori Loret <llorett@laquintaca.gov>
Subject: Village at the Palms

Caution: [EXTERNAL EMAIL] This email originated from outside the company.

Good morning Christina,
Thank you for providing the updated information for the main contact for the "Village at the Palms" community. Please send your letter of response directly to me regarding the HOA exemption as we discussed.

Below are the 2 properties within the community that have active STVR permits as you requested:

1. 56005 Village Dr
2. 56678 Palms Dr

Let me know if you have any questions.
Thank you,



Lori Loret | Senior Permit Technician
City Clerk's Office
City of La Quinta
78495 Calle Tampico | La Quinta, CA 92253
Ph. 760.777.7162
www.laquintaca.gov
<https://laquinta.munirevs.com>

COMMUNITY SERVICES QUARTERLY REPORT

Wellness Center, LQ Library, LQ Museum, and Creation Station October 1 – December 31, 2023

Community Programs & Social Services

Wellness Center, FIND Food Distribution, Fritz Burns Pool, & Sports



Wellness Center provided fitness & recreation programs to 10,498 participants/fitness members (8,957 fitness gym participants; 1,541 program participants for SRR Resident Cards, Luncheons, Love & Love Tennis, Gentle Yoga, Mat Pilates, Ballroom Dance, Deep Stretch, Mental Muscle Relaxation, Essential Fitness, Pickleball 101, Social Bridge, Mah Jongg, Ukulele, Dominoes, and Quilting for Good Times). Social service offerings such as the Riverside County Cool Center, HICAP, LIHEAP, Bilhartz Insurance (information on Medicare Advantage Plans), FIND Outreach, DPSS Adult Services, DAP Health Revivals, vaccination clinics, and senior resource fair were available to the public.



Desert Recreation District (DRD) served 1,280 participants (563 for open/lap swim and group swim lessons; 249 Water Aerobics; 411 Senior Splash; 57 Floating Pumpkin Patch and Poolside with Santa events) at Fritz Burns Pool programs/classes.



Youth sports programs had 56,411 total participants (players, spectators, coaches) for AYSO (soccer – 27,900), Friday Night Lights (flag football – 1,4435), La Quinta Youth Sports Association (baseball – 7,515), and Palm Desert La Quinta (tackle football/cheer – 6,561). Practices and league games are held at La Quinta Park, Colonel Mitchell Paige Middle School, and the La Quinta Sports Complex.



Distributed 22,723 pounds of food (food packages/boxes of dry goods and produce) to 862 persons at Coral Mountain, Hadley Villas, Vista Dunes, Washington Street Apartments, and Wolff Waters; FIND also provides mobile pantries for food distribution two times per month at Horizon High School and La Quinta Community Fitness Center & Park.

La Quinta Library

Circulation/ Programs/ Events



- Attendance: 24,000+ persons visited and participated in various programs; 42,100+ items were circulated.
- Events: Autism Society's Trunk or Treat, 2nd Annual Festival of Frights, Corn Maze Kick Off, Musical Puppet Show, Holiday Hijinks Puppet Show, and Escape the Yeti's Cave Escape Room.
- Programs: Baby/Toddler/Preschool Storytimes; AM & PM Sensory Hour, Piece It Together (children's Lego and puzzle club), Library Birthday Party, Teen Anime Zone, Impulse Affirmation, Teen Game Night, Graphic Bites Teen Book Club, Level Up LQ Teen Gaming Club, Writer's Block Writers Group, Graphic Novel Club, Read the World, Pagemaster's Book Club, Page Turners Book Club, Love Story Book Club, Altered Book Club (Book Crafting), and Chess Club.



La Quinta Creation Station

Makerspace Programs/ Services/ Memberships



- Attendance: 824 people participated in various programs/services.
- Memberships: 22 new memberships; 80 active memberships.
- Programs: MakerCraft (Viking Wire Weaving, Spooky Lantern Projectors, DUNE Sandworm Hand Puppet, Escape the Pyramids, Winter Carnival Games, and Wright Brother's Day Paper Planes), Fiber Arts Bootcamp (Itsy Bitsy Amigurumi Spider Crochet, Needle Felted Holly Berry Brooch, and T-Shirt Yarn Crochet Basket), and MakerSpace Camps (Electronics: Spooky Mirror Illusion, Hand Sewing: Pokémon Mini Pillows, and 3D Printing & TinkerCAD).



La Quinta Museum

Exhibits/ Programs/ Events



- Attendance: 2,300+ visitors/participants.
- Exhibits: Desert Views, Scorpions!, Dia de Muertos Mini Alters, and La Quinta Legends: Frank Capra.
- Events: Music at the Museum (Samarya Stone and Las Tias), Family Fun: PS Snake Club, SCRAP Gallery, Field Trips: Ben Franklin Elementary and Gerald Ford Elementary, La Quinta Historical Society meeting, City of Coachella museum tour, Torres Martinez A'Avutem, and the Annual Casita Lighting.
- Programs: Good Reads in the Gallery and La Sobremesa Book Clubs, STITCH Fiber Arts Club, TED Talk Discussion, Take a Break with Huell, Genealogy Club, Sketchbook Journaling, and Fridays with Frank.
- Social Media Promotion: *Friday Fun Facts* and Exhibit Content is shared via social media with Facebook, Twitter, Instagram, and YouTube subscribers.



Community Events

Veterans Recognition Ceremony @ Civic Center Campus



- The Veterans Recognition Ceremony was held on Saturday, November 11, to honor and recognize 22 veterans and former honorees in the branches of the United States Air Force, Army, Coast Guard, Marines, and Navy. Michael A. Von Scheer, US Navy, was the guest speaker along with musical performances from Doug Hassett and the La Quinta High School Wind Ensemble.
- Gold Star Family recognition to honor Staff Sgt. James Perez, US Army and Cpl. Hunter Lopez, US Marine Corps.
- A flyover was provided by the Palm Springs Air Museum, American Flag quilts provided by the Ophelia Project, the Pledge of Allegiance was led by Scout Troop 1701, and the presentation of colors was provided by La Quinta High School Air Force JROTC.
- Veterans also received honorary t-shirts provided by the City of La Quinta.
- A Fallen Soldier Table was displayed throughout November at the La Quinta Museum to honor those who are no longer with us.
- A flag retirement box, located in the south parking lot of City Hall, is available for residents and visitors to properly discard their worn U.S. flags.

Community Events

Tree Lighting Ceremony @ Civic Center Campus



- The annual Tree Lighting Ceremony was held on Friday, December 1. Mayor and Councilmembers provided holiday greetings and counted down the lighting of the Christmas Tree.
- Santa Claus was available for Instagram photos in front of the tree and live performances were provided by Dance, Play, Pretend and Beginning Ballet, Ballet Folklorico Sol Del Desierto, Doug Hassett, Natasha Terrell, and La Quinta High School Cheer and Wind Ensemble.
- Too Tall Tom handed out candy canes, the Grinch and Frozen's Elsa and Anna greeted participants and were available for photos, Desert Recreation District and Scouts BSA Troops 1701 and 451 provided games and holiday decorating. and were available for photos. Treats were available from Algo Dulce Sun Sweets, cupcakes, Crumble Cookie, cookie boxes, and Coffee Bean & Tea Leaf provided hot cocoa with whipped cream.
- Holiday gift bags with toys and treats were provided to children in attendance and the Holiday Train provided rides for children and families through the park.

Community Events

Pancakes with Santa @ Wellness Center



- Pancakes with Santa was held on Saturday, December 16. Participants had breakfast consisting of pancakes, scrambled eggs, bacon, fruit, orange juice and hot cocoa.
- Santa and Mrs. Claus were available to visit with families, take photos, read stories, and participate in activities such as decorate stockings, ornaments, create picture frames, coloring station, and write a Letter to Santa.
- Volunteers from the Wellness Center and the Ophelia Girls assisted with serving food and helping with crafts.
- Holiday gift bags were handed out to everyone in attendance and holiday décor was provided by Casita Balloon and Fun Express.

Community Events

Concert in the Park Series @ SilverRock Park



- All Night Meat Bingo performed on Saturday, October 14 from 6:00-8:00 p.m.
- Approximately 600+ persons in attendance.
- City staff provided information and giveaways to participants.



X Park

Bike & Skate Programs/ Events/ Memberships



- Attendance: 4,594 residents and 2,628 non-residents used the park this quarter. Park hours: 5 days a week (Monday-Friday 12:00 - 9:00 p.m.; Saturday-Sunday 9:00 a.m. – 9:00 p.m.).
- Total Memberships: 134 memberships sold this quarter (93 resident and 41 non-resident). Overall memberships sold to date is approximately 1,128 (775 resident and 353 non-resident).
- Programs: Halloween Pop-up Game of Skate/ Best Trick Contest; Veterans' Day sale and Pop-up Game of Skate; Bike Jam Pop-up Contest; Thanksgiving Skate Camp; Black Friday sale and contest with free food; 12 Days of Christmas sale; Old School Skateboarders Sesh (Hosoi/Elguera); and Christmas Skate Camp.
- Events: X Park 1-year Anniversary, WCMX World Championship, and CASL Amateur Skateboard Contest.

[CLICK HERE to Return to Agenda](#)

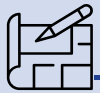
DESIGN & DEVELOPMENT QUARTERLY REPORT

4th Quarter (October - December 2023)

The Design and Development Department consists of three divisions:
Building, The Hub, and Planning

Building Division

The Building Division administers and issues all residential and commercial building permit applications, reviews plans (plan checks), and conducts on-site building inspections for compliance with the La Quinta Municipal Code and California Building Standards Code.



PLAN CHECK AND BUILDING PERMITS



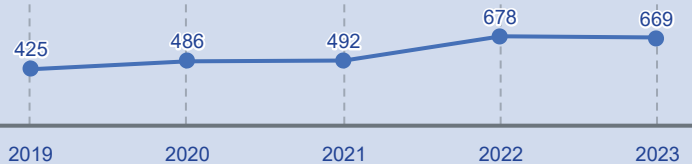
Plan Check Submittals

-31% from Q4 2022



Building Permits Issued

-1% from Q4 2022



Single Family Dwelling Permits Issued in 2023

257

Plan Check Fees Received in 2023

\$854,249

Building Permit Fees Received in 2023

\$1,447,032

Building Inspections Performed in 2023

23,462 313



INSPECTION DATA

Monthly Building Inspections

-28% from Q4 2022



Average Building Inspections Per Day

-30% from Q4 2022



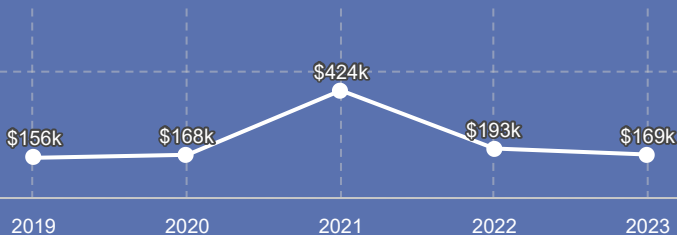
FINANCIAL DATA

Plan Check Fees

\$168,837 4th Quarter Total

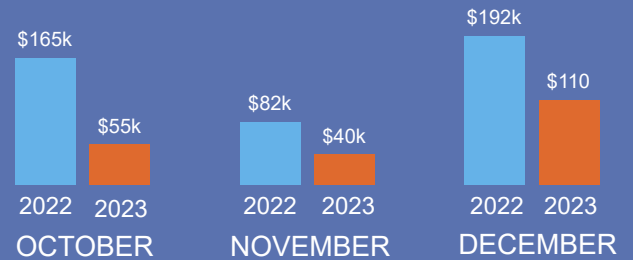


-12% from Q4 2022 total of \$192,781



Building Permit Fees

\$205,198 4th Quarter Total



-53% from Q4 2022 total of \$439,048

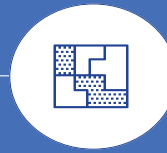




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Permits



Planning

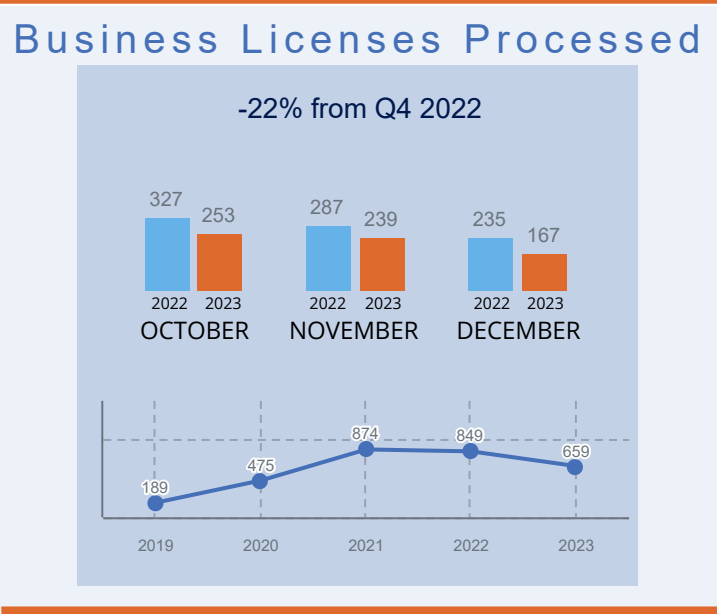


Business License

THE HUB

The Hub serves as a one-stop permit center. It is the central location for obtaining permits for planning, building, engineering, business licenses, and special events. The Hub also issues permits for garage sales, home occupations, pool drains, re-roofs, and HVAC, window, water heater, and utility changeouts.

L I C E N S E S A N D P E R M I T S

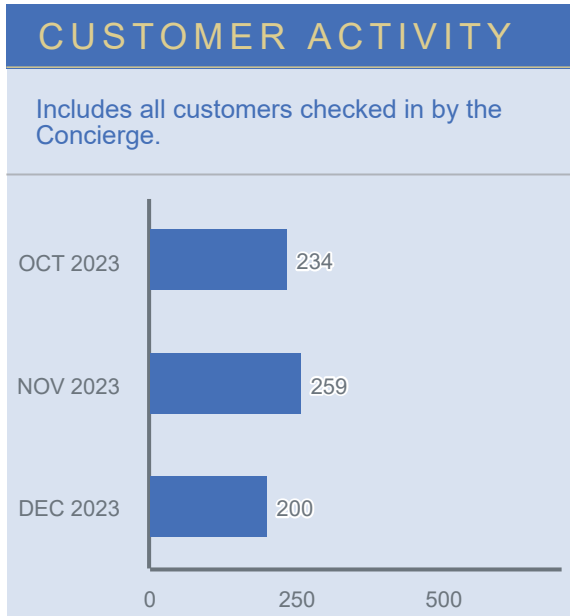


C U S T O M E R S E R V I C E S



Average HUB Calls Received Per Day

123



Licenses Issued in 2023 for New Businesses in La Quinta

158

Total HUB Customers in 2023

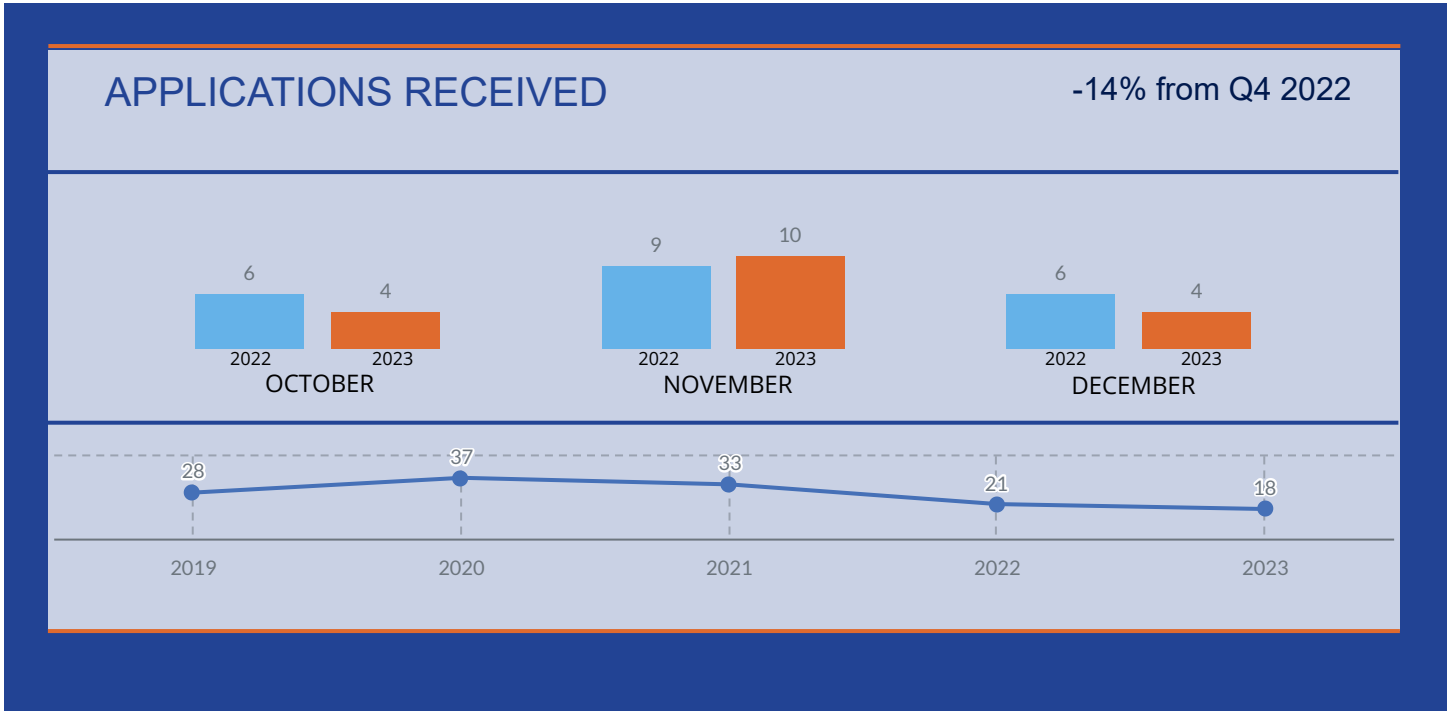
3,917

315



PLANNING

The Planning Division administers the zoning and development standards and works with residential and commercial developers, architects, builders, and businesses to ensure that development is consistent with the City's Zoning Code and General Plan. Planning staff coordinates with the Planning Commission, whose primary function is to develop and maintain the City's General Plan, consider development applications, as well as to serve as an advisory body to the City Council.



Planning Staff Approvals

- Special Event Permit for a wedding and reception on October 21, 2023, at a private residence at 77600 Avenida Fernando.
- Special Event Permit for the Alpha Win Triathlon race consisting of swim, bike, and run components, starting and finishing at Lake Cahuilla Veterans Regional Park.
- Temporary Use Permit for a temporary modular sales trailer at the southeast corner of Miles Avenue and Washington Street.
- Temporary Use Permit for a Spirit Halloween store at 79110 Highway 111 (former Bed Bath & Beyond building) with retail sales of costumes and decorations from August 20, 2023, to November 6, 2023.
- Special Event Permit for the Annual La Quinta Historical Society Fundraiser on October 27, 2023, and the Hunter Lopez Memorial Foundation Event on October 28, 2023, at the La Quinta Historical Society at 58300 Almonte Drive.
- Final Landscape Plans for Madison Streetscape Improvements at Andalusia.
- Temporary Parcel Map to subdivide 1.416 acres of unimproved land into two parcels of 0.74 and 0.676 acres each at the Madison Club.
- Ironman 70.3 Indian Wells La Quinta triathlon event consisting of a 1.2-mile swim at Lake Cahuilla, and a 56-mile bike through La Quinta, Indio, Riverside County, and Indian Wells.
- Temporary Use Permit for a Christmas Tree Lot at Lowe's Home Improvement parking lot.
- Sign Program Amendment at Jefferson Plaza for Home Depot Tool Rental building.
- La Quinta Resort Grove Restrooms and Storage facility at 49499 Eisenhower Drive.
- Special Event Permit for American Express Golf Tournament at PGA West and La Quinta Country Club from January 15 through 21, 2024.
- Special Event Permits for Madison Club Member Events: A New Year's Eve Party & Dinner Reception on January 15, 2024.

Planning Commission Actions

- Recommended Council approval of changes to architecture, building height, and site plans for the La Quinta Village Apartments, a 252-unit multi-family apartment complex, including 74 moderate-income units, located at the northeast corner of Washington Street and Avenue 50.
- Recommended Council approval of additions to the City inventory of affordable housing sites to meet the state-mandated "no net loss" requirements in connection with the La Quinta Village Apartments project on the northeast corner of Washington Street and Avenue 50.
- Recommended Council approval of zoning code language cleanup and changes to game court and accessory dwelling unit regulations.
- Finding a property exchange between the City and a private party for certain real property on Avenida La Fonda and another on Desert Club Drive consistent with the City's 2035 General Plan.
- Approved a Conditional Use Permit for a monopalm wireless telecommunication tower at the Fire Station at 54001 Madison Street.
- Finding a donation of property consisting of mountain rock located north of Highway 111 and west of Washington Street for open space preservation consistent with the 2035 General Plan.
- Specific Plan Amendment and Site Development Permit for a 125-room Hampton Inn Hotel and associated amenities at the southwest corner of La Quinta Drive and Auto Centre Drive.

La Quinta Development In Progress



Home Depot
Tool Rental
Center
Now Open



LongHorn Steakhouse
Now Open

Currently Under Construction

Desert Club
Apartments
16 Units



Jefferson
Street
Apartments*
42 Units



**Rendering*



WellBe Health
Now Open

Projects Approved with Building Plans Under Review



**Rendering*

Quick Quack
Car Wash*



**Rendering*

Recently Approved
125-room Hampton Inn Hotel*



**Rendering*

Chick-fil-A*

PUBLIC SAFETY QUARTERLY REPORT

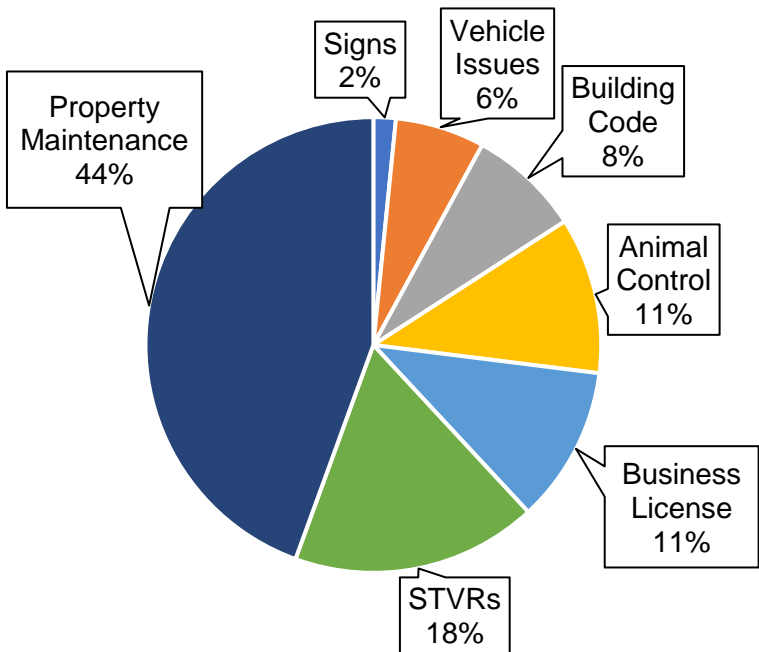
Code Compliance / Animal Control Oct. 1 – December 31, 2023

Site Inspections*



*Site inspections are required to confirm violations and include STVR inspections and regular code complaints (e.g., trash containers, landscaping, property maintenance issues, etc.).

Administrative Citations



Property Maintenance Case Before & After

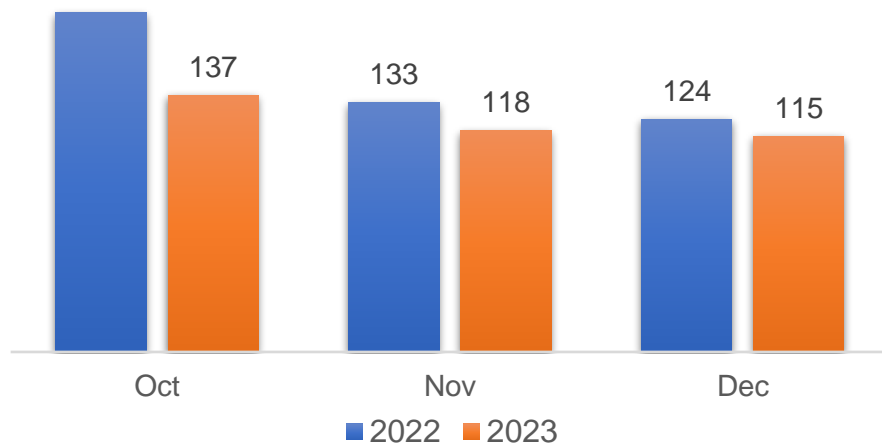


Hearing Types:

- 6 Administrative Hearings
- 1 Administrative Appeal Superior Court

False Alarms

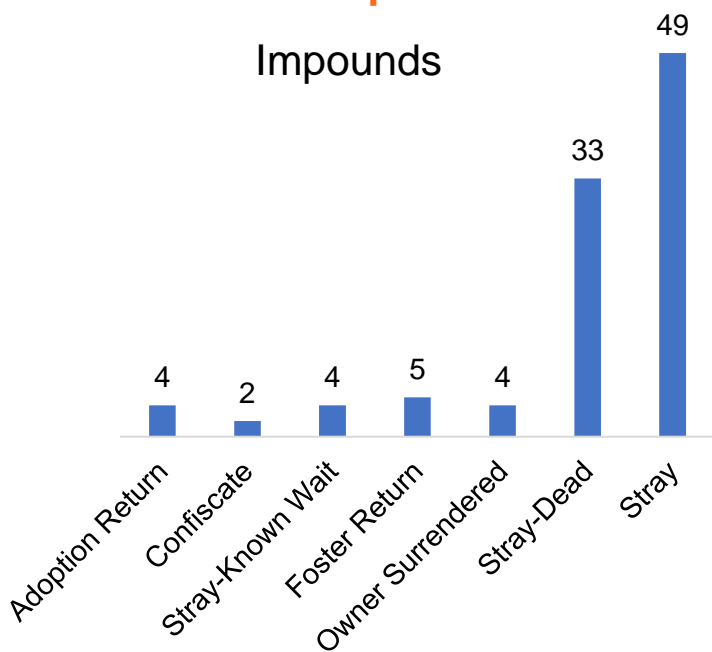
- \$212,104 in fees collected to date
- City Staff outreach to businesses with multiple false alarms.



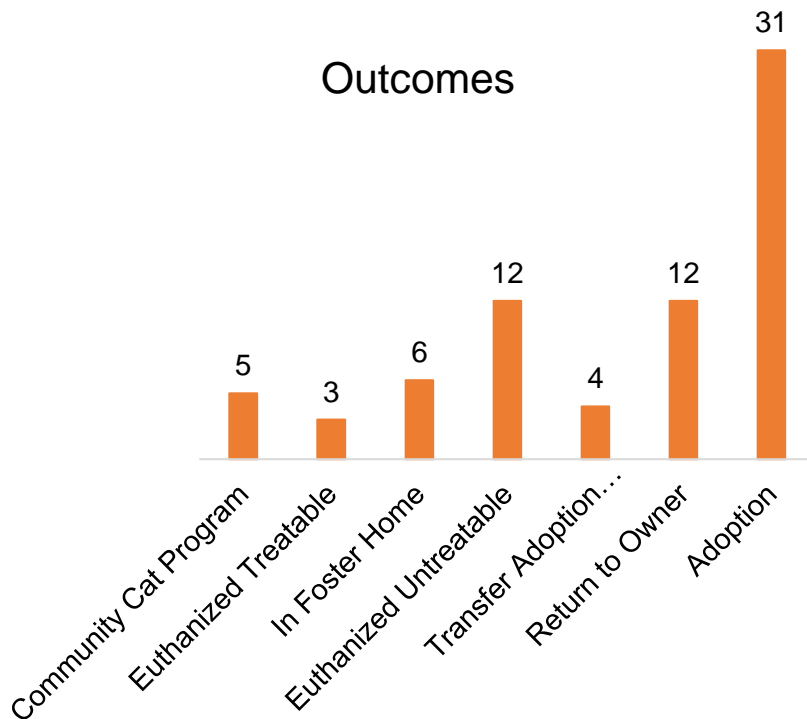
A false alarm means the activation of a security alarm system necessitating response by peace officers when an emergency does not exist. A service fee is due to the city after an excessive number of false alarms (defined as three or more false alarms) within a one-year period have been received from a particular business, residence, or property.

Animal Control Update

Impounds



Outcomes



Riverside County Department of Animal Services (RCDAS) – Coachella Campus has walk-in hours from 10 a.m. to 4 p.m. In the event of a pet emergency, animal control question, or if you are interested in adopting, fostering or rescuing, please call 951-358-7387. Potential adopters, fosters, or resources can also send an email to shelterinfo@rivco.org or visit their website at: <https://www.rcdas.org/>.

RCDAS is hosting Free Mobile Clinics throughout the county. For more information and to make an appointment, please visit <https://rcdas.org/mobile-wellness> or call 951-358-7387.



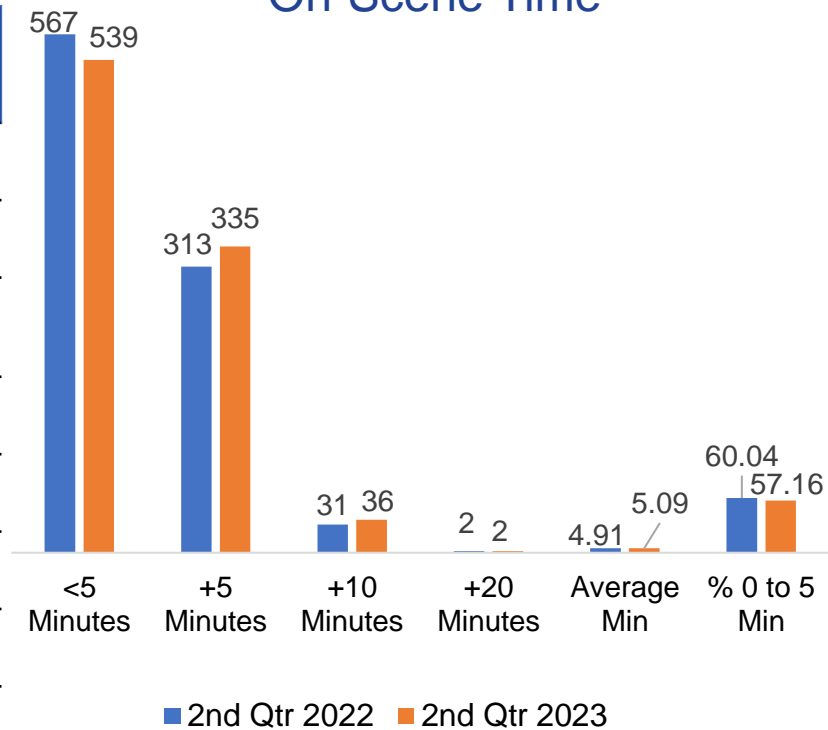
FIRE DEPARTMENT QUARTERLY REPORT

October 1, 2023– December 31, 2023

Incident Response Activity

Incident Type	2nd Qtr. 2022	2nd Qtr. 2023
Medical	1,032	984
False Alarm	126	120
Public Service Assistance	97	67
Traffic Collision	72	71
Standby	13	17
Other Fire	6	8
Residential Fire	3	11
Vehicle Fire	0	2
Rescue	3	2
Ringing Alarm	7	5
Other Miscellaneous	3	0
Hazardous Materials	2	1
Commercial Fire	0	1
Multi-Family Dwelling Fire	1	0
Wildland Fire	2	2
Total	1,367	1,291

Average Enroute to On-Scene Time



Enroute Time: When a unit has been acknowledged as responding.

On-scene Time: When a unit has been acknowledged as being on-scene.

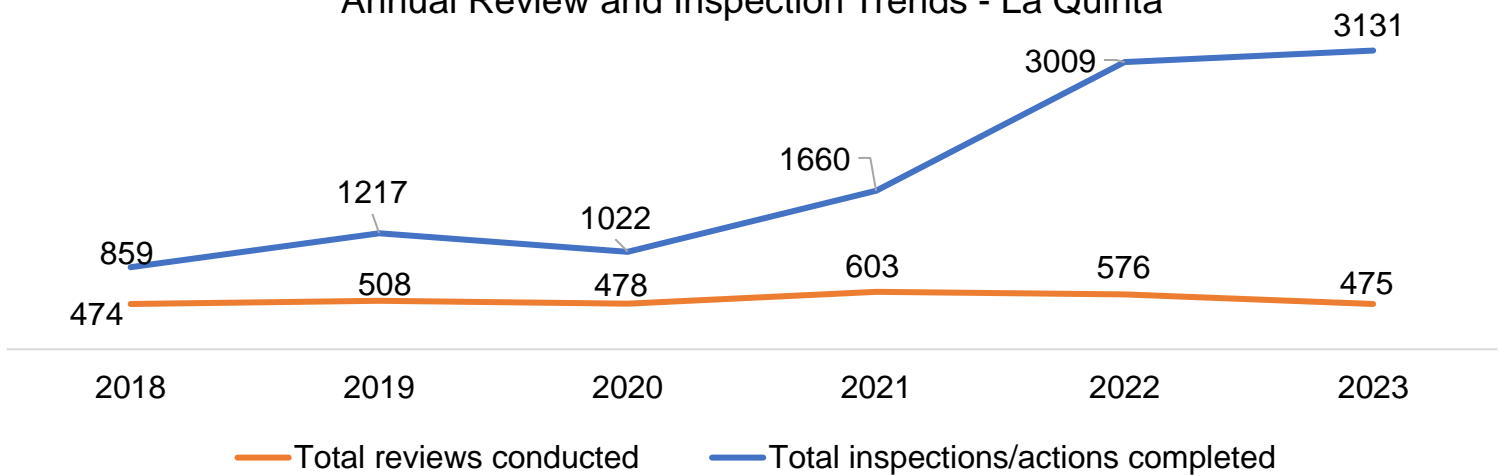
Automatic Aid	2nd Qtr. 2022	2nd Qtr. 2023
LQ Resources Responding in LQ	1,271	1,265
LQ Resources Aiding Other Jurisdictions	769	685
Resources Aiding LQ	459	379

For this quarter, Truck 86 recorded 29 responses, and Truck 33 had 3 responses in the City of La Quinta.

Fire Inspection Report

- Construction Plan Reviews – 134
- Planning Case Reviews – 26
- Construction inspections – 610
- Facility Inspections – 28
- Review and Inspection Service – During this period, the average turnaround time for plan reviews was 3 weeks; and the average turnaround time for inspections was 3 business days.

Annual Review and Inspection Trends - La Quinta



Significant Incident Report

23CARRU146846: On October 3, 2023, CAL FIRE/Riverside County Firefighters responded to a structure fire. The first arriving Engine reported two single story homes well involved with fire. In total, two buildings were destroyed, and an additional home was slightly damaged. Firefighters kept the fire from spreading to the four surrounding houses. Two people were found deceased inside one of the homes and two adults were displaced. One firefighter was transported from the scene with a minor injury.

23CARRU157133: On October 23, 2023, CAL FIRE/Riverside County Engine 32 responded to a traffic collision. Fire Crews arrived to find a single motorcycle off the road. Victim was pronounced dead at the scene by AMR and confirmed with CAL Fire Paramedic.

23CARRU157926: On October 24, 2023, CAL FIRE/Riverside County Firefighters responded to a fire in the back parking lot of the Desert Sands Unified School District building. First arriving Engine reported a large 30'x30'x 3' pile green waste well involved with fire and threatening seven school buses. Firefighters were able to quickly contain the fire; only one school bus sustained major fire damage.

23CARRU177112: On November 28, 2023, CAL FIRE/Riverside County Fire Department responded to a residential fire. The first arriving Engine reported multiple palm trees on fire with extension into a single-story house. Firefighters contained the fire to the trees and the patio cover with limited extension into the living space. There were no injuries and fire personnel rescued the family cat.

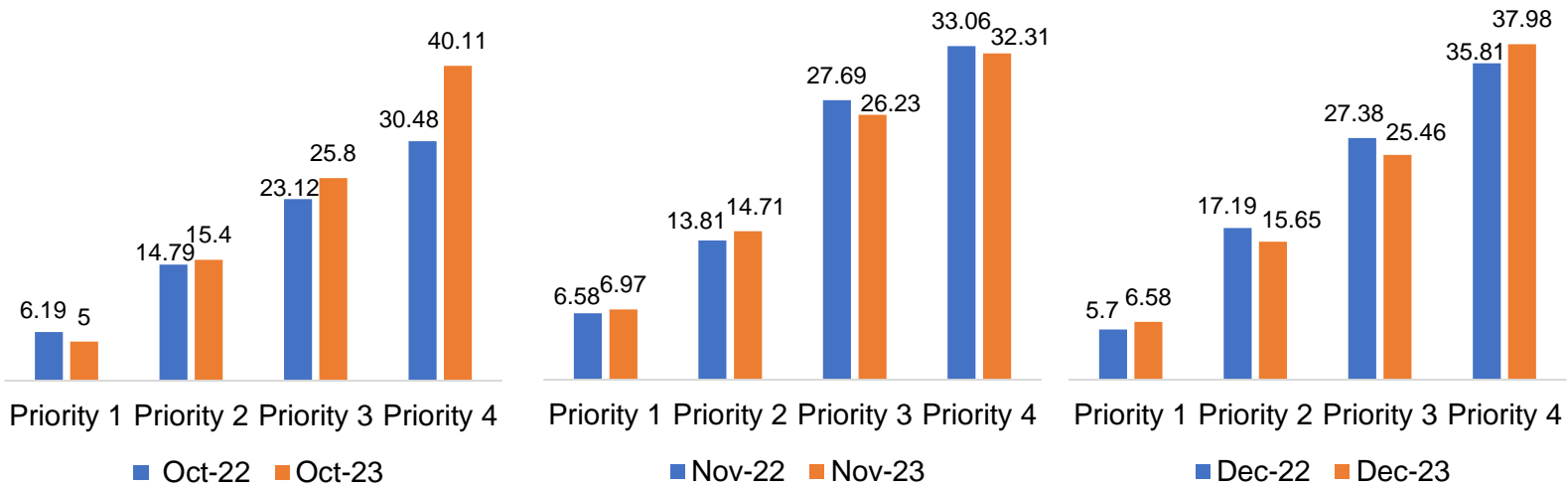
23CARRU18123: On December 6, 2023, CAL FIRE/Riverside County Fire Department was dispatched to a traffic collision with injuries. The first arriving Engine reported a single passenger vehicle, off the roadway, approximately 100 feet down into a wash. The Fire Ladder Truck T86 was requested to assist in a technical rope rescue of a single patient, with moderate injuries. Riverside County Sherriff's Deputies and Coachella Valley Water District representatives arrived at scene to assess logistical needs. The patient was transported to Desert Regional Medical Center via AMR.

LA QUINTA SHERIFF'S STATION QUARTERLY REPORT

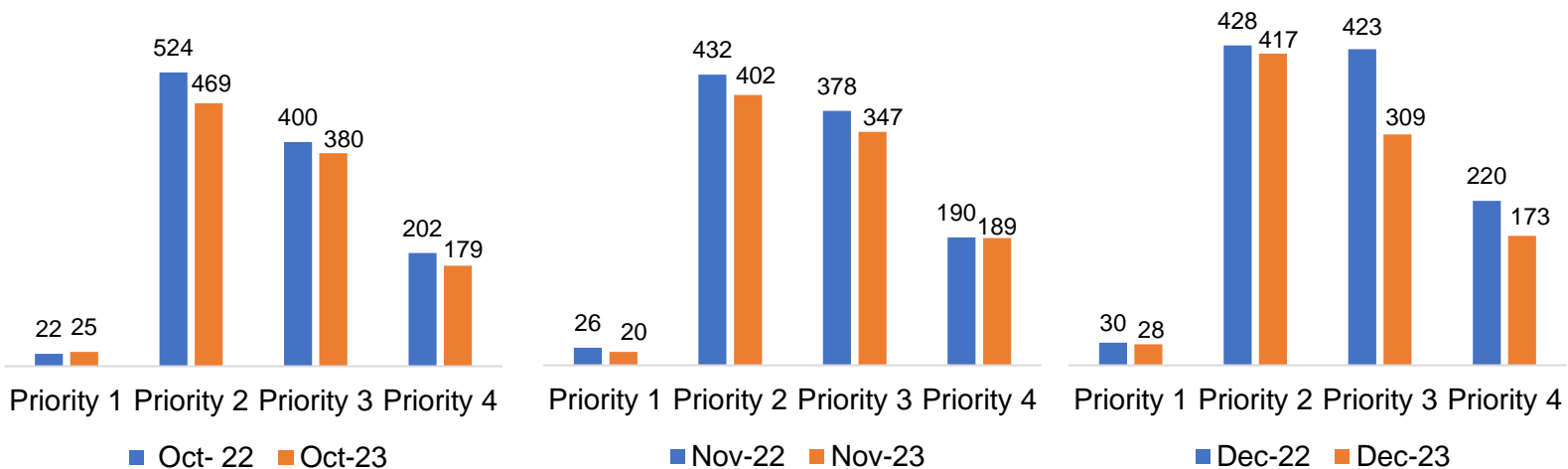
October 1, 2023 – December 31, 2023

Statistics

Average Response Time

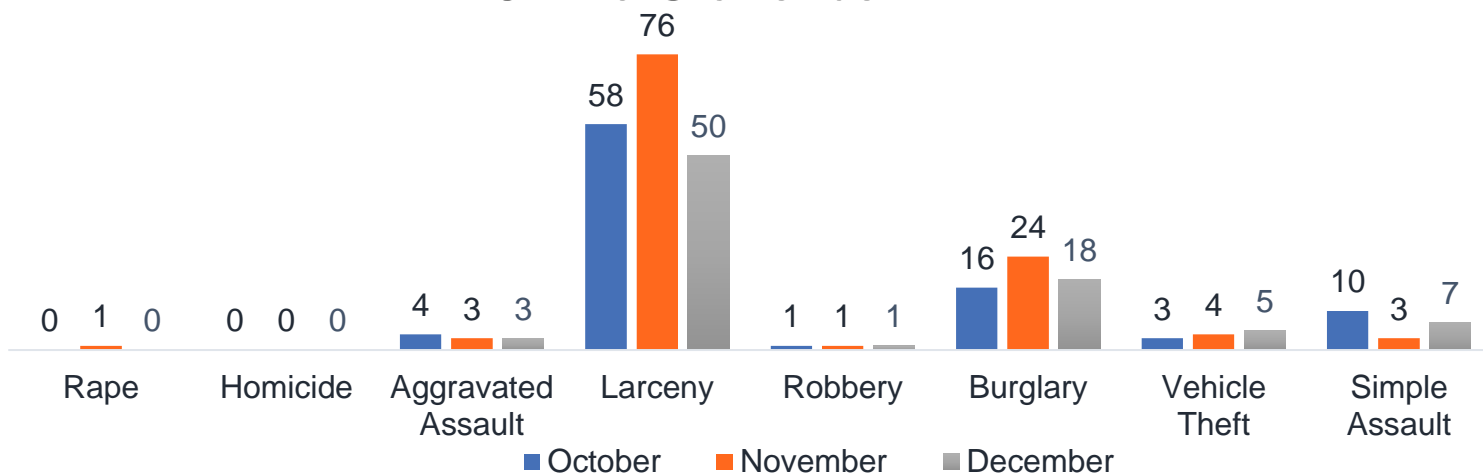


Number of Calls for Service

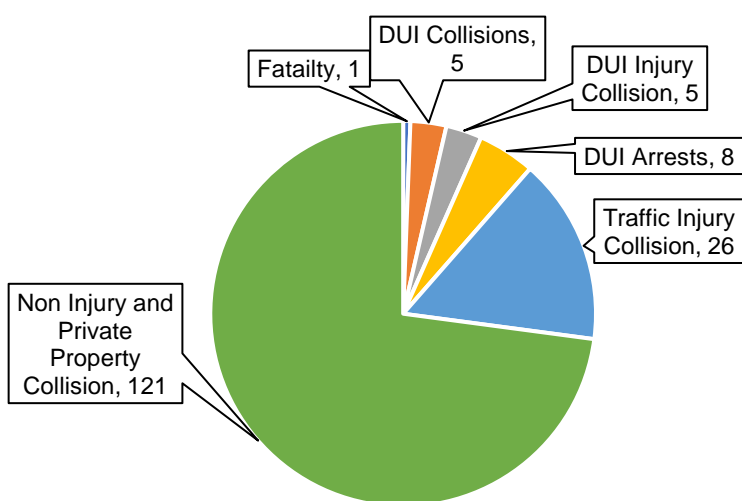


- Priority 1** – Involve circumstances that pose a clearly defined threat to human life or property;
- Priority 2** – Involve circumstances of an urgent but not life-threatening nature (e.g. minor assaults and batteries);
- Priority 3** – Involve circumstances which are neither urgent nor life threatening (e.g. disturbances of the peace);
- Priority 4** – Incidents occurring in the past or “cold” calls.

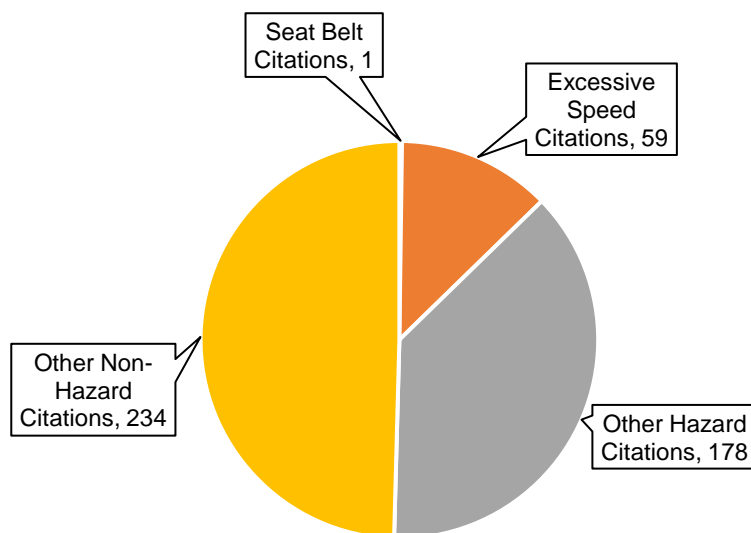
Crime Statistics



Collisions



Citations



Actions by Teams:

Special Enforcement Team & Business District

- Actions: 480
- Property Recovered: \$6,000.
- Narcotics Seized: Meth 172.1g / Cocaine 1.3g / Fentanyl Powder 33.1g / Fentanyl Pills 22

Narcotics Task Force

- Actions in La Quinta: 17
- Narcotics Seized: Meth 179.3 g / Heroin 21.7g / Fentanyl Pills 1460 / Fentanyl Powder 20.1g / Xanax Pills 16.6g / Psilocybin (Magic Mushrooms) 4.5g / MDMA (Ecstasy) 11.2g
- Asset Forfeiture: \$9,500.

School Resource Officers

- Actions: 108

Traffic Team

- Actions: 564

Community Service Officers & Crime Prevention Specialists

- Actions: 1,097
- Community Meetings: 4

Citizens on Patrol

- Actions: 6
- Hours Donated: 407 hours



Public Safety Camera System Statistics

System Statistics for October to December 2023:

- Processed 5 public records requests from citizens and insurance companies.
- 438 videos related to police investigations have been queried.
- Of those queried, 43 videos related to police investigations have been exported.
- No businesses have opted out of the PSCS.

Significant Incidents Involving the PSCS and FLOCK:

On October 22, 2023, while investigating a robbery at the CVS pharmacy in the city of Coachella, suspect information was obtained and led to a check in the Flock system. The suspect vehicle was seen on Flock near the CVS in the city of La Quinta. La Quinta patrol deputies responded to the area and located the suspect vehicle as well as the suspects in the process of stealing from the La Quinta CVS. The suspects, a 26-year-old female resident of Los Angeles and a 17-year-old female juvenile resident of Los Angeles, were arrested and booked at John Benoit Detention Center (JBDC), and Juvenile Hall.

On October 27, 2023, RAID (Riverside Auto-Theft Interdiction Detail) deputies located a reported stolen vehicle in the La Quinta Walmart parking lot with the assistance of FLOCK. While conducting surveillance on the vehicle, the suspects, a 36-years-old male resident of Coachella (suspect 1) and a 44-years-old male resident of Coachella (suspect 2), were seen entering the vehicle. La Quinta patrol deputies attempted a felony traffic stop. The vehicle fled from deputies and a pursuit was initiated. After a short pursuit within the parking lot, both suspects fled from the vehicle into a residential area with RSO helicopter overhead. A K-9 located suspect 1 in a backyard of a residence on Shadow Trail. Suspect 1 was taken into custody without incident and booked at JBDC. Suspect 2 was not located during the search.

On December 20, 2023, La Quinta SET Deputies served a probation search in the 81000 block of Francis Avenue in the city of Indio. This was a follow up to a shooting that occurred at the Beer Hunter in the city of La Quinta on November 19, 2023. With the assistance of city cameras, deputies were able to identify a suspect vehicle and obtained license plate number. This led them to the suspect's address. During the probation search, three .22 caliber revolvers were located in an office. The homeowner, a 41-year-old male resident of Indio, was not the target of the probation search; however, he was a convicted felon. A search warrant was prepared, and a search of the entire residence was conducted. No other illegal items were located during the search. Riverside County Probation prepared a probation hold on the suspect, a 23-year-old male resident of Indio, for his involvement in the Beer Hunter incident. Both males were booked at JBDC.

December 21, 2023, a Flock alert was received in the area of Highway 86 and Ave 66, Mecca in reference to a Honda Civic that was stolen from the city of La Quinta earlier the same day. Sheriff's helicopter, Star 98 located the stolen vehicle parked at 2100 block of Pine Avenue in Imperial. With the assistance of Imperial County, the driver was apprehended. Thermal Station Deputies and RAID (Riverside Auto-Theft Interdiction Detail) deputies responded and took custody of the driver, an 18-year-old female resident of Coachella. An additional Honda Civic stolen from the city of Coachella was also recovered on the property. Female suspect was booked at JBDC.

[CLICK HERE to Return to Agenda](#)

Public Works/Engineering Quarterly Report

October 1, 2023 - December 31, 2023

The Public Works Department consists of six divisions: Engineering, Capital Improvement Projects (CIP), Parks, Lighting & Landscape, Traffic, Facilities, and Public Works Street Maintenance.

Engineering Services

Provides engineering design, construction oversight, and traffic support on a variety of infrastructure projects that help keep La Quinta safe and beautiful. Here are some activities for October, November, and December 2023:

CIP PROJECTS - IN DESIGN

Design is underway
for the Fritz Burns
Park Improvements



Road Improvements

There are ten (10) road improvement projects that will be constructed between Summer 2023 and Summer 2024. Projects with similar improvements and timelines have been combined to be constructed at the same time in order to minimize traffic impacts and reduce costs.

City of La Quinta Completed & Upcoming Road Improvement Projects

COMPLETE

JEFFERSON STREET AT HIGHWAY 111

In collaboration with the City of Indio, roadway rehabilitation improvements at the intersection of Highway 111 and Jefferson Street was completed in December 2022.

COMPLETE

MOON RIVER DRIVE PAVEMENT REHABILITATION

Rehabilitation of the roadway on Moon River Drive to the DSUSD right of way was completed during the school winter break in December 2022.

COMPLETE

JEFFERSON STREET SLURRY SEAL IMPROVEMENTS

In collaboration with the City of Indio, a slurry seal of the entire La Quinta limits of Jefferson Street was completed in Spring 2023.

COMPLETE

PMP SLURRY SEAL IMPROVEMENTS

As part of the 5-Year Pavement Management Plan, slurry seal in the cove and Village areas is almost complete.



COMPLETE

AVENUE 50 & AVENUE 52 PAVEMENT REHABILITATION

Rehabilitation of both Avenue 50 between Washington Street and Eisenhower Drive, and Avenue 52 between Madison Street and Monroe Street is complete.

COMPLETE

FRED WARING DRIVE PAVEMENT REHABILITATION

Rehabilitation of Fred Waring Drive between Washington Street and Adams Street is complete.

UNDERWAY

PMP SLURRY SEAL IMPROVEMENTS

As part of the 5-Year Pavement Management Plan, slurry seal will continue in the cove, village, on Washington Street and Monroe Street.

SUMMER 2024

DUNE PALMS ROAD PAVEMENT REHABILITATION

In Spring 2024, rehabilitation of Dune Palms Road between Fred Waring Drive and Miles Avenue will be completed.

SUMMER 2024

PMP SLURRY SEAL IMPROVEMENTS

As part of the 5-Year Pavement Management Plan, slurry seal will continue in the cove and citywide

SUMMER 2024

AWAITING FEDERAL FUNDING

HIGHWAY 111 PAVEMENT REHABILITATION

Pending federal funding, in Summer 2024, rehabilitation of Highway 111 between Washington Street and Jefferson Street will be completed.

CIP PROJECTS - UPCOMING

Avenue 48

Arts and Music Line

This project, led by Coachella Valley Association of Governments (CVAG), is finalizing the design phase and will construct an active transportation network for pedestrians and bicyclists with interactive arts and music. The project will establish a new public space that can only be experienced without a vehicle, and will create a safe route connecting schools, affordable housing, retail, and employment centers, and will have three connections to Coachella Valley Link (CV Link).

Avenue 50 Widening

Design is underway for the collaborative project with the City of Indio to widen Avenue 50 from Jefferson Street to Madison Street to its ultimate general plan standard, which will include a multi-use path on the south side of the street.

Civic Center Lake Irrigation

This design is being finalized and solicitation is underway to contract a Construction Management firm for this project that will convert the Civic Center lake water from potable water to irrigation water provided through Coachella Valley Water District (CVWD) lines (Bureau of Reclamation (BOR) Lines). CVWD has completed work to provide a point of connection and meter at the existing pumps.

Avenue 50 Bridge

The City received Highway Bridge Program (HBP) funding authorization from Caltrans to begin the Preliminary Engineering phase of the project that will construct a bridge over the Evacuation Channel from Washington Street to 800 feet east of the channel crossing. Proposals for the Preliminary Engineering phase were received and staff is currently conducting contract negotiations with the selected firm.

CIP PROJECTS - IN PROGRESS

LQ Landscape Renovations - Cactus Flower



Bids were received on December 4, 2023 and awarded on December 19, 2023. The contractor started on February 5, 2024.



Dune Palms Road Bridge



Completed backfilling the area where the waterline was removed in preparation for work to begin on the southbound bridge.





DUNE PALMS

BRIDGE IMPROVEMENT PROJECT

BUSINESS ACCESS IS OPEN DURING CONSTRUCTION

DUNE PALMS ROAD

will remain closed through Spring 2024 due to severe damage caused by recent storms and for public safety during construction. Business access remains open.

Dune Palms Road will be closed to vehicles, cyclists and pedestrians just north of Corporate Centre Drive to the Dune Palms Mobile Home Estates.

TRAFFIC IMPACTS

Corporate Centre Drive is open. Business access is open from Dune Palms Road and Highway 111. Please use Jefferson or Adams streets for alternate routes around the closure.

UPDATE

Contractors on the Dune Palms Bridge project cleared debris and stabilized the construction zone and will continue work on the bridge project. The road will be re-opened when the eastern bridge span of the bridge is complete, projected to be Spring 2024.



STAY INFORMED



Visit: www.laquintaca.gov/dunepalms to sign up for text or email updates. Call: (760) 422-3370

Email: info@DunePalms.com

Follow:



@Dune Palms P.d. Bridge



@Dune_Palms_Bridge

CIP PROJECTS - COMPLETED

[CLICK HERE to Return to Agenda](#)

Avenue 50 and Avenue 52 Pavement Rehabilitation



Pulverization, grinding, asphalt overlay, signing and striping, curb ramp replacement and utility adjustment on: Avenue 50 from Washington Street to Eisenhower Drive, Avenue 52 from Desert Club to SilverRock/Talus Way, Avenue 52 from Madison Street to Monroe Street.



Cove Trails Parking Lot Improvements



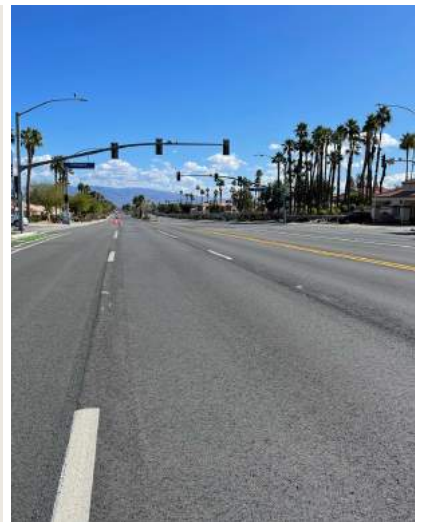
Work included raking large debris, clearing and grubbing, preparing subgrade, and furnishing and installing class II aggregate base.



Fred Waring Drive Pavement Rehabilitation



Work included pulverization, overlay, and signing and striping on Fred Waring Drive from Washington Street to Adams Street and northbound Washington Street from Avenue 48 to Lake La Quinta. Also, removal and replacement of a curb ramp at Fred Waring Drive at Adams Street.



Public Works Development

Engineering assistance and project review during October, November, and December 2023:

Project Reviews Completed			
PERIOD	2022	2023	Percentage Change From Last Year
October	34	31	9% ▼
November	33	45	36% ▲
December	52	50	4% ▼
Year to Date	625	415	34% ▼

Permits Issued			
PERIOD	2022	2023	Percentage Change From Last Year
October	10	10	0%
November	10	5	50% ▼
December	16	15	6% ▼
Year to Date	200	140	30% ▼

Traffic

The traffic operations team completed 12 citizen request work orders, 64 work orders, 5 public records request, and 26 emergency on call work orders.

- Assisted with Ironman event traffic control
- Installed new safety lights on the Northeast and Southeast corners of Adams Street and Miles Avenue
- Completed potholing and running fiber network at intersections and is beginning to install equipment at intersections and sidewalk repairs for the Coachella Valley Sync project, phase 2
- Installed conduit and fiber network, programmed new axis cameras, and is prepping to install cameras and equipment for the X-Park Public Safety Camera System project

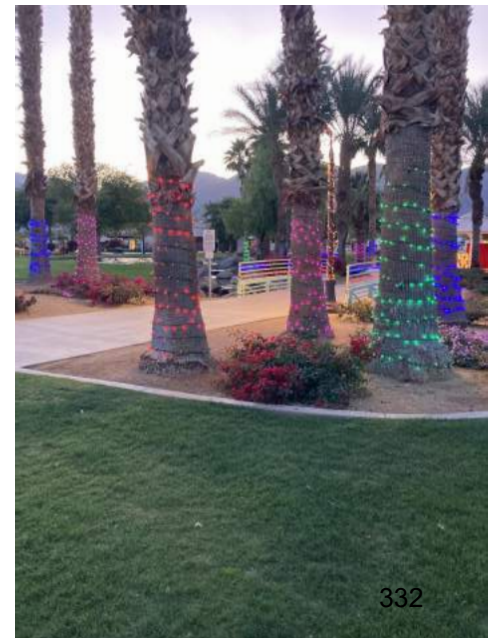
Parks and Lighting & Landscape

Parks and L&L staff responded to 263 work orders including but not limited to: park issues, trail maintenance, graffiti, and landscape maintenance issues.

Lights on the Road Runner were restored.



Holiday lights were installed at the Civic Center Campus.



New park benches were installed at the SilverRock Event Park.

A waste/recycle container was installed at Eisenhower Street and Calle Tampico.



Facilities

The facilities team completed the following projects:

- EV Charger Replacements at City Hall and the Wellness Center
- South Walkway Grout Repair (to avoid tripping hazard)
- Cove Restroom paint refresh
- Fritz Burns Pool fence repairs
- City Hall Lobby art wall refresh
- Reupholstering of the Chamber chairs

Responded to 161 work orders, including but not limited to: facilities maintenance, fire station maintenance, personnel requests, and park facility maintenance. The team also prepared for holiday events and the Art Celebration.





Street and Stormwater Maintenance

198 work requests were completed, including:

- IronMan Traffic Control Support
- Accident/Damage/Risk
- Debris/Litter Removal/Right-of-Way Maintenance
- Graffiti Removal (Right-of-Way)
- Pothole or Street Repair
- Sidewalk Repair/Concrete
- Emergency Response
- Storm Drain Repair and Maintenance
- Street Sweeping
- Street Sign Repair/Maintenance
- Vehicle/Equipment/Operations Yard Maintenance
- Tree Issues

Storm Drain Maintenance - Before



Storm Drain Maintenance - After



Sidewalk Repair





FINANCIAL ADVISORY COMMISSION
SPECIAL MEETING
MINUTES
WEDNESDAY, DECEMBER 20, 2023

CALL TO ORDER

A special meeting of the La Quinta Financial Advisory Commission (Commission) was called to order at 4:00 p.m. by Chair Dorsey.

PRESENT: Commissioners Anderson, Batavick, Keihl, Mast, Mills, Way, and Chair Dorsey

ABSENT: None

PLEDGE OF ALLEGIANCE

Commissioner Keihl led the audience in the Pledge of Allegiance.

PUBLIC COMMENT ON MATTERS NOT ON THE AGENDA – None

CONFIRMATION OF AGENDA – Confirmed

ANNOUNCEMENTS, PRESENTATIONS, AND WRITTEN COMMUNICATIONS

1. ELECTRICAL SUBSTATION UPGRADE UPDATE

City Manager McMillen provided a brief overview of Imperial Irrigation District's (IID) electric supply and delivery system to the Coachella Valley (CV), details on the City of La Quinta substations current state, substation bank upgrades needed and associated costs, possible funding sources, and IID's source of power. Mr. McMillen said that system wide upgrades are needed by IID to keep up with growth in the CV.

2. CULTURAL CAMPUS PROJECT (Project) UPDATE

Community Resources Management Analyst Calderon and Public Works Management Analyst Mignogna provided a detailed presentation on Project location; goals and objectives; design details; funding sources; current budget appropriations; construction cost estimates; and campus capacity.

3. NEW COMMISSIONER INTRODUCTION (*Added Presentation*)

Following the presentations Chair Dorsey welcomed new Commissioner Geoffrey Keihl. The Commission and staff shared a brief introduction of their background and experiences.

CONSENT CALENDAR ITEMS

- 1. APPROVE MEETING MINUTES DATED NOVEMBER 8, 2023**
- 2. RECEIVE AND FILE REVENUE AND EXPENDITURE REPORT DATED JUNE 30, 2023**
- 3. RECEIVE AND FILE REVENUE AND EXPENDITURE REPORT DATED SEPTEMBER 30, 2023**

Motion – A motion was made and seconded by Commissioners Way/Mills to approve the Consent Calendar as submitted. Motion passed: ayes – 6, noes – 0, abstain – 1 (Kiehl).

BUSINESS SESSION

- 1. RECEIVE AND FILE FISCAL YEAR 2022/23 MEASURE G SALES TAX COMPLIANCE REPORT (Report)**

Finance Director Martinez presented the staff report, which is on file in the Finance Department.

Finance Director Martinez thanked the subcommittee, which consisted of Commissioners Batavick and Mast, for their time, recommendations, and thorough review of the Report.

The subcommittee provided an overview of the Report review process and their experience.

The Commission noted the City's continuous fiscal prudence and healthy financial reserves; discussed reducing the percentage of Measure G reserves by making allocations; and inquired why the report was presented to Council at the December 19, 2024, meeting in advance of presenting it to the Commission. Finance Director Martinez explained the Report is generally presented to Council before year-end and this was Council's last meeting in 2023, and noted every effort is made for the Report to be presented to the Commission prior to Council review, which could not be accommodated this time due to scheduling conflicts with meeting dates for the Commission.

Motion – A motion was made and seconded by Commissioners Way/Anderson to receive and file fiscal year 2022/23 Measure G sales tax compliance report as submitted. Motion passed unanimously.

- 2. APPOINT TWO COMMISSIONERS TO SERVE ON THE FISCAL YEAR 2024/25 GENERAL FUND OPERATING BUDGET SUBCOMMITTEE**

Finance Director Martinez presented the staff report, which is on file in the Finance Department.

Commissioner's Anderson, Dorsey, and Kiehl expressed their willingness to serve on the fiscal year 2024/25 general fund operating budget subcommittee.

Motion – A motion was made and seconded by Commissioners Batavick/Way to appoint Commissioner’s Anderson, Dorsey, and Kiehl to serve on the fiscal year 2024/25 general fund operating budget subcommittee. Motion passed unanimously.

3. RECEIVE AND FILE FIRST QUARTER FISCAL YEAR 2023/24 TREASURY REPORTS FOR JULY, AUGUST, AND SEPTEMBER 2023

Principal Management Analyst Hallick presented the staff report, which is on file in the Finance Department.

Principal Management Analyst Hallick gave a brief overview of the City’s portfolio allocations, quarterly earnings, treasury rates, and portfolio returns over the last four years.

Motion – A motion was made and seconded by Commissioners Mills/Mast to receive and file the first quarter fiscal year 2023/24 treasury reports for July, August, and September 2023. Motion passed unanimously.

STUDY SESSION – None

DEPARTMENTAL REPORTS – All reports are on file in the Finance Department.

1. FINANCE DEPARTMENT CURRENT AND FUTURE INITIATIVES

Staff provided an update on current and upcoming Finance Department projects and City events and explained the Highway 111 Corridor project summary found on page four of Attachment 1.

The Commission inquired on the total cost for damages incurred by Hurricane Hiliary in August 2023 and subsequent storm. Finance Director Martinez said that the City is assessing total cost for damages incurred and enumerated some of the major damages caused.

COMMISSIONERS’ ITEMS – None

ADJOURNMENT

There being no further business, it was moved and seconded by Commissioners Dorsey/Mills to adjourn this meeting at 6:01 p.m. Motion passed unanimously.

Respectfully submitted,



Jessica Delgado, Administrative Technician/Commission Secretary
City of La Quinta, California